

# Lecture II : $0\nu\beta\beta$ -Decay

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*U Mass Amherst*



AMHERST CENTER FOR FUNDAMENTAL INTERACTIONS

*Physics at the interface: Energy, Intensity, and Cosmic frontiers*

University of Massachusetts Amherst

<http://www.physics.umass.edu/acfi/>

NNPSS, Wright Laboratory  
Yale 6/18-29/18

## ***Lecture II Goals***

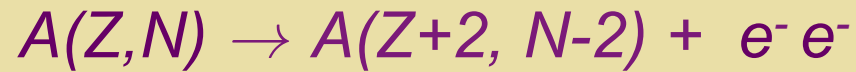
- *Give a theoretical overview of  $0\nu\beta\beta$  decay*
- *Connect  $0\nu\beta\beta$  decay to the origin of matter*
- *Provide a framework for interpreting  $0\nu\beta\beta$  decay results: the mechanisms*
- *Discuss the interplay with other experiments*
- *Invite questions !*

# *Lecture II Outline*

- I. Overview*
- II. “Standard Mechanism” for  $0\nu\beta\beta$ -Decay*
- III. TeV Scale LNV*
- IV. Sub-weak scale LNV*
- V. Discussion questions*

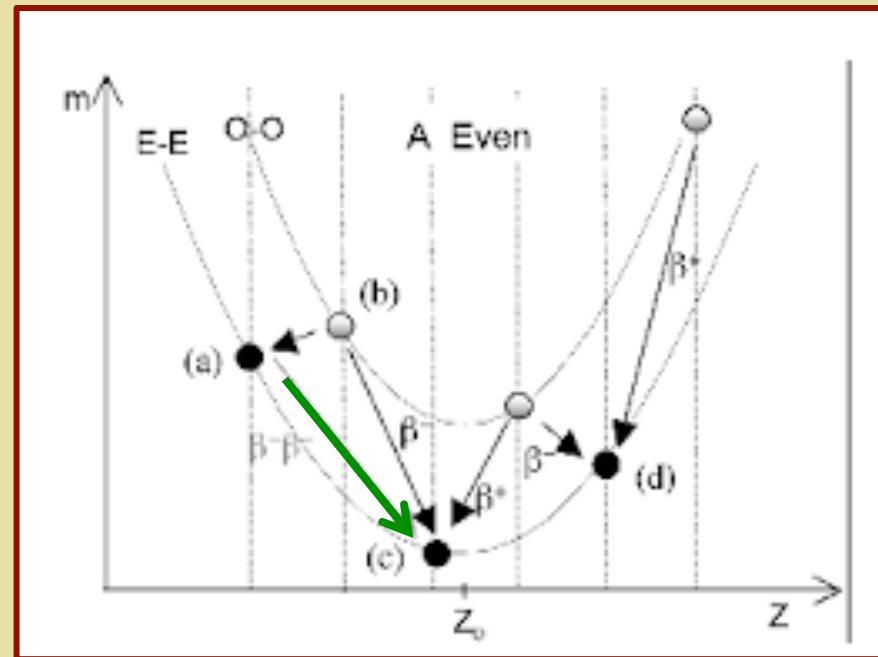
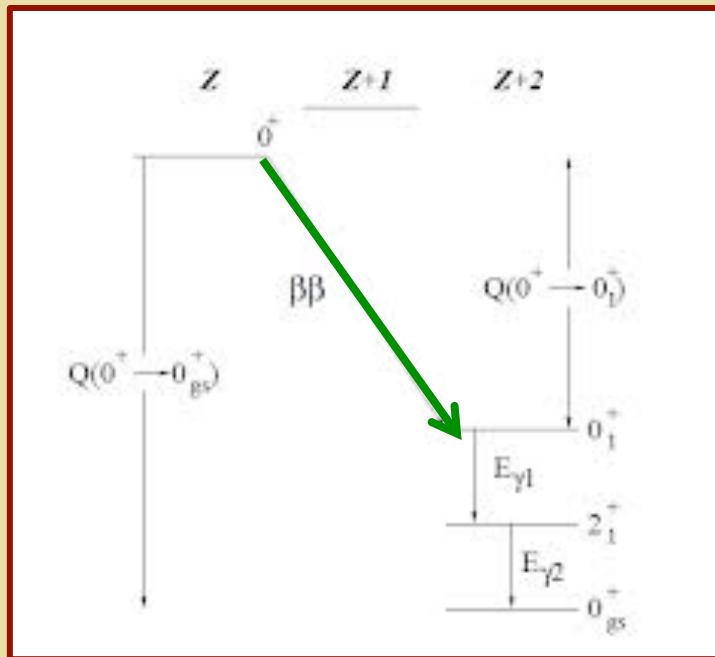
# *I. $0\nu\beta\beta$ -Decay Overview*

## *What is Neutrinoless Double Beta Decay ?*



*Test of total lepton number conservation*

# Why Do Nuclei Double Beta Decay ?



$2\nu$  DBD:



**Observed**

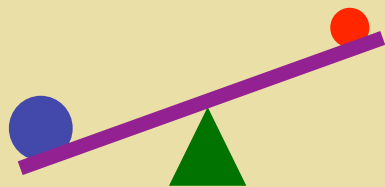
## *What Questions Does It Address ?*

- *Is the neutrino its own antiparticle ?*
- *Why is there more matter than antimatter ?*
- *Why are neutrino masses so small?*

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“See saw mechanism”



“Leptogenesis”

$$\nu = \bar{\nu}$$

Heavy neutrino decays in early universe generate baryon asym

New heavy neutrino-like particle =  
its own anti-particle



# Neutrinos and the Origin of Matter

- *Heavy neutrinos decay out of equilibrium in early universe*
- *Majorana neutrinos can decay to particles and antiparticles*
- *Rates can be slightly different (CP violation)*

$$\Gamma(N \rightarrow \ell H) \neq \Gamma(N \rightarrow \bar{\ell} H^*)$$

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## *$0\nu\beta\beta$ -Decay: LNV? Mass Term?*

$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

*Dirac*

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

## *What Questions Does It Address ?*

- Is the neutrino its own antiparticle ?*

$$2\nu \text{ DBD:} \quad A(Z,N) \rightarrow A(Z+2, N-2) + e^- e^- \bar{\nu} \bar{\nu}$$

$$0\nu \text{ DBD:} \quad A(Z,N) \rightarrow A(Z+2, N-2) + e^- e^-$$

## What Questions Does It Address ?

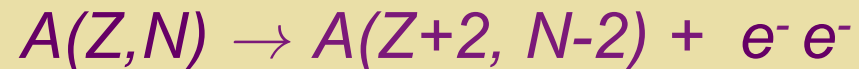
- *Is the neutrino its own antiparticle ?*

$2\nu$  DBD:



*If own antiparticle, can be emitted then absorbed during decay*

$0\nu$  DBD:



## *What Questions Does It Address ?*

- *Is the neutrino its own antiparticle ?*

*Yes → “Majorana neutrino”:*

*Theoretically favored explanation of the matter-antimatter asymmetry & small scale of neutrino masses*

*No → “Dirac neutrino”:*

*Points to alternate origin of matter-antimatter asymmetry & some string theory underpinnings of neutrino masses*

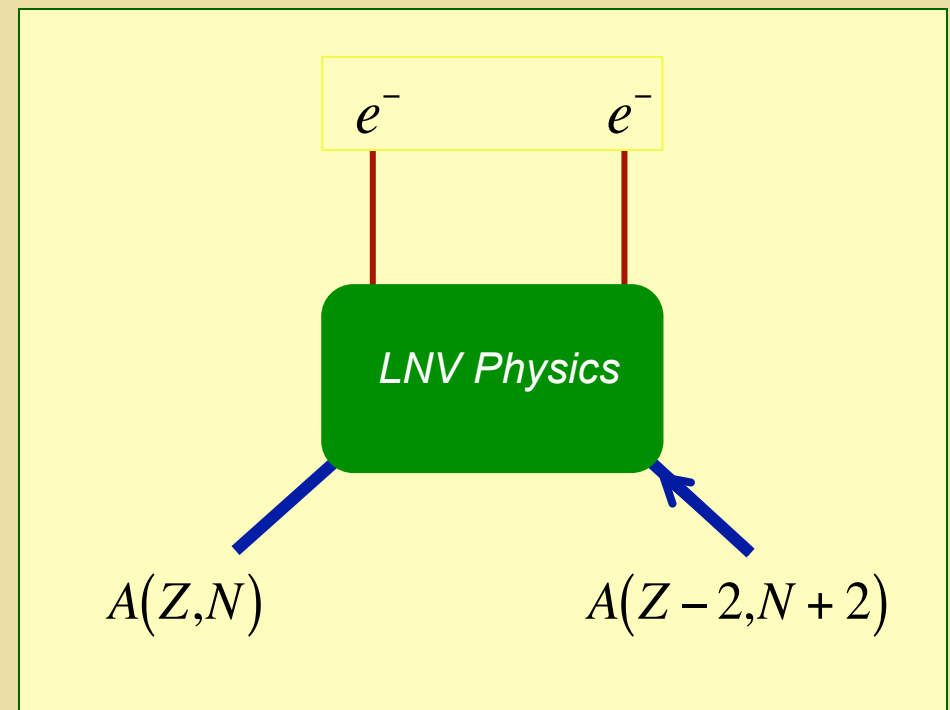
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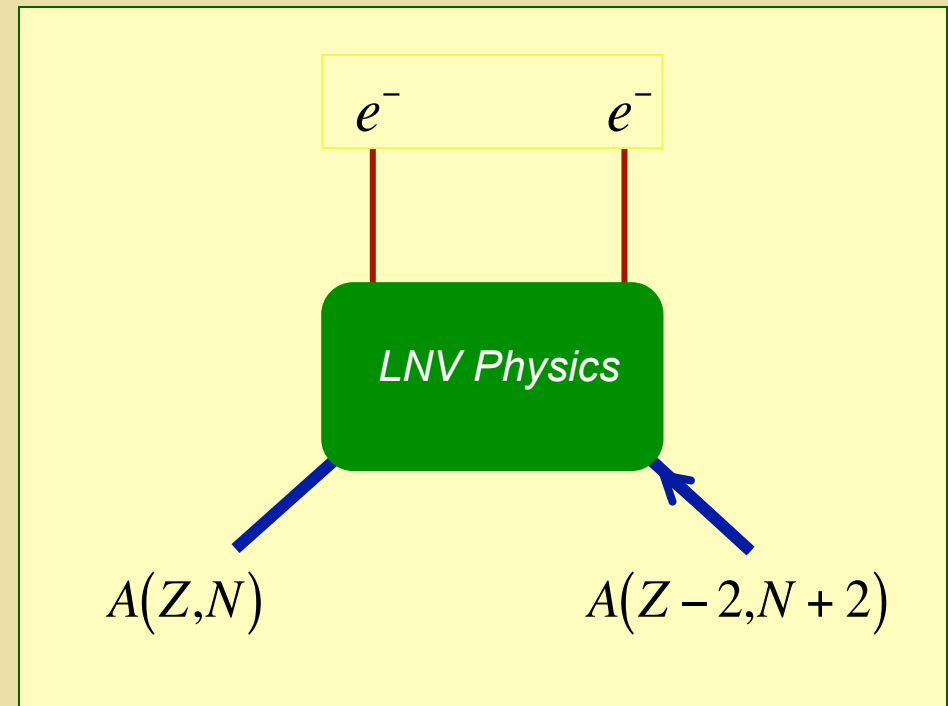
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## Impact of observation

- *Total lepton number not conserved at classical level*
- *New mass scale in nature,  $\Lambda$*
- *Key ingredient for standard baryogenesis via leptogenesis*

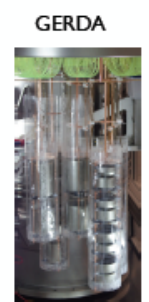




# Ton Scale Experiments: Worldwide Quest

## $0\nu\beta\beta$ decay Experiments - Efforts Underway

Collaboration	Isotope	Technique	mass ( $0\nu\beta\beta$ isotope)	Status
CANDLES	Ca-48	305 kg CaF <sub>2</sub> crystals - liq. scint	0.3 kg	Construction
CARVEL	Ca-48	<sup>48</sup> CaWO <sub>4</sub> crystal scint.	~ ton	R&D
GERDA I	Ge-76	Ge diodes in LAr	15 kg	Complete
GERDA II	Ge-76	Point contact Ge in LAr	31	Operating
MAJORANA DEMONSTRATOR	Ge-76	Point contact Ge	25 kg	Operating
LEGEND	Ge-76	Point contact	~ ton	R&D
NEMO3	Mo-100 Se-82	Foils with tracking	6.9 kg 0.9 kg	Complete
SuperNEMO Demonstrator	Se-82	Foils with tracking	7 kg	Construction
SuperNEMO	Se-82	Foils with tracking	100 kg	R&D
LUCIFER (CUPID)	Se-82	ZnSe scint. bolometer	18 kg	R&D
AMoRE	Mo-100	CaMoO <sub>4</sub> scint. bolometer	1.5 - 200 kg	R&D
LUMINEU (CUPID)	Mo-100	ZnMoO <sub>4</sub> / Li <sub>2</sub> MoO <sub>4</sub> scint. bolometer	1.5 - 5 kg	R&D
COBRA	Cd-114,116	CdZnTe detectors	10 kg	R&D
CUORICINO, CUORE-0	Te-130	TeO <sub>2</sub> Bolometer	10 kg, 11 kg	Complete
CUORE	Te-130	TeO <sub>2</sub> Bolometer	206 kg	Operating
CUPID	Te-130	TeO <sub>2</sub> Bolometer & scint.	~ ton	R&D
SNO+	Te-130	0.3% <sup>130</sup> Te suspended in Scint	160 kg	Construction
EXO200	Xe-136	Xe liquid TPC	79 kg	Operating
nEXO	Xe-136	Xe liquid TPC	~ ton	R&D
KamLAND-Zen (I, II)	Xe-136	2.7% in liquid scint.	380 kg	Complete
KamLAND2-Zen	Xe-136	2.7% in liquid scint.	750 kg	Upgrade
NEXT-NEW	Xe-136	High pressure Xe TPC	5 kg	Operating
NEXT	Xe-136	High pressure Xe TPC	100 kg - ton	R&D
PandaX - 1k	Xe-136	High pressure Xe TPC	~ ton	R&D
DCBA	Nd-150	Nd foils & tracking chambers	20 kg	R&D



# ***The U.S. Context***

## ***2015 NSAC Long Range Plan***

### **RECOMMENDATION II**

*The excess of matter over antimatter in the universe is one of the most compelling mysteries in all of science. The observation of neutrinoless double beta decay in nuclei would immediately demonstrate that neutrinos are their own antiparticles and would have profound implications for our understanding of the matter-antimatter mystery.*

**We recommend the timely development and deployment of a U.S.-led ton-scale neutrinoless double beta decay experiment.**

## *Why Is It So Challenging to Observe ?*

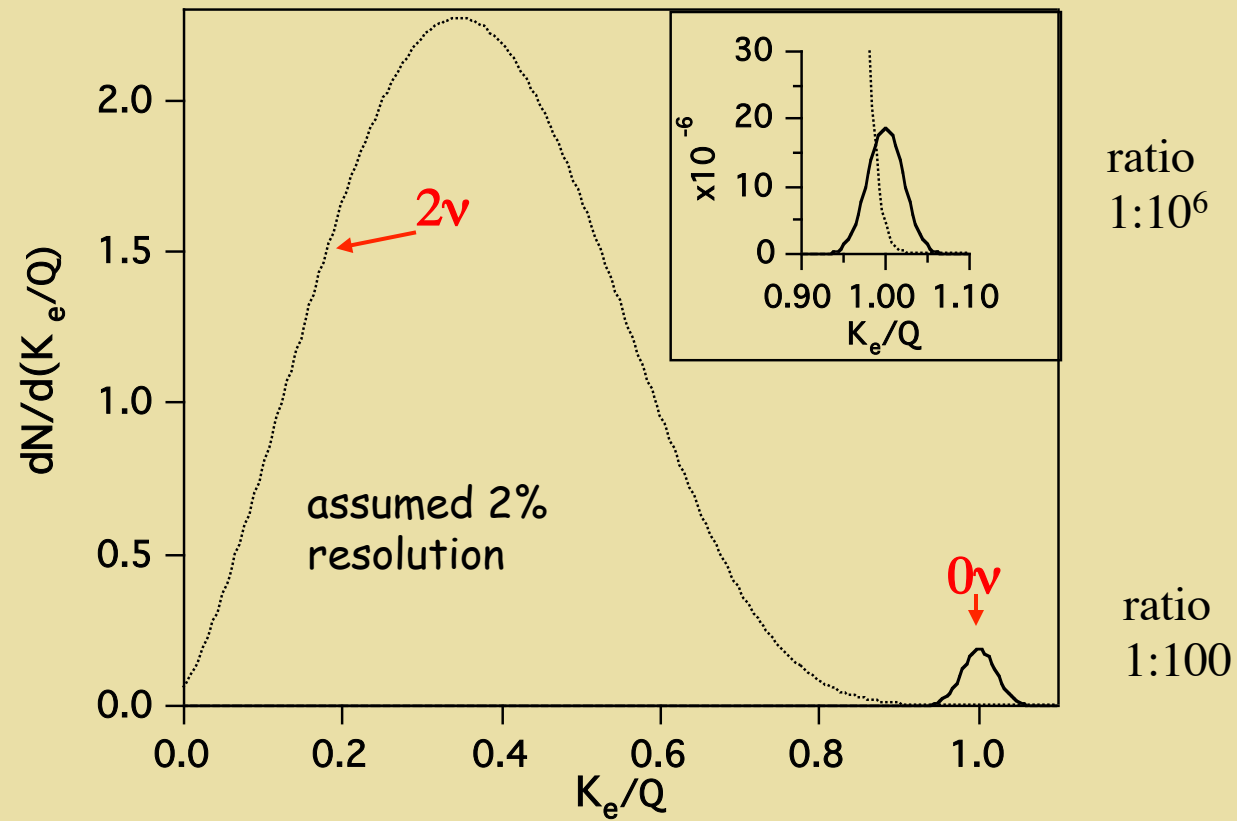
- *The rate is exceptionally tiny*

$$\Gamma \sim (m_{\text{eff}})^2$$

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*Experimental details: See  
D. Parno second lecture !*

