#### Lecture 2:

#### Dense Matter and the Astrophysics of Supernovae and Neutron Stars

# **Cold Compression**



 $x \Rightarrow 10^{-5} x$ 



 $x \Rightarrow x/3$ 

 $x \Rightarrow 10^{-10} x$ 





# **Compression: Frustration and Liberation**



Density	Energy	Phenomena	
10 <sup>3</sup> - 10 <sup>6</sup> g/cm <sup>3</sup>	Electron Chemical Pot. $\mu_e=10 \text{ keV- MeV}$	Ionization	
10 <sup>6</sup> - 10 <sup>11</sup> g/cm <sup>3</sup>	Electron Chemical Pot. µ <sub>e</sub> = 1–25 MeV	Neutron-rich Nuclei	
10 <sup>11</sup> - 10 <sup>14</sup> g/cm <sup>3</sup>	Neutron Chemical Pot. µn= 1-30 MeV	Neutron-drip	
10 <sup>14</sup> - 10 <sup>15</sup> g/cm <sup>3</sup>	Neutron Chemical Pot. µn=30-1000 MeV	Nuclear matter Hyperons or Quarks ?	

## Nuclei Immersed in a dense electron gas

Beta Equilibrium:  $e^- + p \rightarrow n + \nu_e, \quad n \rightarrow p + e^- + \bar{\nu}_e$ n,p  $\mu_n - \mu_p = \mu_e \simeq 4 \ \alpha_{\rm sym} (1 - 2 \ x_p)$ e  $x_p \simeq \frac{1}{2} \left( 1 - \frac{\mu_e}{4 \alpha_{\rm sym}} \right) \left( 1 + \frac{\alpha_{\rm C} A^{2/3}}{4 \alpha_{\rm sym}} \right)^{-1}$ **Neutron Fermi**  $\mu_n \simeq -\alpha_{\text{bulk}} + 2\alpha_{\text{sym}} [(1 - 2x_p) - \frac{1}{2}(1 - 2x_p)^2]$ levels rise :

Neutrons drip at : 
$$x_p \simeq \frac{1}{2} \sqrt{1 - \frac{\alpha_{\text{bulk}}}{\alpha_{\text{sym}}}} \approx 0.34$$

Element	z	N	Z/A	$\rho_{\rm max}^{\rm a}$ (g cm <sup>-3</sup> )	$\mu_e^b$ (MeV)	$\Delta \rho / \rho^{c}$ (%)
Using exp	oerime	ntal nu	clear mass	ses		
<sup>56</sup> Fe	26	30	0.4643	7.96 10 <sup>6</sup>	0.95	2.9
<sup>62</sup> Ni	28	34	0.4516	$2.71 \ 10^8$	2.61	3.1
<sup>64</sup> Ni	28	36	0.4375	1.30 10 <sup>9</sup>	4.31	3.1
<sup>66</sup> Ni	28	38	0.4242	1.48 109	4.45	2.0
<sup>86</sup> Kr	36	50	0.4186	3.12 10 <sup>9</sup>	5.66	3.3
<sup>84</sup> Se	34	50	0.4048	1.10 10 <sup>10</sup>	8.49	3.6
<sup>82</sup> Ge	32	50	0.3902	2.80 10 <sup>10</sup>	11.44	3.9
<sup>80</sup> Zn	30	50	0.3750	5.44 10 <sup>10</sup>	14.08	4.3
<sup>78</sup> Ni	28	50	0.3590	9.64 10 <sup>10</sup>	16.78	4.0
From the	mass f	ormul	a of Möller	r (1992), unpubl	ished result	s
<sup>126</sup> Ru	44	82	0.3492	1.29 1011	18.34	3.0
<sup>124</sup> Mo	42	82	0.3387	1.88 1011	20.56	3.2
<sup>122</sup> Zr	40	82	0.3279	2.67 1011	22.86	3.4
<sup>120</sup> Sr	38	82	0.3167	3.79 1011	25.38	3.6
<sup>118</sup> Kr	36	82	0.3051	(4.33 10 <sup>11</sup> ) <sup>d</sup>	(26.19)	

Table 1 Nuclides in the ground state of cold matter as a function of density, from Haensel & Pichon (21)

 ${}^{a}\rho_{max}$  is the maximum density at which the nuclide is present.  ${}^{b}\mu_{e}$  is the electron chemical potential (including electron rest mass) at that density.  $^{c}\Delta\rho/\rho$  is the fractional increase in the mass density in the transition to the next nuclide.

