

# Neutrino Physics

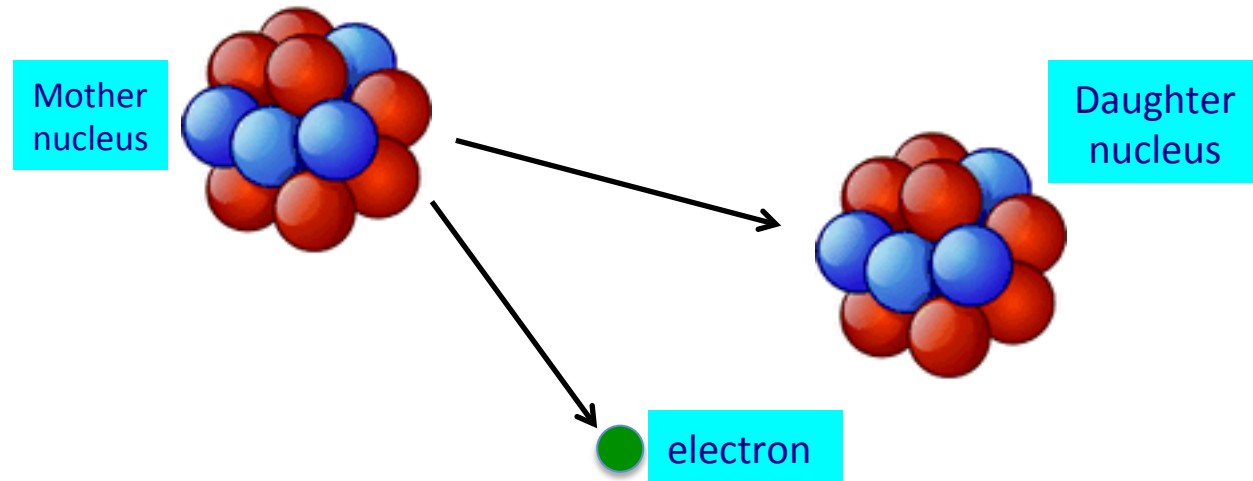
A.B. Balantekin

University of Wisconsin - Madison

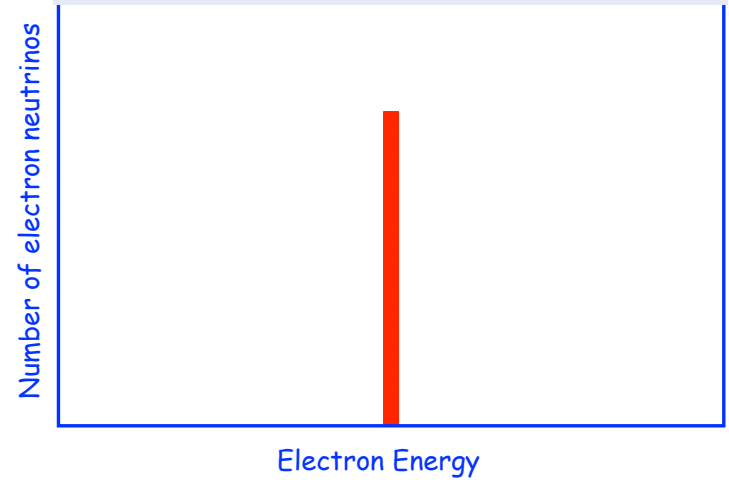
NNPSS 2017, Boulder

# Lecture 1

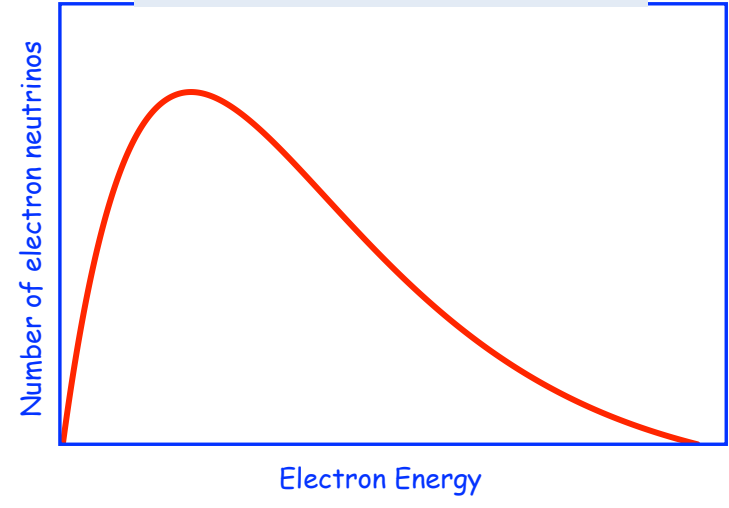
# Neutrino came out of a puzzle about the radioactive decay in the early 1920's:



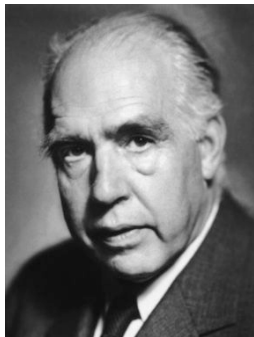
Energy-momentum conservation says that data should look like this