# $0\nu\beta\beta$ -Decay: LNV? Mass Term?

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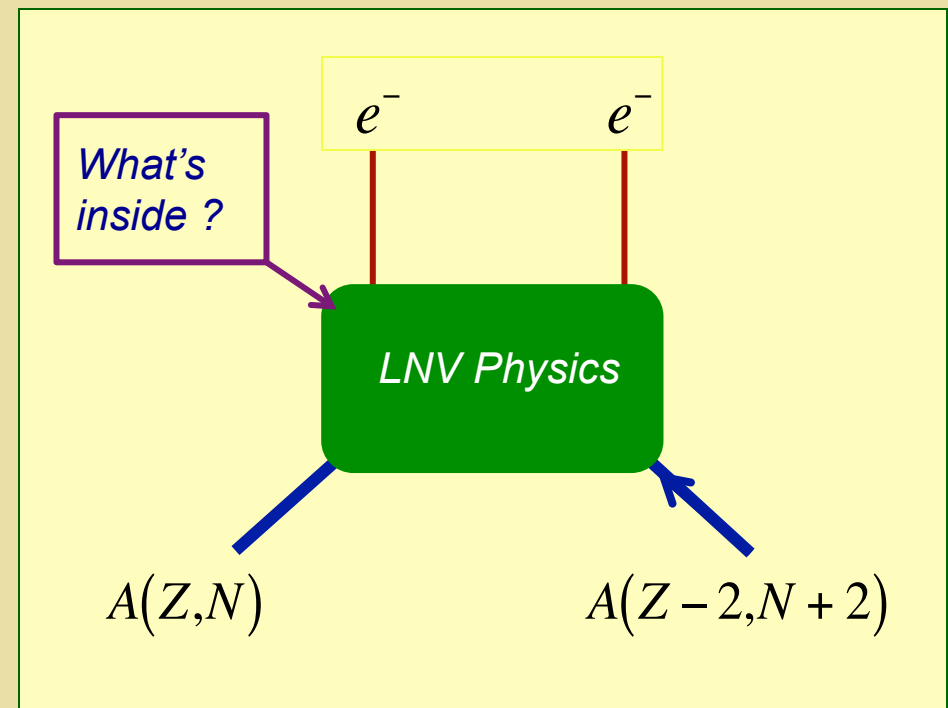
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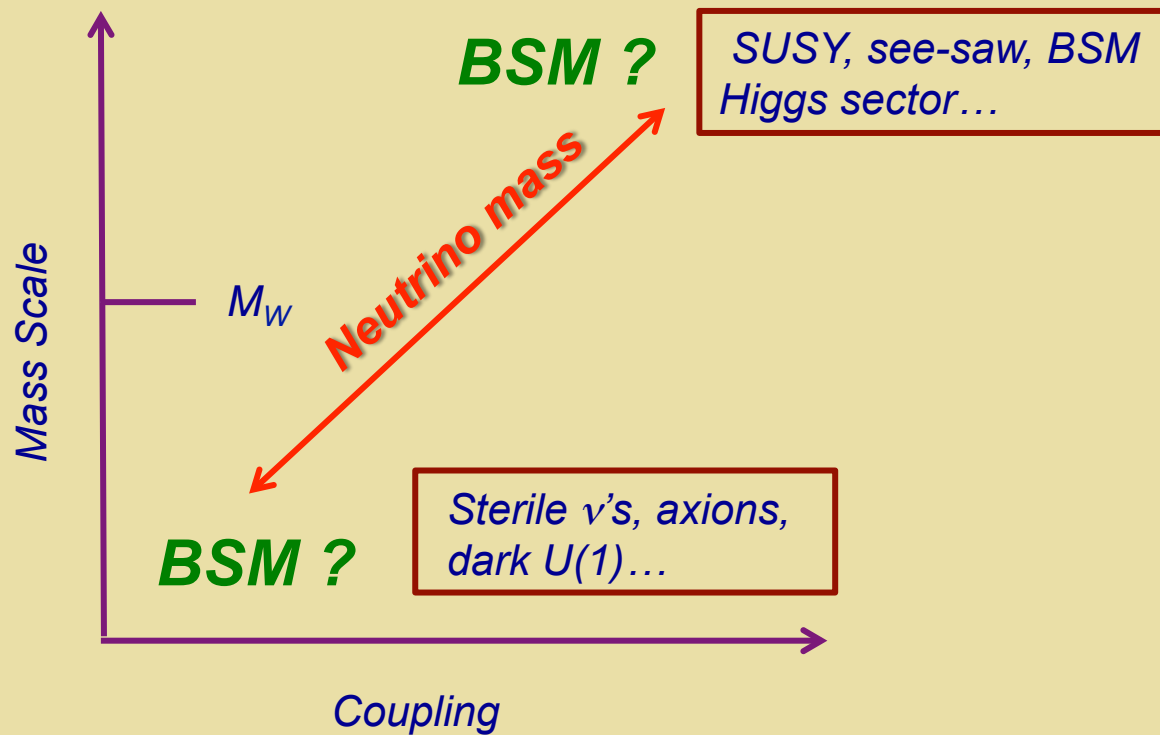
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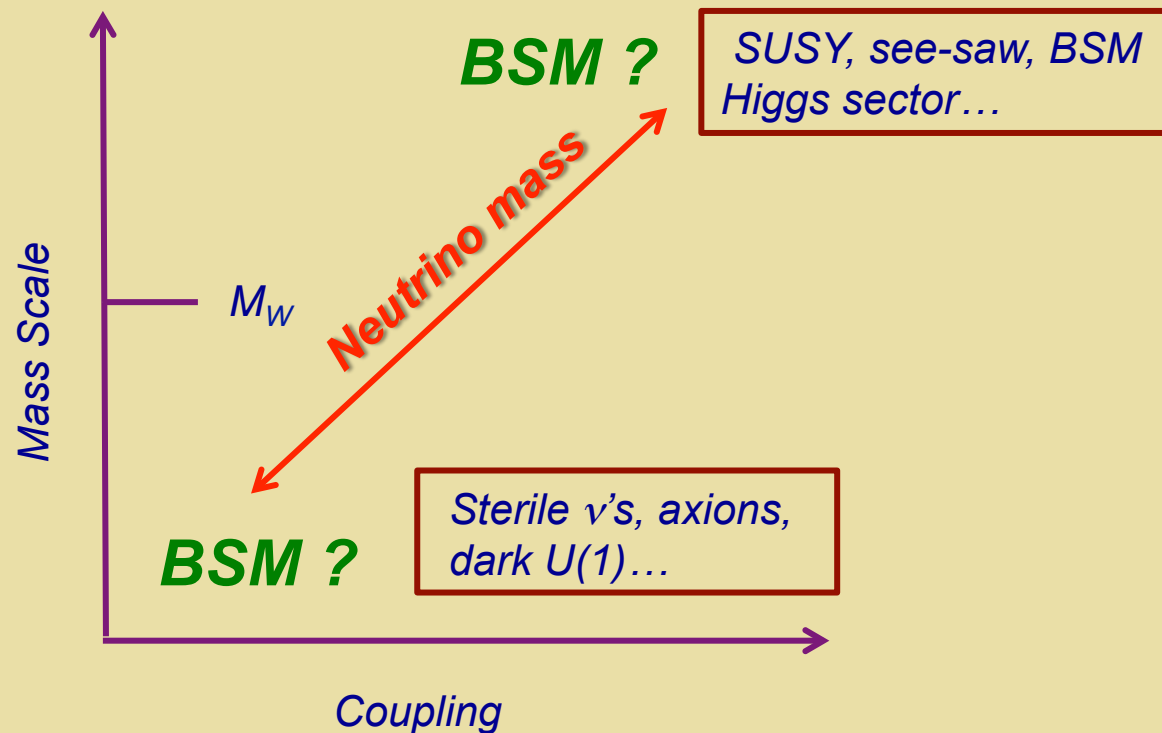


# BSM Physics: Where Does it Live ?



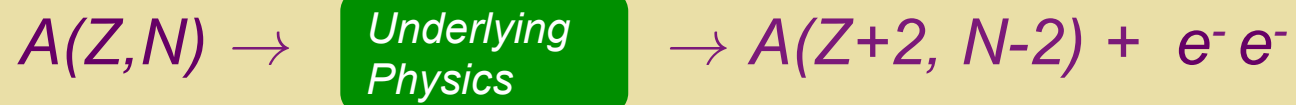


# BSM Physics: Where Does it Live ?



**Is the mass scale associated with  $m_\nu$  far above  $M_W$  ? Near  $M_W$  ? Well below  $M_W$  ?**

# Why Might A “Ton-Scale” Exp’t See It?



- *3 light neutrinos only: source of neutrino mass at the very high see-saw scale*
- *3 light neutrinos with TeV scale source of neutrino mass*
- *> 3 light neutrinos*

## *II. The “Standard Mechanism”*

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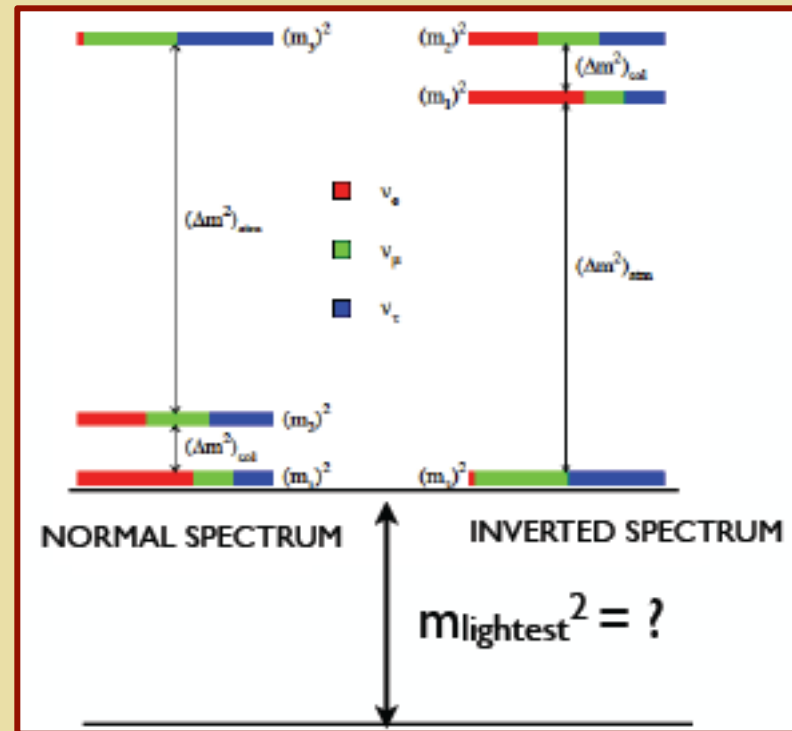
# Three Light Neutrinos: What Do We Know ?

Neutrinos mix

$$\begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \end{pmatrix} = U \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

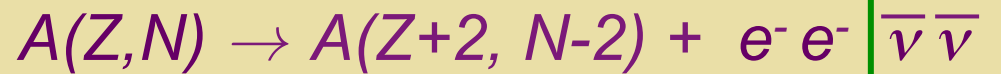
Physical neutrinos

$e, \mu, \tau$   
partners



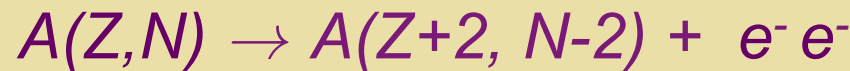
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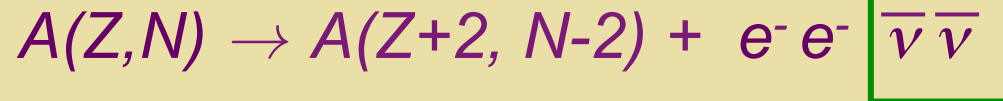
$0\nu$  DBD:



All three light neutrinos participate  $\rightarrow$   
Rate governed by an **effective mass**

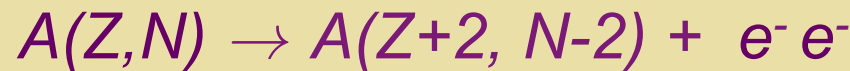
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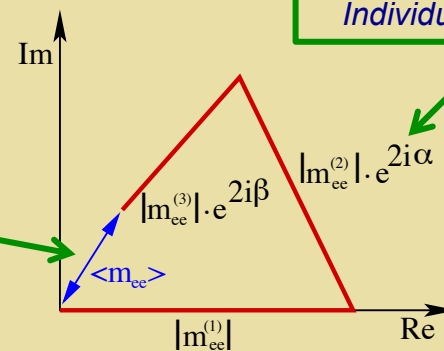


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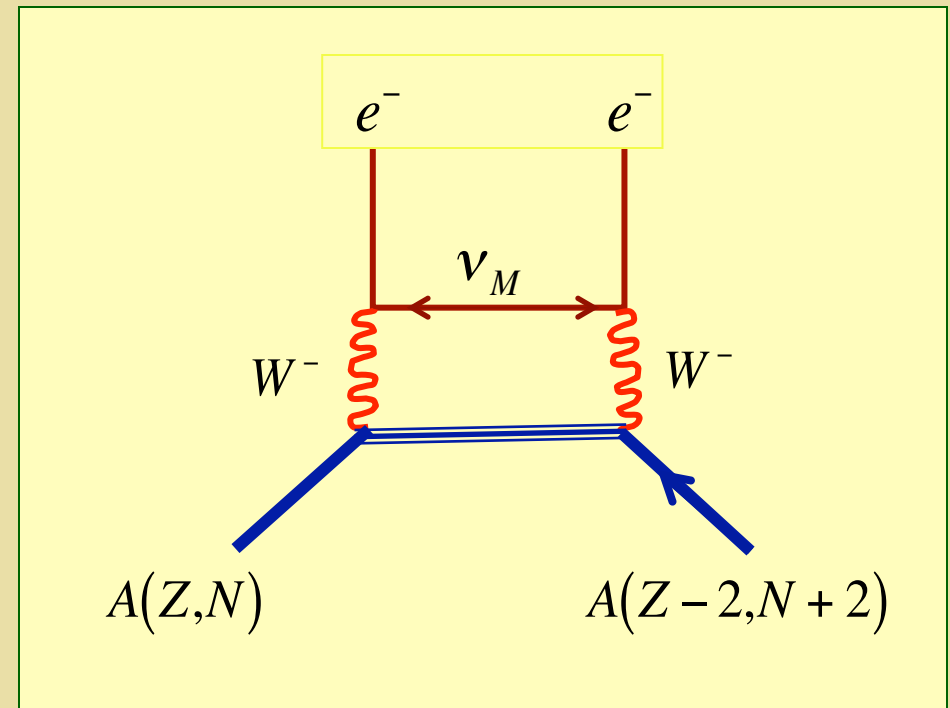
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## “Standard” Mechanism

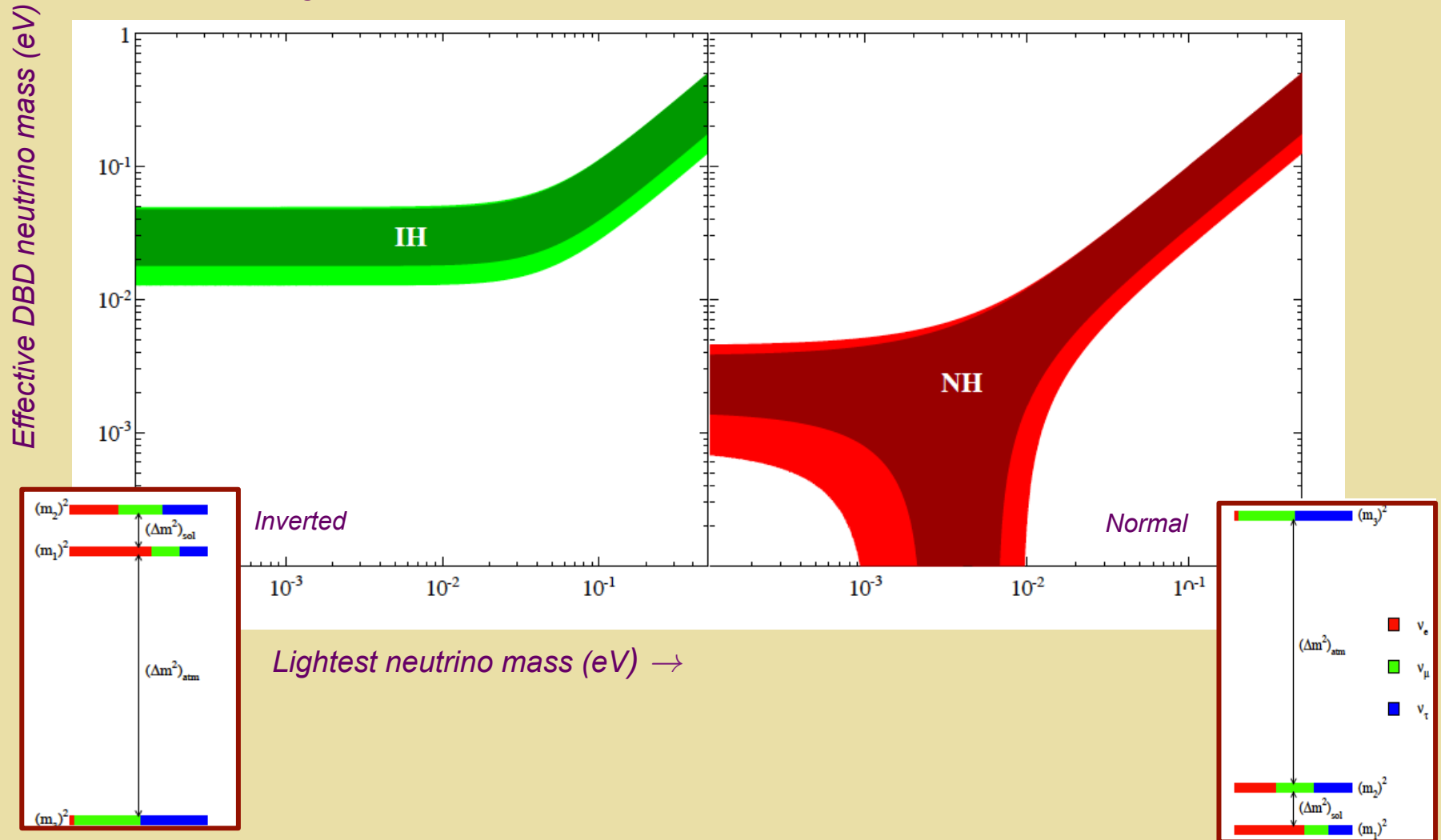
- Light Majorana mass generated at the conventional see-saw scale:  $\Lambda \sim 10^{12} - 10^{15}$  GeV
- 3 light Majorana neutrinos mediate decay process