<sup>d</sup>The lines with  $\rho_{max}$  in parentheses correspond to the neutron drip point.

# Electron-nucleus Interaction and Lattice Energy



To good approximation electron charge distribution is uniform.

$$E_{\rm C} = \frac{3}{5} \frac{Z^2 \alpha}{r} \left( 1 - \frac{3}{2} \frac{r}{R} + \frac{1}{2} \frac{r^3}{R^3} \right)$$

Nucleus becomes unstable to deformations when

$$E_{\rm C}^0 = \frac{3}{5} \frac{Z^2 \alpha}{r} > 2 E_S$$
  
or  $\left(1 - \frac{3}{2} \frac{r}{R} + \frac{1}{2} \frac{r^3}{R^3}\right) < \frac{1}{4}$ 

Bohr-Wheeler (1938)

Prblm 1.5 : Show that the Coulomb energy per unit cell is given by the above expression.

#### Non-spherical nuclei or Pasta

For spherical nuclei 
$$E_{\rm C} = \frac{3}{5} \frac{Z^2 \alpha}{r} \left( 1 - \frac{3}{2} \frac{r}{R} + \frac{1}{2} \frac{r^3}{R^3} \right)$$

For "d" dimensional structures:



 $f_{3}(u) = \frac{1}{5}(2 - 3u^{1/3} + u) \simeq \frac{2}{5},$ where:  $f_{2}(u) = \frac{1}{4}\left(\ln\frac{1}{u} - 1 + u\right) \simeq \frac{1}{4}\ln\frac{1}{eu}$  $f_{1}(u) = \frac{1}{3}\left(\frac{1}{u} - 2 + u\right) \simeq \frac{1}{3u}.$ 

# For small surface tension pasta is favored.

2 dimensions. Typical logarithmic behavior

1 dimension. "Confining potential"  $\propto r_{\rm N}r_{\rm c}$ 

Baym, Bethe, Pethick (1971)

# Bulk Matter at T=0 Weak Equilibrium : $\mu_n = \mu_p + \mu_e$ + Charge neutrality : $n_e = n_p$ $5 \times 10^{14} \rho ~({\rm g/cm}^3)$ $10^{11} \ 10^{13} \ 10^{14}$ Nuclei Neutron-rich ? Matter Pasta Vacuum Neutron-drip -8 MeV ~100 MeV ()

 $\mu_n - M_n \longrightarrow$ 



## **Nuclear** Pairing



at high density.

P-wave interaction in spin 1 channel is attractive at high density.

P-wave gap is quite uncertain and likely to be small.

#### Phase Structure at T=0



#### **Neutron Star Interiors**





# Hyperons

#### At high density hyperons may appear because: $\mu_n > M_\Lambda$ $\mu_n + \mu_e > M_{\Sigma}$

Energy to create a hyperon in dense matter is

$$e_B(k) = M_B + \frac{k^2}{2M_B} + U_B(k)$$

Strong repulsive forces between nucleon-hyperon can disfavor their appearance at high density.

The hyperon-nucleon and hyperon-nucleon-nucleon interactions are not well constrained to draw definite conclusions.

If hyperons appear they will reduce the pressure of dense matter - we shall discuss its implications for neutron star masses.

## Quarks Matter at Extreme Density and T=0



Interactions lead to pairing and color superconductivity

Strongest attraction in colorantisymmetric channel: Color-Flavor-Locking

$$\Delta \gg \frac{m_s^2}{4\mu}$$

Alford, Rajagopal, Wilczek (1999)



 $n_u = n_d = n_s$ 

## **Color-Flavor Locked Phase**



Color superconductor and transparent insulator.

Excitation Spectrum

Alford, Rajagopal & Wilczek, (1999)

## **Quark Matter in Neutron Stars**



•Competition between chiral and di-quark condensation.

- •Strong correlations and Fermi liquid effects.
- •Need to rely on models.

Rich phase diagram but difficult to the predict ground state with current techniques.