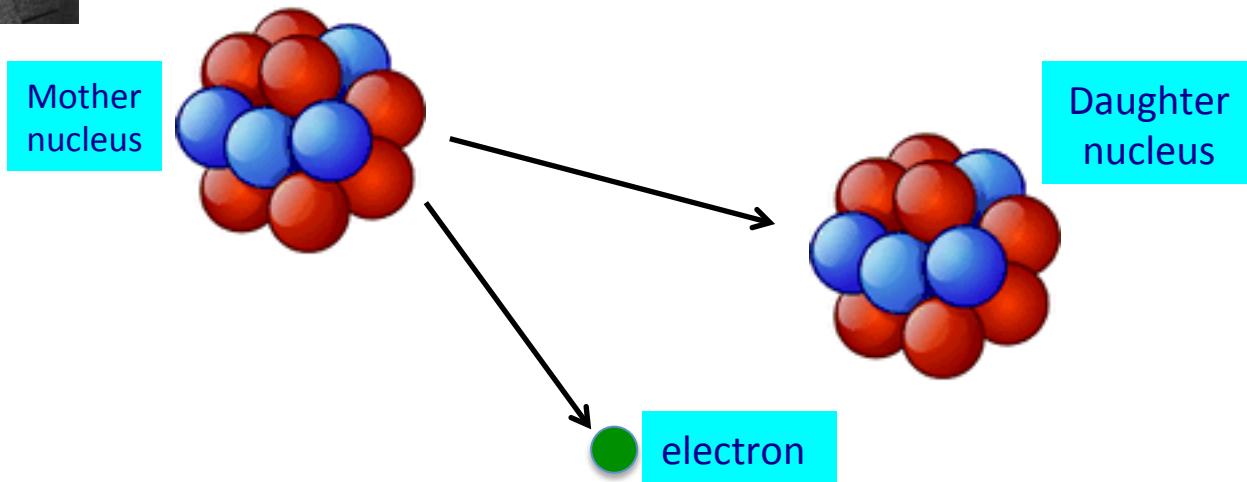
..instead it looks like this



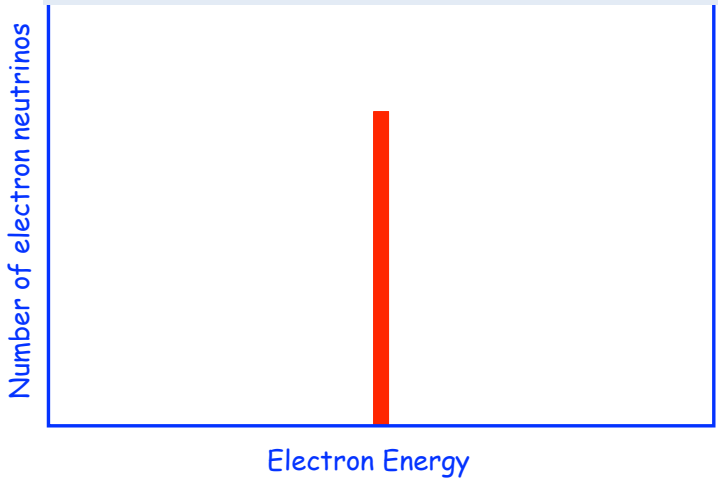
Niels Bohr



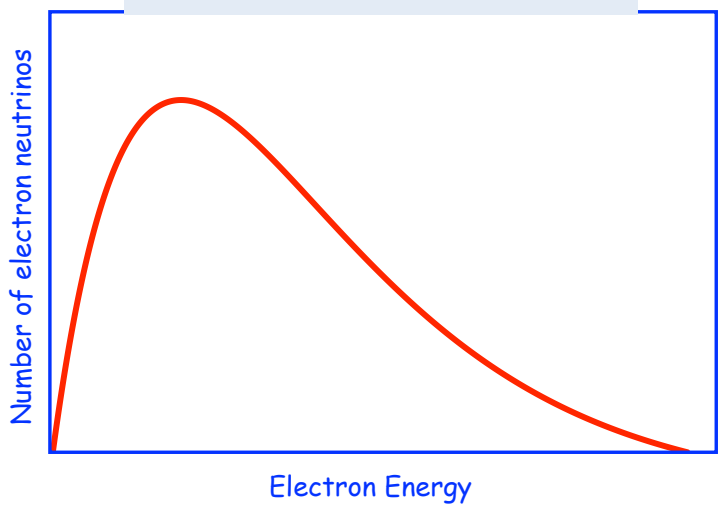
In radioactive decays energy-momentum conservation no longer holds!