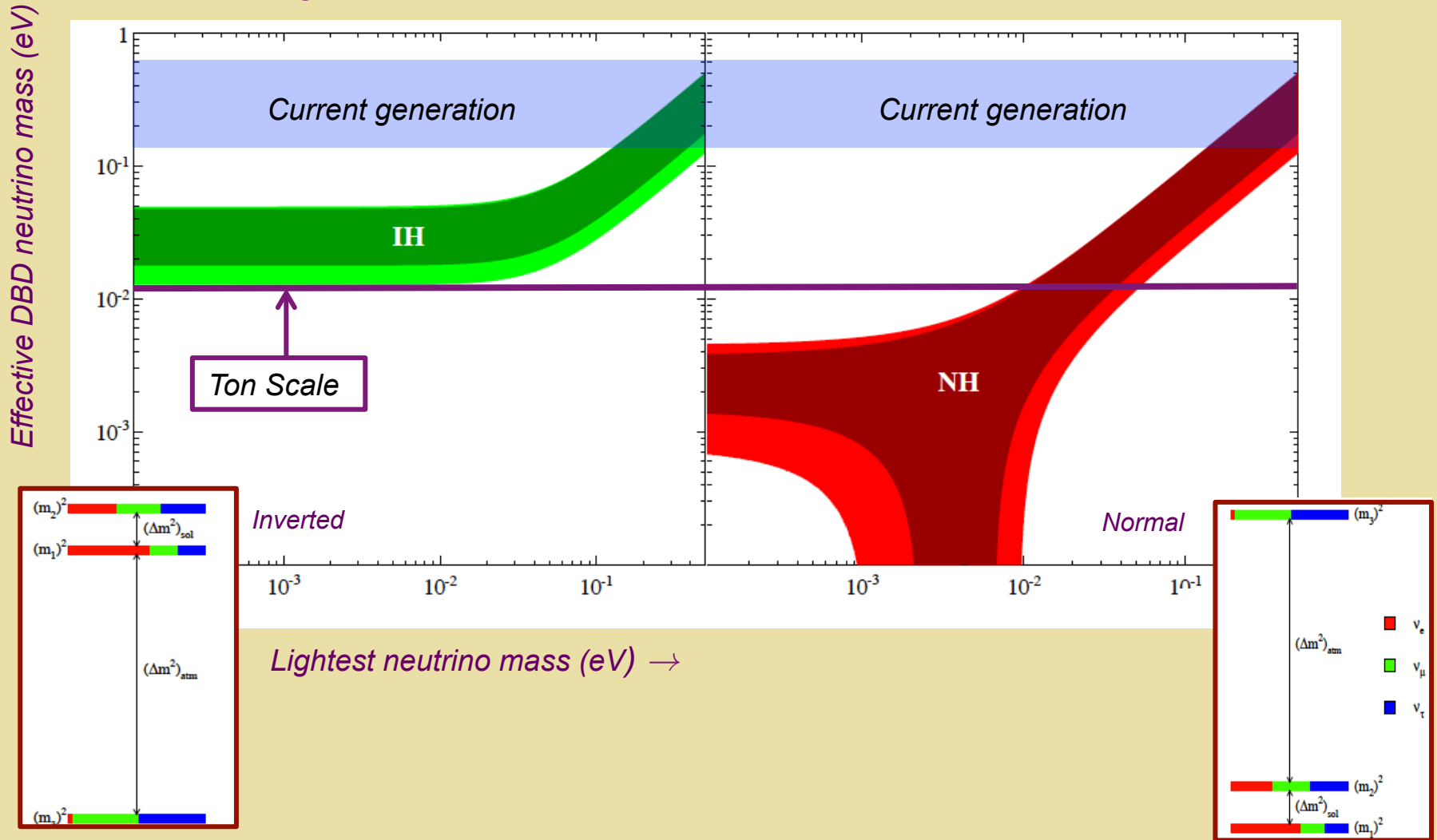
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Three active light neutrinos



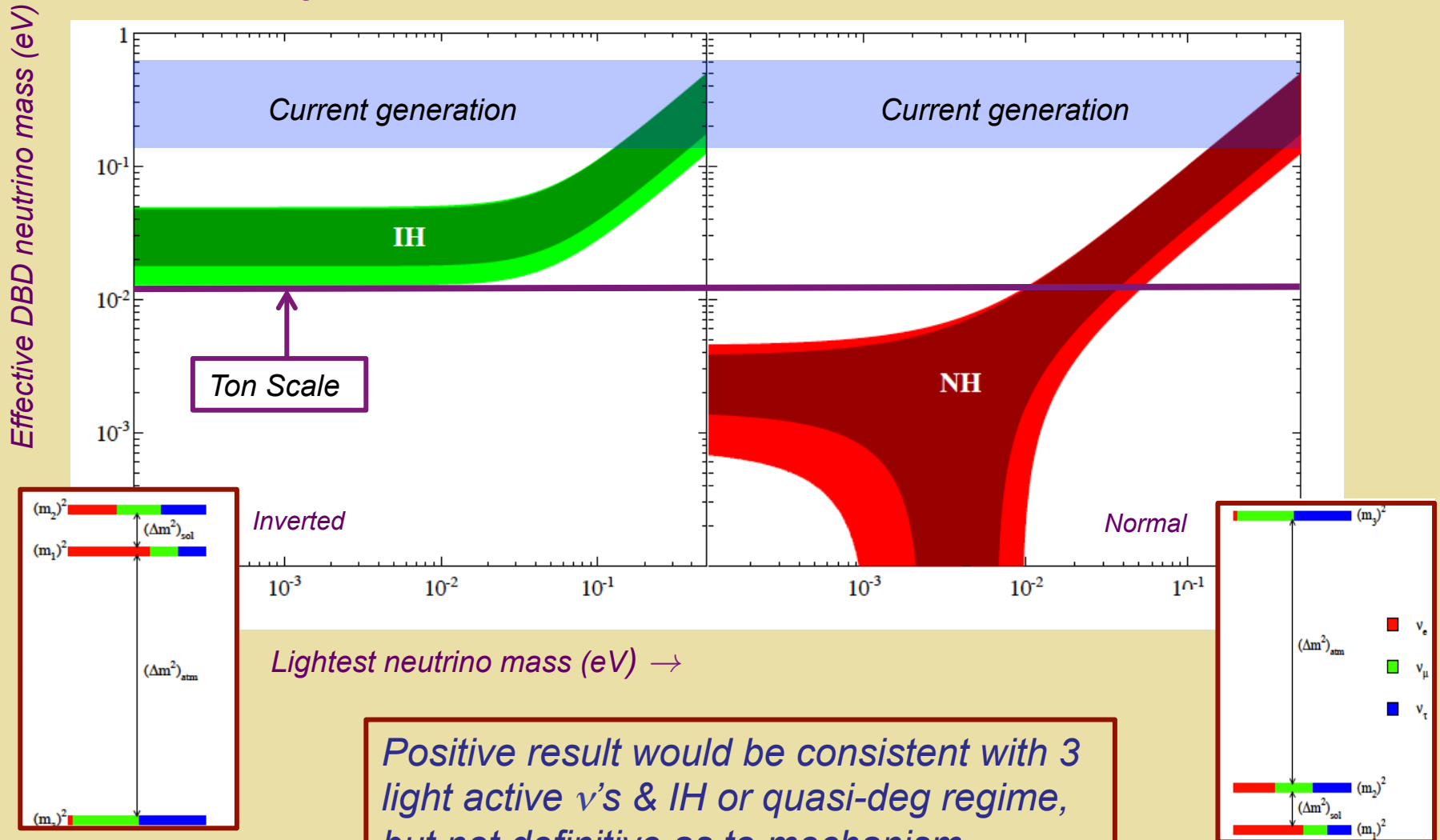
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# Interpreting a Positive Result

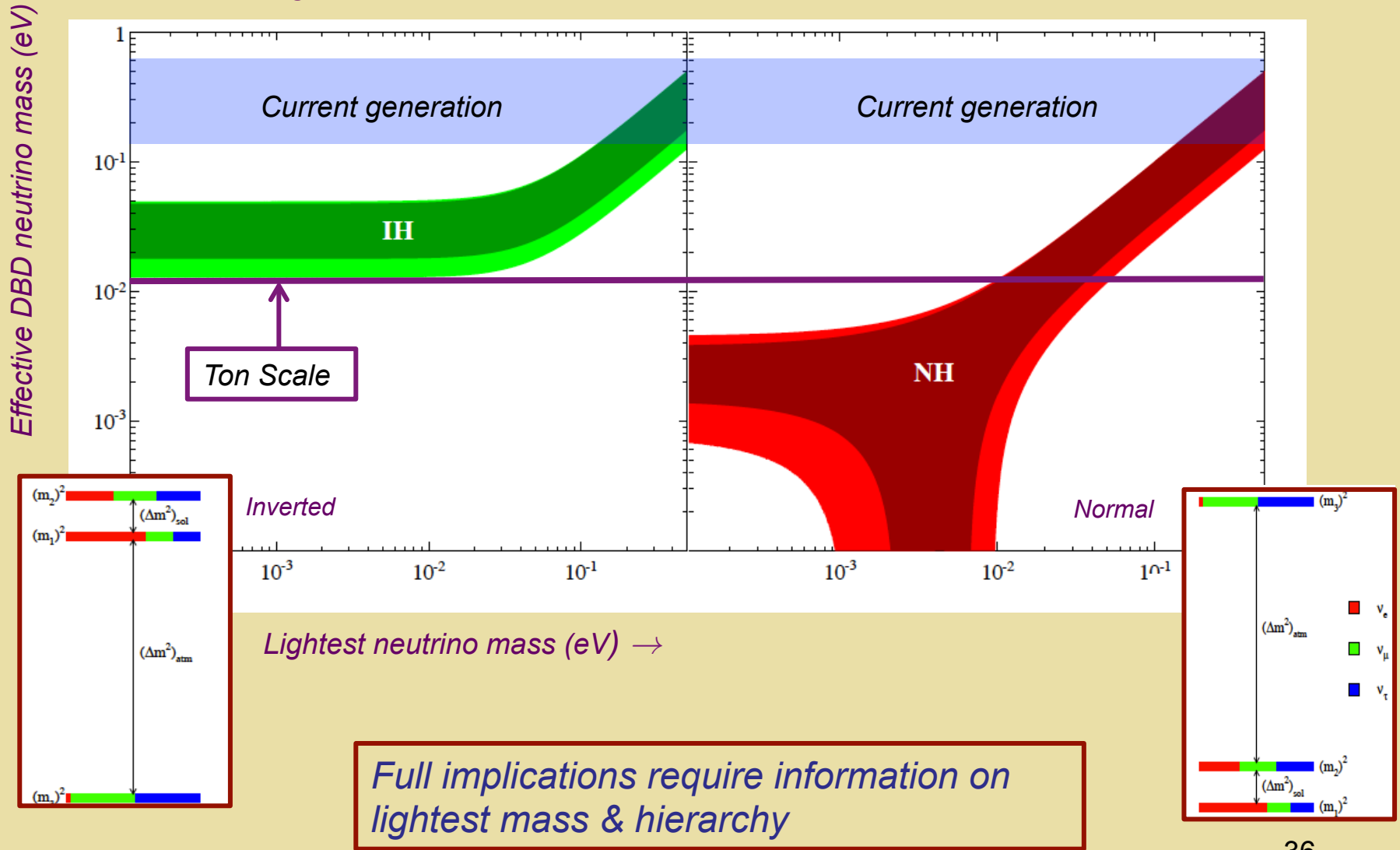
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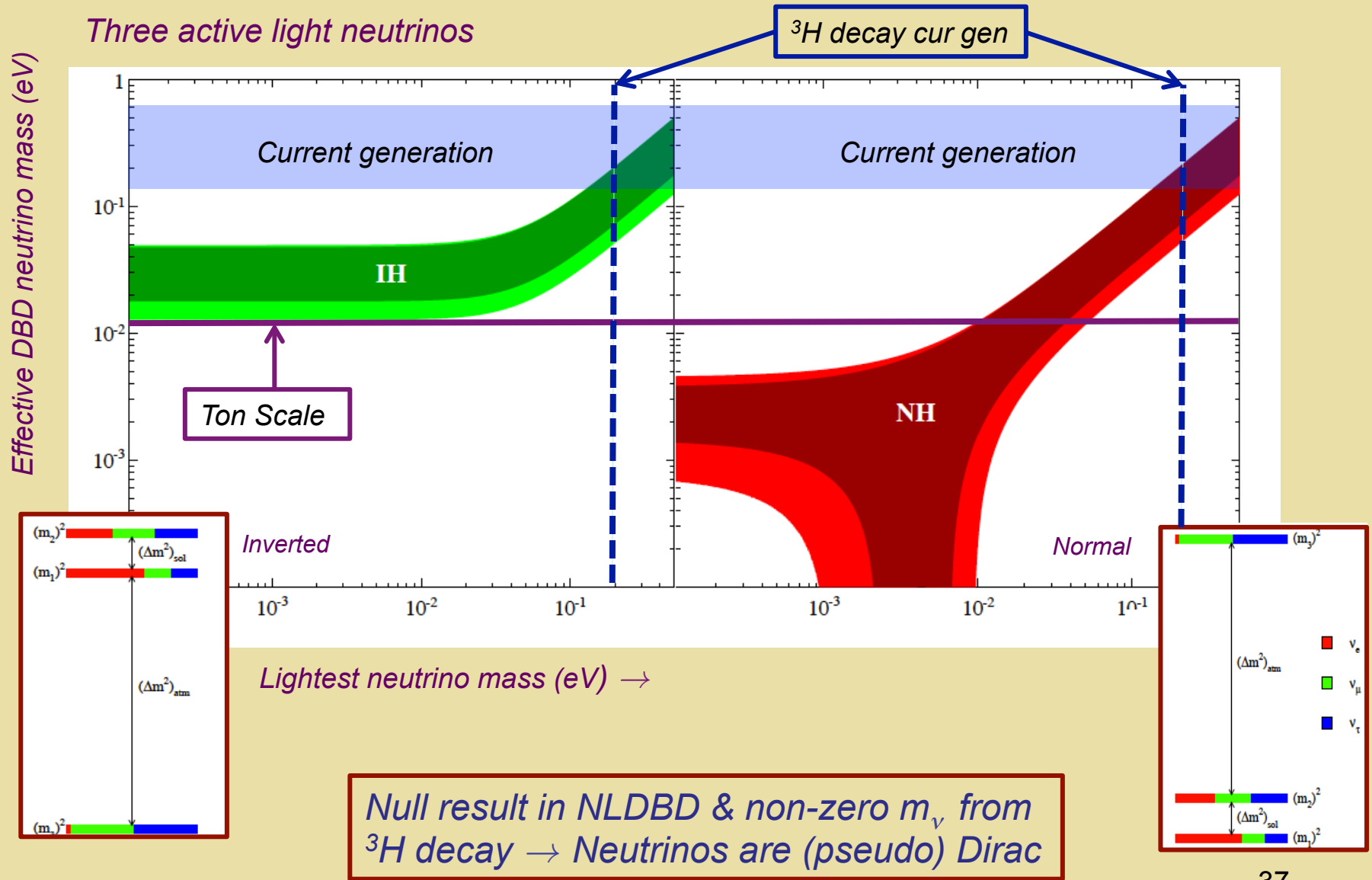
Positive result would be consistent with 3 light active  $\nu$ 's & IH or quasi-deg regime, but not definitive as to mechanism

# Interpreting a Null Result

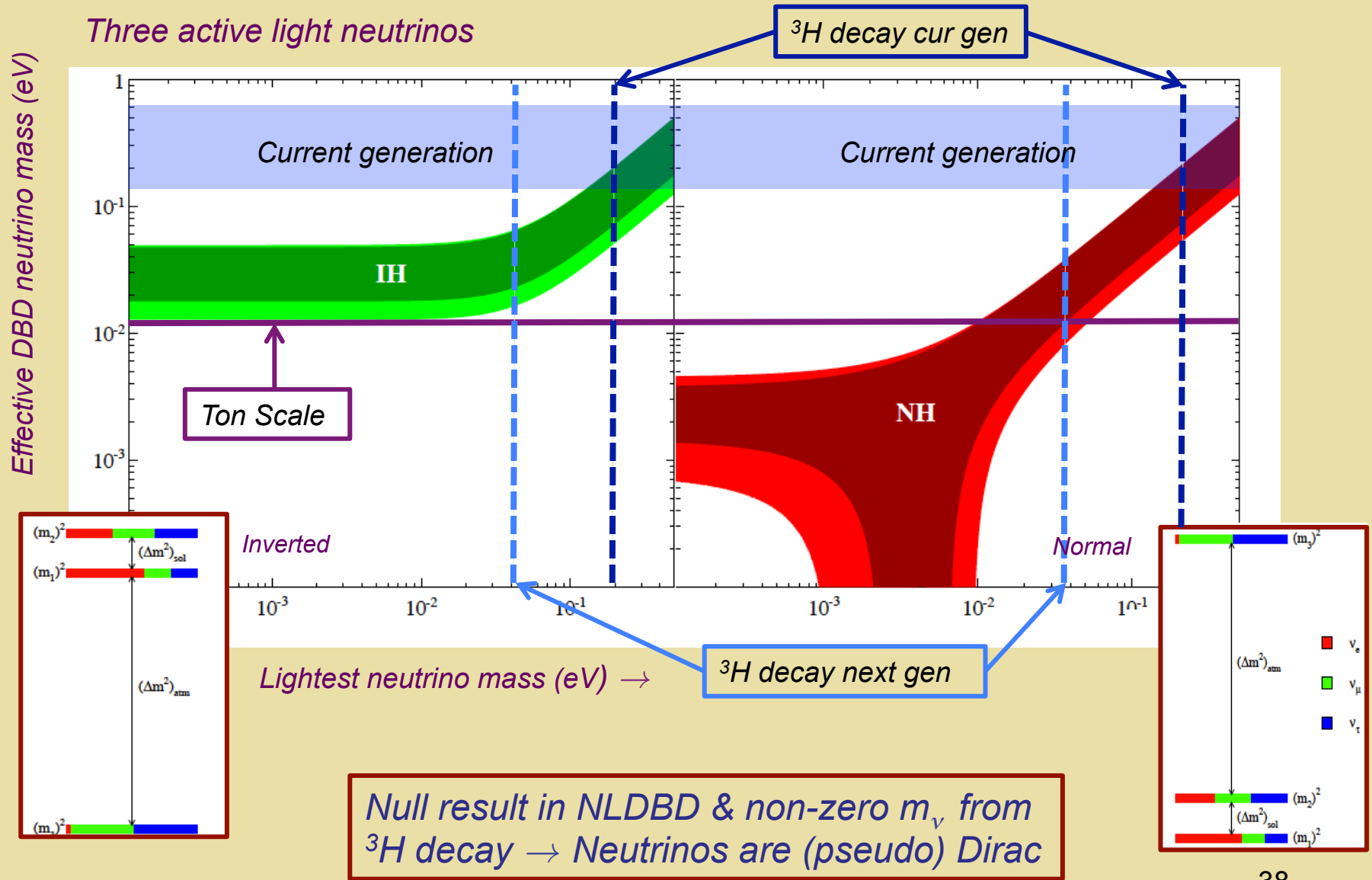
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# What Would a Null Result Imply ?