Expanded

Very Large Array





#### THE DARK ENERGY SURVEY

#### Photons





Neutrinos

Palomar Transient Facto camera on the Palomar 4

#### Pan-STARRS Gigapixel Cam Gravitational Waves

## Neutron Stars: Bona fide Multi-messenger Sources

#### Supernova







Credit: Luciano Rezzola

#### Accreting Neutron Stars

#### Gamma-Ray Bursts

**Binary Neutron Star Mergers** 



#### Credit: Nicolle Rager Fuller/NSF

neutron sta

Credit: NASA/CXC/S Lee Credit: David Hardy & PPARC

# Some Big Questions

- 1. What are the nuclear and neutrino processes that shape the cosmos ?
- 2. How do supernovae explode ?
- 3. What should we expect from NS mergers ?
- 4. Where and how are the heavy elements synthesized ?
- 5. Are there new states of matter inside neutron stars ?
- 6. Can we interpret multi-messenger signals to extract fundamental physics ?







# Stellar Evolution, Supernova & Neutron Stars

10<sup>8</sup> Neutron stars expected in the galaxy ~2000 Neutron stars observed



#### Measuring Neutron Stars



#### Some Recent Closervations



2 Solar Mass NS measured using Shapiro-delay. Demorest et al. (2010)



Modeling observed thermal surface emission suggests radii in the range 10-13 km. Poutanen et al. (2015)



#### **Cooling Accreting Neutron Stars**



# Equation of State and Neutron Star Structure



#### $P(\varepsilon) + \text{Gen.Rel.} = M(R)$

Small radius and large maximum mass implies a rapid transition from low pressure to high pressure with density.



# EFT and Phenomenological Models



#### Equation of State of Neutron Matter

$$\epsilon(n) = n \ (M_n + E_n(n)) \qquad P(\epsilon) = n^2 \ \frac{\partial E_n(n)}{\partial n}$$

Predictions of microscopic theories:

Energy per baryon: 
$$E_n(\rho) = a \left(\frac{n}{n_0}\right)^{\alpha} + b \left(\frac{n}{n_0}\right)^{\beta}$$

(Parameterization suggested by Gandolfi, 2009)

 $a = 12 \pm 1 \text{ MeV}$   $\alpha = 0.45 \pm 0.05$   $\longrightarrow$  2-body interactions  $b = 4 \pm 2 \text{ MeV}$   $\beta = 2.3 \pm 0.3$   $\longrightarrow$  2 & 3-body interactions

Akmal & Pandharipande 1998, Hebeler and Schwenk 2009, Gandolfi, Carlson, Reddy 2010, Tews, Kruger, Hebeler, Schwenk (2013), Holt Kaiser, Weise (2013), Roggero, Mukherjee, Pederiva (2014), Wlazlowski, Holt, Moroz, Bulgac, Roche (2014),

#### Neutron Star Structure



#### Radii from Hot Spots:



Neutron star Interior Composition ExploreR

#### Neutron Star Thermal Evolution

#### Phases of Cold Dense Matter in Neutron Stars



## Pairing in the Core

\* Proton pair due to s-wave interaction.  $\Delta_p \approx 0.1 - 1 \text{ MeV}$ \* Neutrons pair in p-waves with spin-1 channel.  $\Delta_n \approx 0.01 - 0.1 \text{ MeV}$ 



$$\langle \psi_p^T \psi_P \rangle = \Delta_p^0 \ e^{i2\theta_p}$$

Action is invariant under:  $\theta_p \rightarrow \theta_p + \phi_p$ 

Neutrons: 🛕



 $\langle \psi_n^T \sigma_2 \sigma^i \overleftrightarrow \nabla^j \psi_n \rangle = \Delta_n^{ij} e^{i2\theta_n}$ Action is invariant under:  $\theta_n \to \theta_n + \phi_n$ 

tion is invariant under:  $\sigma_n \to \sigma_n \dashv \Delta_n^{ij} \to \mathcal{R}(\beta) \Delta^{ij} \mathcal{R}^T(\beta)$ 

Low energy excitations are described by fluctuations of:

 $\phi_p(x,t), \phi_n(x,t), eta(\overline{x,t}), eta(\overline{x,t})$ 

#### Low energy modes in the core

- 4 Goldstone modes:
- 1 neutron density mode (n-phonon).
- 1 electron-proton mode (ep-phonon).
- 2 modes associated with fluctuations of spin and angular momentum of neutron cooper pairs (angulons).