Energy-momentum conservation says that data should look like this



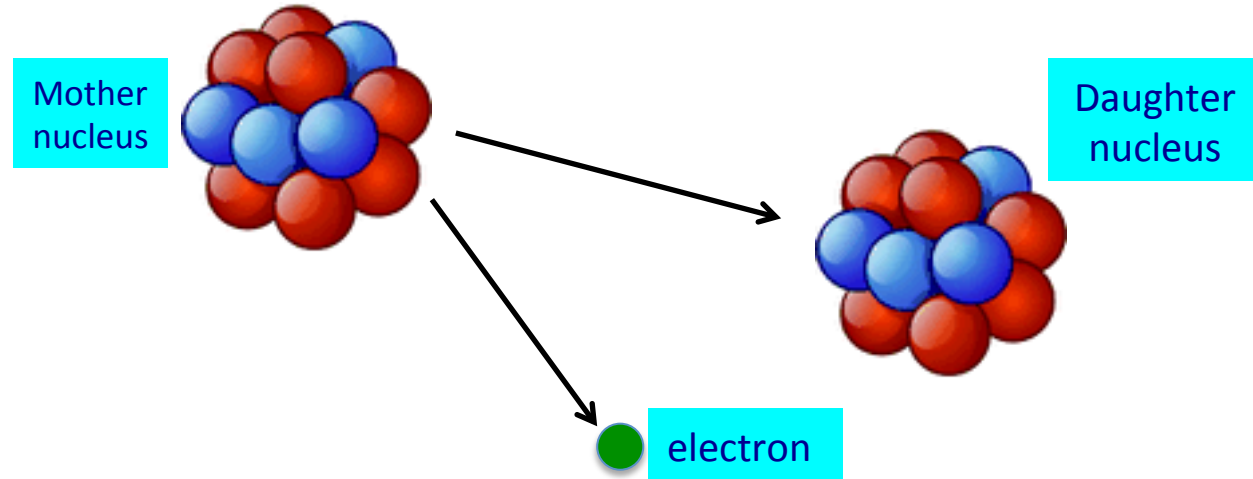
..instead it looks like this



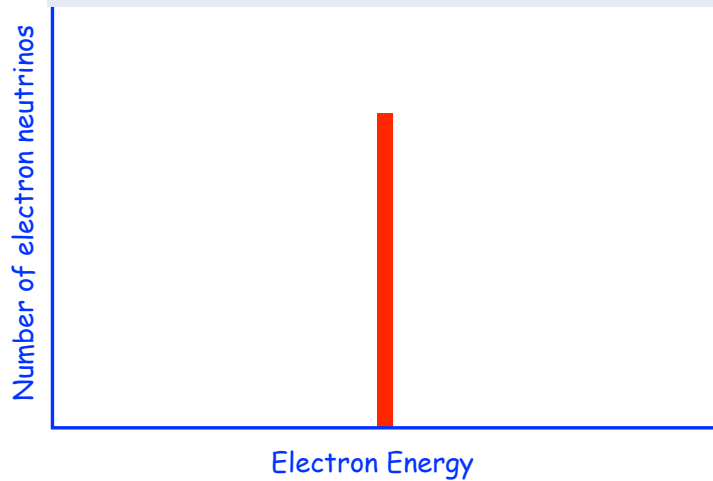
Wolfgang  
Pauli



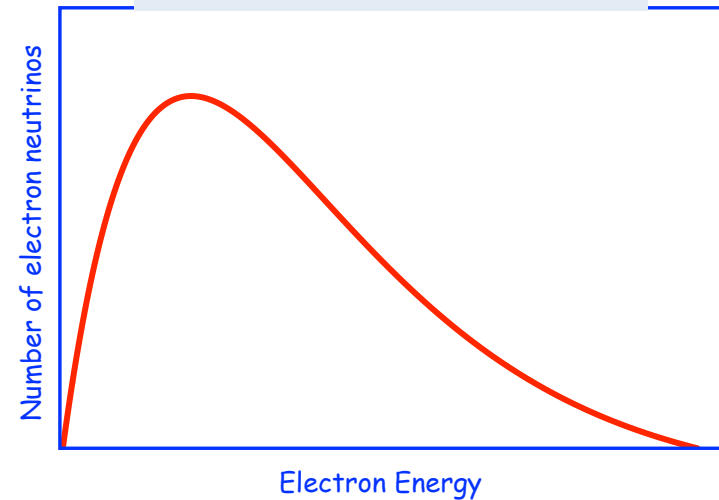
In this reaction there is a third particle produced that you cannot (yet) see!



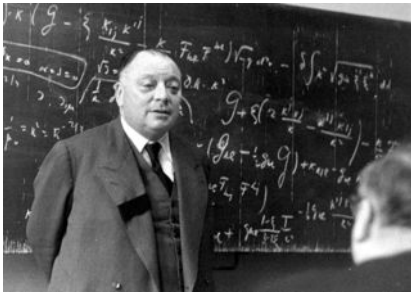
Energy-momentum conservation says that data should look like this



