

The Electron-Ion Collider



Lecture 1

Thomas Ullrich (BNL/Yale)
NNPSS, June 25, 2018

The Electron-Ion Collider does not exist

The Electron-Ion Collider does not exist

Yet!!



Over 800 people from 169 institutions and 29 countries are working hard to make it happen within the next decade.

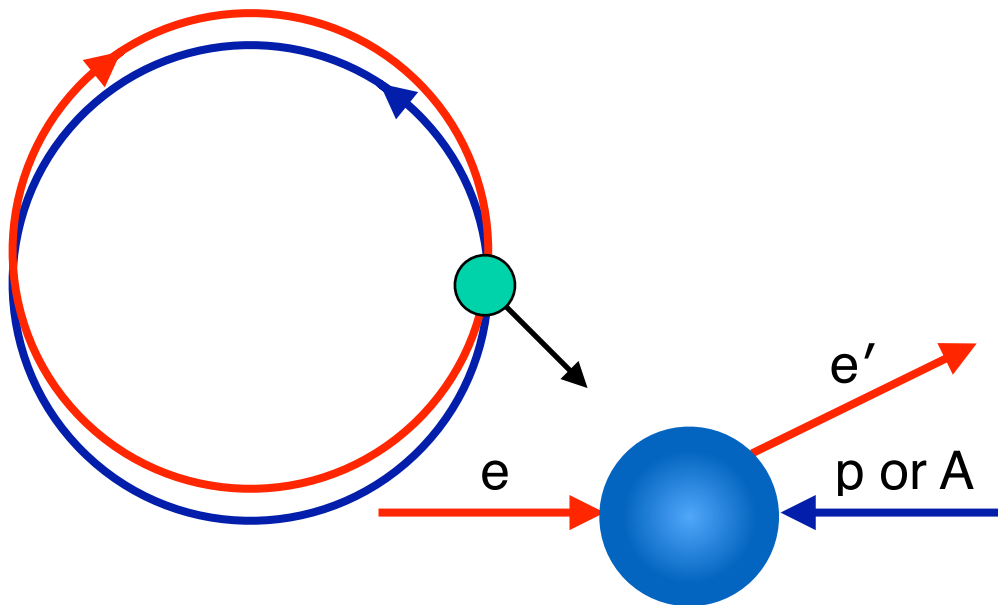
I am one of them.

The Electron-Ion Collider on One Page

The Electron-Ion Collider will be a machine for unlocking the secrets of **gluons** that binds the building blocks of visible matter in the universe.

Tools:

- The world's first **polarized electron-polarized proton** collider
- The world's first **electron-heavy ion** collider
- Fine resolution inside proton down to 10^{-18} meters



- ▶ Counter rotating beams of electrons and protons/ions collide at an interaction point
- ▶ The probe (electron) is structure-less and scatters off a “target”. The process is called **Deep Inelastic Scattering**.

Outline (Lecture 1)

1. Probing Matter

1.1. Scattering
Experiments

1.2. Electron Scattering

2. Quark Models and QCD

2.1. Static Quark Model

2.2. QCD

2.3. Gluons

3. Studying Matter at the Smallest Scale

3.1. DIS & Kinematics

3.2. Structure Functions

3.3. Parton Distribution
Function

Related Lectures

- Heavy Ion Theory, Bjoern Schenke
- Heavy Ion Experimental, Megan Connors

- Hadron Structure Theory, Alexei Prokudin
- Hadron Structure Experimental, Anselm Vossen

1. Probing Matter

*Scattering of protons on protons
is like colliding Swiss watches to find out
how they are build.*

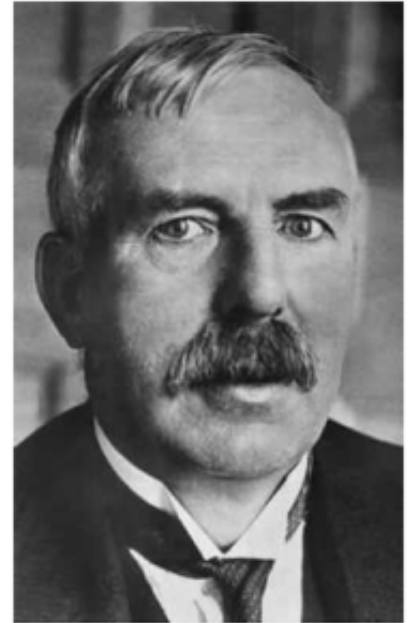
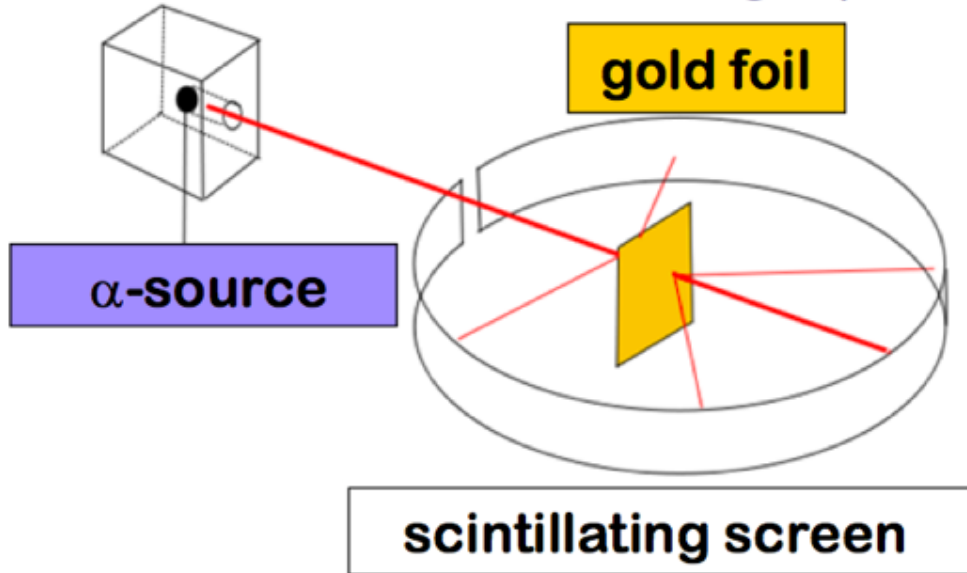


R. Feynman

Probing Matter (1909)

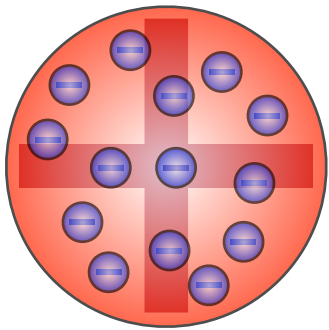
The first exploration of subatomic structure was undertaken by Rutherford at Manchester using Au atoms as targets and α particles as probes.

The “mother” of all scattering experiments



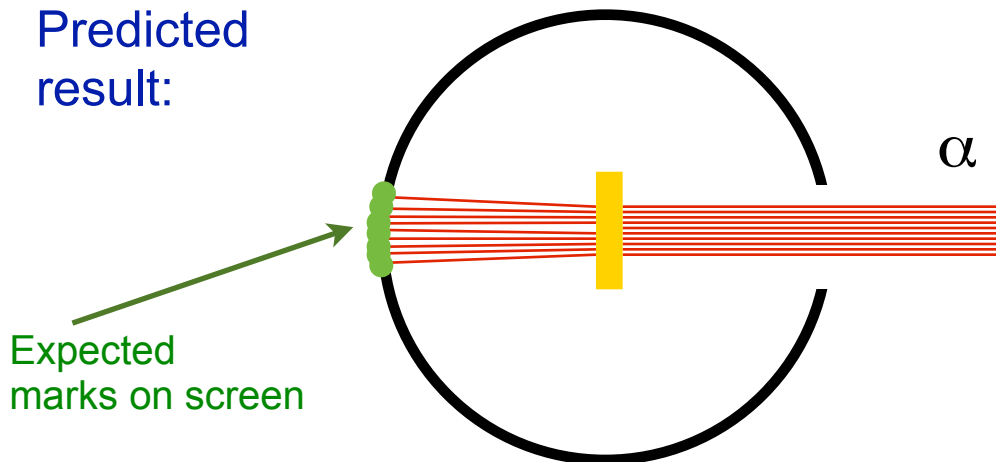
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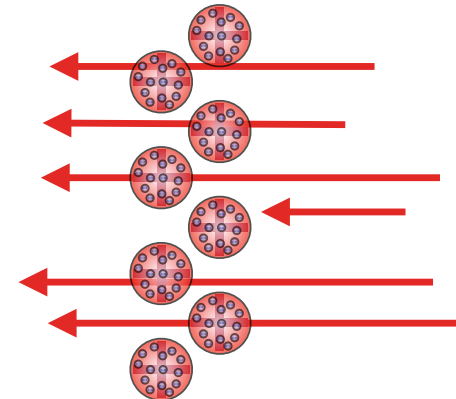


Thomson's Plum Pudding Model

Predicted result:



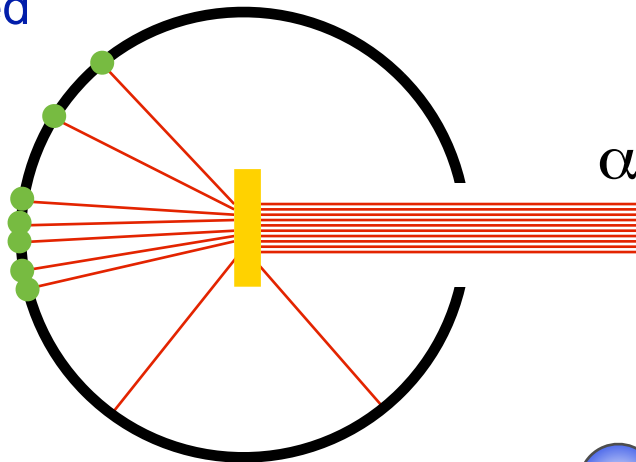
Detail of gold foil (Thomson):



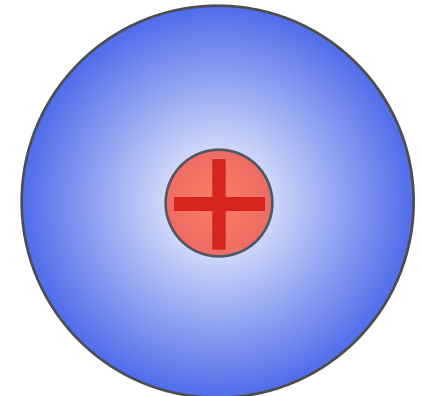
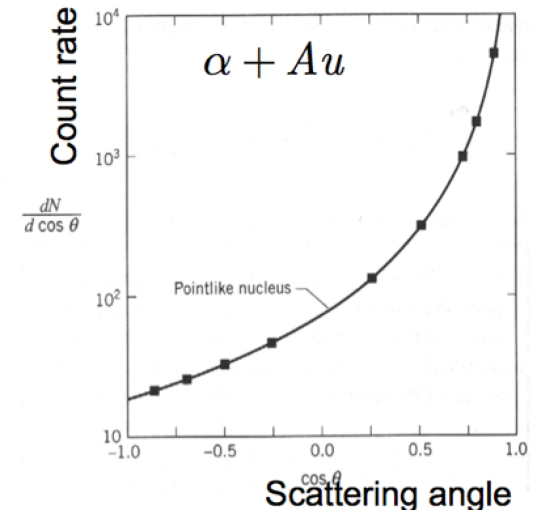
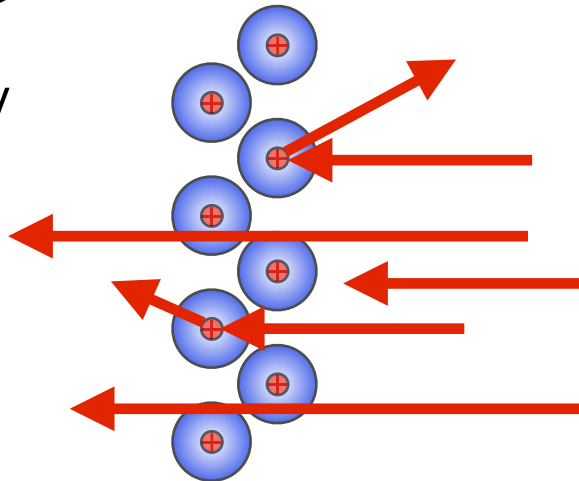
Probing Matter (1909)

The first exploration of subatomic structure was undertaken by Rutherford at Manchester using Au atoms as targets and α particles as probes.

Observed result:



Positive Nucleus Theory explain α deflection:

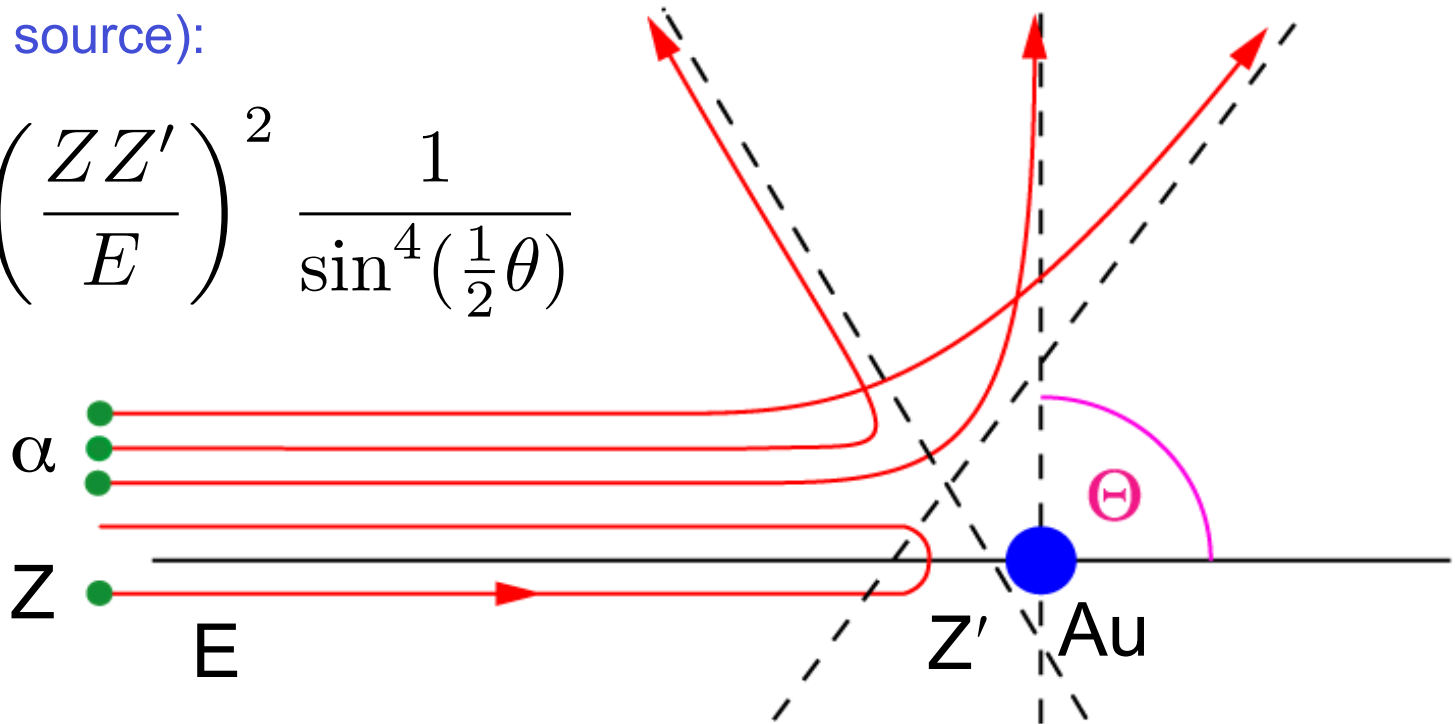


Probing Matter (1909)

The first exploration of subatomic structure was undertaken by Rutherford at Manchester using Au atoms as targets and α particles as probes.