Neutron star seismology and thermal properties are qualitatively different due to pairing.



## Effective Lagrangian for Phonons and Angulons

Phonons:  

$$\mathcal{L}_{0} = \frac{1}{2} (\partial_{t} \phi_{n})^{2} - \frac{v_{n}^{2}}{2} (\partial_{i} \phi_{n})^{2} + \frac{1}{2} (\partial_{t} \phi_{p})^{2} - \frac{v_{p}^{2}}{2} (\partial_{i} \phi_{p})^{2}$$

$$+ g_{pn} \partial_{t} \phi_{n} \partial_{t} \phi_{p} - v_{pn}^{2} \partial_{i} \phi_{n} \partial_{i} \phi_{p}$$

Bedaque and Reddy (2013). Kobyakov, Pethick, Reddy, Schwenk (2017)

Angulons:  

$$\mathcal{L}_{ang} = \sum_{i=1,2} \left[ \frac{1}{2} (\partial_0 \beta_i)^2 - \frac{1}{2} v_{\perp}^{i^2} ((\partial_x \beta_i)^2 + (\partial_y \beta_i)^2) + v_{\parallel}^2 (\partial_z \beta_i)^2 + \frac{eg_n f_\beta}{2M\sqrt{-\nabla_{\perp}^2}} \left[ \mathbf{B}_1 \partial_0 (\partial_y \beta_1 + \partial_x \beta_2) + \mathbf{B}_2 \partial_0 (\partial_x \beta_1 - \partial_y \beta_2) \right] \right]$$

Bedaque, Nicholson (2013)

Higher order terms of the derivative expansion are highly suppressed.

Expansion parameter is  $p/\sqrt{Mk_F}$ 

Breakdown scale is  $\omega \approx 2\Delta$ 

#### Low energy excitations in the crust



Baym Pethick & Sutherland (1971) Negele & Vautherin (1973)

# Phonons in the Inner Crust



Proton (clusters) move collectively on lattice sites. Displacement is a good collective coordinate.

Neutron superfluid: Goldstone excitation is the fluctuation of the phase of the condensate.

**Vector Field:**  $\xi_i(r,t)$ **Scalar Field:**  $\phi(r,t)$ 

#### Excitations and Interactions in the Inner Crust

Electrons and 2 longitudinal and 2 transverse phonons are the relevant excitations.

Thermal and transport properties of the solid and superfluid crust can be calculated using an effective field theory.

Mixing between phonons leads to strong Landau damping. Phonon conduction is highly suppressed.



Cirigliano, Reddy & Sharma (2011), Page & Reddy (2012), Chamel, Page, & Reddy (2013), Roggero & Reddy (2016)

## **Crustal Specific Heat**



Electrons:  $C_V^e \simeq \mu_e^2 T$ 

Phonons:

$$C_V^i \simeq \frac{T^3}{v_i^3}$$

If neutrons were normal

 $C_V^n \simeq M \ k_{Fn} \ T$ 

their contribution would overwhelm.

Page & Reddy (2012), Chamel, Page, Reddy (2013)

# **Transiently Accreting Neutron Stars**

(Nature's low temperature dense matter laboratory)



#### **Physical Processes in Accreting Neutron Stars**

- Accreting neutron stars host phenomena that uniquely probe the physics of its ultra dense interior.
- It is a data driven field.
- Interpreting this data requires a coordinated effort that combines theory, experiment and observations.
   JINA-CEE has played a key role.





# **Deep Crustal Heating**

During accretion nuclear reactions release: ~ 2-4 MeV / nucleon

Sato (1974), Haensel & Zdunik (1990), Brown, Bildsten Rutledge (1998) Gupta et al (2007,2011).





# **Cooling Post Accretion**

All known Quasi-persistent sources show cooling after accretion

•After a period of intense accretion the neutron star surface cools on a time scale of ~1000 days.

•This relaxation was first discovered in 2001 and 6 sources have been studied to date.

•Expected rate of detecting new sources ~ 1/year.