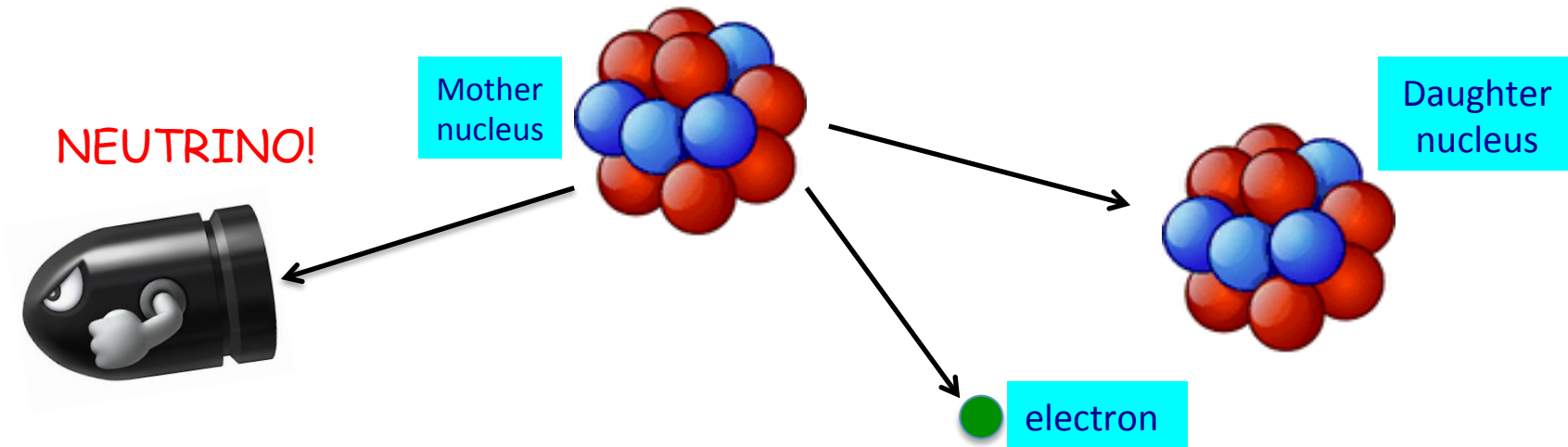
..instead it looks like this



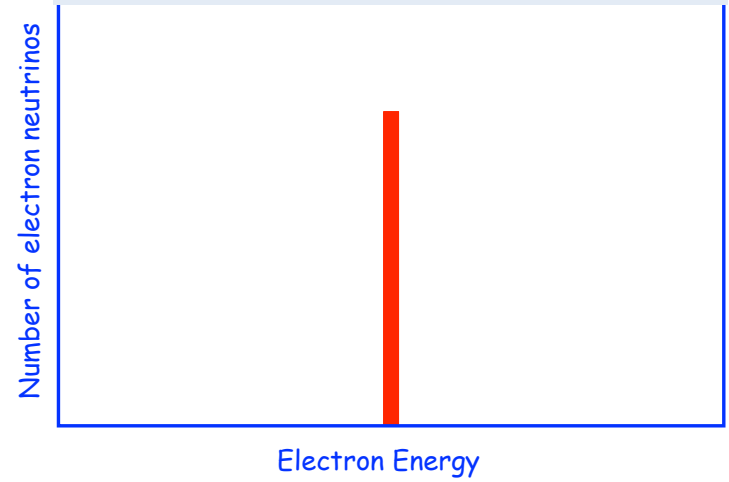
Wolfgang Pauli



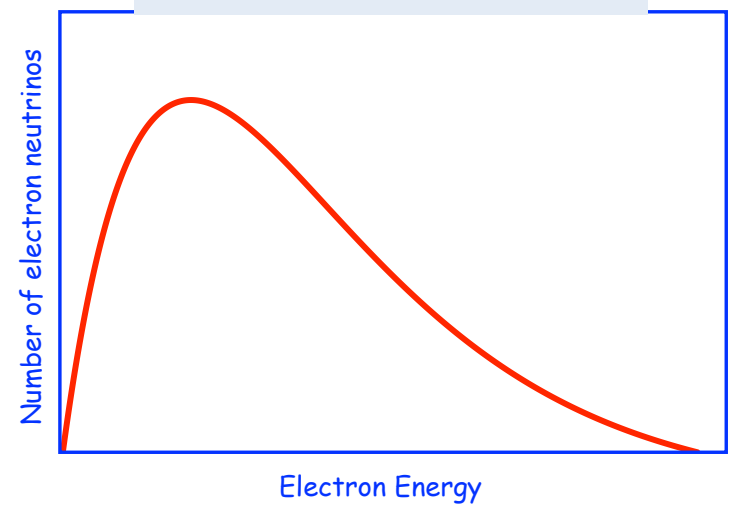
In this reaction there is a third particle produced that you cannot (yet) see!



Energy-momentum conservation says that data should look like this



..instead it looks like this





Wolfgang Pauli,  
father of the neutrino  
and Pauli exclusion  
principle

# Physicist goes to a ball

or

## Mystery of Missing Energy

*Original - Photocopy of Doc. 0393*  
Abschrift/15.12.56 PW

Offener Brief an die Gruppe der Radioaktiven bei der  
Gauvereins-Tagung zu Tübingen.

Abschrift

Physikalisches Institut  
der Eidg. Technischen Hochschule  
Zürich

Zürich, 4. Dez. 1930  
Oliverstrasse

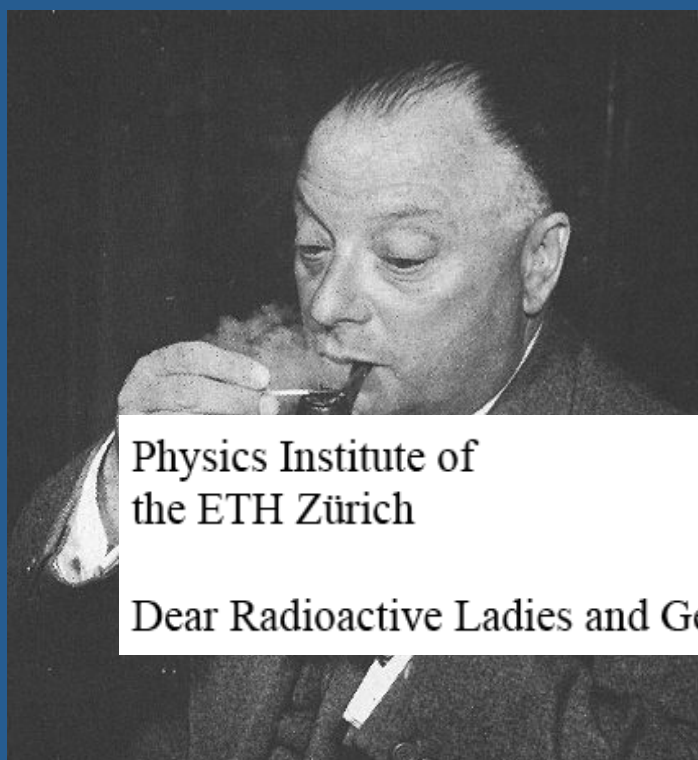
Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich halbvollst  
anzuhören bitte, Ihnen das näherem auseinandersetzen wird, bin ich  
angesichts der "falschen" Statistik der  $N$ - und  $Li-6$  Kerne, sowie  
des kontinuierlichen beta-Spektrums auf einen verweifelten Ausweg  
verfallen um den "Wechselstich" (1) der Statistik und den Energiesatz  
zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale  
Teilchen, die ich Neutronen nennen will, in den Kernen existieren,  
welche den Spin  $1/2$  haben und das Ausschliessungsprinzip befolgen und  
sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie  
nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen  
müsste von derselben Grössenordnung wie die Elektronenmasse sein und  
jedenfalls nicht grösser als  $0,01$  Protonenmasse. Das kontinuierliche  
beta-Spektrum wäre dann verständlich unter der Annahme, dass beim  
beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert  
wird, derart, dass die Summe der Energien von Neutron und Elektron  
konstant ist.