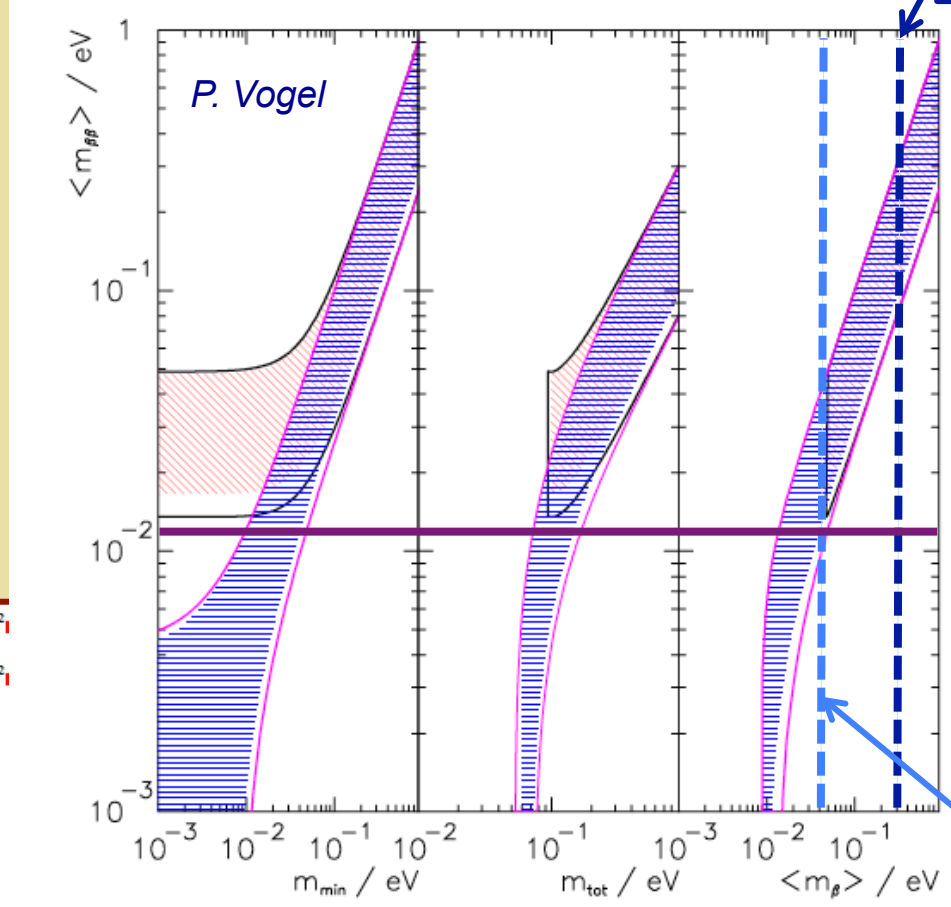
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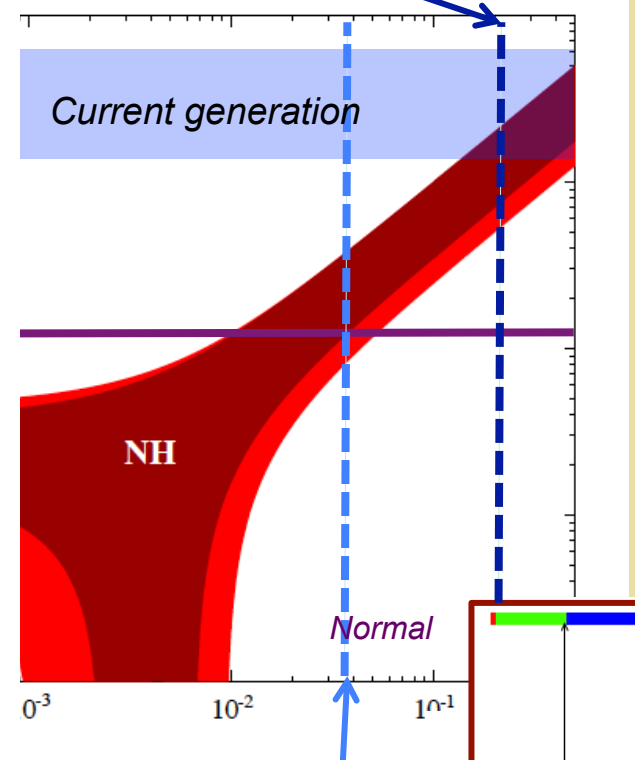
# St'd Mech: What Would a Null Result Imply ?

Three active light neutrinos

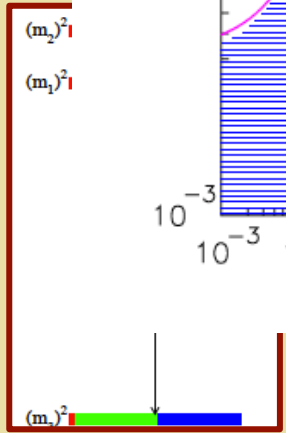
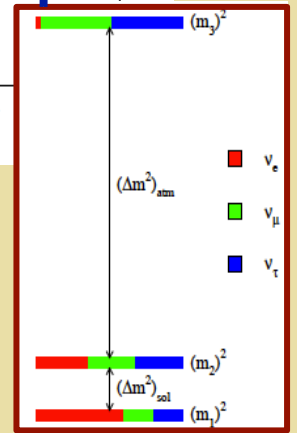
Effective DBD neutrino mass (eV)



${}^3\text{H}$  decay cur gen

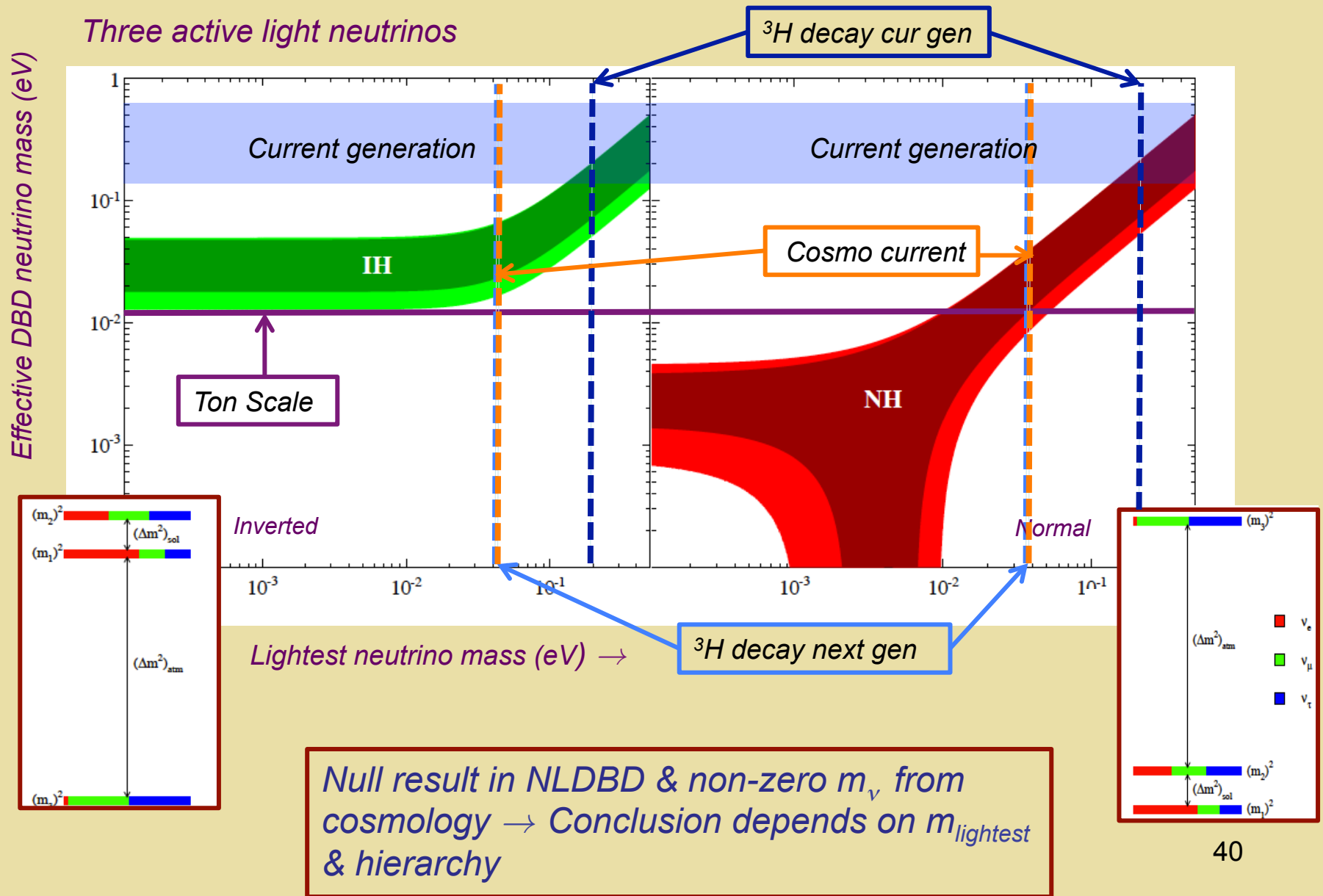


${}^3\text{H}$  decay next gen



Null result in NLDBD & non-zero  $m_\nu$  from  ${}^3\text{H}$  decay  $\rightarrow$  Neutrinos are (pseudo) Dirac

# St'd Mech: What Would a Null Result Imply ?

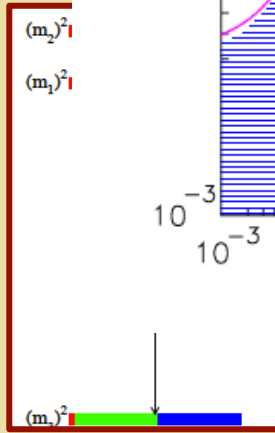
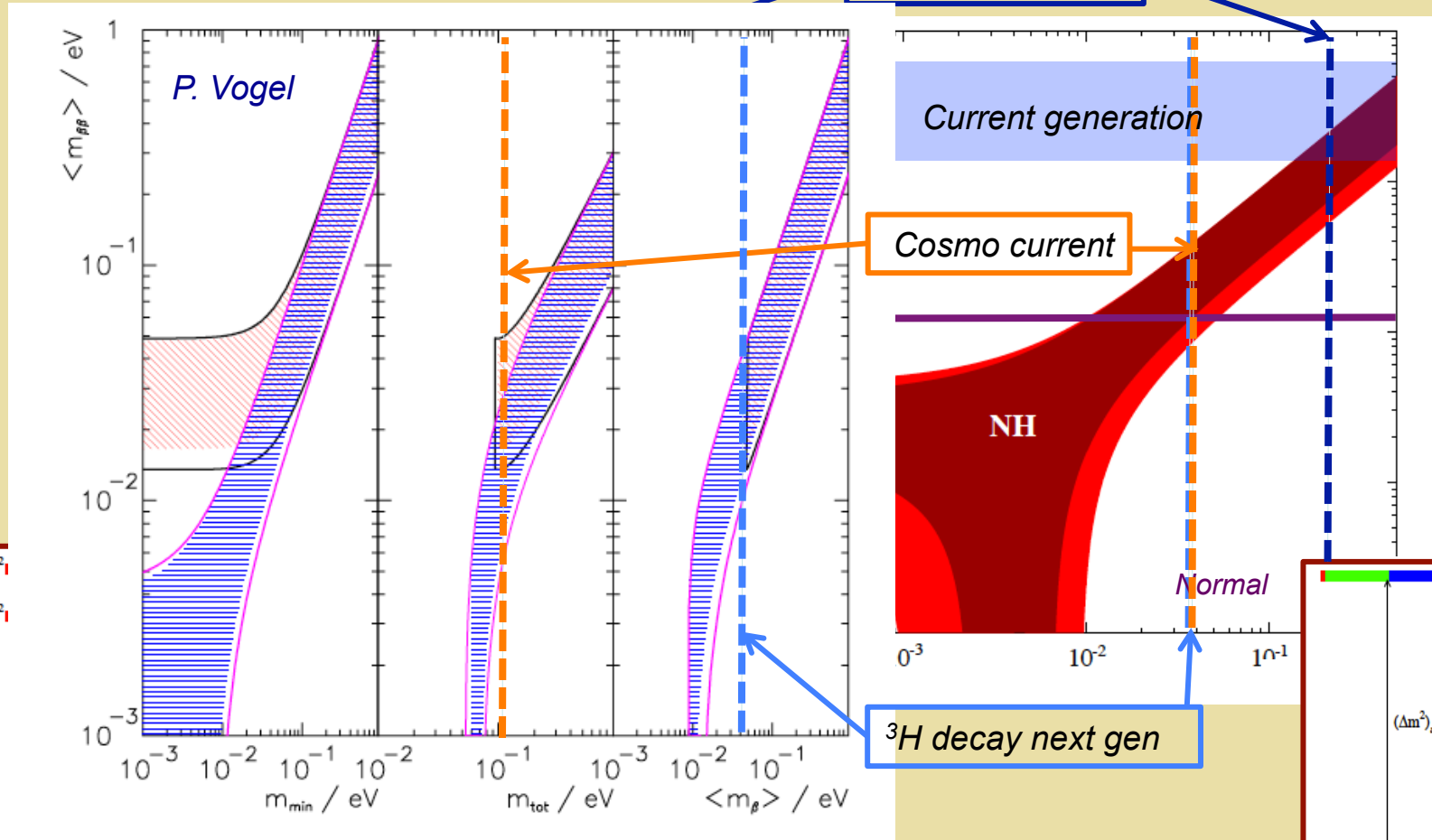




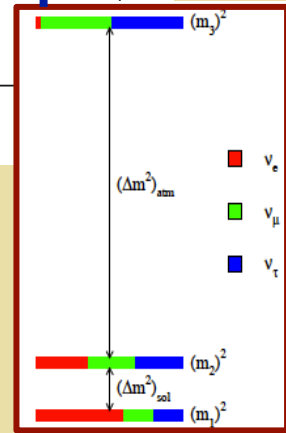
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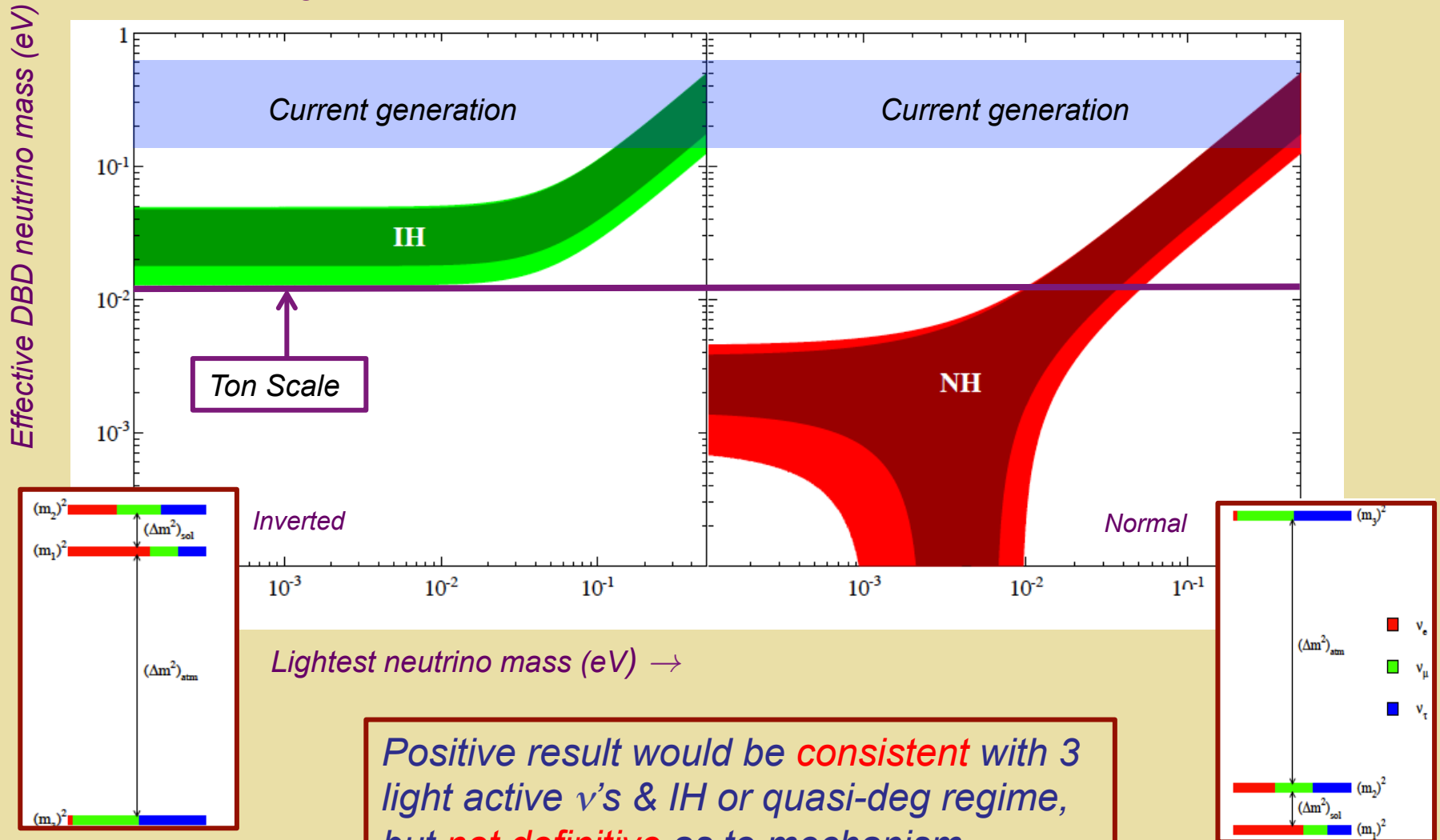


Null result in NLDBD & non-zero  $m_\nu$  from cosmology  $\rightarrow$  Conclusion depends on  $m_{lightest}$  & hierarchy



# Interpreting a Positive Result

Three active light neutrinos



Positive result would be *consistent* with 3 light active  $\nu$ 's & IH or quasi-deg regime, but *not definitive* as to mechanism