Figure from Rudy Wijnands (2013)

#### Thermal Evolution of the Crust

Temperature profile in the crust depends on the duration of the accretion phase.

When accretion ends heat flows into the core and is radiated away as neutrinos.

Timescale for cooling is set by the heat diffusion time.



Shternin & Yakovlev (2007) Cumming & Brown (2009) Page & Reddy (2011)

#### **Connecting to Crust Microphysics**



- Observed timescales are short.
- Requires small specific heat and large thermal conductivity.
- Favors a solid (with small impurity fraction) and superfluid inner crust.

#### Measuring the Heat Capacity of the Core

Heat the star, allow it to relax, and observe the change in temperature:

 $C_{NS} dT = dQ$ 



Cumming et al. (2016)

When 
$$C_{NS} = \alpha T$$
:  $\frac{\alpha}{2} (T_f^2 - T_i^2) = \Delta Q$   
Lower limit:  $C_{NS}(T_f) > 2\frac{\Delta Q}{T_f}$   
 $\Delta Q = \dot{H} \times t_H - L_{\nu} \times (t_H + t_{obs})$   
heating neutrino cooling rate duration of heating time of observation (after heating ceases)

#### Observations of KS 1731-260

Quiescent Surface Temperature (post relaxation):  $T_s = 63.1 \text{ eV}$ Accretion Phase: 12 yrs at dM/dt  $\approx 10^{17} \text{ g/s}$ Thermal Relaxation: t  $\approx 8$  yrsWijnands et al. (2002) Cackett et al. (2010)

#### **Inferred Core Temperature:**

Insulating envelope supports a temperature gradient near the surface.

Heavy element envelope:

Light element envelope:

$$T_c^{\infty} = 7.0 \times 10^7 \text{ K } \left(\frac{T_s^{\infty}}{63.1 \text{ eV}}\right)^{1.82}$$
$$T_c^{\infty} = 3.1 \times 10^7 \text{ K } \left(\frac{T_s^{\infty}}{63.1 \text{ eV}}\right)^{1.65}$$

**Inferred Energy Deposition:** 

$$\Delta Q = \dot{H} \times t_H = 6 \times 10^{43} \text{ ergs } \left(\frac{Q_{nuc}}{2 \text{ MeV}}\right) \left(\frac{\dot{M}}{10^{17} \text{ g/s}}\right) \left(\frac{t_H}{10 \text{ yrs}}\right)$$

Cumming, Page, Brown, Reddy, Horowitz and Fatttoyev (2016)



Cumming, Page, Brown, Reddy, Horowitz and Fatttoyev (2016)

#### The other messengers



Nuclear interactions and the equation of state of neutron-rich dense matter plays role. Is essential to interpret observations and unravel correlations.

## Core Collapse Supernova

newly born



- The time structure of the neutrino signal depends on how heat is transported in the neutron star core.
- The spectrum is set by scattering in a hot (T=3-6 MeV) and not so dense  $(10^{12}-10^{13} \text{ g/cm}^3)$  neutrino-sphere.

#### Supernova Neutrinos

PNS

Neutrino spectrum and luminosity is crucial to:

- Supernova explosion mechanism
- Heavy-element nucleosynthesis
- Neutrino detection

**Neutrino-sphere**: Neutrino spectra is determined at high density  $10^{12}$ - $10^{13}$  g/cm<sup>3</sup> and T~ 4-8 MeV at R ~ 10-20 km

Neutrino heating: Heat deposition in the gain region is essential for the explosion – mechanism. R~ 50-100 km

Nucleosynthesis: occurs in a neutrino driven wind at low-density and high entropy.  $R \sim 10^3-10^4$  km

# Where does the r-process occur ?

There is general consensus that it involves either one or two neutron stars.

• The one neutron star scenario: Neutrino driven wind in a corecollapse supernova. [Fragile]

 The two neutron star scenario: Dynamical ejection of matter in binary neutron star mergers. [Robust]



# **Necessary Conditions**

High neutron to seed ratio is needed to populate the observed abundance peaks at A~130 and A ~ 190.

This requires:

- High entropy per baryon. Hydrodynan
- Short expansion time.
- Neutron-rich ejecta.

Hydrodynamics, Magnetic Fields, etc Neutrino Spectra

Dense matter properties determine the neutrino spectra emerging from the hot neutron star.