# Physicist goes to a ball

or

energy



Physics Institute of  
the ETH Zürich

Zürich, Dec. 4, 1930

Dear Radioactive Ladies and Gentlemen,

spectrum, I have hit upon a desperate remedy to save the "exchange theorem" (1) of statistics and the law of conservation of energy. Namely, the possibility that in the nuclei there could exist electrically neutral particles, which I will call neutrons, that have spin  $1/2$  and obey the exclusion

Wolfgang Pauli

way of rescue. Thus, dear radioactive people, scrutinize and judge. - Unfortunately, I cannot personally appear in Tübingen since I am indispensable here in Zürich because of a ball on the night from December 6 to 7. With my best regards to you, and also to Mr. Back, your humble

principle





Pauli



Fermi



Meyer



Majorana



Pontecorvo



Pauli



Fermi



Meyer



Majorana



Pontecorvo

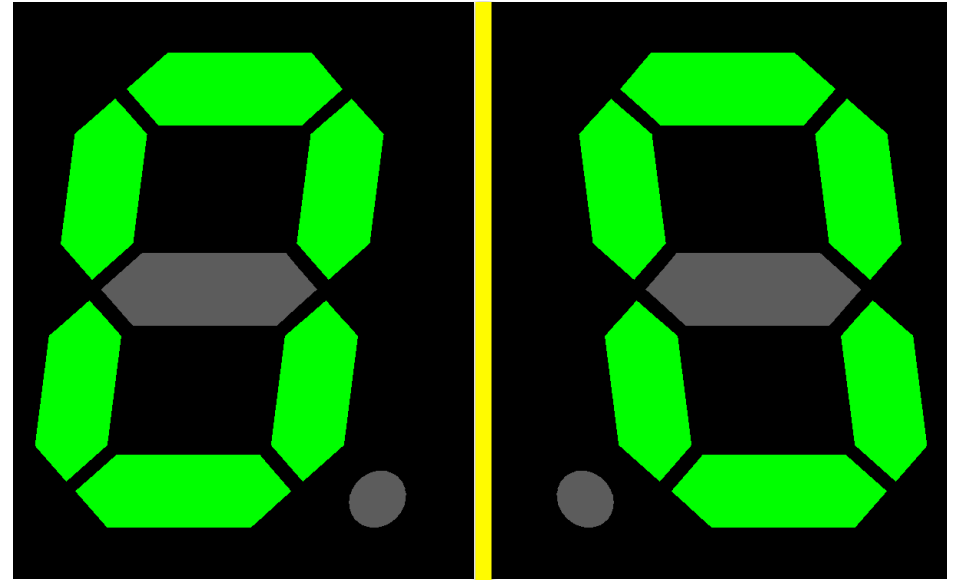


G. Boixader

# PARITY (P)

If a process is permitted by the laws of physics, its mirror image is also permitted.

Not always true



$$\begin{aligned}\mathbf{r} &\xrightarrow{P} -\mathbf{r} \\ \mathbf{p} = -i\hbar\nabla &\xrightarrow{P} -\mathbf{p} \\ \mathbf{A} &\xrightarrow{P} -\mathbf{A} \\ \mathbf{E} = -\nabla\varphi - \frac{1}{c}\frac{\partial\mathbf{A}}{\partial t} &\xrightarrow{P} -\mathbf{E}\end{aligned}$$

vectors

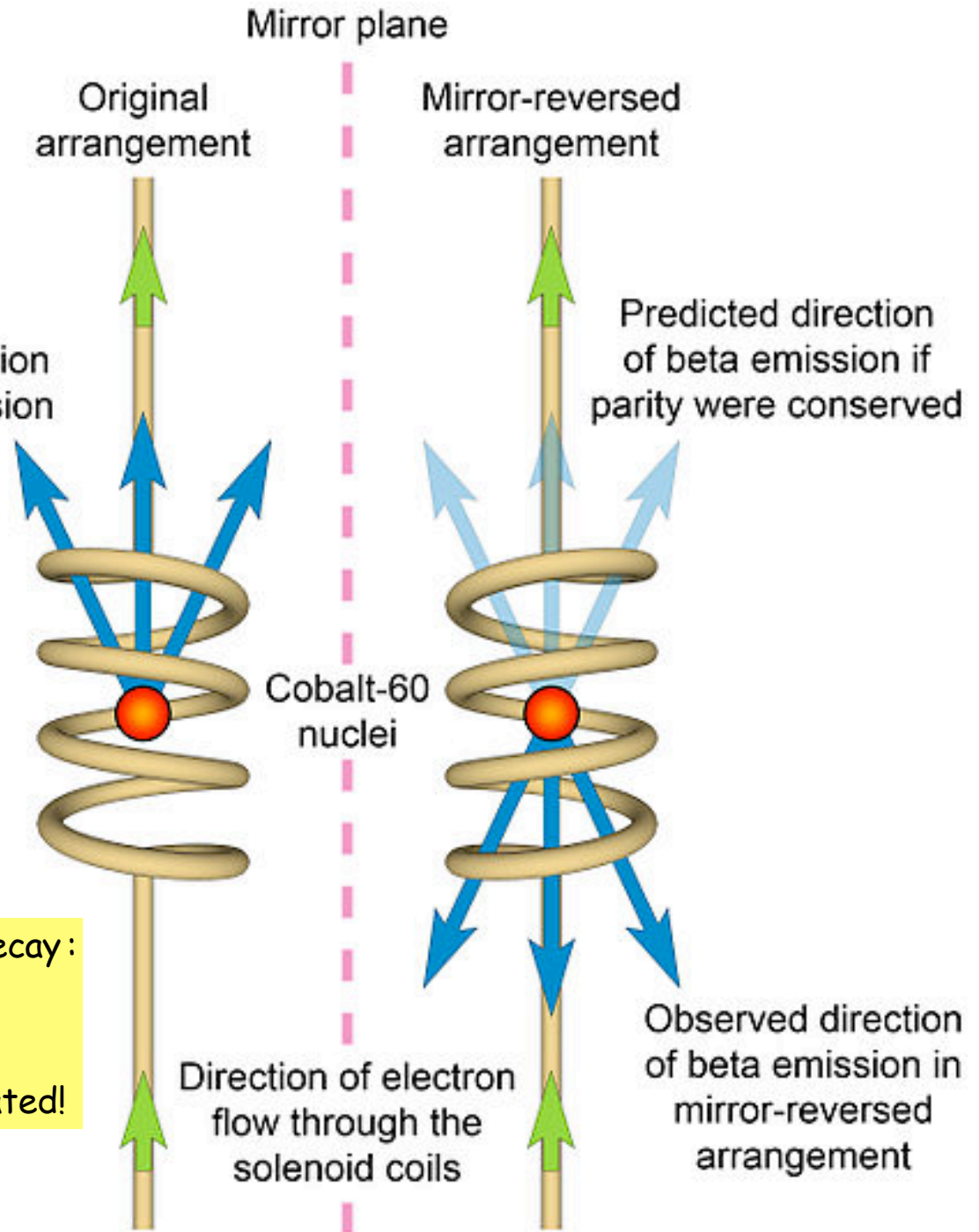
$$\begin{aligned}\mathbf{L} = \mathbf{r} \times \mathbf{p} &\xrightarrow{P} \mathbf{L} \\ \mathbf{S} &\xrightarrow{P} \mathbf{S} \\ \mathbf{B} = \nabla \times \mathbf{A} &\xrightarrow{P} \mathbf{B}\end{aligned}$$