# $0\nu\beta\beta$ -Decay: Nuclear Matrix Element

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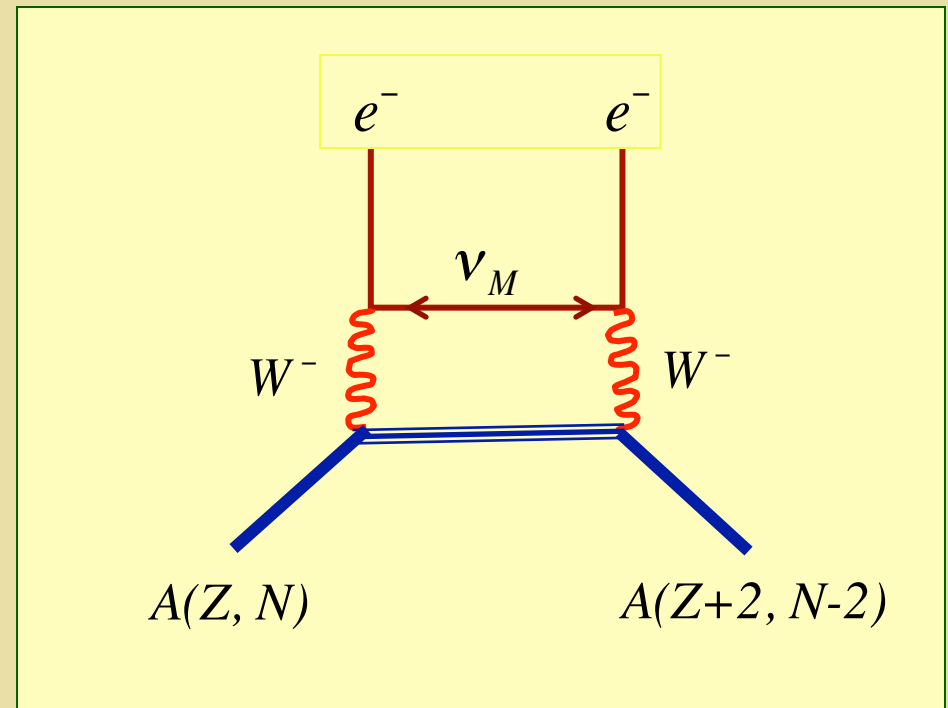
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**Light  $\nu$  exchange**

$$\frac{1}{T_{1/2}} = G^{0\nu}(E, Z) |M_{0\nu}|^2 |\langle m_{\beta\beta} \rangle|^2$$



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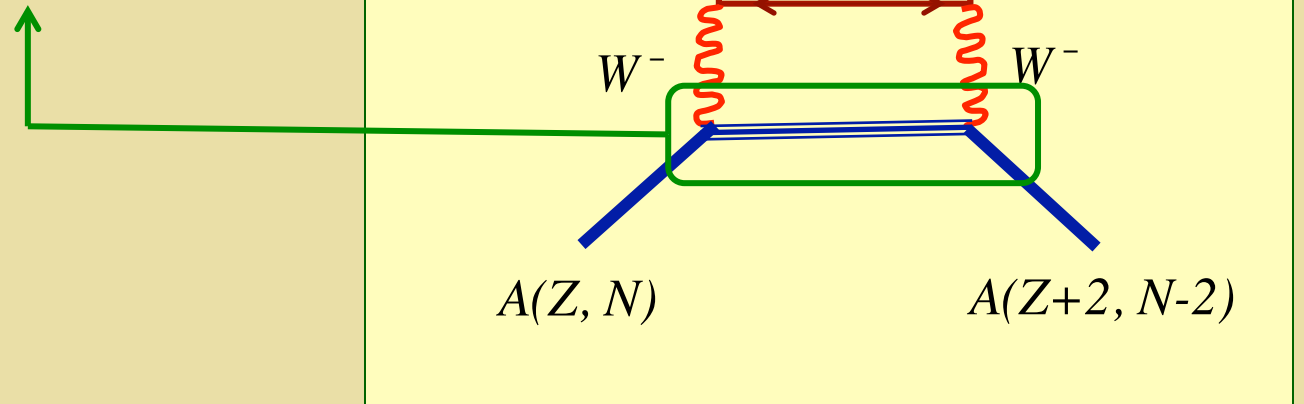
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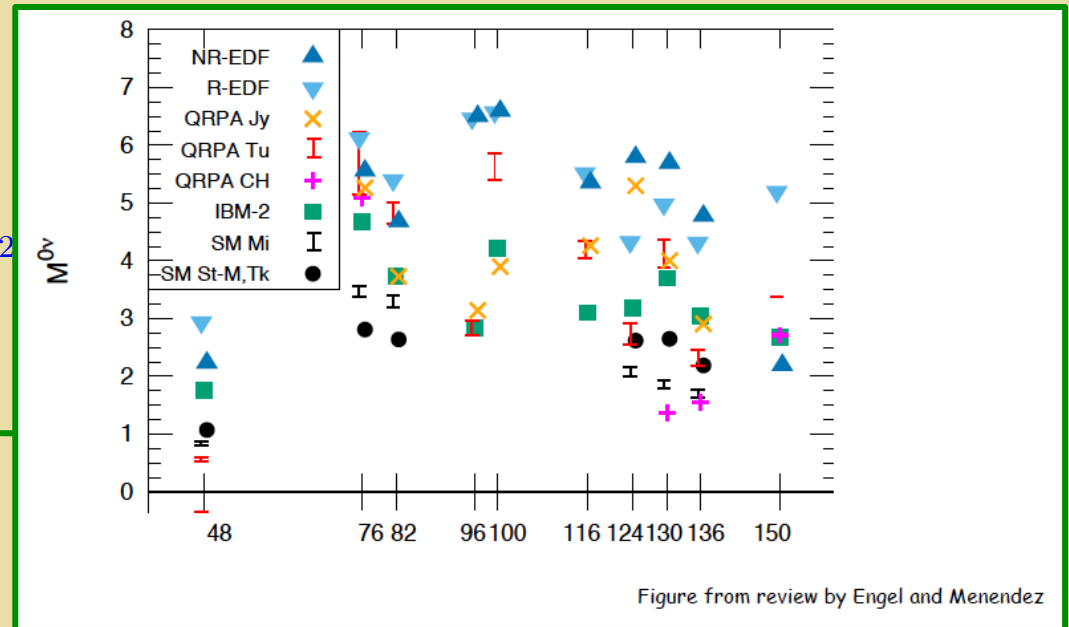
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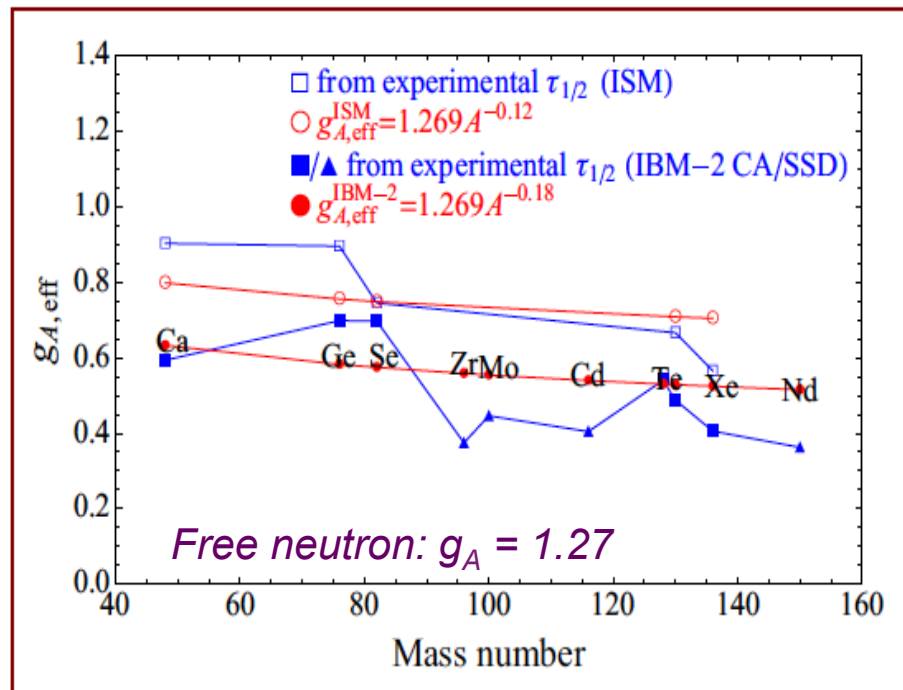
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$M_{0\nu}$ : Quadratic dependence on  $g_A$



from F. Iachello

# $0\nu\beta\beta$ -Decay: Nuclear Matrix Element

Problem must be due to some combination of:

*J. Engel*

1. Truncation of model space.

Should be fixable in ab-initio shell model, which compensates effects of truncation via effective operators.

2. Many-body weak currents.

Size still not clear, particularly for  $0\nu\beta\beta$  decay, where current is needed at finite momentum transfer  $q$ .

Leading terms in chiral EFT for finite  $q$  only recently worked out. Careful fits and use in decay computations will happen in next year or two.

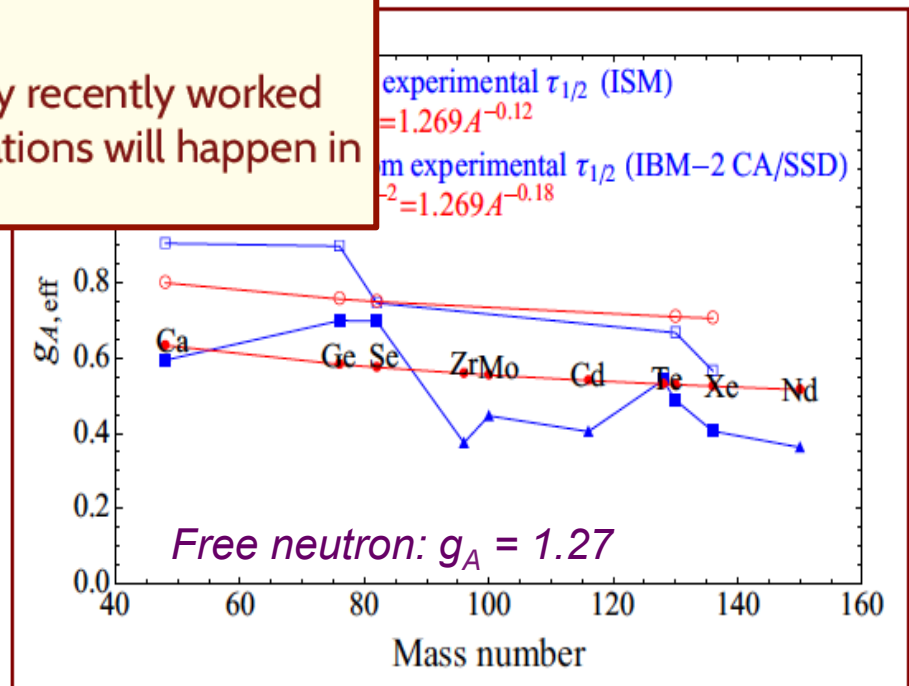
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$M_{0\nu}$ : Quadratic dependence on  $g_A$



from F. Iachello

### *III. TeV Scale LNV*



# ***LVN Mass Scale & $0\nu\beta\beta$ -Decay***



- *3 light neutrinos only: source of neutrino mass at the very high see-saw scale*
- *3 light neutrinos with TeV scale source of neutrino mass*
- *> 3 light neutrinos*

*Two parameters: **Effective coupling** & **effective heavy particle mass***

# $0\nu\beta\beta$ -Decay: LNV? Mass Term?

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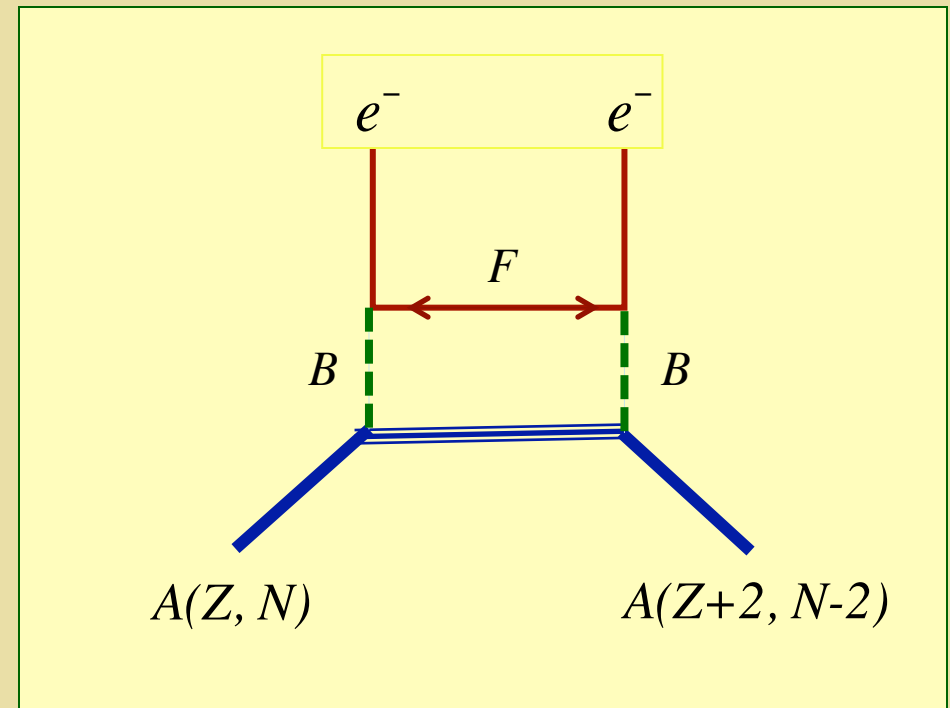
*Dirac*

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

## TeV LNV Mechanism

- Majorana mass generated at the TeV scale
- Low-scale see-saw
- Radiative  $m_\nu$
- $m_{\text{MIN}} \ll 0.01 \text{ eV}$  but  $0\nu\beta\beta$ -signal accessible with tonne-scale exp'ts due to heavy Majorana particle exchange



# $0\nu\beta\beta$ -Decay: LNV? Mass Term?

$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

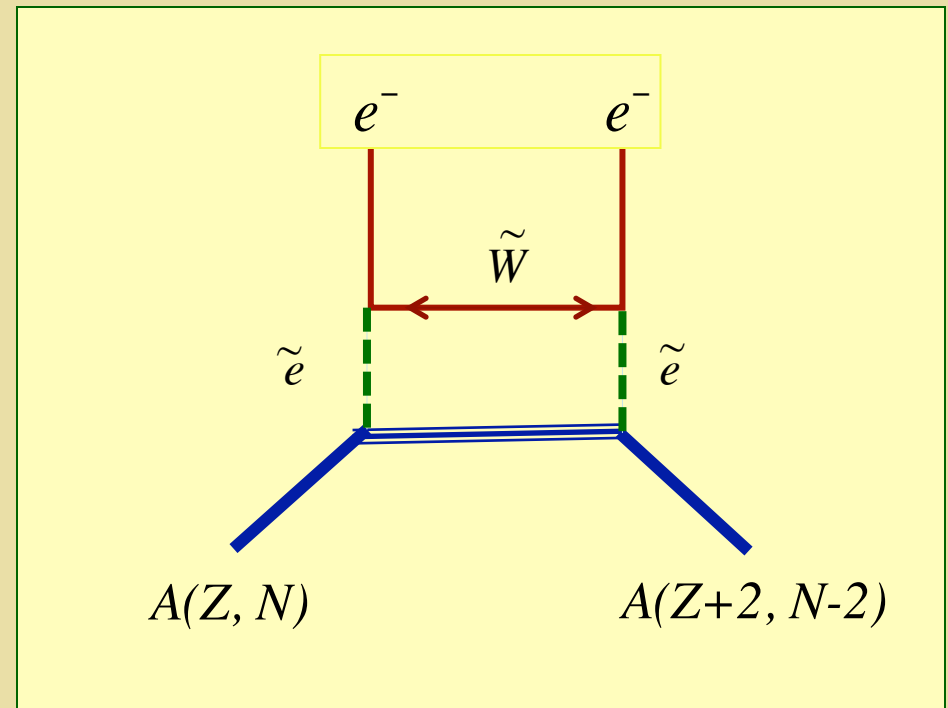
*Dirac*

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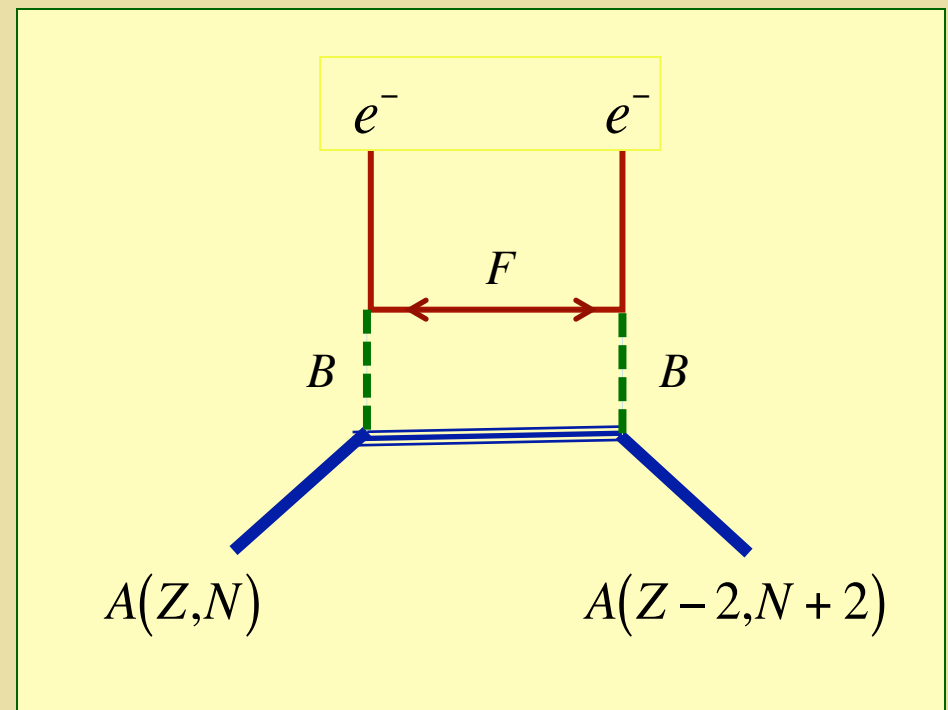
$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

## TeV LNV Mechanism

$$\frac{A_H}{A_L} \sim \frac{M_W^4 \bar{k}^2}{\Lambda^5 m_{\beta\beta}}$$

$O(1)$  for  $\Lambda \sim 1 \text{ TeV}$



# $0\nu\beta\beta$ -Decay: LNV? Mass Term?

$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

*Dirac*

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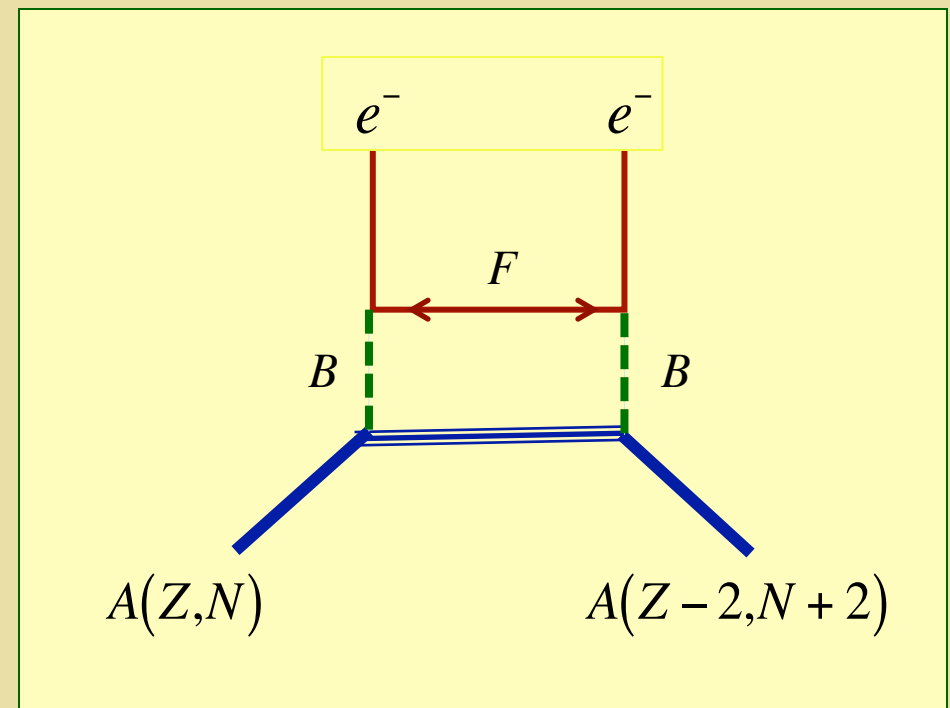
*Majorana*