Elastic scattering of charged particles in Coulomb field (point-like source):

$$\frac{d\sigma}{d\Omega} = \left(\frac{ZZ'}{E} \right)^2 \frac{1}{\sin^4\left(\frac{1}{2}\theta\right)}$$

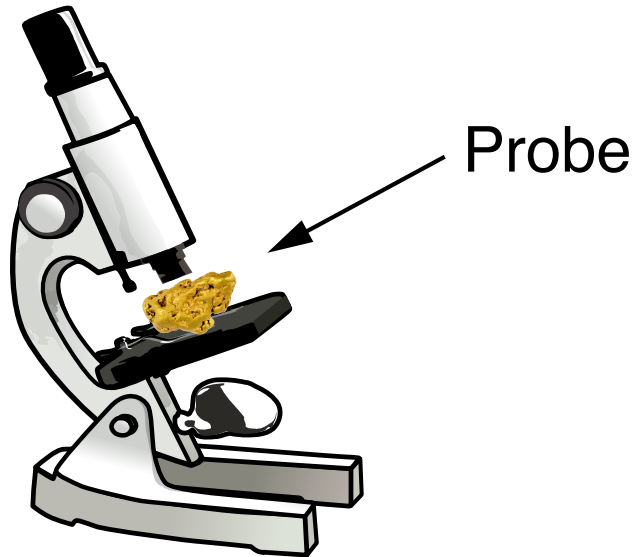


Studying Matter at Small Scales

Light Microscope

Wave length: 380-740 nm

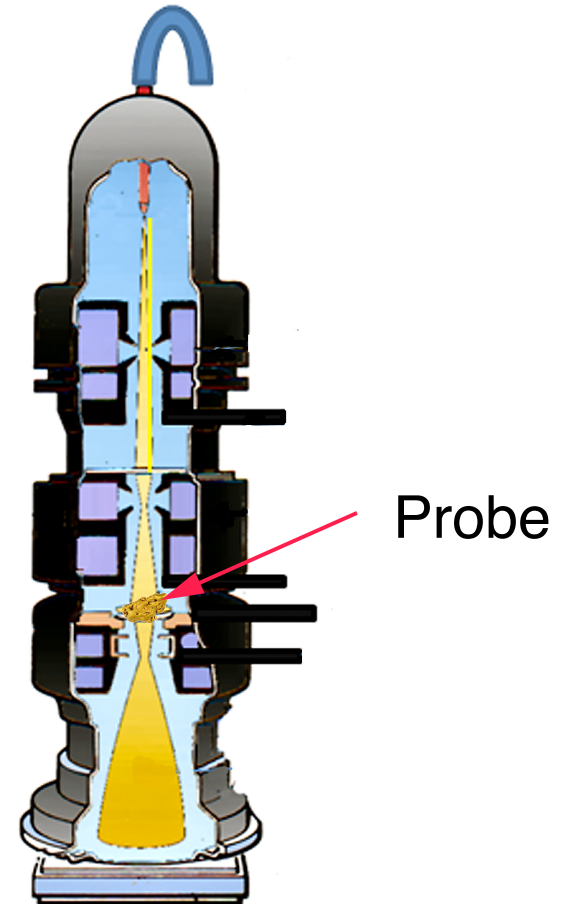
Resolution: > 200 nm



Electron Microscope

Wave length: 0.002 nm (100 keV)

Resolution: > 0.2 nm



Studying Matter at Small Scales

Light Microscope

Wave length: 380-740 nm

Resolution: > 200 nm

Electron Microscope

Wave length: 0.002 nm (100 keV)

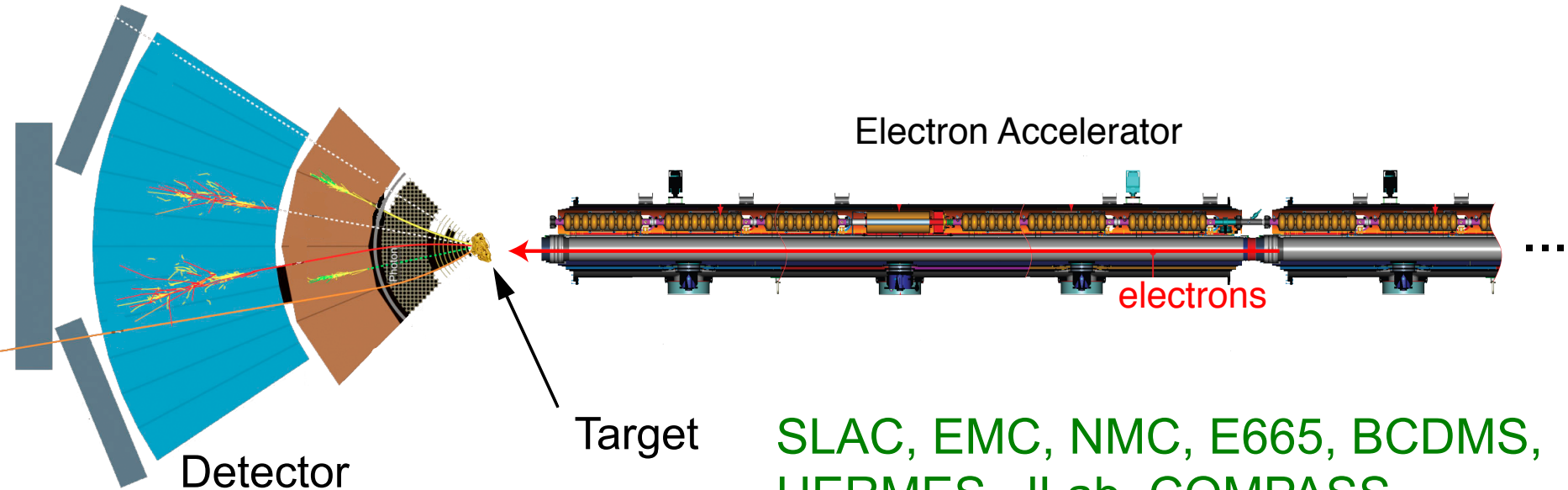
Resolution: > 0.2 nm

Fixed Target Particle

Accelerator Experiments

Wave length: 0.01 fm (20 GeV)

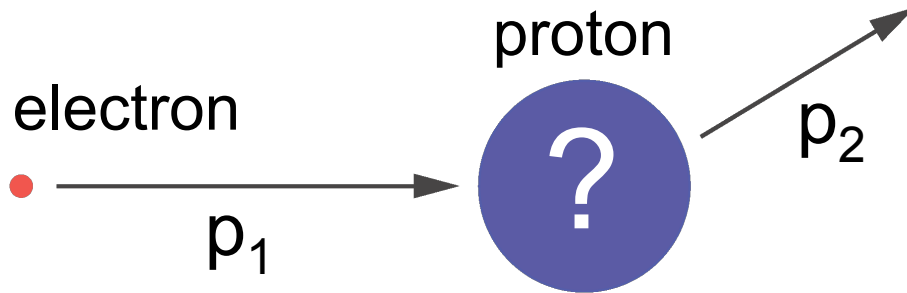
Resolution: ~ 0.1 fm



SLAC, EMC, NMC, E665, BCDMS,
HERMES, JLab, COMPASS, ...

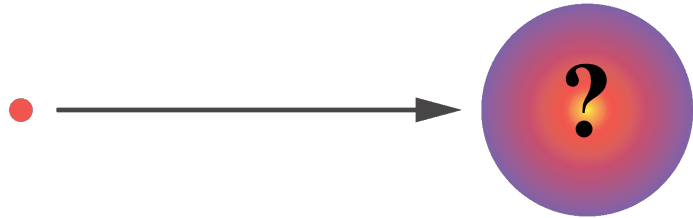
Probing Matter with Electrons

The SLAC experiments in the 1960s established the quark model and our modern view of particle physics.

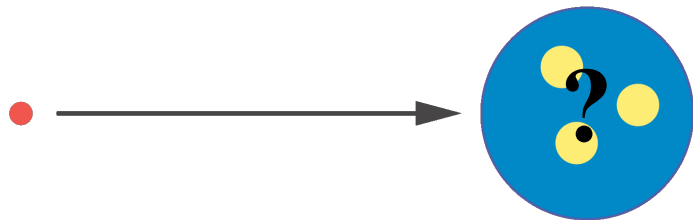


Mott = Rutherford + Spin

$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Mott}} |F(q^2)|^2$$



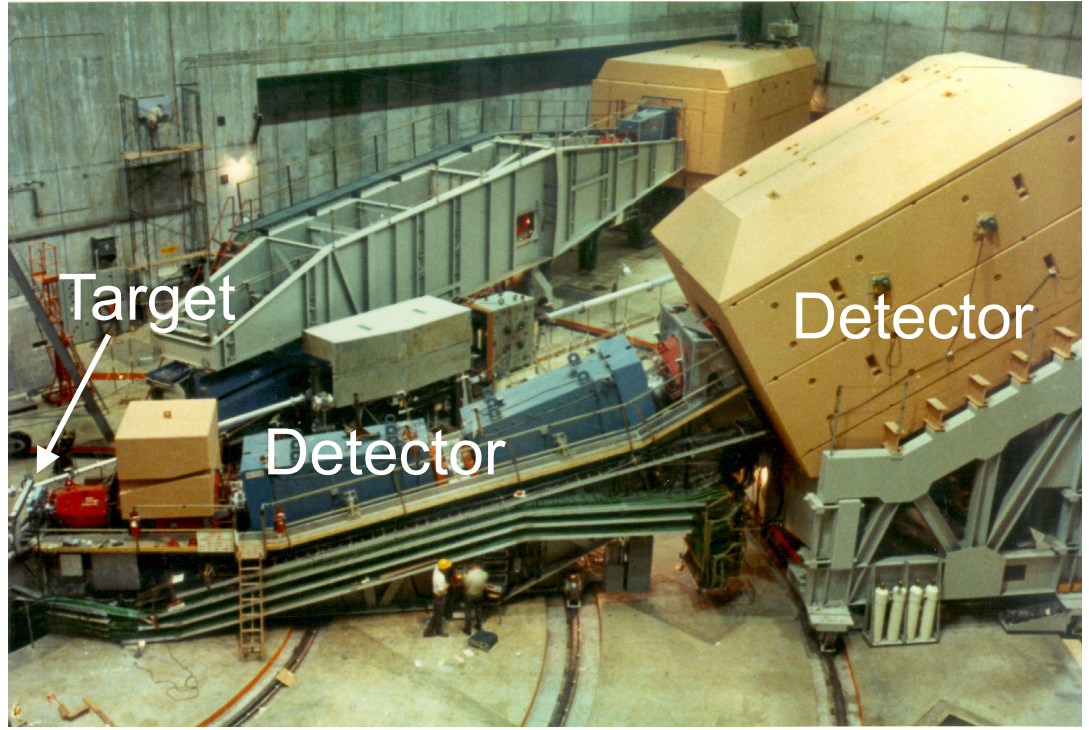
$$q^2 = (\mathbf{p}_1 - \mathbf{p}_2)^2$$



Formfactor: $F(q^2)$
*Fourier transform
of charge distributions*

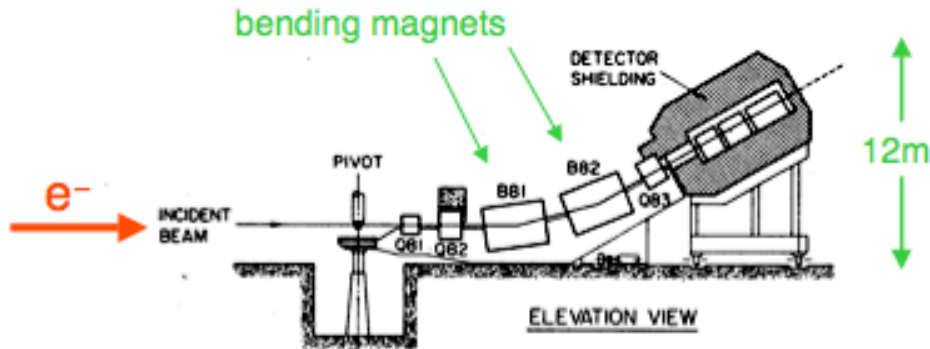
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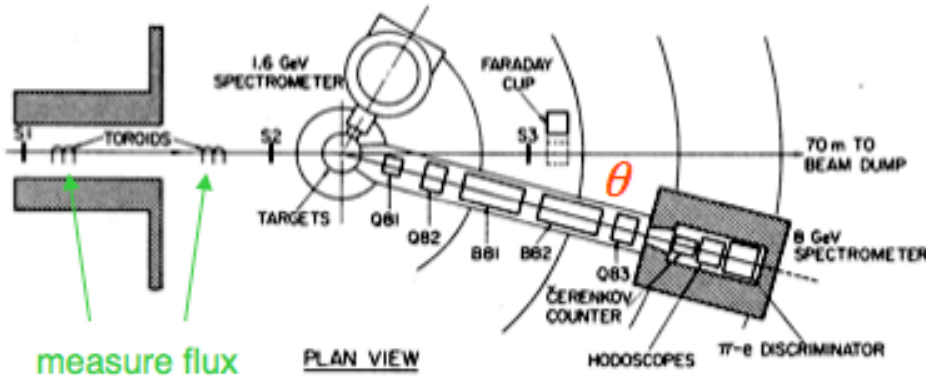
Probing Matter with Electrons

The SLAC experiments in the 1960s established the quark model and our modern view of particle physics.



Scattered electron is deflected by a known B -field and a fixed vertical angle:

determine E'

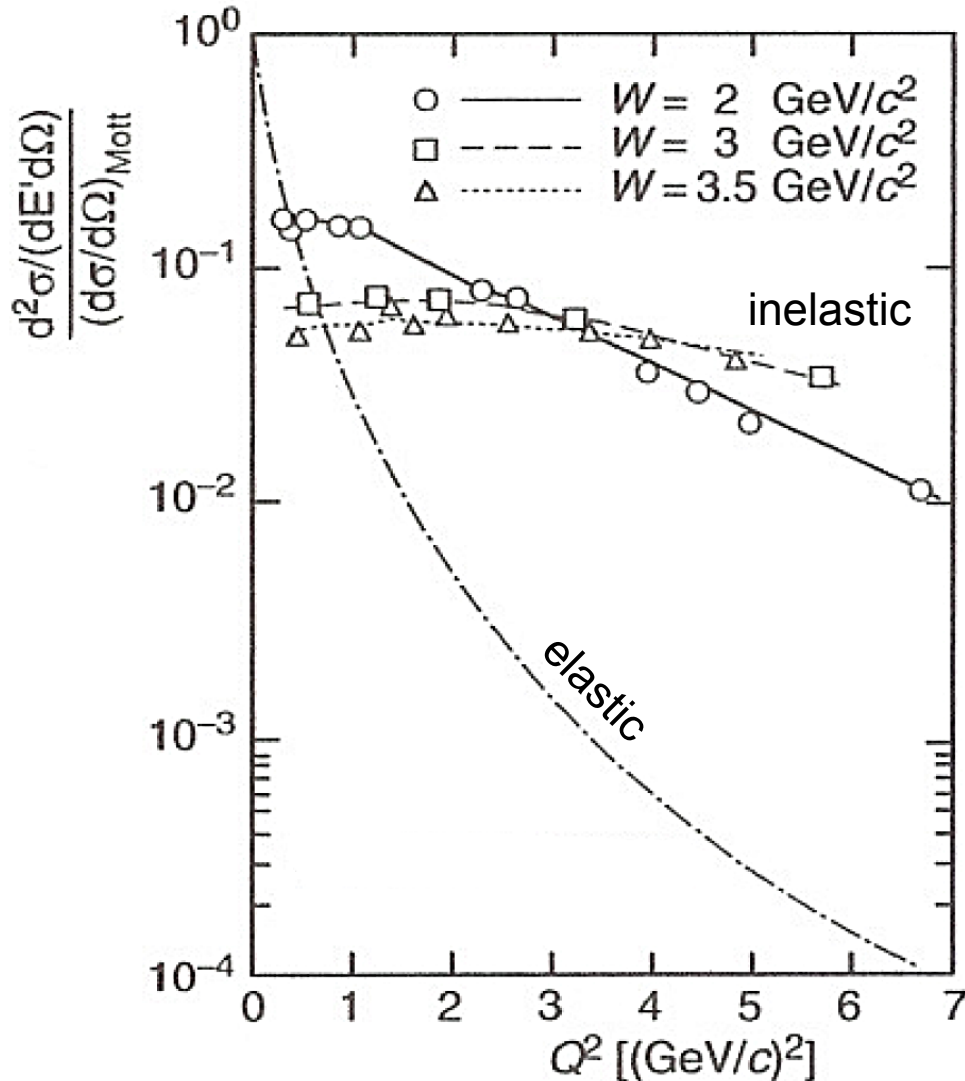


Spectrometer can rotate in the horizontal plane,

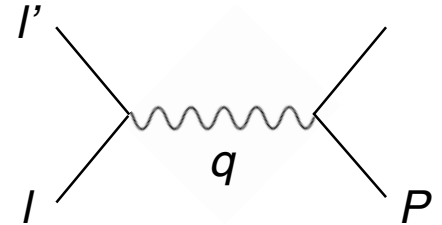
vary θ

Probing Matter with Electrons

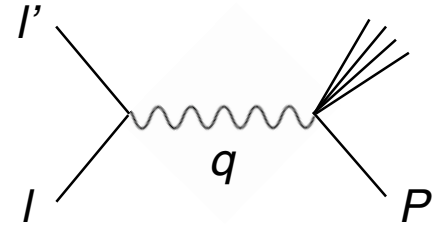
The SLAC experiments in the 1960s established the quark model and our modern view of particle physics.