pseudo - vectors

Weak interactions maximally violate parity: Wu's beta decay experiment



Preferred direction of beta ray emission



Wu searched for asymmetry in  $^{60}\text{Co}$  beta decay:

$$A = \frac{N(\mathbf{S} \cdot \mathbf{p} > 0) - N(\mathbf{S} \cdot \mathbf{p} < 0)}{N(\mathbf{S} \cdot \mathbf{p} > 0) + N(\mathbf{S} \cdot \mathbf{p} < 0)}$$

Large asymmetry: parity is maximally violated!

$$i\gamma_\mu \partial^\mu \psi - m\psi = 0 \xrightarrow{P} i\gamma_\mu \partial'^\mu \psi' - m\psi' = 0$$

$$\text{Assume } \psi'(-\mathbf{r}, t) = S\psi(\mathbf{r}, t) \Rightarrow \begin{cases} (i\gamma_0 \partial^0 - i\gamma_i \partial^i) S\psi - mS\psi = 0 \\ i = 1, 2, 3 \end{cases}$$

$$\Rightarrow \left\{ \begin{array}{l} \gamma_0 S = S\gamma_0 \\ \gamma_i S = -S\gamma_i \quad i = 1, 2, 3 \end{array} \right\} \Rightarrow S = \eta_P \gamma_0 \quad \text{Note that } |S|^2 = 1!$$

$$V_i = \bar{\psi} \gamma_i \psi \xrightarrow{P} \psi'^\dagger \gamma_0 \gamma_i \psi' = \psi^\dagger S^\dagger \gamma_0 \gamma_i S \psi = \psi^\dagger \gamma_i \gamma_0 \psi = -V_i$$

$$A_i = \bar{\psi} \gamma_i \gamma_5 \psi \xrightarrow{P} \psi'^\dagger \gamma_0 \gamma_i \gamma_5 \psi' = \psi^\dagger S^\dagger \gamma_0 \gamma_i \gamma_5 S \psi = -\psi^\dagger \gamma_i \gamma_0 \gamma_5 \psi = A_i$$

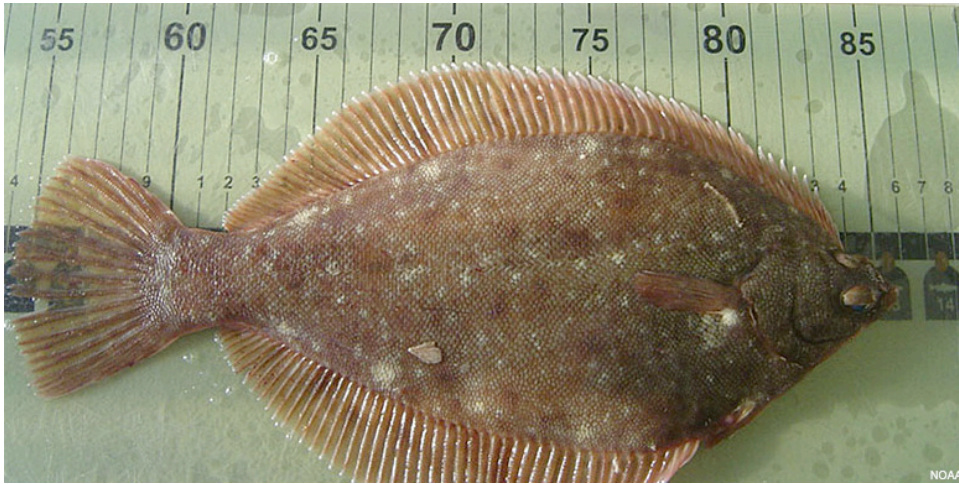
## Chiral representation of Dirac matrices

$$\gamma^0 = \begin{pmatrix} 0 & -I \\ -I & 0 \end{pmatrix}, \quad \vec{\gamma} = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

$$\psi = \begin{pmatrix} R \\ L \end{pmatrix} \Rightarrow \begin{cases} \psi_L = \frac{1}{2}(1 - \gamma_5)\psi = \begin{pmatrix} 0 \\ L \end{pmatrix} \\ \psi_R = \frac{1}{2}(1 + \gamma_5)\psi = \begin{pmatrix} R \\ 0 \end{pmatrix} \end{cases}$$

$$\gamma_0 \psi_L = \psi_R$$

$$\gamma_0 \psi_R = \psi_L$$



Right-eyed flounder (both eyes are on the right side)



Left-eyed flounder (both eyes are on the left side)

Different taste!

# CHARGE CONJUGATION (C)

The laws of physics do not change if particles are replaced by antiparticles.