## TeV LNV Mechanism

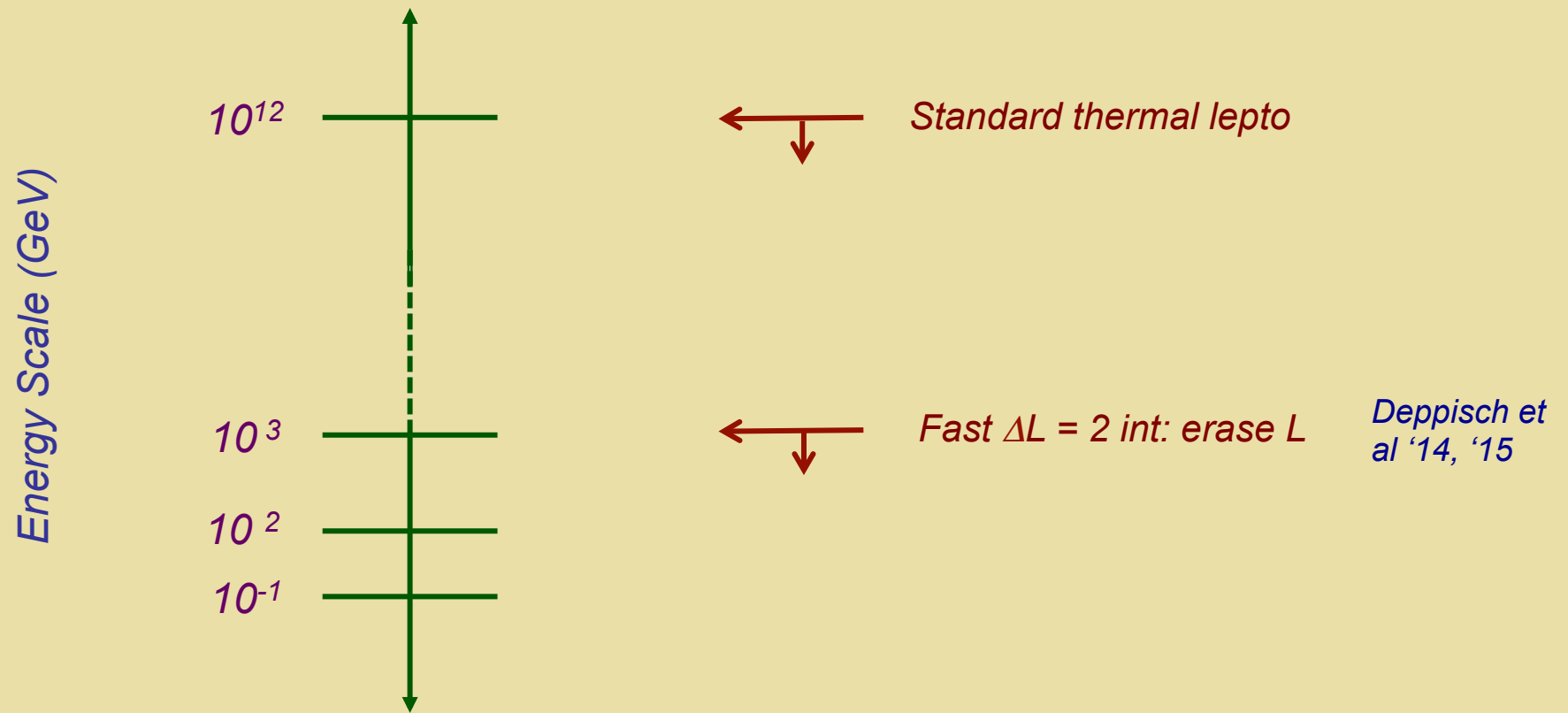
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$O(1)$  for  $\Lambda \sim 1 \text{ TeV}$

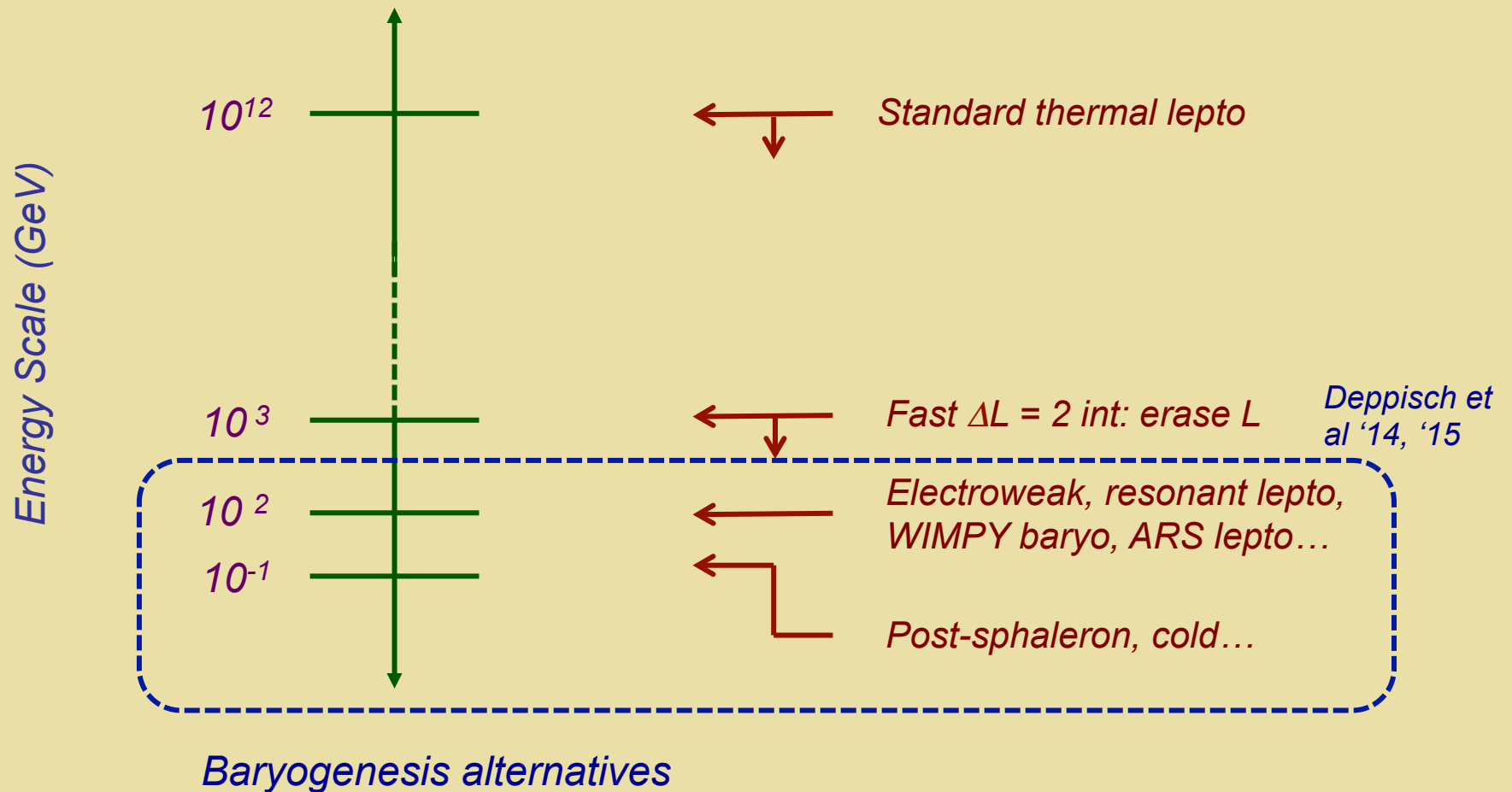
*Implications*



# TeV LNV & Leptogenesis



# TeV LNV & Leptogenesis



# $0\nu\beta\beta$ -Decay: TeV Scale LNV

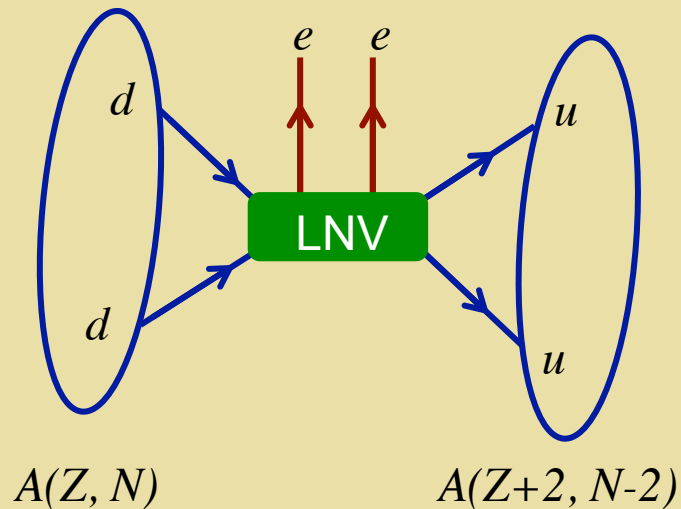
$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

Dirac

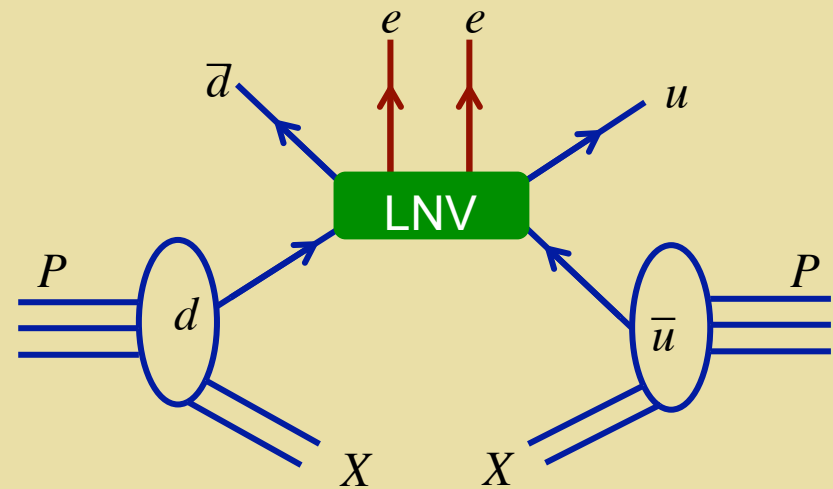
$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

Majorana

$0\nu\beta\beta$ -Decay



$pp$  Collisions



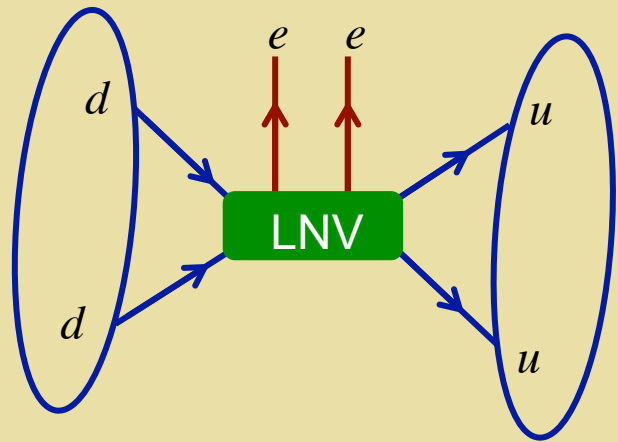


# $0\nu\beta\beta$ -Decay: TeV Scale LNV

$$\mathcal{L}_{\text{mass}} = y \bar{L} \tilde{H} \nu_R + \text{h.c.}$$

Dirac

$0\nu\beta\beta$ -Decay



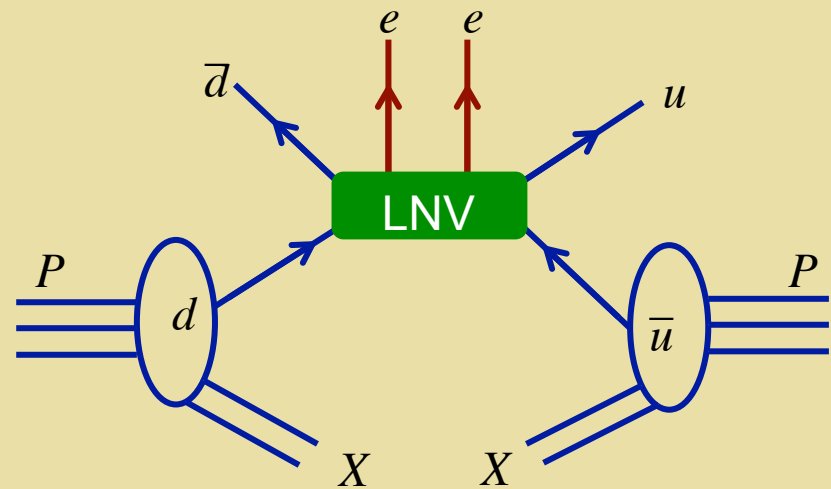
$A(Z, N)$

$A(Z+2, N-2)$

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda} \bar{L} H H^T L + \text{h.c.}$$

Majorana

pp Collisions



**LHC: SS Dilepton + Dijet**

# $0\nu\beta\beta$ -Decay: TeV Scale LNV

$$\mathcal{L}_{\text{mass}} = y \bar{L} \tilde{H} \nu_R + \text{h.c.}$$

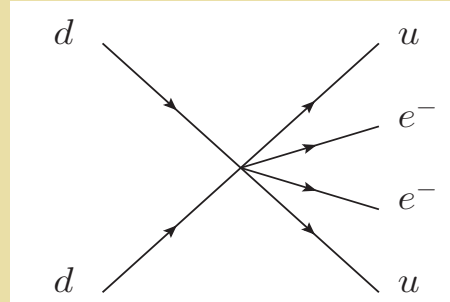
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$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda} \bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

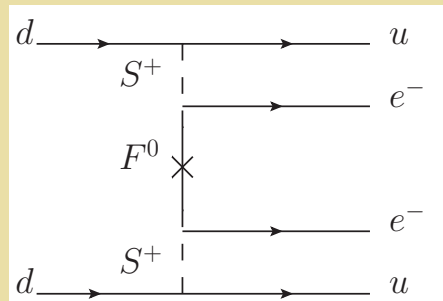
## TeV Scale LNV

$0\nu\beta\beta$  - decay



*Can it be discovered with combination of  $0\nu\beta\beta$  & LHC searches ?*

*LHC:  $pp \rightarrow jj e^- e^-$*



*Simplified models*

# $0\nu\beta\beta$ -Decay: TeV Scale LNV

$$\mathcal{L}_{\text{mass}} = y \bar{L} \tilde{H} \nu_R + \text{h.c.}$$

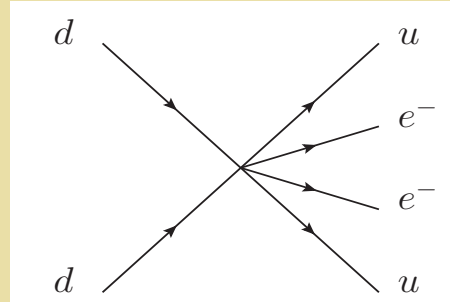
Dirac

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda} \bar{L}^c H H^T L + \text{h.c.}$$

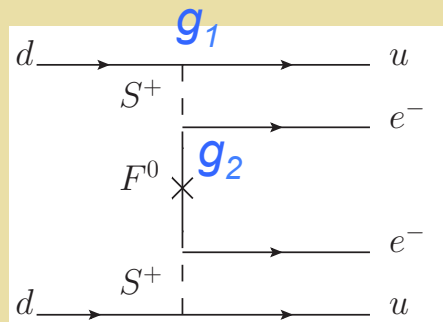
Majorana

## TeV Scale LNV

$0\nu\beta\beta$  - decay



LHC:  $pp \rightarrow jj e^- e^-$



Effective operators:

$$\mathcal{L}_{\text{LNV}}^{\text{eff}} = \frac{C_1}{\Lambda^5} \mathcal{O}_1 + \text{h.c.}$$

$$\mathcal{O}_1 = \bar{Q}_\tau^+ d \bar{Q}_\tau^+ d \bar{L} L^c$$

$$g_{\text{eff}} = \sqrt{g_1 g_2}$$

# $0\nu\beta\beta$ -Decay: Rate & Mass Dependence

$$\mathcal{L}_{\text{mass}} = y \bar{L} \tilde{H} \nu_R + \text{h.c.}$$

*Dirac*

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda} \bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

**Light  $\nu$  exchange**

$$\frac{1}{T_{1/2}} = G^{0\nu}(E, Z) |M_{0\nu}| |\langle m_{\beta\beta} \rangle|^2$$

*Quadratic dependence on  $m_{\beta\beta}$*

**Heavy particle exchange**

$$\frac{1}{T_{1/2}} = G_{01} \left(\frac{\text{TeV}}{m_e}\right)^2 \left(\frac{\Lambda_H}{\text{TeV}}\right)^4 \left(\frac{1}{18}\right) \left(\frac{v}{\text{TeV}}\right)^8 \\ \times \left(\frac{1}{g_A \cos \theta_C}\right)^4 |M_0|^2 \left[\frac{C_{\text{eff}}^2}{(\Lambda/\text{TeV})^{10}}\right],$$