elastic:



inelastic:



Constant $F(q^2)$:
 \Rightarrow scattering on point-like constituent of the nucleon

quarks

2. Quarks Gluons and QCD

mass →	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	0	$\approx 126 \text{ GeV}/c^2$
charge →	$2/3$	$2/3$	$2/3$	0	0
spin →	$1/2$	$1/2$	$1/2$	1	0
	u up	c charm	t top	g gluon	H Higgs boson
QUARKS	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-1/3$	$-1/3$	$-1/3$	0	
	$1/2$	$1/2$	$1/2$	1	
	d down	s strange	b bottom	γ photon	
	$0.511 \text{ MeV}/c^2$	$105.7 \text{ MeV}/c^2$	$1.777 \text{ GeV}/c^2$	$91.2 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$1/2$	$1/2$	$1/2$	1	
	e electron	μ muon	τ tau	Z Z boson	
LEPTONS	$< 2.2 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$80.4 \text{ GeV}/c^2$	
	0	0	0	± 1	
	$1/2$	$1/2$	$1/2$	1	
	ν_e electron neutrino	ν_μ muon neutrino	ν_τ tau neutrino	W W boson	
				GAUGE BOSONS	

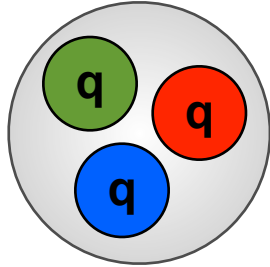
The proton is just 2 up quarks and 1 down quark, ...

“Static” Quark Model

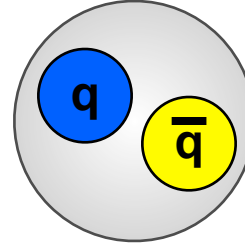
Quarks: spin 1/2 fermions, color charge

M. Gell-Mann,
K. Nishijima (> 1964)

Baryons:



Mesons:



Property \ Quark	<i>d</i>	<i>u</i>	<i>s</i>	<i>c</i>	<i>b</i>	<i>t</i>
Q – electric charge	$-\frac{1}{3}$	$+\frac{2}{3}$	$-\frac{1}{3}$	$+\frac{2}{3}$	$-\frac{1}{3}$	$+\frac{2}{3}$
I – isospin	$\frac{1}{2}$	$\frac{1}{2}$	0	0	0	0
I_z – isospin <i>z</i> -component	$-\frac{1}{2}$	$+\frac{1}{2}$	0	0	0	0
S – strangeness	0	0	-1	0	0	0
C – charm	0	0	0	+1	0	0
B – bottomness	0	0	0	0	-1	0
T – topness	0	0	0	0	0	+1

“Static” Quark Model

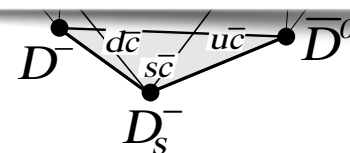
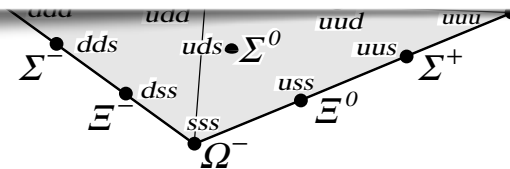
Quarks: spin 1/2 fermions, color charge

M. Gell-Mann,
K. Nishiiima (> 1964)

For detailed properties of multi-quark systems the static (constituent) model has failed almost completely and given no predictions which have been verified by experiment.

How can a model be so successful in the quark-antiquark and three quark systems and fail for almost everything else?

What's missing?



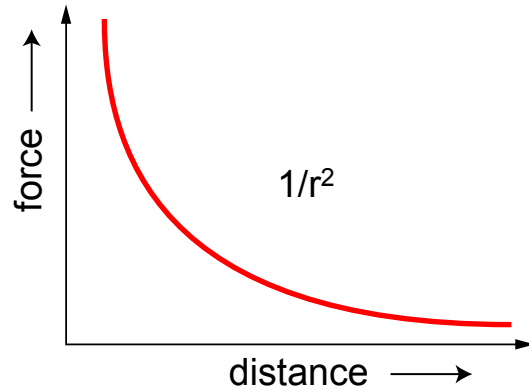
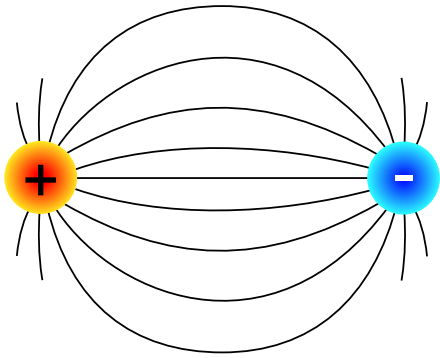
ρ^+

Σ

Recall: Quantum Electrodynamics

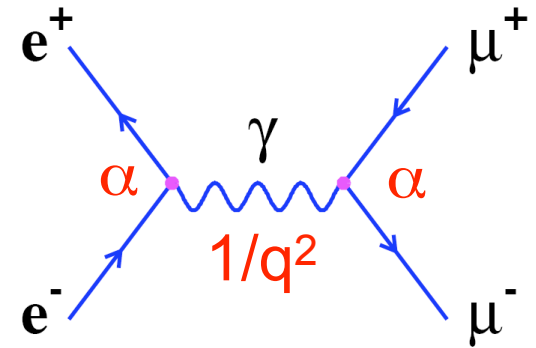
Theory of electromagnetic interactions

- Exchange particles (photons) do **not** carry electric charge
- Flux is not confined: $V(r) \sim 1/r$, $F(r) \sim 1/r^2$



$$V(r) = -\frac{q_1 q_2}{4\pi\epsilon_0 r} = -\frac{\alpha_{em}}{r}$$

Example Feynman Diagram:
 e^+e^- annihilation



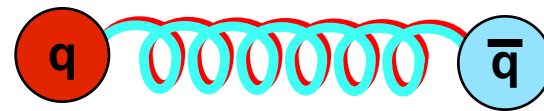
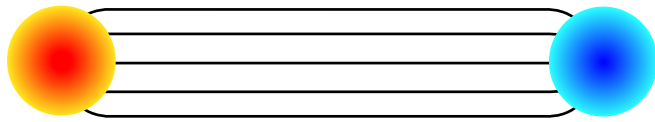
Coupling constant (α): Interaction Strength
In QED: $\alpha_{em} = 1/137$

Quantum Chromodynamics (QCD)

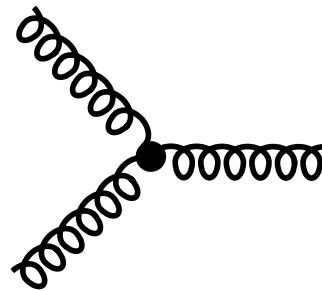
Quantum Chromo Dynamics is the “nearly perfect” fundamental theory of the strong interactions

F. Wilczek, hep-ph/9907340

- Three color charges: red, green and blue



- Exchange particles (gluons) carry color charge and can self-interact



Self-interaction: QCD significantly harder to analyze than QED

- Flux is confined:
$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + kr$$

$\sim 1/r$ at short range long range $\sim r$

Long range aspect \Rightarrow quark confinement and existence of nucleons

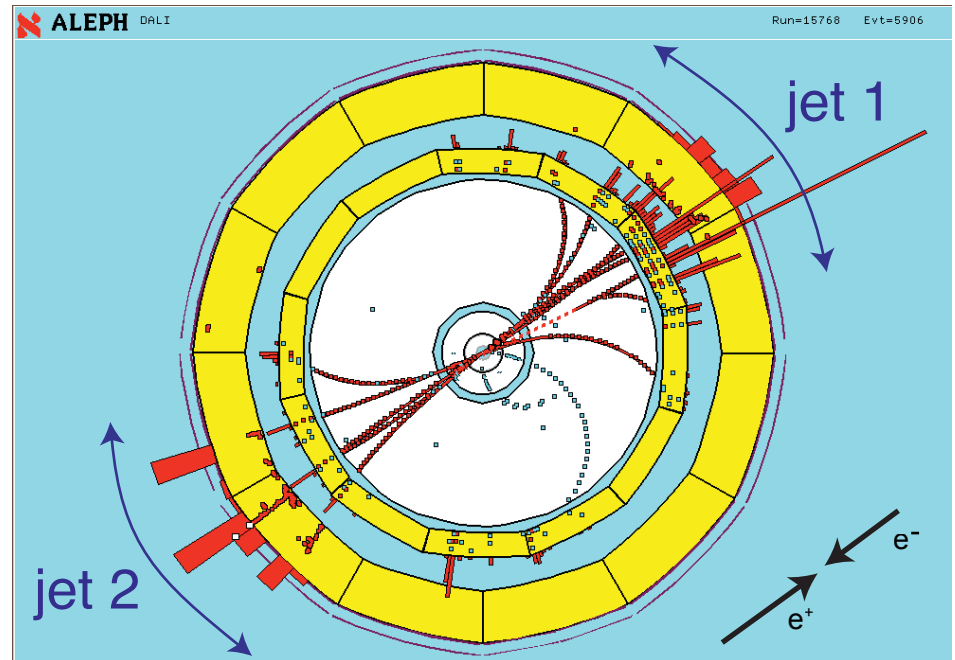
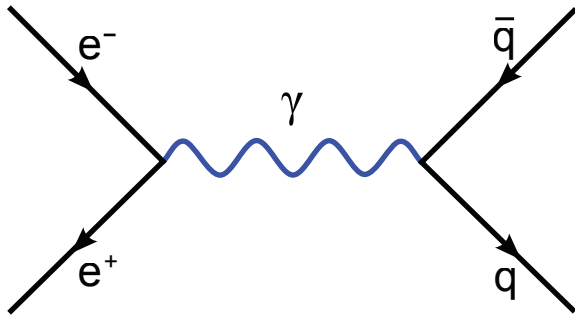
Gluons: They Exist!

1979 Discovery of the Gluon

Physics Letters B, 15 December 1980

Mark-J, Tasso, Pluto, Jade experiment at PETRA (e^+e^- collider)
at DESY ($\sqrt{s} = 13 - 32$ GeV)

- $e^+ e^- \rightarrow q \bar{q} \rightarrow 2\text{-jets}$



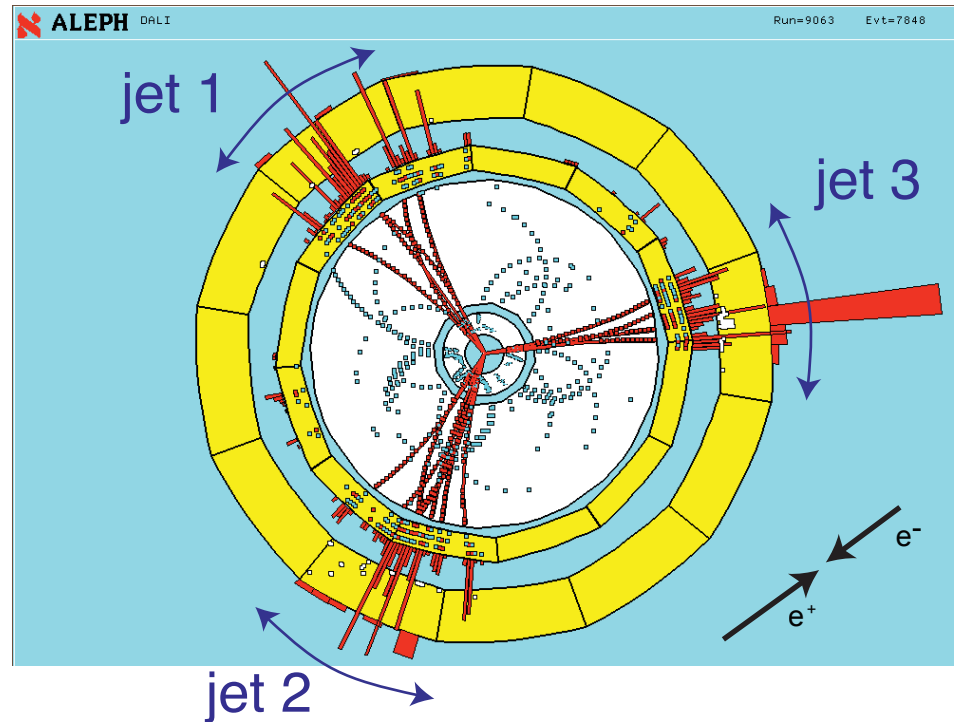
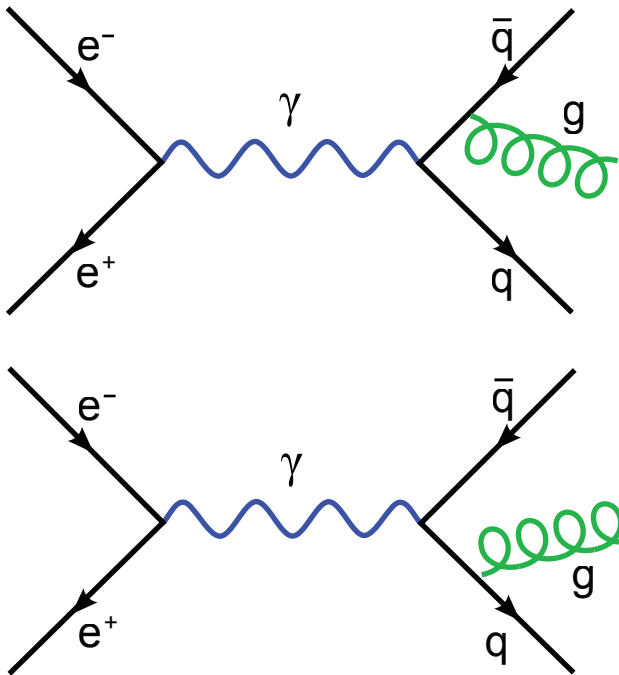
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at DESY ($\sqrt{s} = 13 - 32$ GeV)