Not always true

$$\psi \xrightarrow{C} \psi^c = \eta_c C \bar{\psi}^T = \eta_c C \gamma_0^T \psi^*$$

$$-C = C^{-1} = C^T = C^\dagger$$

$$C \gamma_\mu C^{-1} = -\gamma_\mu^T$$

$$C^2 = -1$$



$$C\gamma_\mu C^{-1} = -\gamma_\mu^T$$

$$i\gamma_\mu \partial^\mu \psi - m\psi = 0 \xrightarrow{\text{Hermitian conjugate}} i\partial^\mu \bar{\psi} \gamma_\mu + m\bar{\psi} = 0$$

$$\xrightarrow{\text{transpose}} i\gamma_\mu^T \partial^\mu \bar{\psi}^T + m\bar{\psi}^T = 0 \Rightarrow -iC^{-1}\gamma_\mu C \partial^\mu \bar{\psi}^T + m\bar{\psi}^T = 0$$

$$\Rightarrow -i\gamma_\mu \partial^\mu C\bar{\psi}^T + mC\bar{\psi}^T = 0 \quad \text{or} \quad i\gamma_\mu \partial^\mu \psi^C - m\psi^C = 0$$

$$\psi^C = \eta_c C\bar{\psi}^T = \eta_c C\gamma_0^T \psi^*$$

# TIME-REVERSAL (T)

The laws of physics are the same whether time is running forward or backward

Not always true

$$\begin{aligned} \mathbf{r} &\xrightarrow{T} \mathbf{r} \\ \mathbf{p} &\xrightarrow{T} -\mathbf{p} \\ \mathbf{L} = \mathbf{r} \times \mathbf{p} &\xrightarrow{T} -\mathbf{L} \\ \mathbf{S} &\xrightarrow{T} -\mathbf{S} \end{aligned}$$

$$\begin{aligned} \mathbf{E} &\xrightarrow{T} \mathbf{E} \\ \mathbf{B} &\xrightarrow{T} -\mathbf{B} \\ \left. \begin{aligned} \mathbf{E}^2 - \mathbf{B}^2 &\xrightarrow{T} \mathbf{E}^2 - \mathbf{B}^2 \\ \mathbf{E} \cdot \mathbf{B} &\xrightarrow{T} -\mathbf{E} \cdot \mathbf{B} \end{aligned} \right\} \text{Lorentz invariants} \end{aligned}$$

Dipole moments:  $H_{md} = -\vec{\mu} \cdot \mathbf{B}$     $\vec{\mu} = \mu \mathbf{S}$     $H_{ed} = -\mathbf{d} \cdot \mathbf{E}$     $\mathbf{d} = d \mathbf{S}$

$$-\vec{\mu} \cdot \mathbf{B} \xrightarrow{T} -\vec{\mu} \cdot \mathbf{B} \qquad -\mathbf{d} \cdot \mathbf{E} \xrightarrow{T} \mathbf{d} \cdot \mathbf{E}$$