*Scales as  $1 / M^{10}$*

# $0\nu\beta\beta$ -Decay: TeV Scale LNV

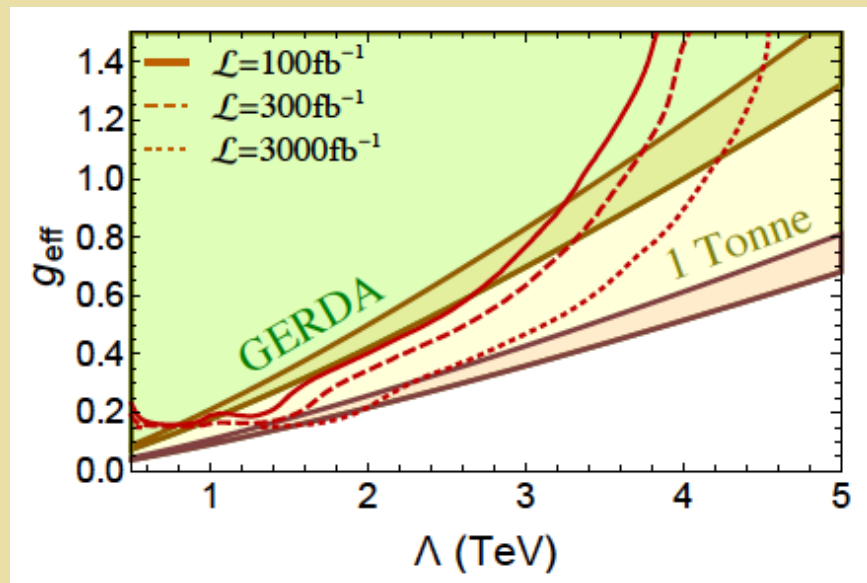
$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

*Dirac*

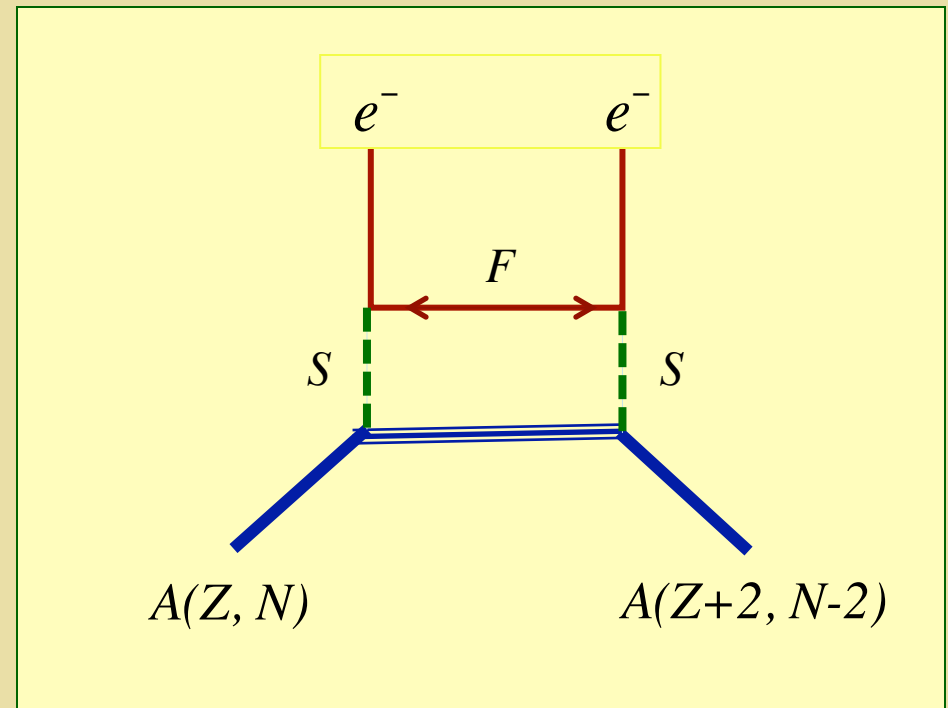
$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

## Benchmark Sensitivity: TeV LNV



T. Peng, MRM, P. Winslow 1508.04444



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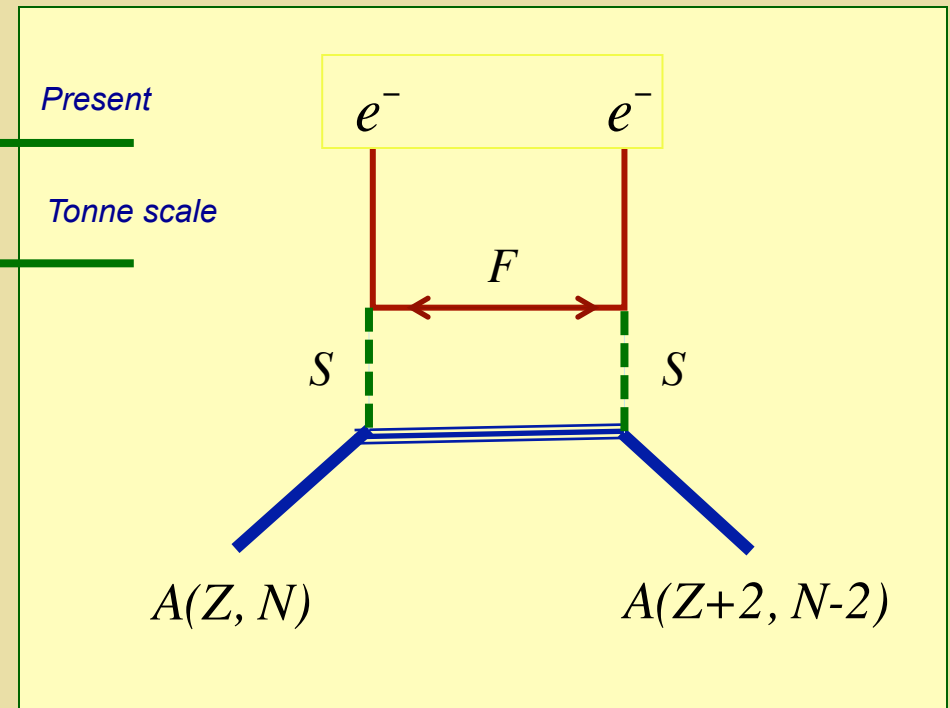
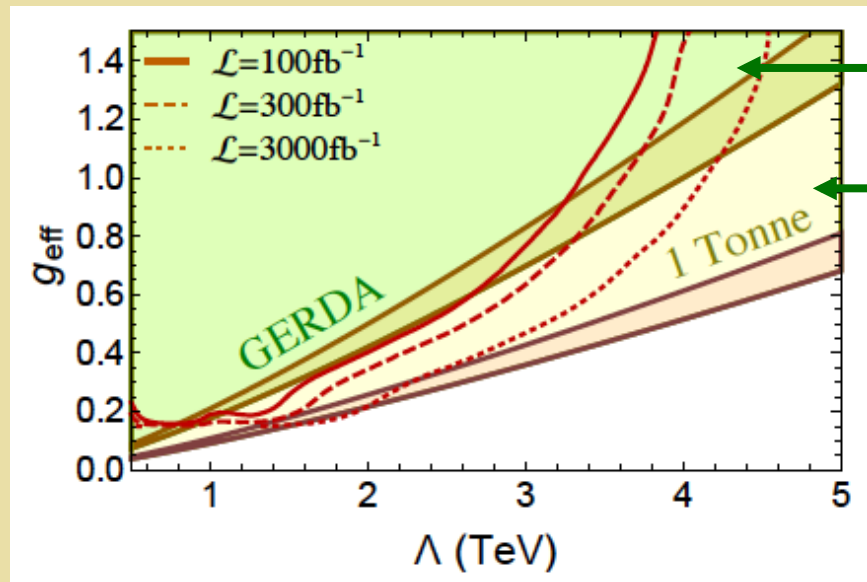
$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

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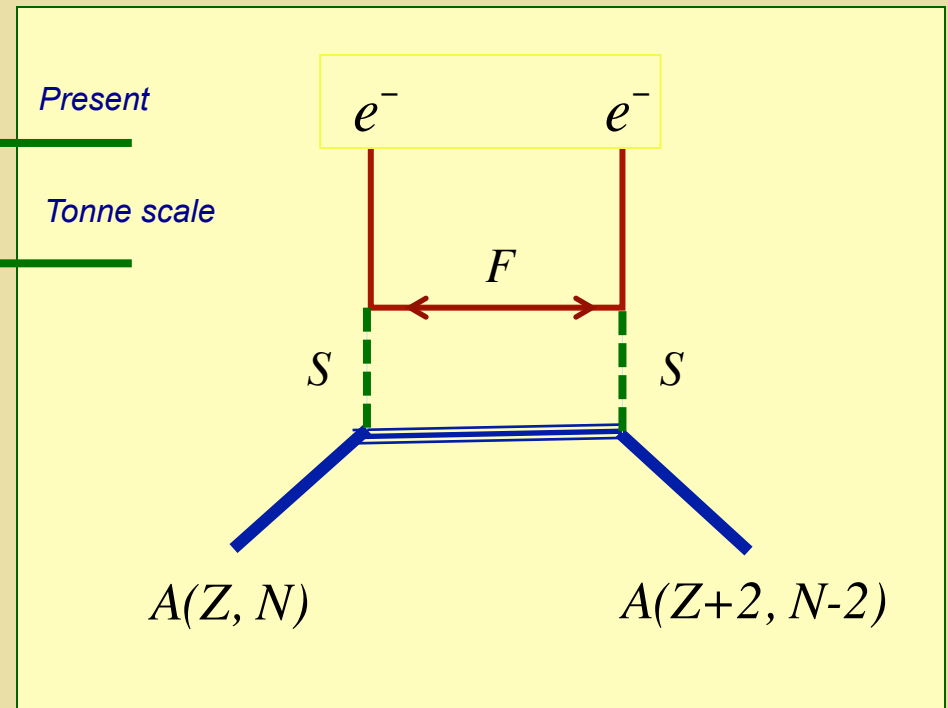
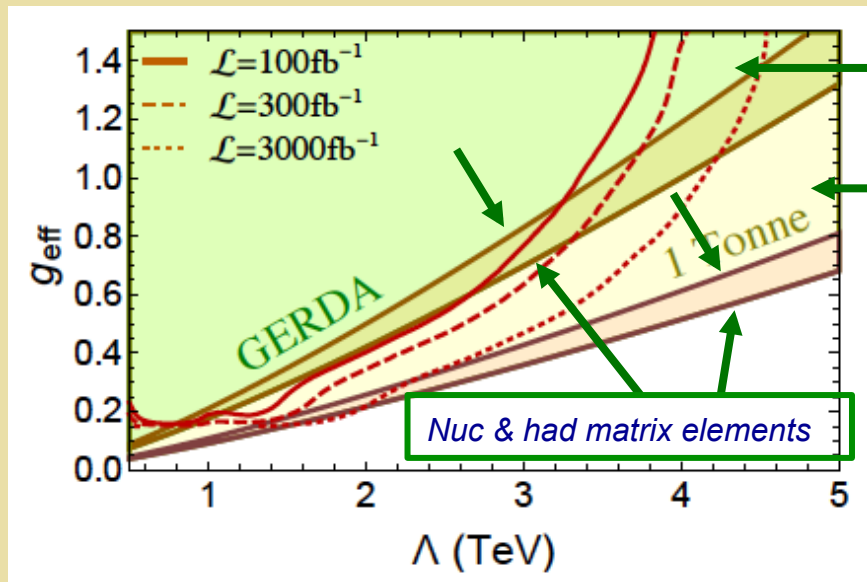
$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

Dirac

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Majorana

## Benchmark Sensitivity: TeV LNV



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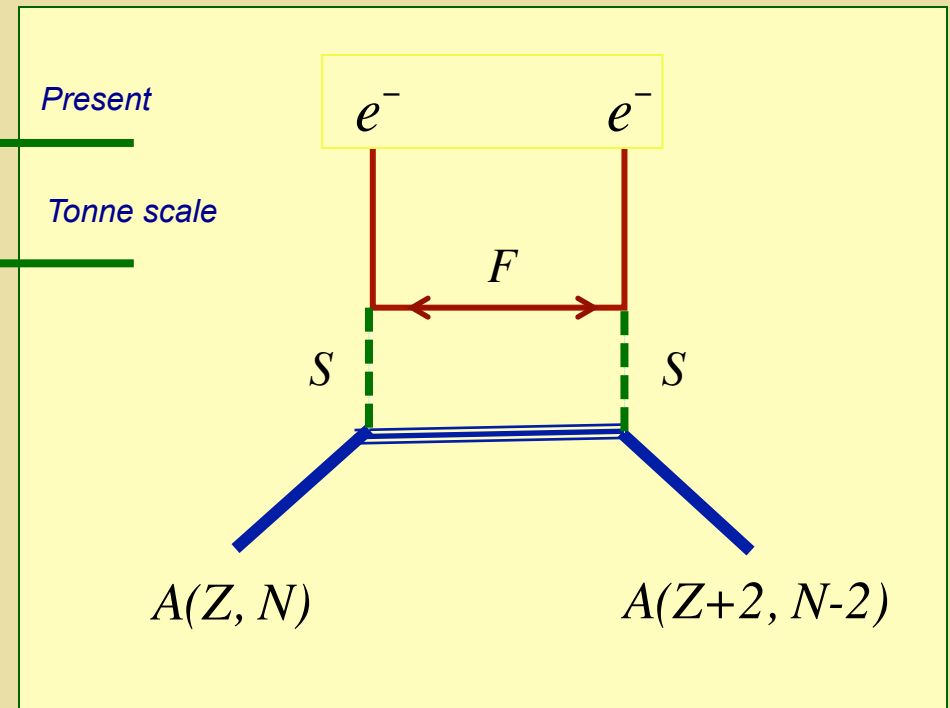
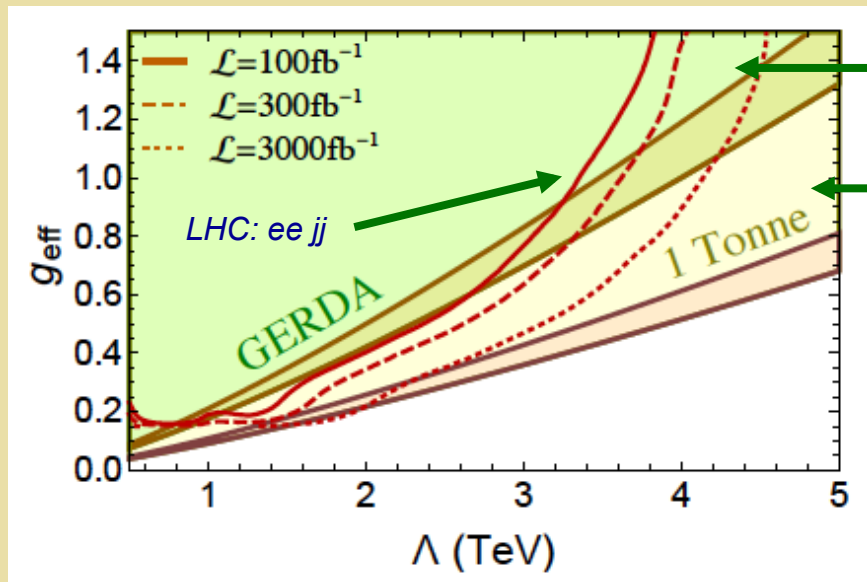
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Dirac

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Majorana

## Benchmark Sensitivity: TeV LNV



T. Peng, MRM, P. Winslow 1508.04444



# $0\nu\beta\beta$ -Decay: TeV Scale LNV & $m_\nu$

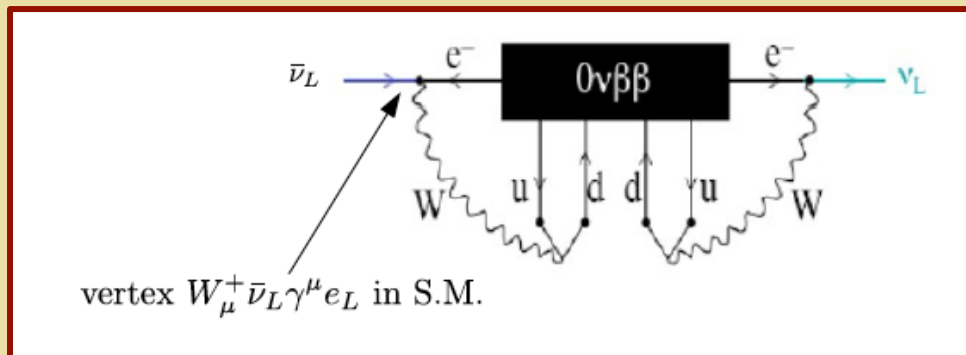
$$\mathcal{L}_{\text{mass}} = y \bar{L} \tilde{H} \nu_R + \text{h.c.}$$

*Dirac*

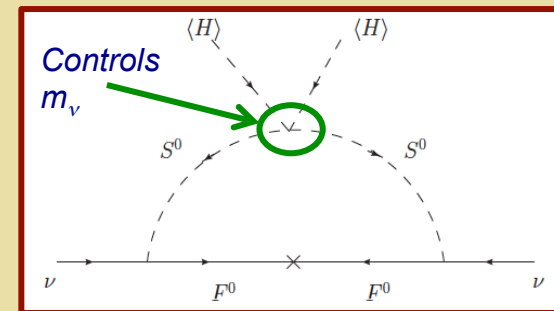
$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda} \bar{L}^c H H^T L + \text{h.c.}$$

*Majorana*

*Implications for  $m_\nu$ :*



*Schechter-Valle: non-vanishing Majorana mass at (multi) loop level*



*Simplified model: possible (larger) one loop Majorana mass*

# $0\nu\beta\beta$ -Decay: TeV Scale LNV & $m_\nu$

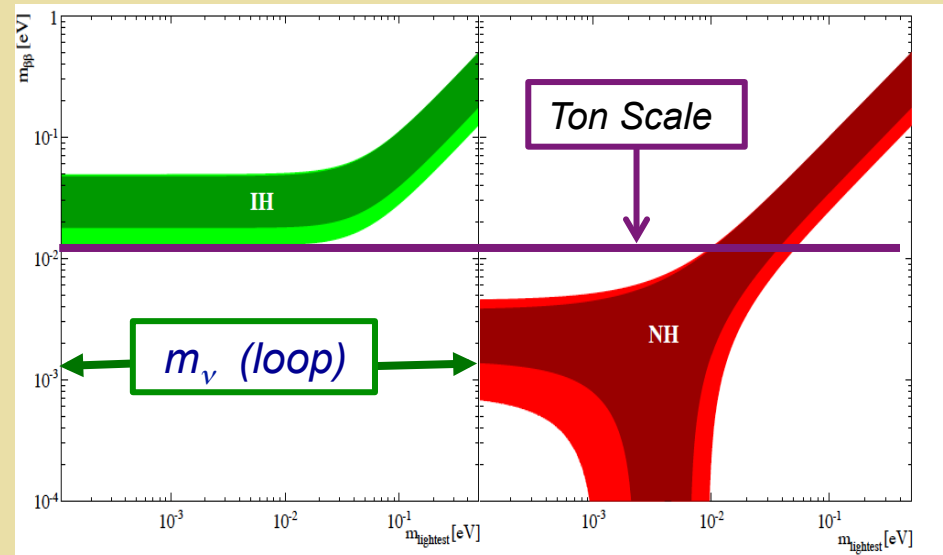
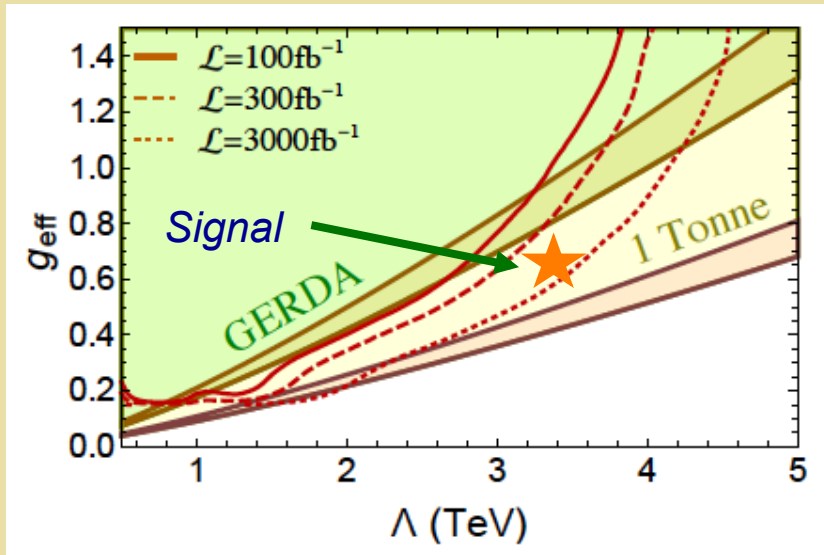
$$\mathcal{L}_{\text{mass}} = y\bar{L}\tilde{H}\nu_R + \text{h.c.}$$