- $e^+ e^- \rightarrow q \bar{q} g \rightarrow 3\text{-jets}$



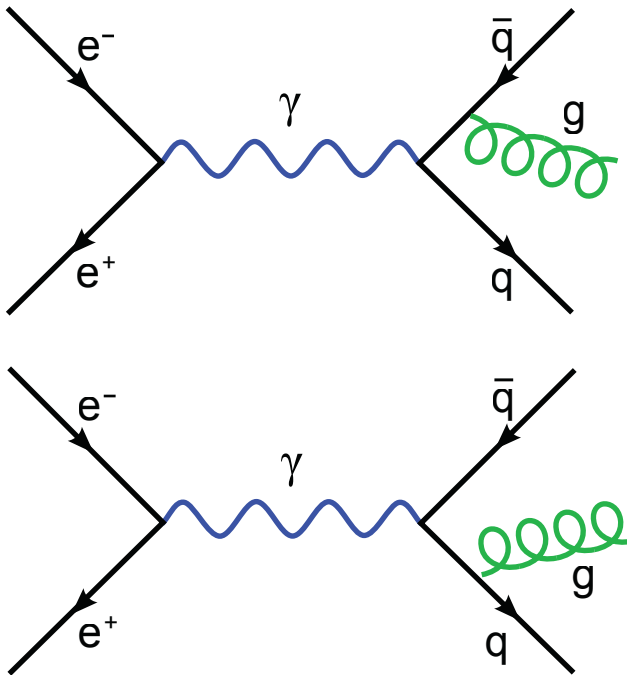
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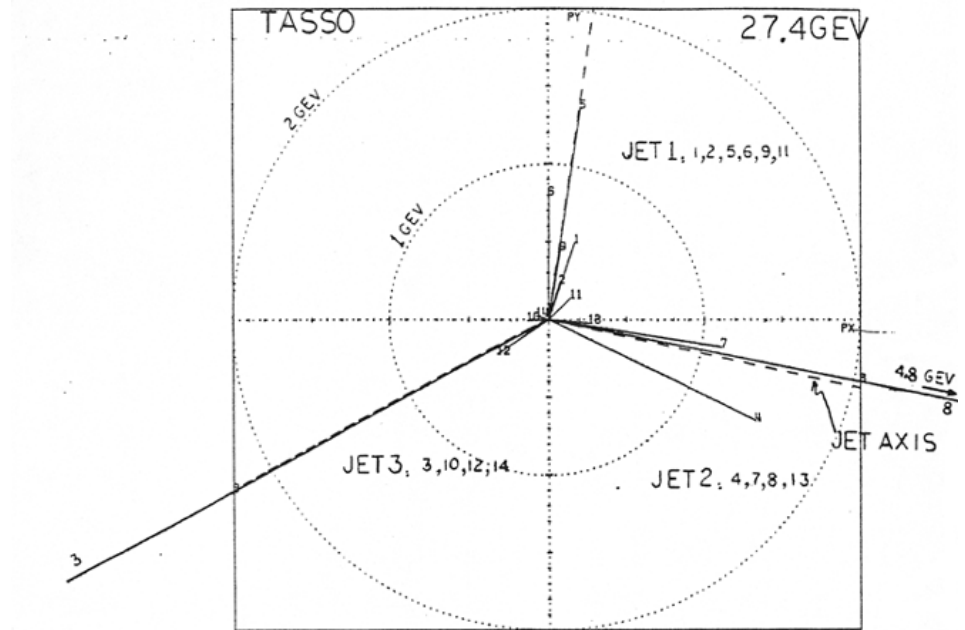
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```

===== F3SLJ ===== 0063 =====
===== F3SLJ ===== 0063 =====
===== F3SLJ ===== 0063 =====
SERID=F3SLJ PLOTID=NDPLOT PLOTNR=0063
LOT QUEUED AT 224701 ON 791175
LOT STARTED AT 231600 ON 790224
LOT RECEIVED FROM F3SLJ TSUSER N0418T MODULE M5 ON SYSTEM C
    
```



```

RUN 447 EVENT 13177 EBERN 13.7 GEV SPHERICITY 2.816E-01
BIG CIRCLE AT 2.000 GEV
    
```

	$\sum_i p_i $ CHARGE	TOTAL ENERGY
JET 1	4.3 GEV	7.4 GEV
JET 2	7.8	8.9
JET 3	4.1	11.1

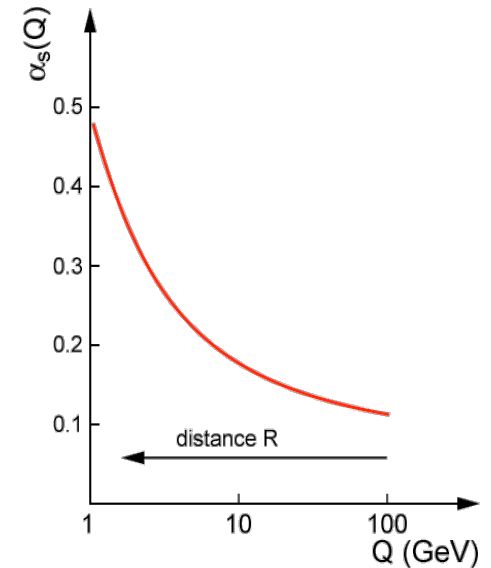
```

SERID=F3SLJ PLOTID=NDPLOT PLOTNR=0063
LOT ENDED AT 231640 ON 790224
LOT RECEIVED FROM F3SLJ TSUSER N0418T MODULE M5 ON SYSTEM C
    