## Neutrino Spectra and its Impact

![](_page_53_Figure_1.jpeg)

Spectrum of  $\nu_e$  and  $\bar{\nu}_e$  are most relevant.

$$\overline{\nu}_{e}$$
+ p  $\rightarrow$  n + e<sup>+</sup>  
 $\nu_{e}$  + n  $\rightarrow$  p + e<sup>-</sup>

#### Charged Currents and Symmetry Energy

![](_page_54_Figure_1.jpeg)

Nuclear Medium

Energy difference between neutrons and protons in neutron-rich matter is large.

$$\mathbf{Q} = \varepsilon_n(\vec{k}) - \varepsilon_p(\vec{k} - \vec{q})$$
$$\cong M_n - M_p + \Sigma_n(k) - \Sigma_n(k - q)$$

Due to large scattering lengths, a shallow bound state, and large effective range, interactions are non-perturbative at low density and moderate temperature.

![](_page_54_Figure_6.jpeg)

Reddy, Prakash & Lattimer (1998), Martinez-Pinedo et al. (2012), Roberts & Reddy (2012), Rrapaj, Bartl, Holt, Reddy, Schwenk (2015)

#### Modified Mean Free Paths and Neutrino Decoupling

Modest changes to the single particle energies has a large effect on neutrino mean free paths and their spectra.

 $v_{e}$  + n  $\rightarrow$  p +  $e^{-}$ 

Potential energy gain associated with converting neutrons into protons helps overcome electron final state blocking. Spectrum gets colder.

$$\overline{\nu}_{e}$$
+ p  $\rightarrow$  n + e<sup>+</sup>

Energy needed to convert neutrons into protons reduces the phase space and the reaction cross-section. Spectrum get hotter.

![](_page_55_Figure_6.jpeg)

Implication for supernova neutrino detection: More events in water Cherenkov detectors and fewer events in Liquid Argon.

#### **Neutron Star Merger Dynamics**

(General) Relativistic (Very) Heavy-Ion Collisions at ~ 100 MeV/nucleon

![](_page_56_Picture_2.jpeg)

![](_page_56_Picture_3.jpeg)

# t = 1.0 ms

![](_page_56_Picture_5.jpeg)

![](_page_56_Picture_6.jpeg)

Merger: Disruption, NS oscillations, ejecta and r-process nucleosynthesis

Post Merger: Ambient conditions power GRBs, Afterglows, and Kilo/Macro Nova

#### Binary inspiral and gravitational waves

GWs are produced by fluctuating quadrupoles.

![](_page_57_Figure_2.jpeg)

![](_page_57_Picture_3.jpeg)

- Advanced LIGO can detect the last 100 or so orbits of a neutron star merger.
  - Detection expected 2017 2018!

#### Late Inspiral: $R_{orbit} \lesssim 10 R_{NS}$

![](_page_58_Figure_1.jpeg)

Quadrupole polarizability:  $\lambda = k_2(\beta, \bar{y}) R_{NS}^5$ 

$$V(r)\simeq -\frac{GM_a}{r}-\frac{GQ_a}{r^3}\approx -\frac{GM_a}{r}-\frac{G\lambda M_b}{r^6}$$

This advances the orbit and changes the rotational phase. Larger radii imply larger tidal effects.

# Neutron Star Radii From Pre Merger Signal

Tidal polarizability or deformability can be extracted from the premerger signal.

![](_page_59_Figure_2.jpeg)

Realistic data analysis by injecting events in a volume between 100-250 Mpc demonstrates discriminating power between EOSs. Pozzo et al. (2013)

With tens of events the radius can be extracted to better than 10% if the waveforms can be modeled. This would provide strong constraints on the nuclear symmetry energy and the dense matter EOS.

# Summary & Outlook

- Neutron stars are central engines for a large class of phenomena and the underlying nuclear and neutrino physics is rich, tractable and testable.
- Observations of accreting neutron stars are providing new insights about neutron star interiors.
- Intriguing correlations between neutron star radii, GWs from mergers, supernovae neutrino spectra, and r-process nucleosynthesis are emerging.
- GWs are here and neutron star mergers are up next. Multimessenger astronomy has much more to reveal.