Dirac

$$\mathcal{L}_{\text{mass}} = \frac{y}{\Lambda}\bar{L}^c H H^T L + \text{h.c.}$$

Majorana

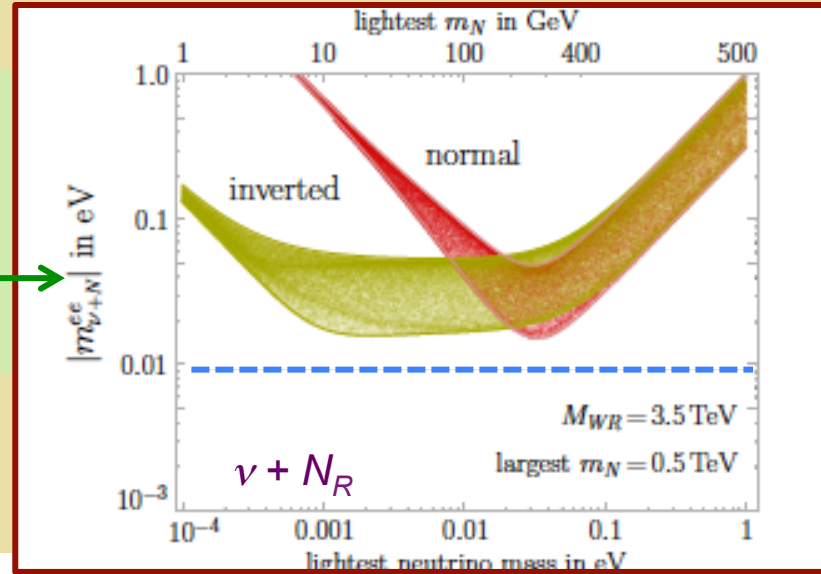
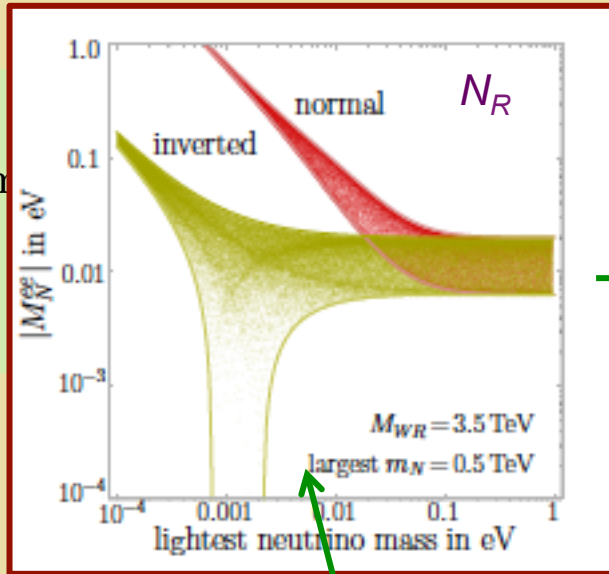
Implications for  $m_\nu$ :



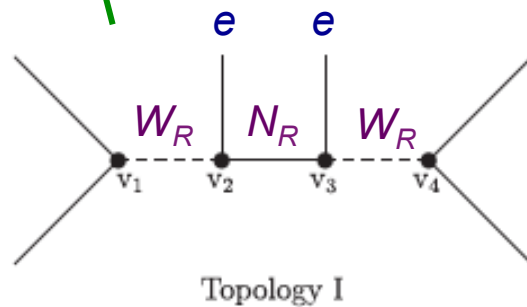
A hypothetical scenario

# $0\nu\beta\beta$ -Decay: TeV Scale LNV

$\mathcal{L}_n$



C.



## LRSM: Type I See-Saw

Mass: standard see-saw but TeV scale

Tello et al, 1011.3522

## *IV. Sub-Weak Scale LNV*

# ***LVN Mass Scale & $0\nu\beta\beta$ -Decay***



- *3 light neutrinos only: source of neutrino mass at the very high see-saw scale*
- *3 light neutrinos with TeV scale source of neutrino mass*
- *> 3 light neutrinos*

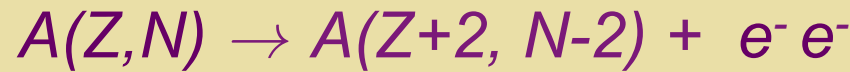
# $0\nu\beta\beta$ -Decay Sensitivity

$2\nu$  DBD:

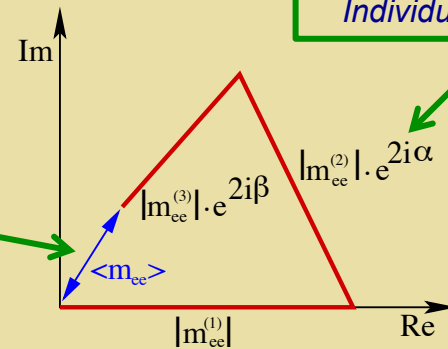


If own antiparticle, can be emitted then absorbed during decay

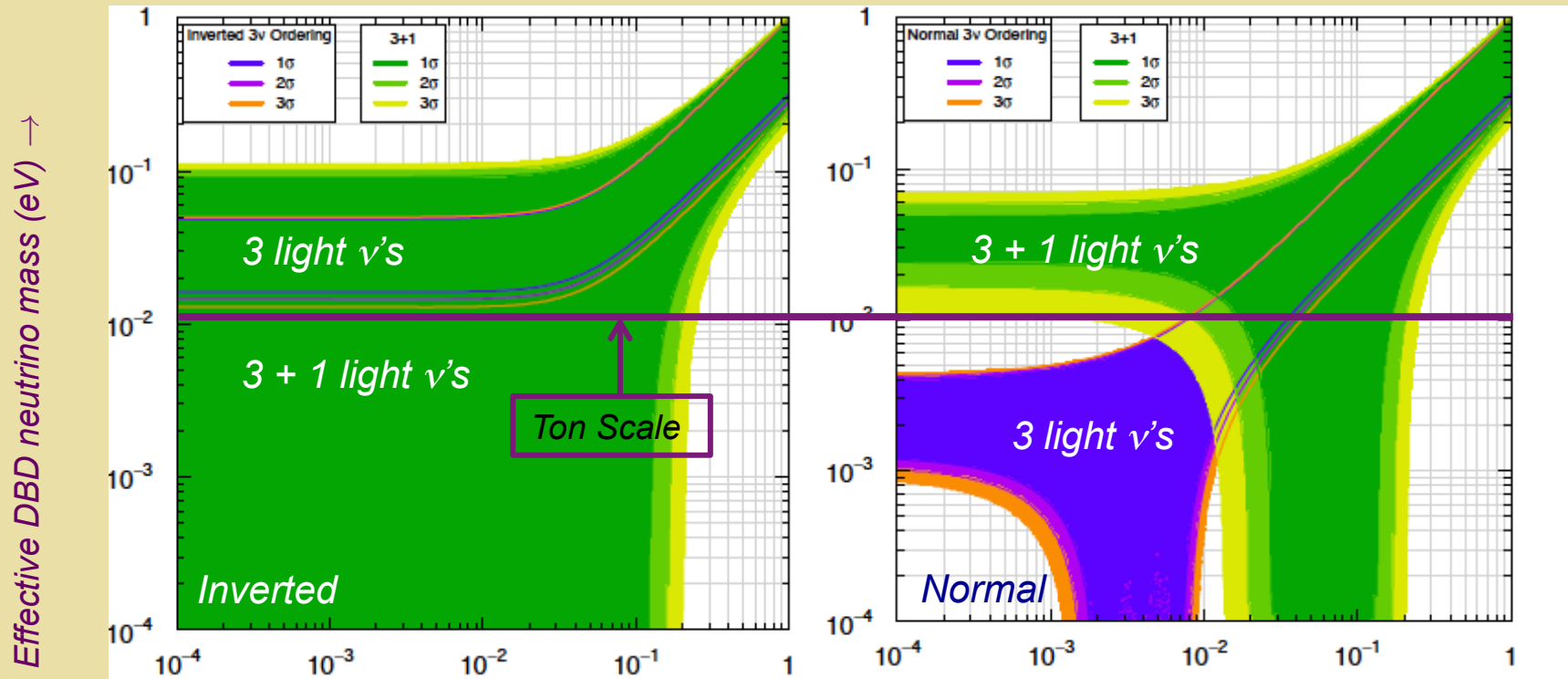
$0\nu$  DBD:



All three light neutrinos participate  $\rightarrow$   
Rate governed by an **effective mass**



# > 3 Light Neutrinos

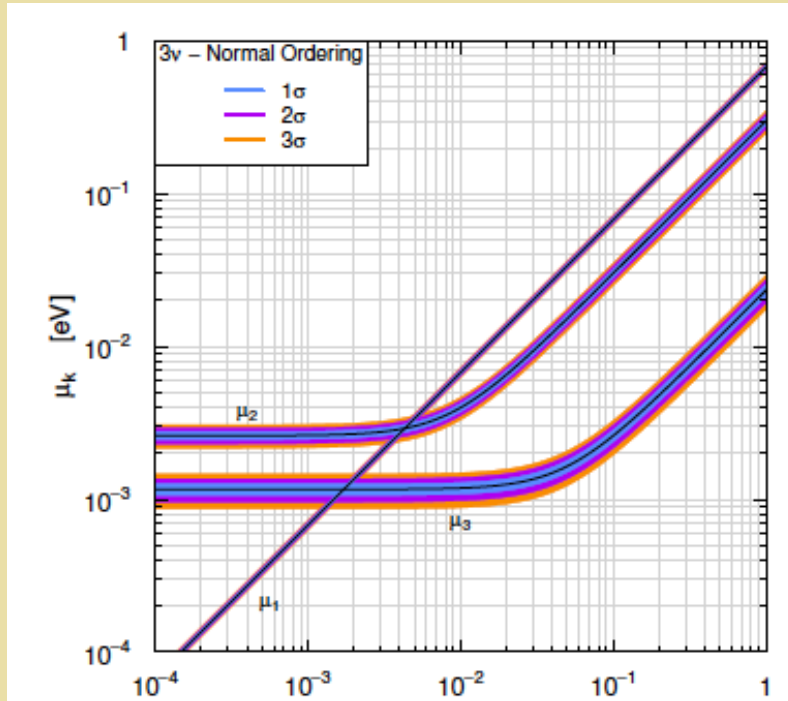


Lightest neutrino mass (eV)  $\rightarrow$

# Sterile Neutrinos & $0\nu\beta\beta$ -Decay

3 active light neutrinos

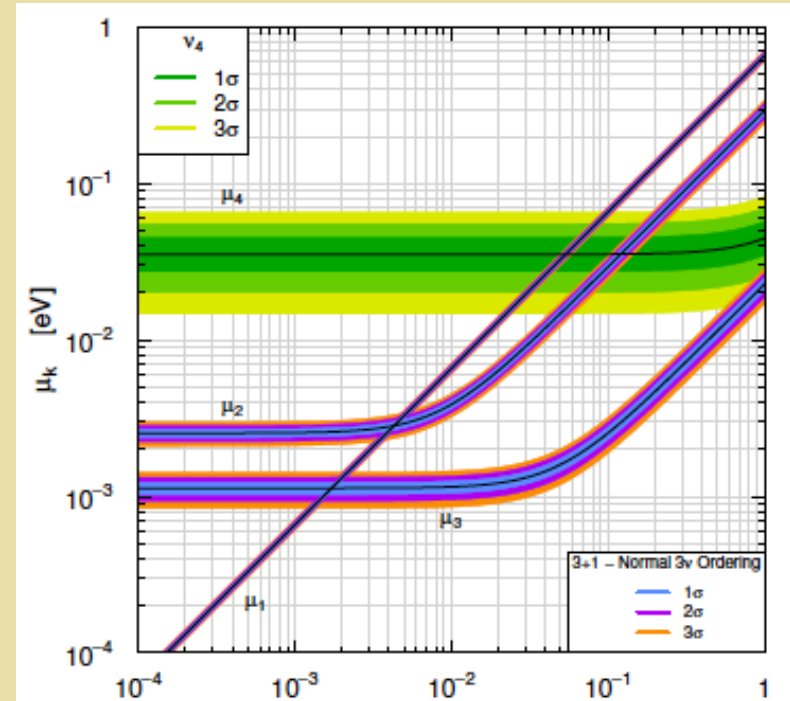
Effective DBD neutrino mass (eV)



Lightest neutrino mass (eV)  $\rightarrow$

$$|m_{\beta\beta}| = |\mu_1 + \mu_2 e^{i\alpha_2} + \mu_3 e^{i\alpha_3}|$$

3+1 active light neutrinos



Lightest neutrino mass (eV)  $\rightarrow$

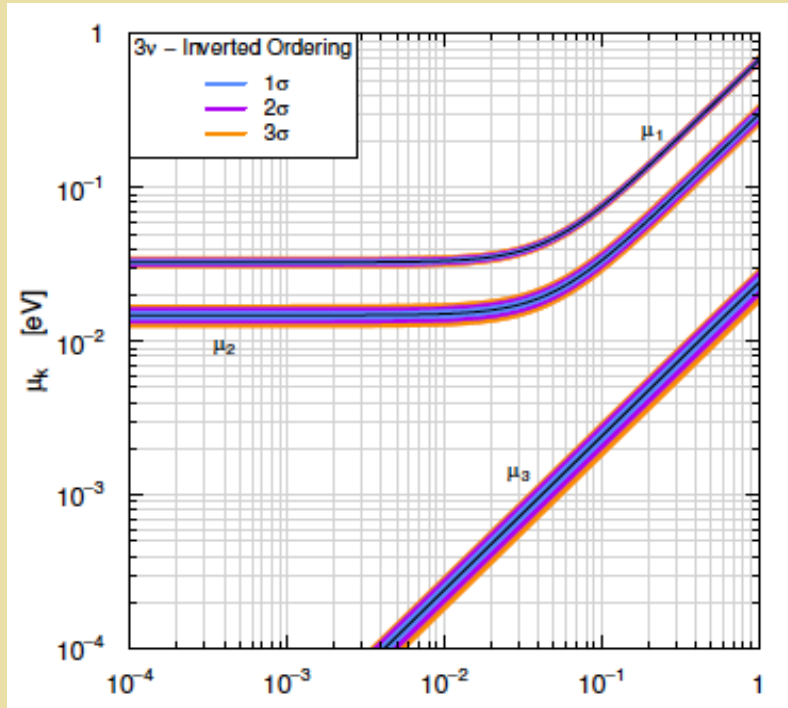
$$|m_{\beta\beta}| = |\mu_1 + \mu_2 e^{i\alpha_2} + \mu_3 e^{i\alpha_3} + \mu_4 e^{i\alpha_4}|$$



# Sterile Neutrinos & $0\nu\beta\beta$ -Decay

3 active light neutrinos

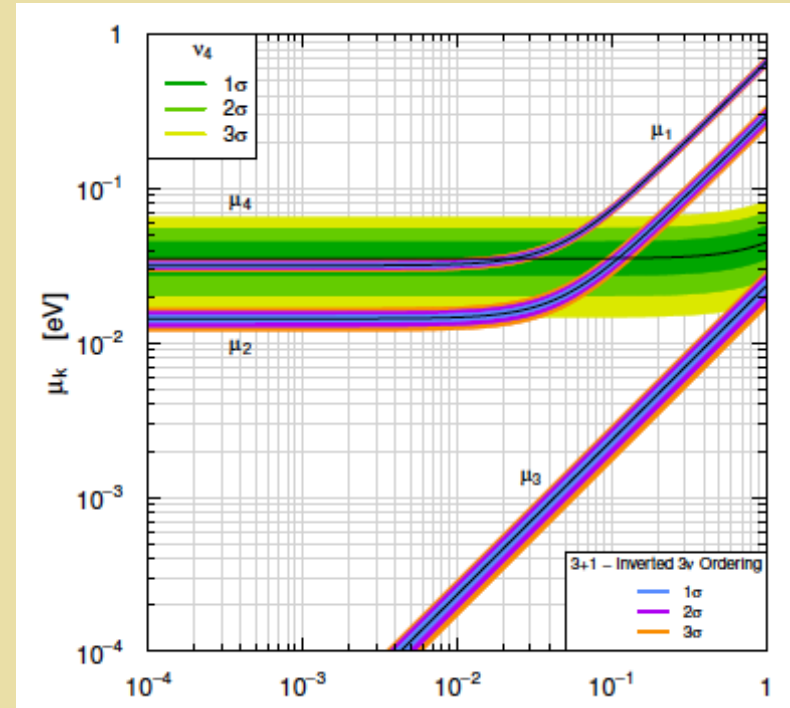
Effective DBD neutrino mass (eV)



Lightest neutrino mass (eV)  $\rightarrow$

$$|m_{\beta\beta}| = |\mu_1 + \mu_2 e^{i\alpha_2} + \mu_3 e^{i\alpha_3}|$$

3+1 active light neutrinos



Lightest neutrino mass (eV)  $\rightarrow$

$$|m_{\beta\beta}| = |\mu_1 + \mu_2 e^{i\alpha_2} + \mu_3 e^{i\alpha_3} + \mu_4 e^{i\alpha_4}|$$

## *V. Discussion Questions*

- *What is a sphaleron ?*
- *Is the CPV in  $V_{PMNS}$  the same as CPV for leptogenesis ?*
- *What is the conventional leptogenesis scale ?*
- *What is the Schechter-Valle (black box) theorem ?*