```


Understanding QCD ?

$$L_{QCD} = \bar{q}(i\gamma^\mu \partial_\mu - m)q - g(\bar{q}\gamma^\mu T_a q)A_\mu^a - \frac{1}{4}G_{\mu\nu}^a G_a^{\mu\nu}$$

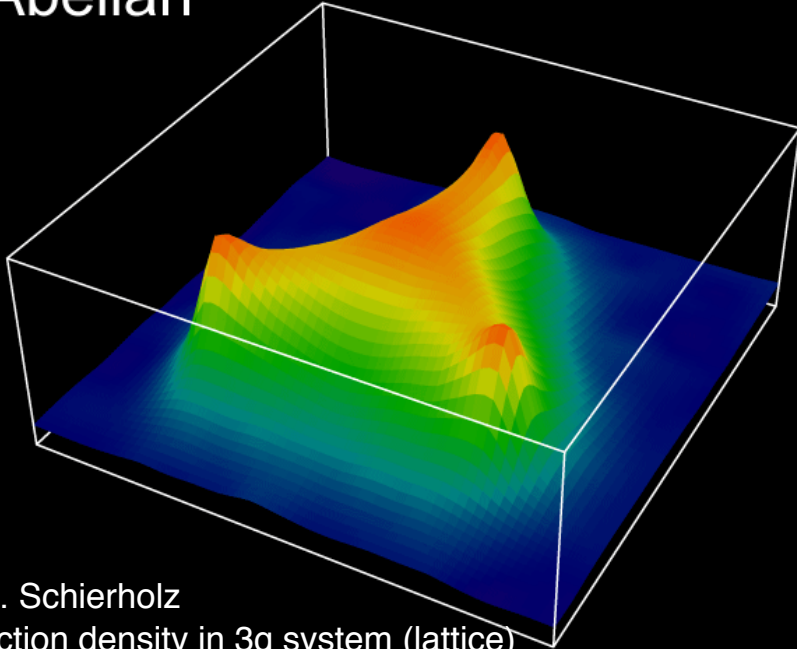
- “Emergent” Phenomena not evident from Lagrangian
- Asymptotic Freedom
 - ▶ $\alpha_s(Q^2) \sim 1 / \log(Q^2/\Lambda^2)$
 - ▶ in vacuum ($Q \sim 1/R$)
- Confinement
 - ▶ Free quarks not observed in nature
 - ▶ Quarks only in bound states



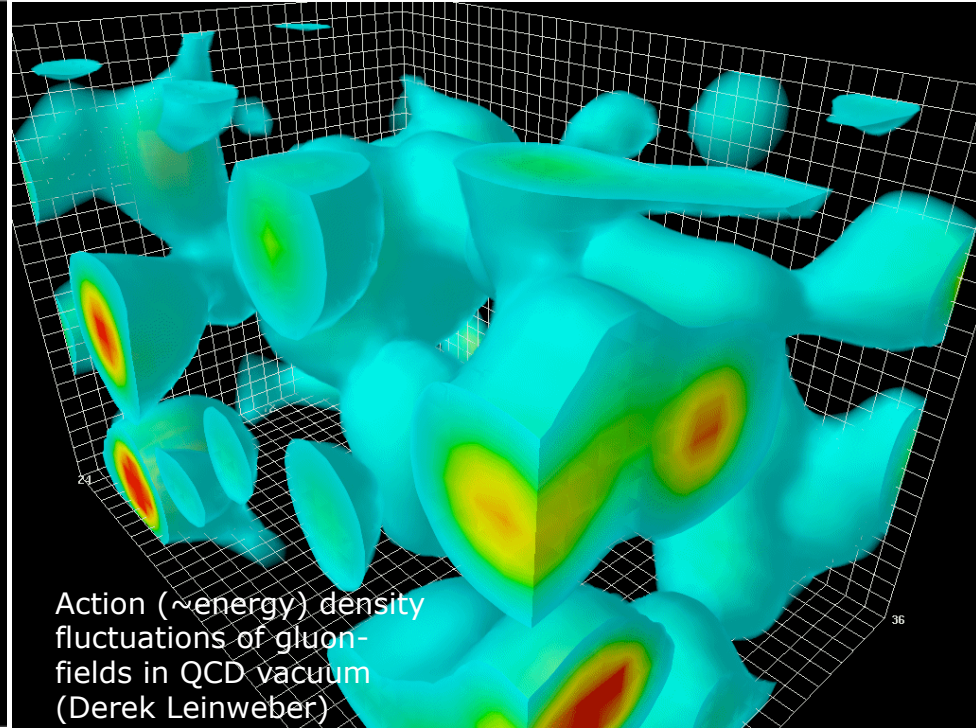
Understanding QCD ?

$$L_{QCD} = \bar{q}(i\gamma^\mu \partial_\mu - m)q - g(\bar{q}\gamma^\mu T_a q)A_\mu^a - \frac{1}{4}G_{\mu\nu}^a G_a^{\mu\nu}$$

Abelian



G. Schierholz
Action density in 3q system (lattice)



Action (\sim energy) density
fluctuations of gluon-
fields in QCD vacuum
(Derek Leinweber)

- **Gluons & their self-interaction**

- ▶ Determine essential features of strong interactions
- ▶ Dominate structure of QCD vacuum (fluctuations in gluon fields)
- ▶ Responsible for > 98% of the visible mass in universe

Understanding QCD ?

$$L_{QCD} = \bar{q}(i\gamma^\mu \partial_\mu - m)q - g(\bar{q}\gamma^\mu T_a q)A_\mu^a - \frac{1}{4}G_{\mu\nu}^a G_a^{\mu\nu}$$

Abelian

Cannot “see” the glue in the low-energy world

Despite this conjectured dominance, properties of gluons in matter remain largely unexplored

G.

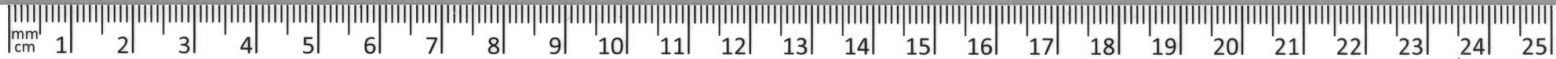
Action density in qg system (lattice)

(Derek Leinweber)

- **Gluons & their self-interaction**

- ▶ Determine essential features of strong interactions
- ▶ Dominate structure of QCD vacuum (fluctuations in gluon fields)
- ▶ Responsible for > 98% of the visible mass in universe

3. Studying Matter at the Smallest Scale



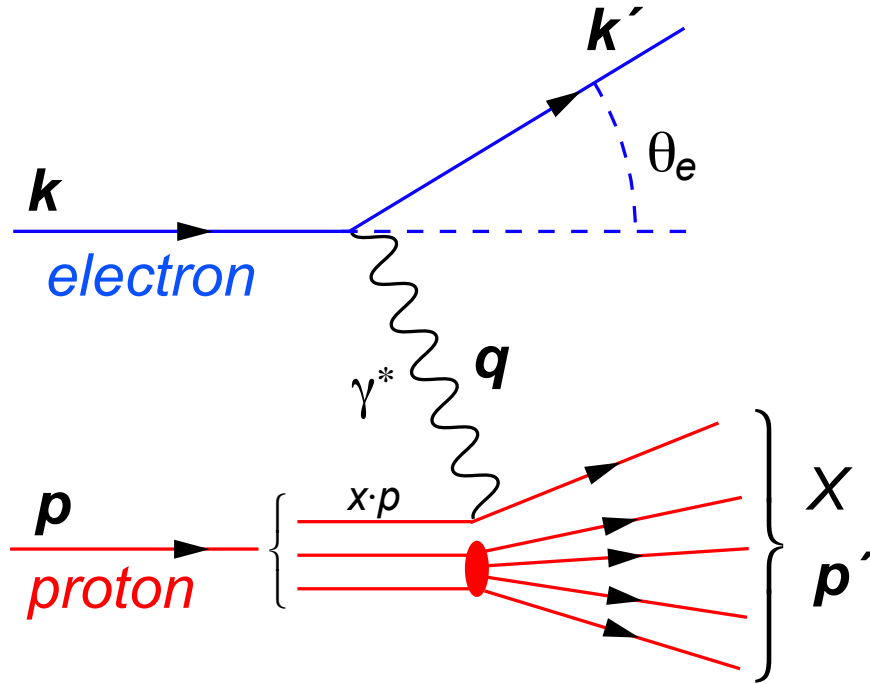
10^{-24}	ym	yoctometer	10^{-9}	nm	nanometer	Value, symbol and name shown for each	10^1	dam	decameter	10^{12}	Tm	terameter
10^{-21}	zm	zeptometer	10^{-6}	μm	micrometer	SI multiple of meter (m)	10^2	hm	hectometer	10^{15}	Pm	petameter
10^{-18}	am	attometer	10^{-3}	mm	millimeter	MetricPioneer.com	10^3	km	kilometer	10^{18}	Em	exameter
10^{-15}	fm	femtometer	10^{-2}	cm	centimeter		10^6	Mm	megameter	10^{21}	Zm	zettameter
10^{-12}	pm	picometer	10^{-1}	dm	decimeter		10^9	Gm	gigameter	10^{24}	Ym	yottameter

International System Ruler

Area:	cm ²	sq. centimeter	=	100 mm ²	square millimeters	=	10 000 m ² square meters
	ha	hectare	=	1 hm ²	square hectometer		
Volume:	L	liter	=	1 dm ³	cubic decimeter	=	1 000 L liters
	mL	milliliter	=	1 cm ³	cubic centimeter		
	m ³	cubic meter	=	1 000 dm ³	cubic decimeters		
Mass:	kg	kilogram	=	1 000 g	grams	=	1 000 000 μg or mcg micrograms
	g	gram	=	1 000 mg	milligrams		
	t	ton	=	1 Mg	megagram		

Interrelationship:
 One liter of water fills one cubic decimeter and weighs one kilogram.
 So, one thousand liters of water fill one cubic meter and weigh one ton.

Deep Inelastic Scattering (DIS)

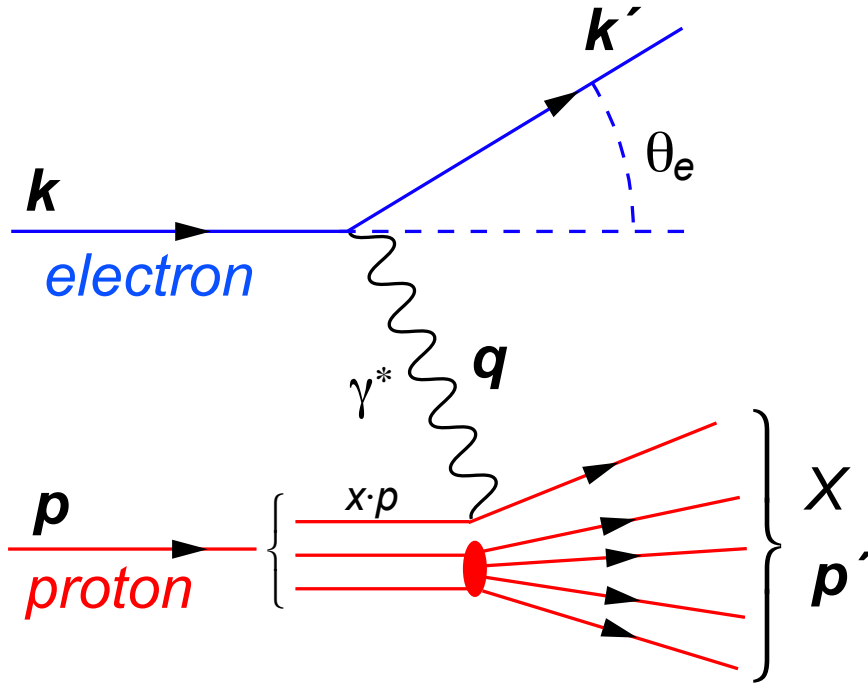


S:

$$s = (k + p)^2 \approx 4E_e E_p$$

- square of center-of-mass energy of electron-hadron system

Deep Inelastic Scattering (DIS)

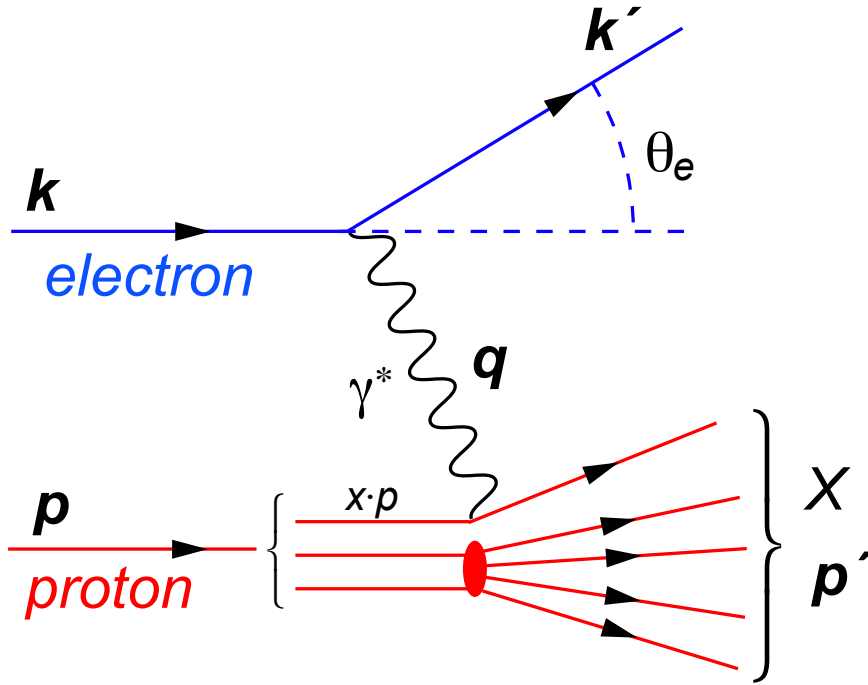


Q^2 :

$$Q^2 = -q^2 = -(k - k')^2$$
$$\approx 4EE' \sin^2 \left(\frac{\theta}{2} \right)$$

- 4-momentum transfer from scattered electron
- invariant mass sq. of γ^*
- “Resolution” power
- Virtuality
 - ▶ real photon $Q = 0$

Deep Inelastic Scattering (DIS)

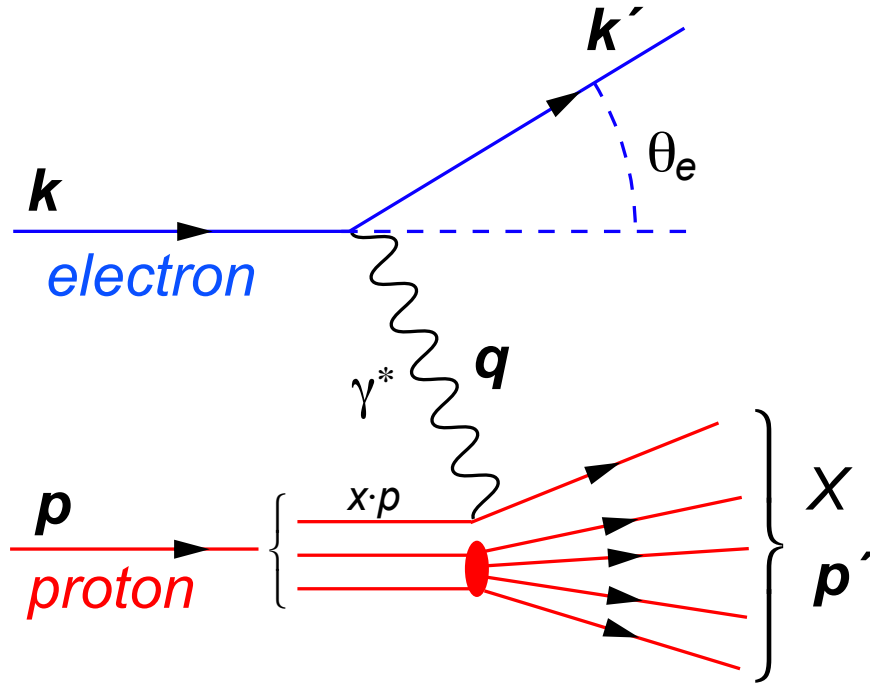


y :

$$y = \frac{pq}{pk} = 1 - \frac{E'_e}{E_e} \cos^2 \left(\frac{\theta'_e}{2} \right)$$

- Inelasticity
- Fraction of electron's energy lost in nucleon restframe
- $0 < y < 1$

Deep Inelastic Scattering (DIS)

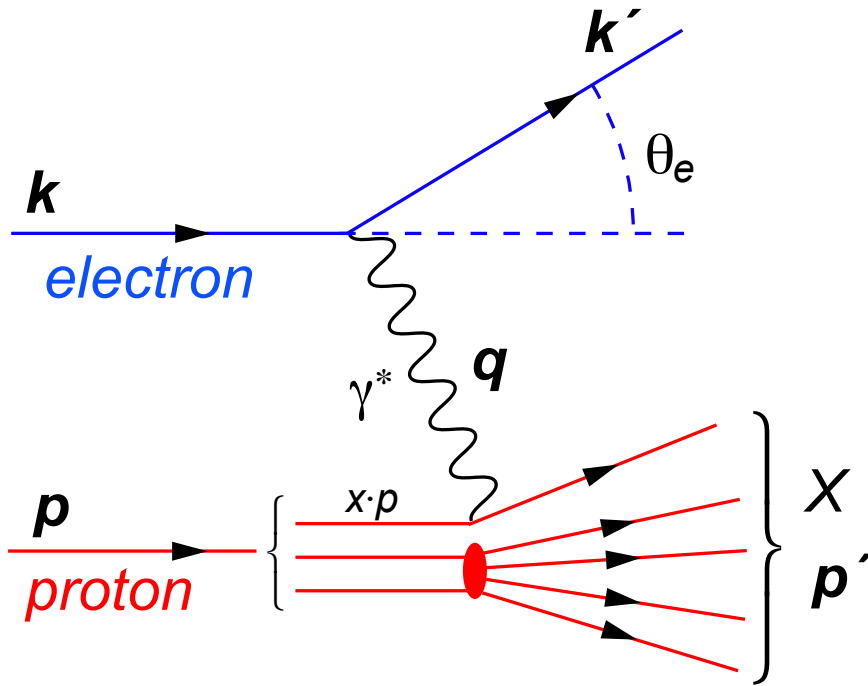


x:

$$x = \frac{Q^2}{2pq}$$

- Bjorken-x
- x is fraction of the nucleon's momentum carried by the struck quark

Deep Inelastic Scattering (DIS)



x : momentum fraction of parton

Q^2 : resolution power

y : inelasticity

s : center-of-mass energy sq.

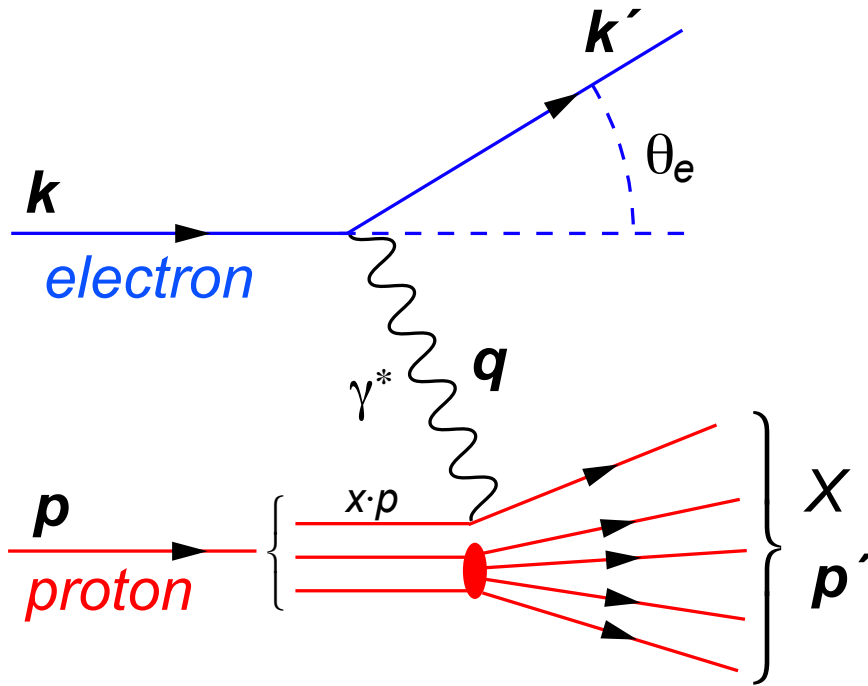
$$Q^2 \approx s \cdot x \cdot y$$

Deep ($Q^2 \gg m_p^2$)

Inelastic ($W^2 \gg m_p^2$)

Scattering = DIS

Deep Inelastic Scattering (DIS)



x : momentum fraction of parton

Q^2 : resolution power

y : inelasticity

s : center-of-mass energy sq.

$$Q^2 \approx s \cdot x \cdot y$$

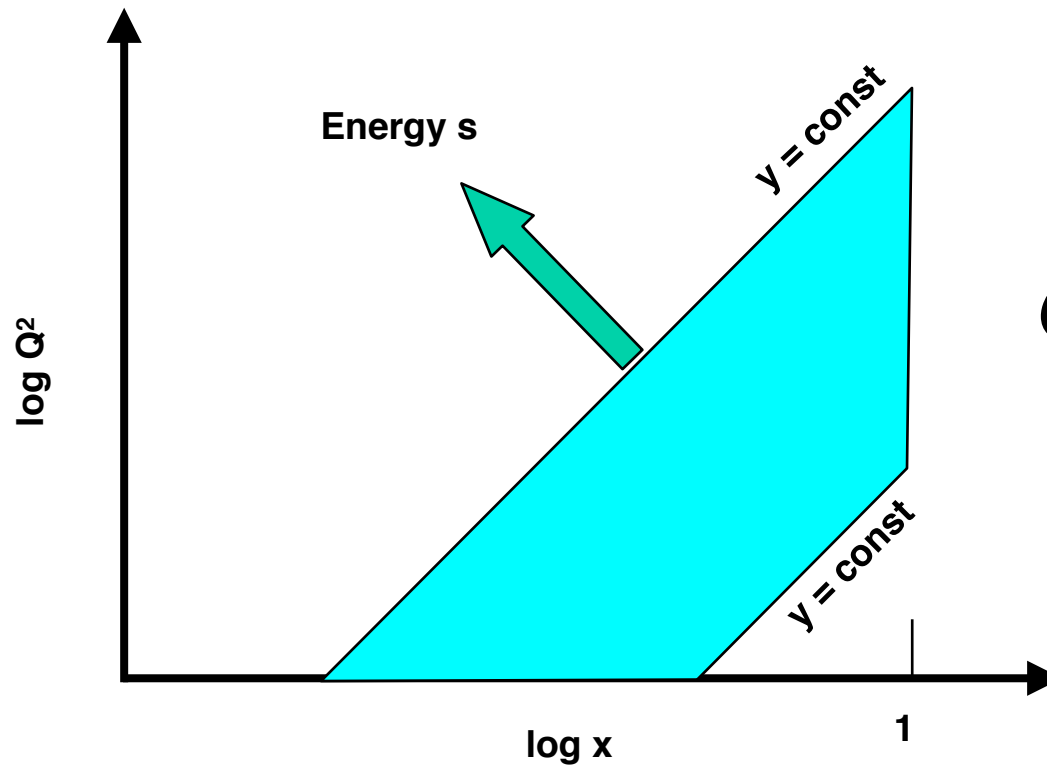
Deep ($Q^2 \gg m_p^2$)

Inelastic ($W^2 \gg m_p^2$)

Scattering = DIS

N.B.: This picture was developed in the “infinite momentum frame” (IMF). That works nicely when one assume massless quarks and gluons (partons). Despite all this it is also used for example for massive charm quarks. Some care has to be taken and x needs to be “adjusted”.

The x-Q² Plane



$$Q^2 \approx s \cdot x \cdot y$$

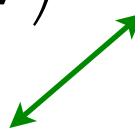
- Low- x reach requires large \sqrt{s}
- Large- Q^2 reach requires large \sqrt{s}
- y at colliders typically limited to $0.95 < y < 0.01$


Structure Functions

Inclusive e+p collisions:

(only scattered electron is measured, rest ignored)

$$\frac{d^2\sigma^{ep \rightarrow eX}}{dx dQ^2} = \frac{4\pi\alpha_{e.m.}^2}{xQ^4} \left[\left(1 - y + \frac{y^2}{2}\right) F_2(x, Q^2) - \frac{y^2}{2} F_L(x, Q^2) \right]$$

**quark+anti-quark
momentum distributions** 

**gluon momentum
distribution** 

F_2 and F_L are key in understanding the structure of hadrons

N.B.: At very high energies a 3rd structure function comes into play: F_3
Ignored here and in the rest

More Practical: Reduced Cross-Section

Inclusive Cross-Section:

$$\frac{d^2\sigma^{eA \rightarrow eX}}{dx dQ^2} = \frac{4\pi\alpha^2}{xQ^4} \left[\left(1 - y + \frac{y^2}{2}\right) F_2(x, Q^2) - \frac{y^2}{2} F_L(x, Q^2) \right]$$

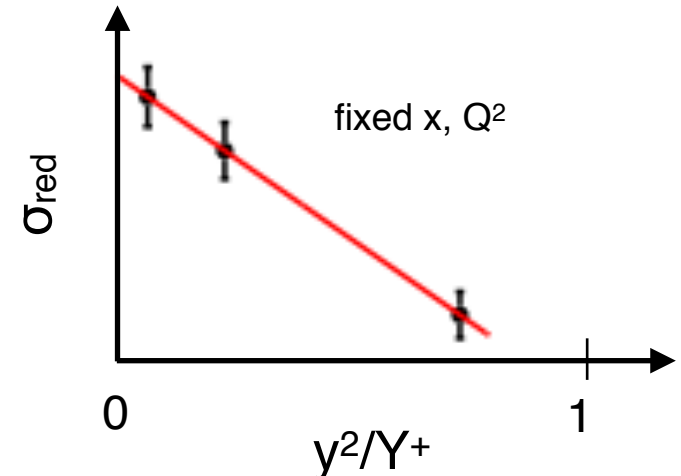
Reduced Cross-Section:

$$\sigma_r = \left(\frac{d^2\sigma}{dx dQ^2} \right) \frac{xQ^4}{2\pi\alpha^2 [1 + (1-y)^2]} = F_2(x, Q^2) - \frac{y^2}{1 + (1-y)^2} F_L(x, Q^2)$$

$$\sigma_r(x, Q^2) = F_2^A(x, Q^2) - \frac{y^2}{Y^+} F_L^A(x, Q^2)$$

Rosenbluth Separation:

- Recall $Q^2 = x y s$
- Measure at different \sqrt{s}
- Plot σ_{red} versus y^2/Y^+ for fixed x, Q^2
- F_2 is σ_{red} at $y^2/Y^+ = 0$
- $F_L = \text{Slope of } y^2/Y^+$

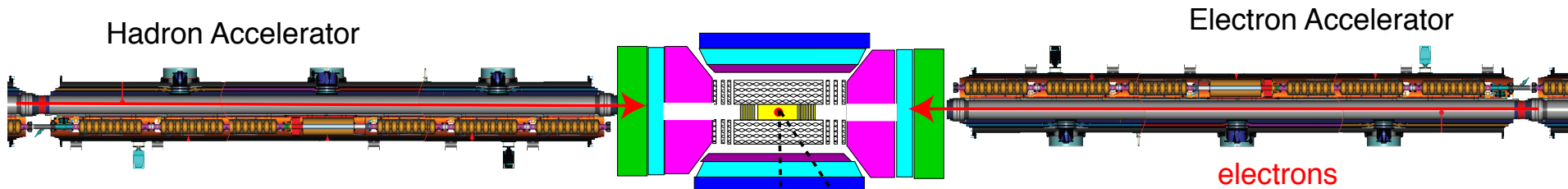


Studying Matter at the Smallest Scales

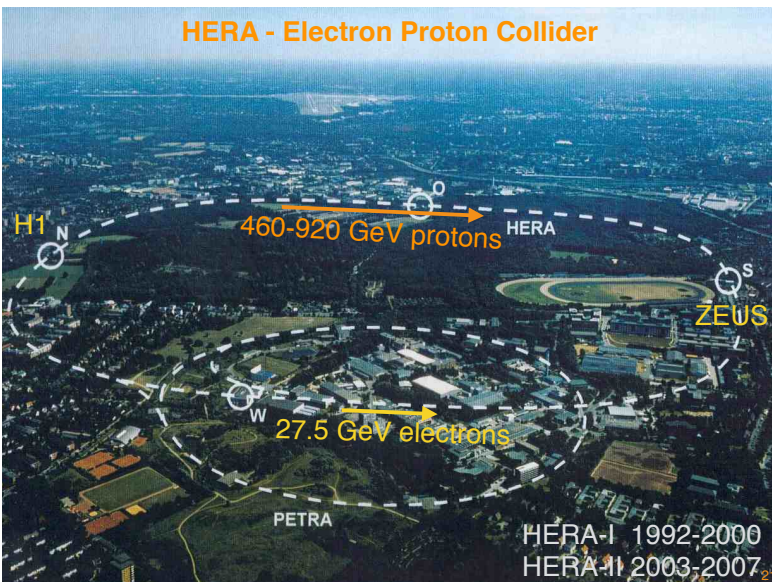
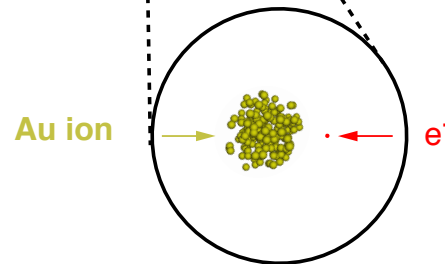
ep/eA Collider Experiments

Wave Length: 0.0001 fm (10 GeV + 100 GeV)

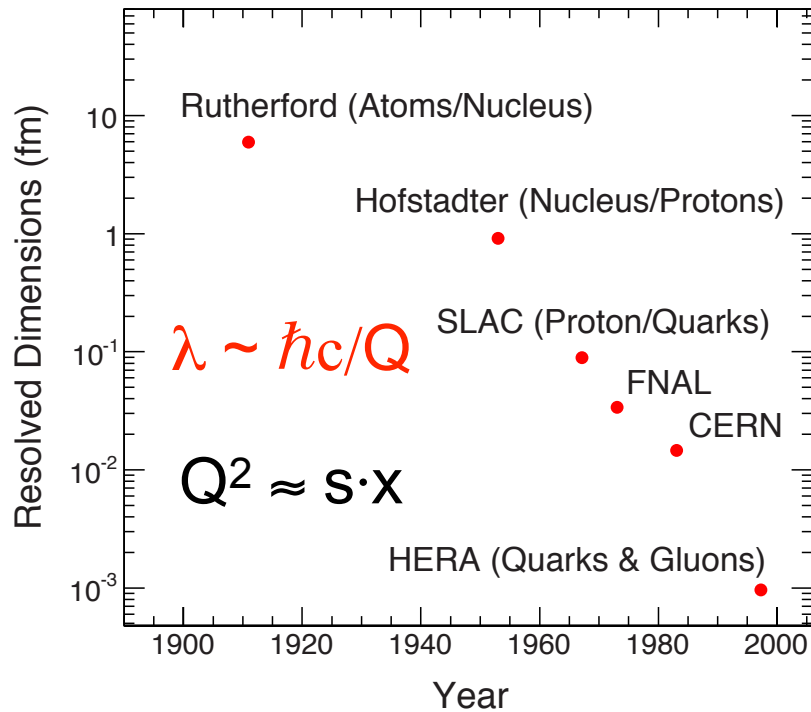
Resolution: ~ 0.01 - 0.001 fm



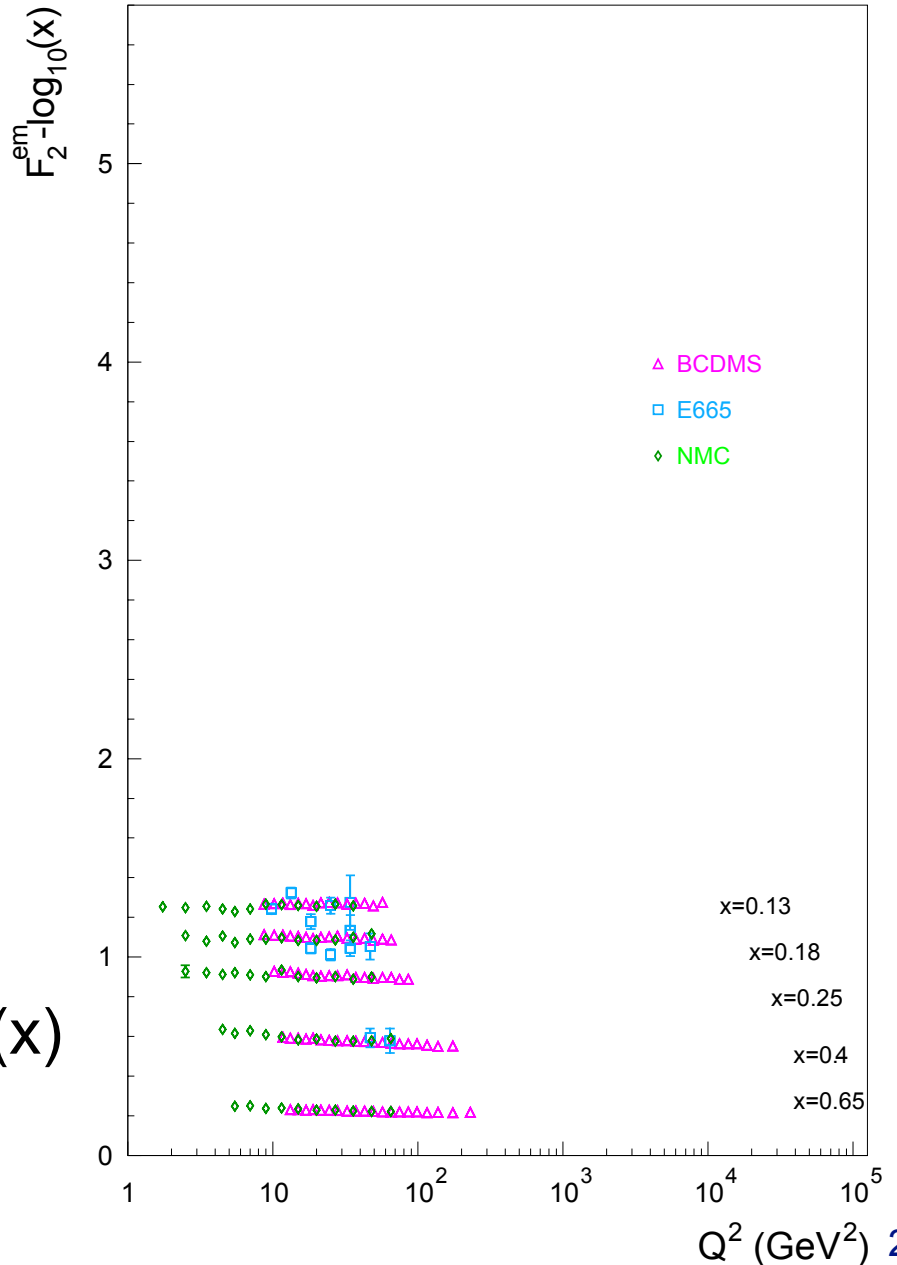
Past: HERA,
Future: EIC, LHeC, ...



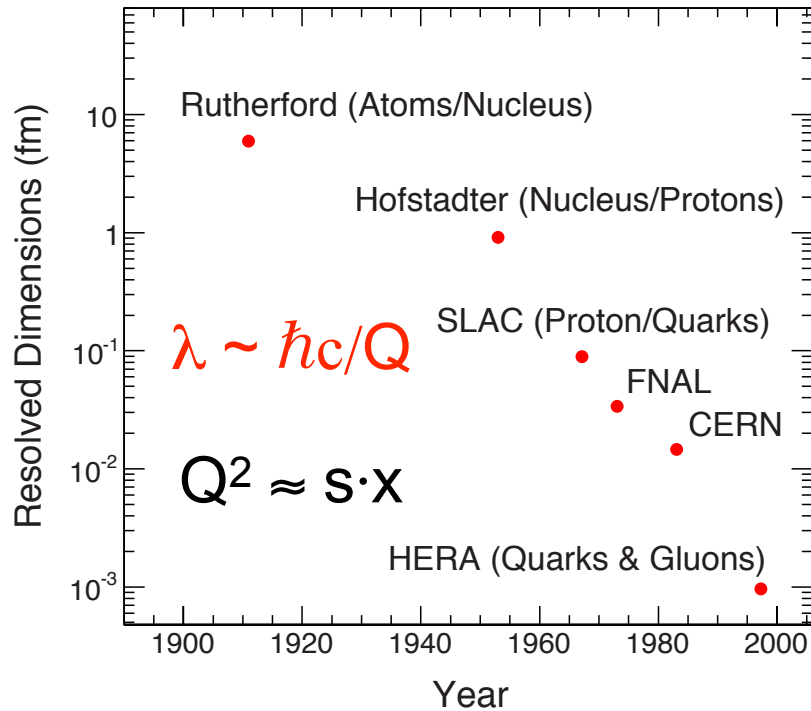
F₂: The Key Structure Function



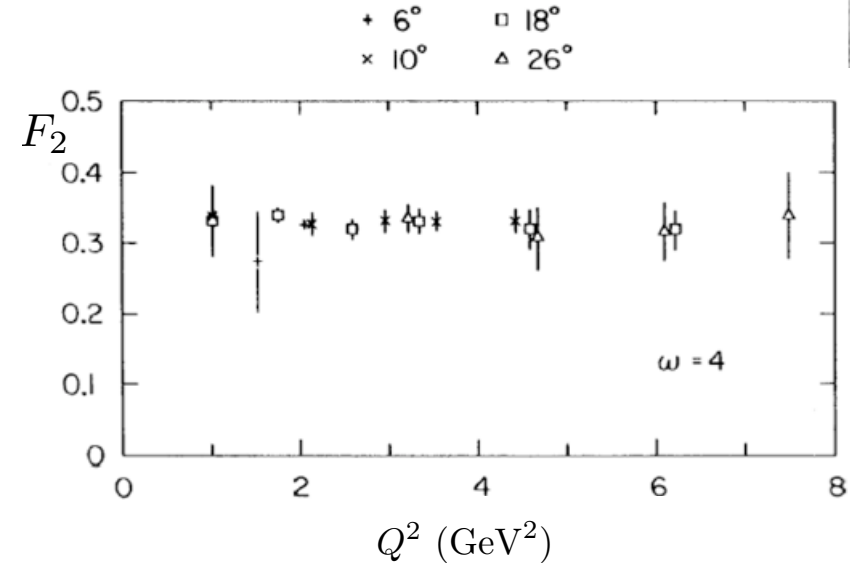
Bjorken Scaling: $F_2(x, Q^2) \rightarrow F_2(x)$
 virtual photon interacts with a
 single essentially **free quark**



F₂: The Key Structure Function



Bjorken scaling:

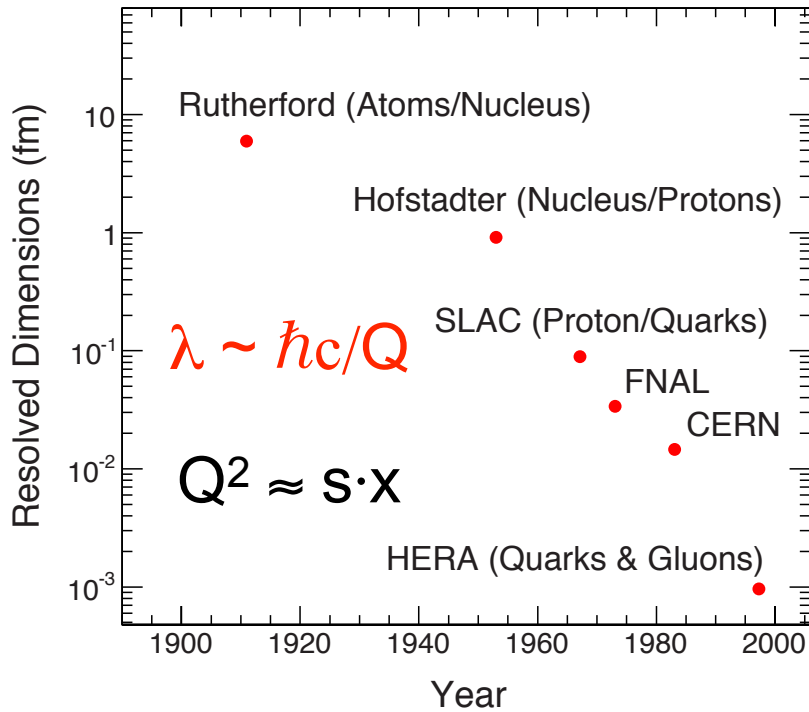


Point-like particles cannot be further resolved.

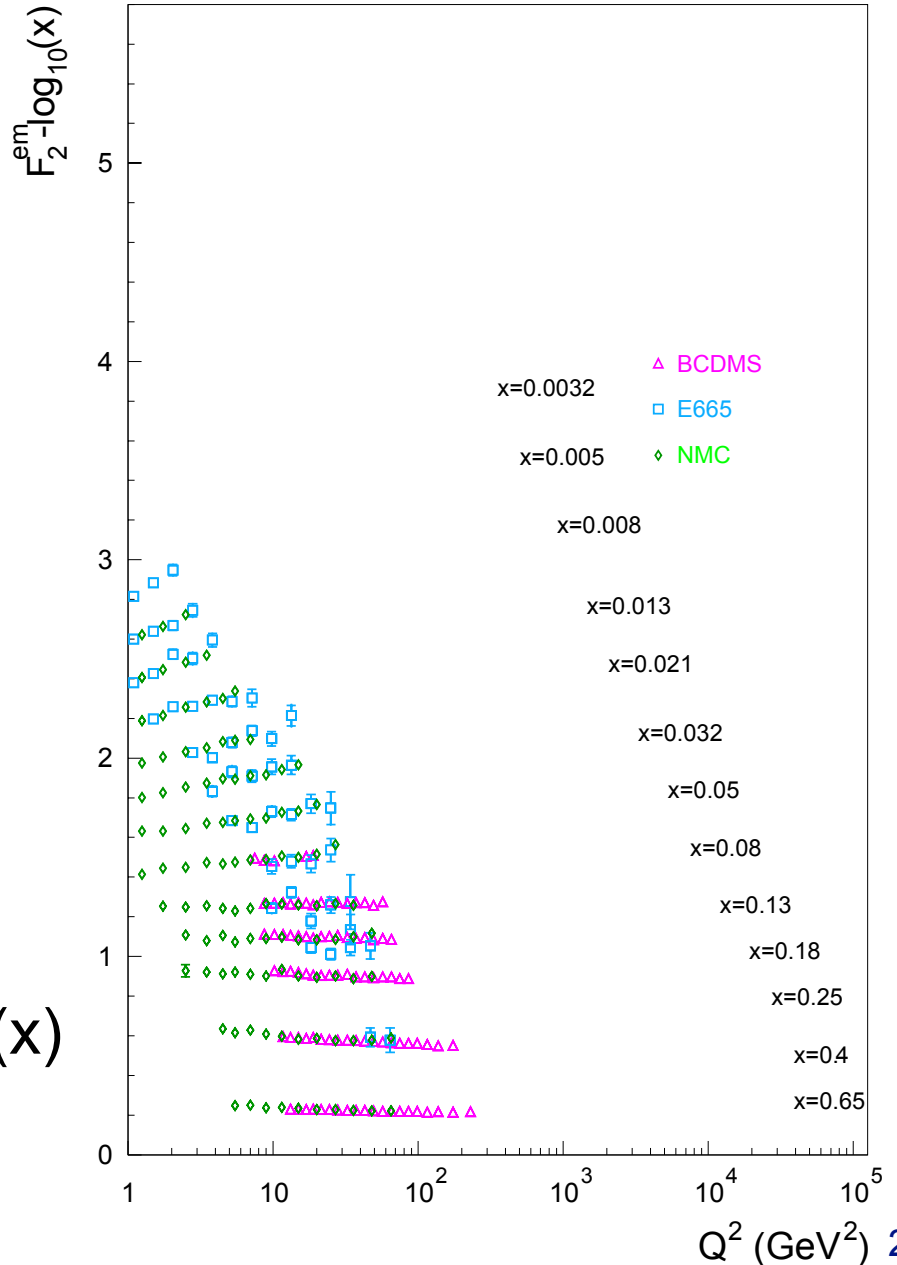
Their measurement does not depend on wavelength, hence Q^2 independence.

Bjorken Scaling: $F_2(x, Q^2) \rightarrow F_2(x)$
virtual photon interacts with a single essentially **free quark**

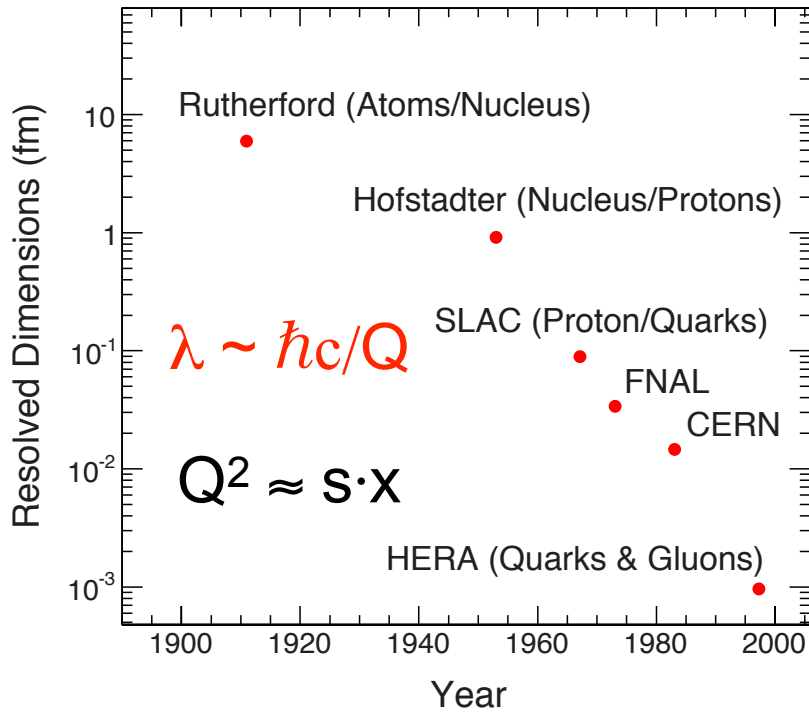
F₂: The Key Structure Function



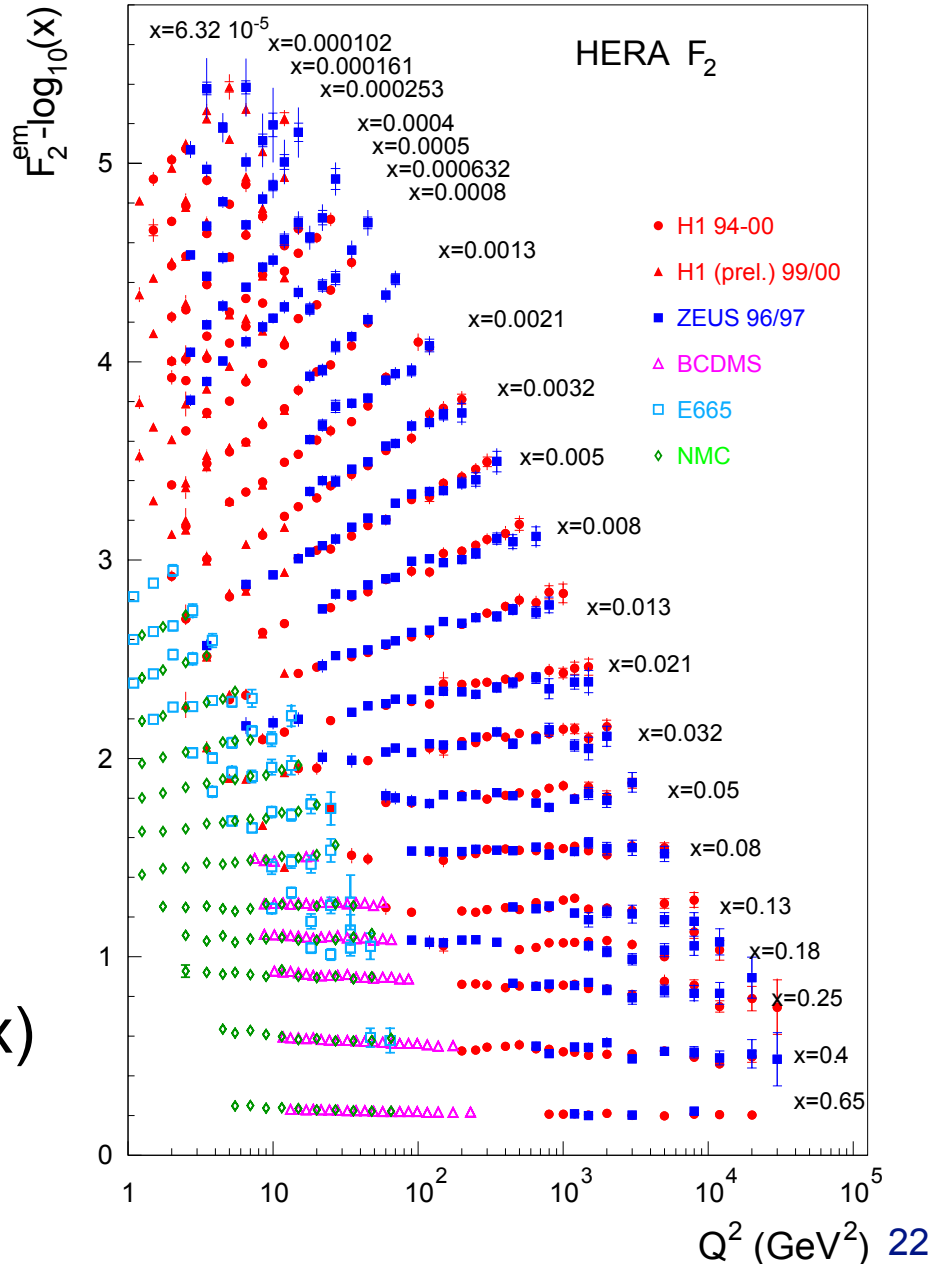
Bjorken Scaling: $F_2(x, Q^2) \rightarrow F_2(x)$
 virtual photon interacts with a
 single essentially **free quark**



F₂: The Key Structure Function



Bjorken Scaling: $F_2(x, Q^2) \neq F_2(x)$
 Broken - Big Time
 It's the **Glue** !!!



Quark and Gluon Distributions

Structure functions allows us to extract the quark $q(x, Q^2)$ and gluon $g(x, Q^2)$ distributions (PDFs).

In LO: **Probability** to find parton with x , Q^2 in proton

PDF: Connecting experiment (e.g. pp) with theory

Jets, Drell-Yan, etc.: $\sigma_o = f_{i \rightarrow a} \otimes \hat{\sigma}_{a \rightarrow o}$

Observable

Parton Distribution Function (PDF)

Theoretical Calculations

Hadron Production: $\sigma_o = f_{i \rightarrow a} \otimes \hat{\sigma}_{a \rightarrow b} \otimes D_{b \rightarrow o}$

Fragmentation Functions

Quark and Gluon Distributions

Structure functions allows us to extract the quark $q(x, Q^2)$ and gluon $g(x, Q^2)$ distributions (PDFs).

In LO: **Probability** to find parton with x , Q^2 in proton

What is Needed:

- Good data
 - ▶ Best: F_2 (ep), jets, Drell-Yan (pp)
 - ▶ Bad: Hadrons
- pQCD Calculation of the processes
 - ▶ LO, NLO, NNLO
- QCD Evolution Equations
 - ▶ DGLAP: Evolution in Q^2 (small to large) at fixed x (integro-differential equations)
 - ▶ BFKL: Evolution in x at fixed Q^2

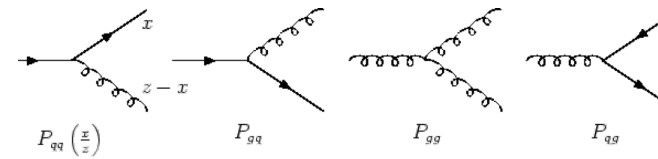
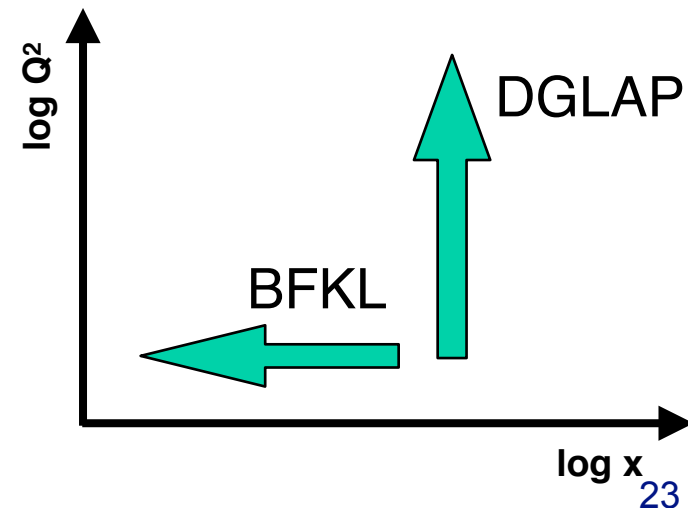
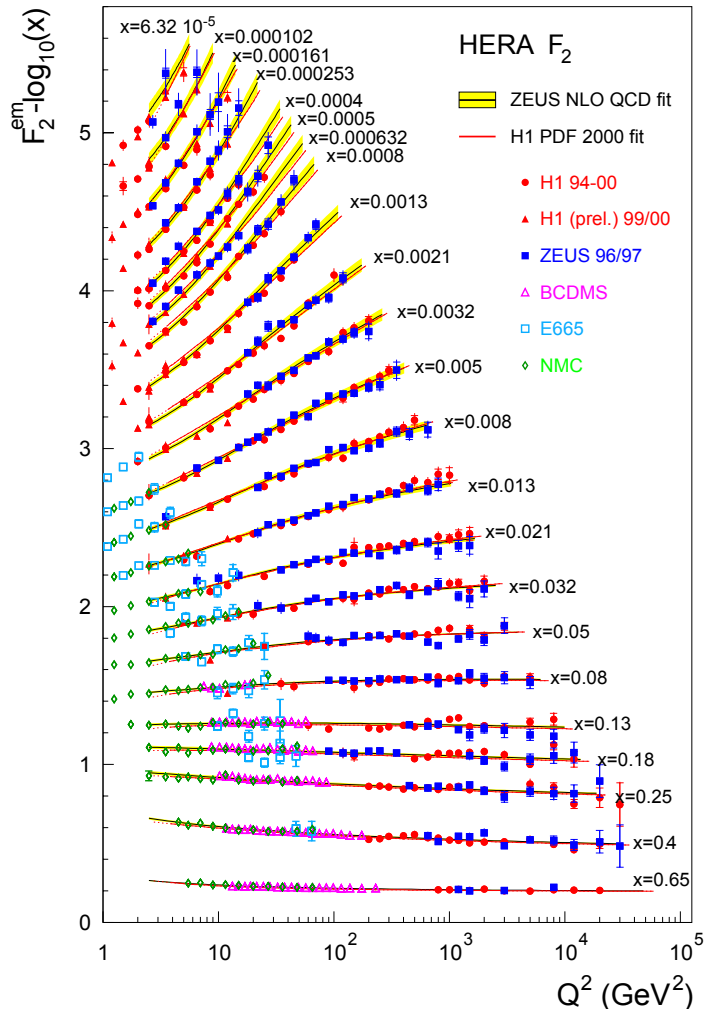


Figure 1.1: The processes related to the lowest order QCD splitting functions. Each splitting function $P_{p'p}(x/z)$ gives the probability that a parton of type p converts into a parton of type p' , carrying fraction x/z of the momentum of parton p



Quark and Gluon Distributions

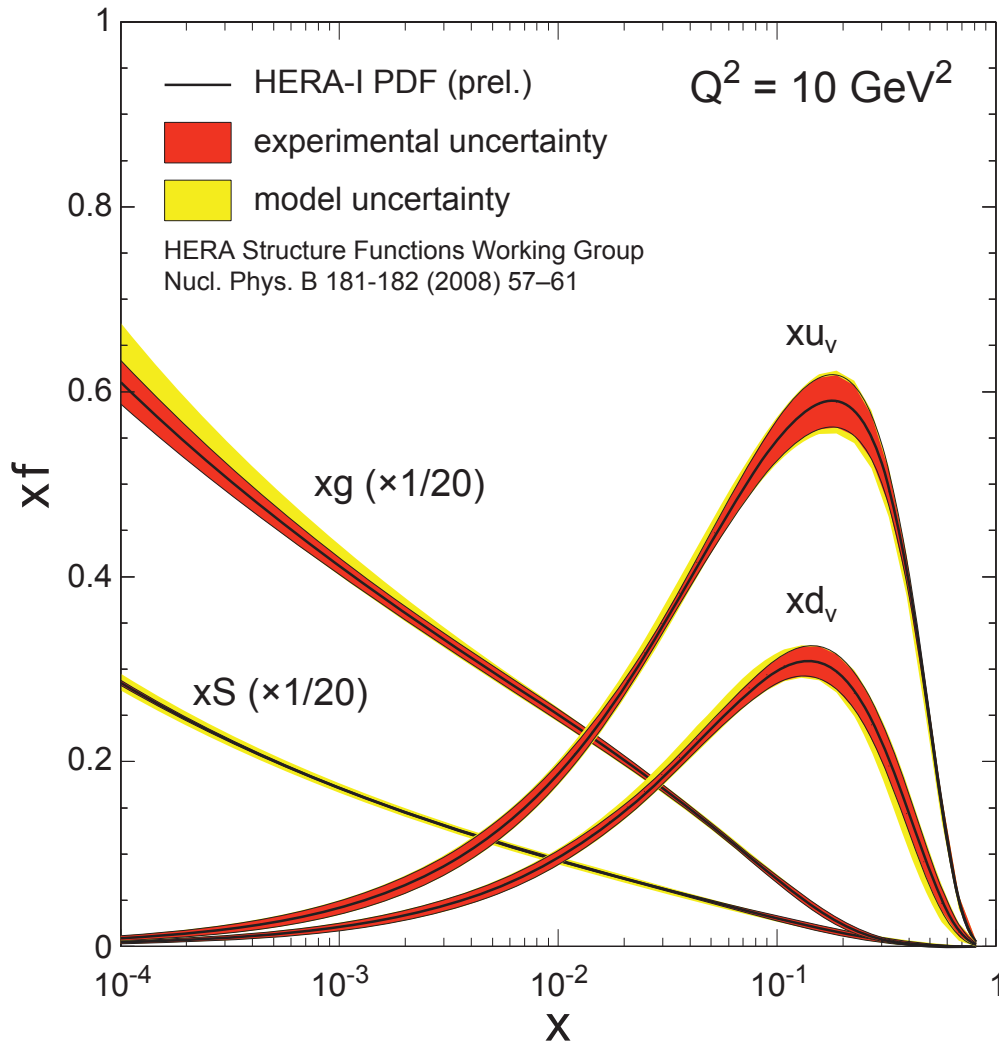
- Quarks: $q_i(x, Q^2)$ from F_2 (or reduced cross-section)
- Gluons: $g(x, Q^2)$ through scaling violation: $dF_2/d\ln Q^2$



$$\Rightarrow \begin{aligned} & \bullet F_2 \\ & \bullet dF_2/d\ln Q^2 \end{aligned} + \begin{aligned} & \text{pQCD+} \\ & \text{DGLAP Evolution} \\ & f(x, Q_1^2) \rightarrow f(x, Q_2^2) \end{aligned}$$

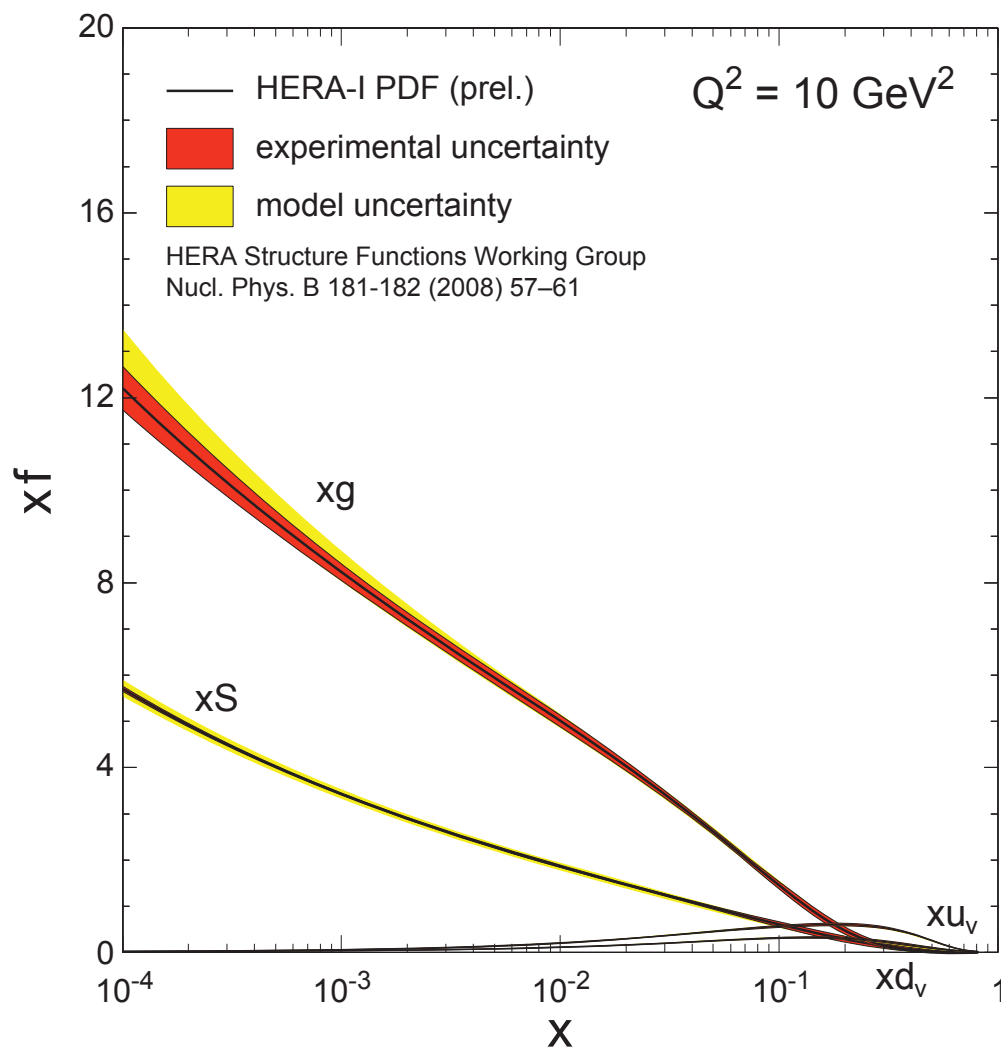
Quark and Gluon Distributions

- Quarks: $q_i(x, Q^2)$ from F_2 (or reduced cross-section)
- Gluons: $g(x, Q^2)$ through scaling violation: $dF^2/d\ln Q^2$



Quark and Gluon Distributions

- Quarks: $q_i(x, Q^2)$ from F_2 (or reduced cross-section)
- Gluons: $g(x, Q^2)$ through scaling violation: $dF^2/d\ln Q^2$



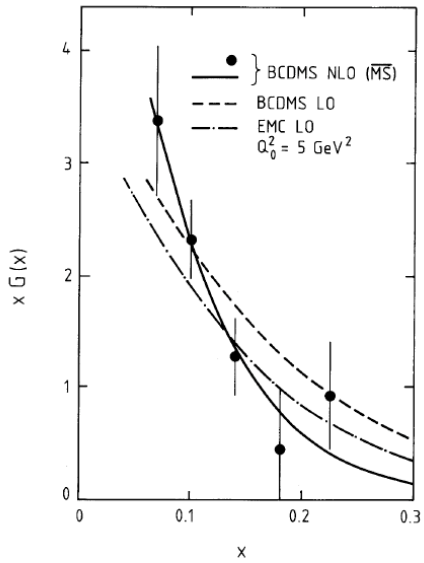
Proton is almost entirely glue for $x < 0.1$

Here goes the naive picture that protons are made of 3 quarks (recall static quark model)

Hera's Impact

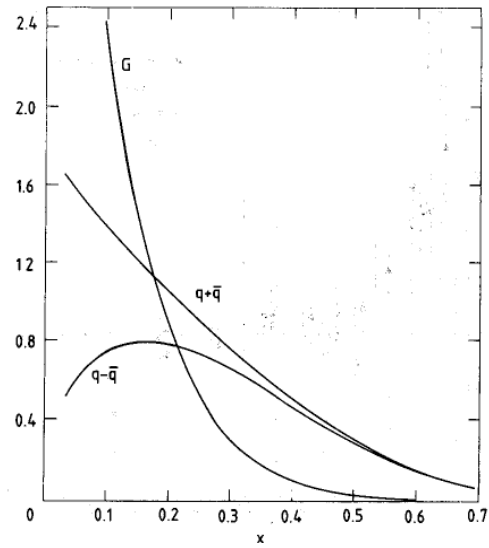
PDFs before HERA - Gluon - $xg(x, Q^2)$

BCDMS

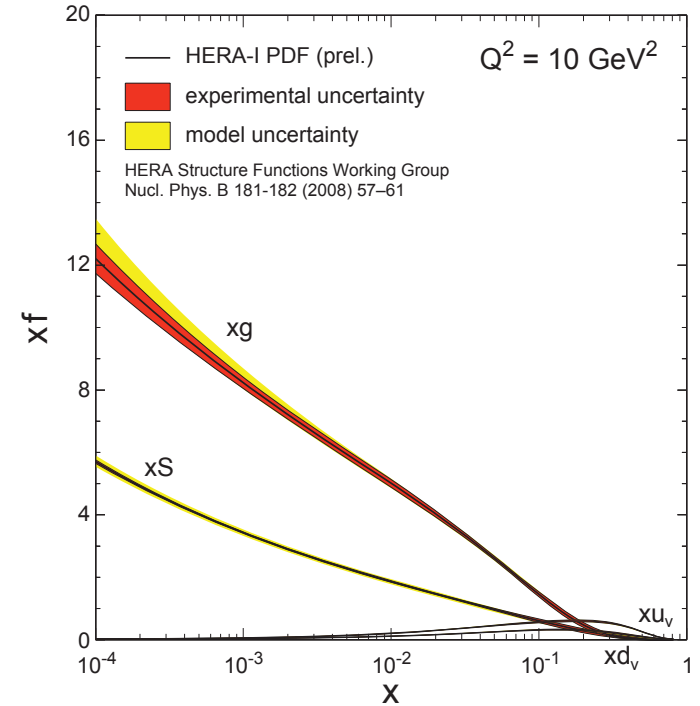


CERN-EP/89-07
January 17th, 1989

CDHS

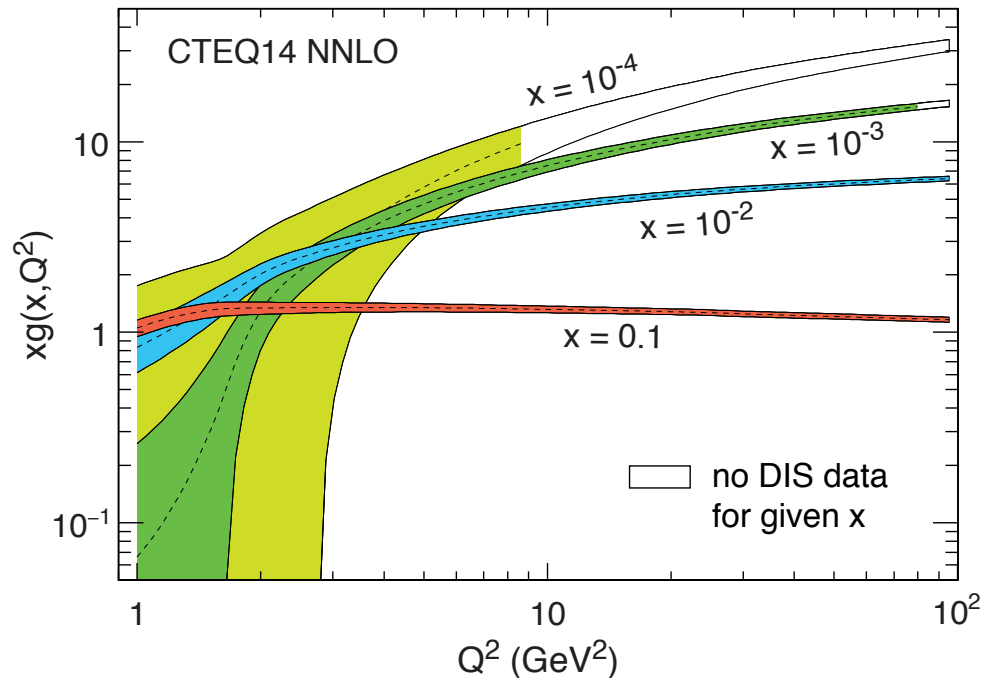


CERN-EP/89-103
15 August 1989



PDFs: Much Progress, Still Shortcomings

CTEQ14: a modern proton PDF



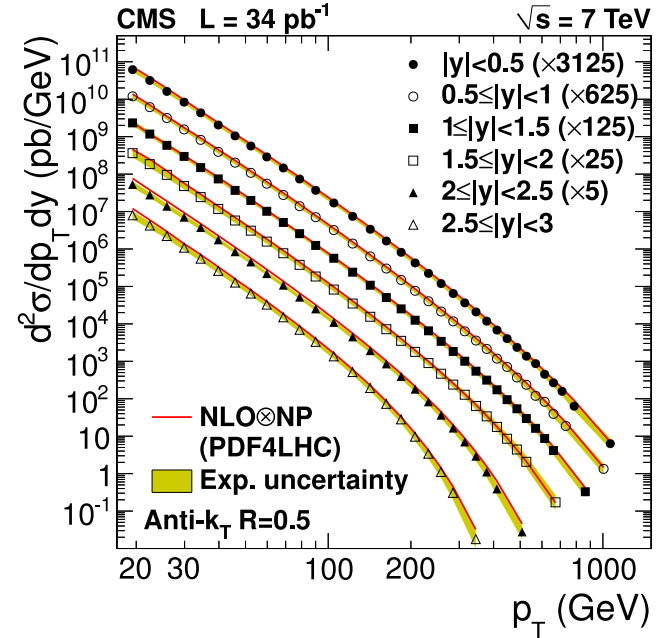
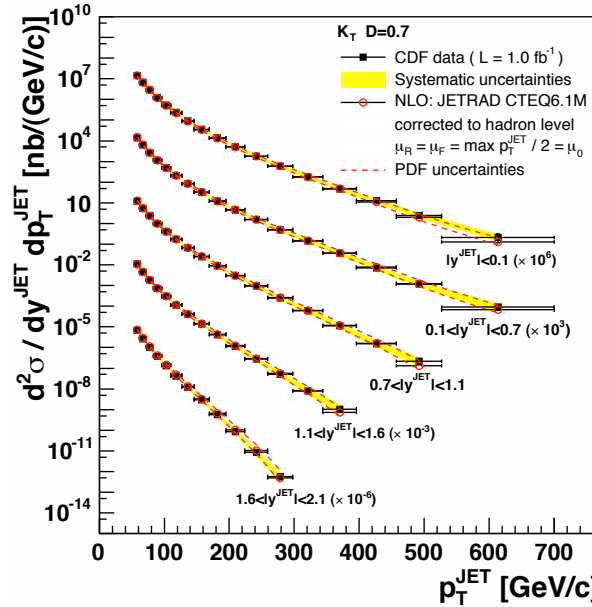
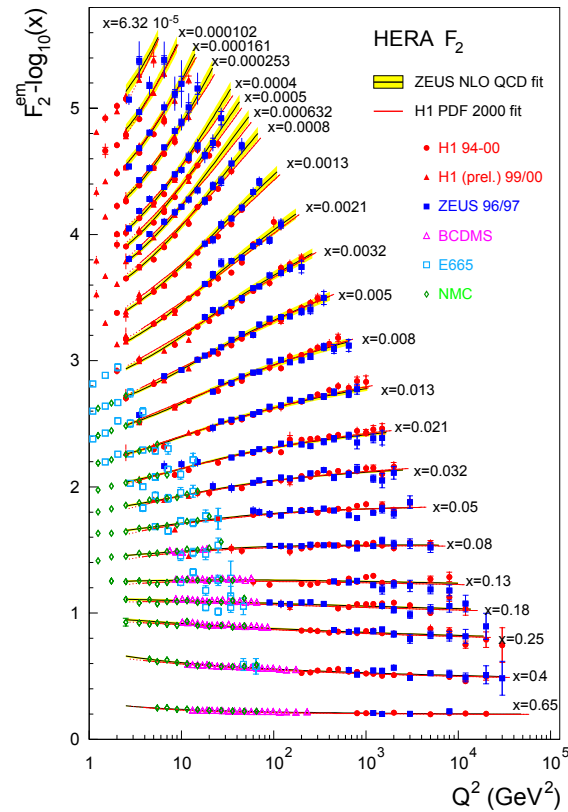
- Large uncertainties at $x=10^{-3}$ and 10^{-4} at the small Q^2 although high quality data exist.
- The precision of low Q^2 data is ineffectual due to the lack of data at the larger Q^2 (Evolution from low to high Q^2)

Uncertainties from PDF dominate many “BSM” searches

Strong Evidence that QCD is the Correct Theory

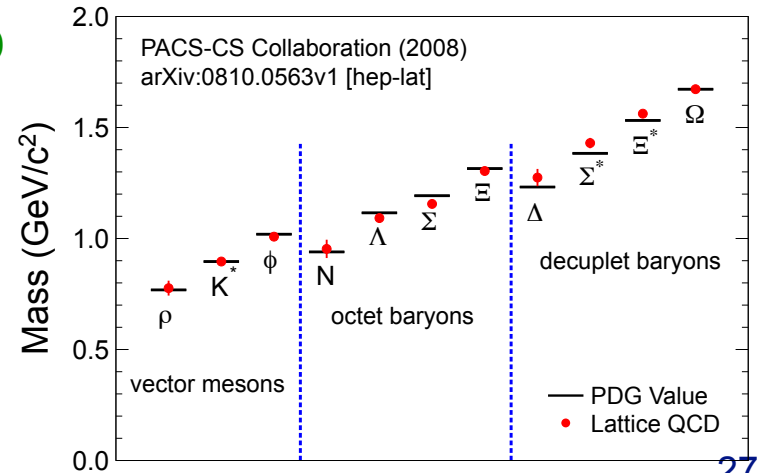
Structure functions measured at HERA ep collider

Jet cross-sections: pp collisions at LHC and $\bar{p}p$ collisions at Fermilab



Lattice QCD

Are we done?



to be continued ...