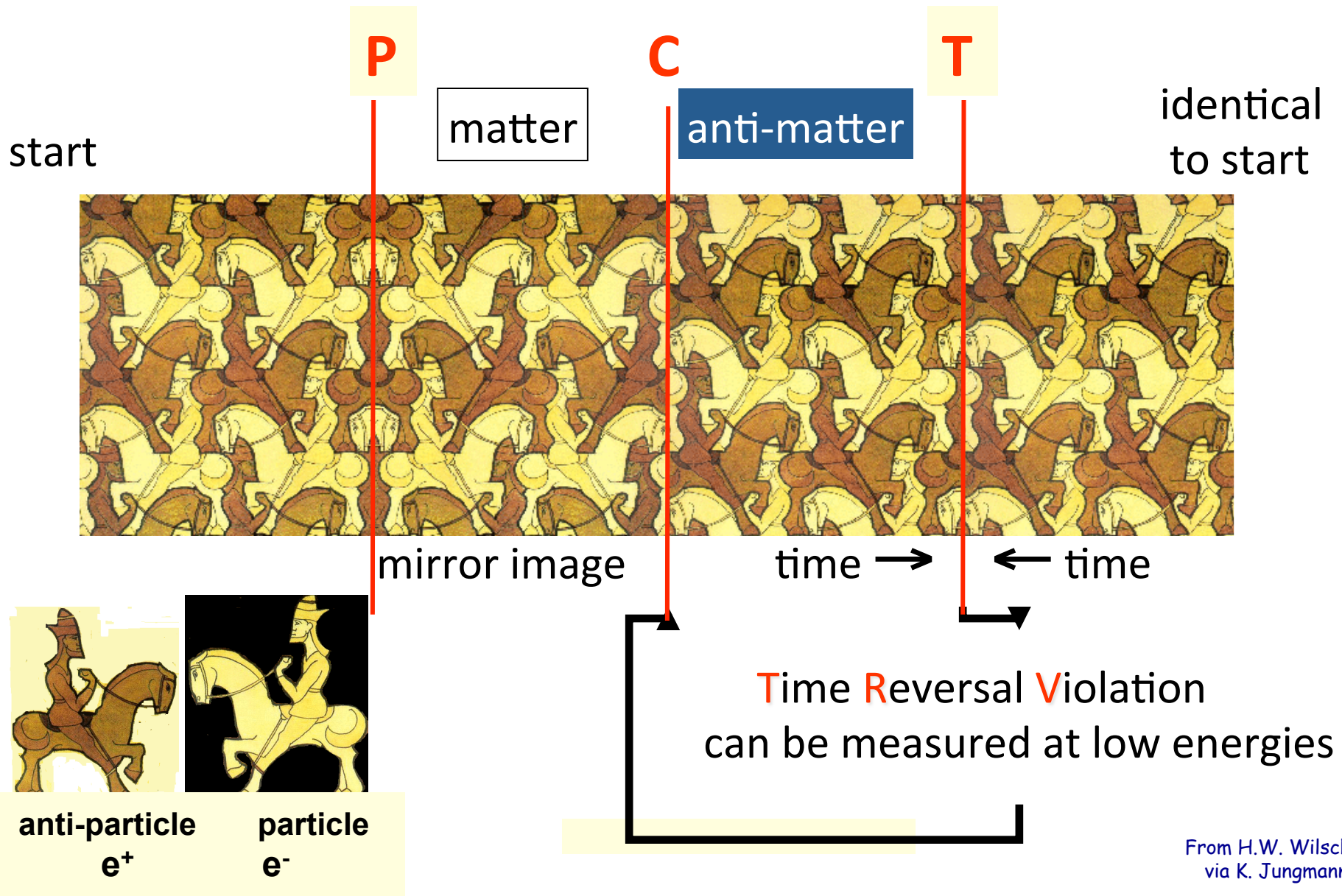
## CPT Theorem

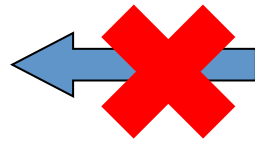
$$\begin{aligned}\psi(\mathbf{r}, t) &\xrightarrow{P} \gamma_0 \psi(-\mathbf{r}, t) \\ &\xrightarrow{C} \gamma_0 \mathbf{C} \gamma_0^T \psi^*(-\mathbf{r}, t) \\ &\xrightarrow{T} \gamma_5 \underbrace{\mathbf{C} \gamma_0 \mathbf{C}}_{\gamma_0^T} \gamma_0^T \psi(-\mathbf{r}, -t) = \gamma_5 \psi(-\mathbf{r}, -t)\end{aligned}$$

$$\begin{aligned}(i\gamma_\mu \partial^\mu - m)\gamma_5 \psi(-\mathbf{r}, -t) = 0 &\Rightarrow (-i\gamma_\mu \partial^\mu - m)\gamma_5 \psi(\mathbf{r}, t) = 0 \\ &\Rightarrow \gamma_5 (i\gamma_\mu \partial^\mu - m)\psi(\mathbf{r}, t) = 0\end{aligned}$$

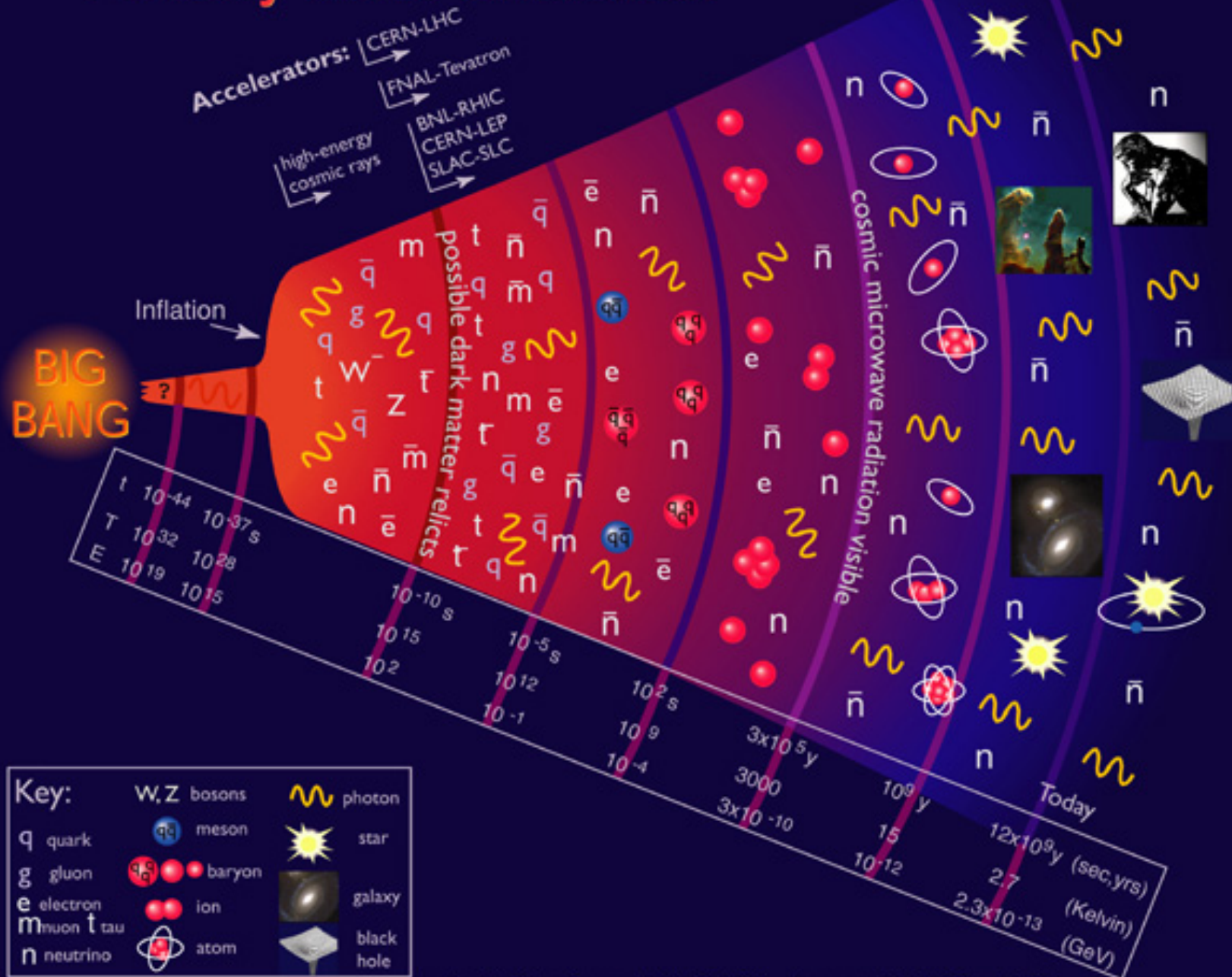
Under rather general assumptions (locality, Lorentz invariance, etc.) physical laws remain the same under combined P, C, and T operations. The derivation above is an illustration of this theorem

# The World according to Escher





# History of the Universe



CP asymmetry at high temperatures was proposed by Okubo in 1958.

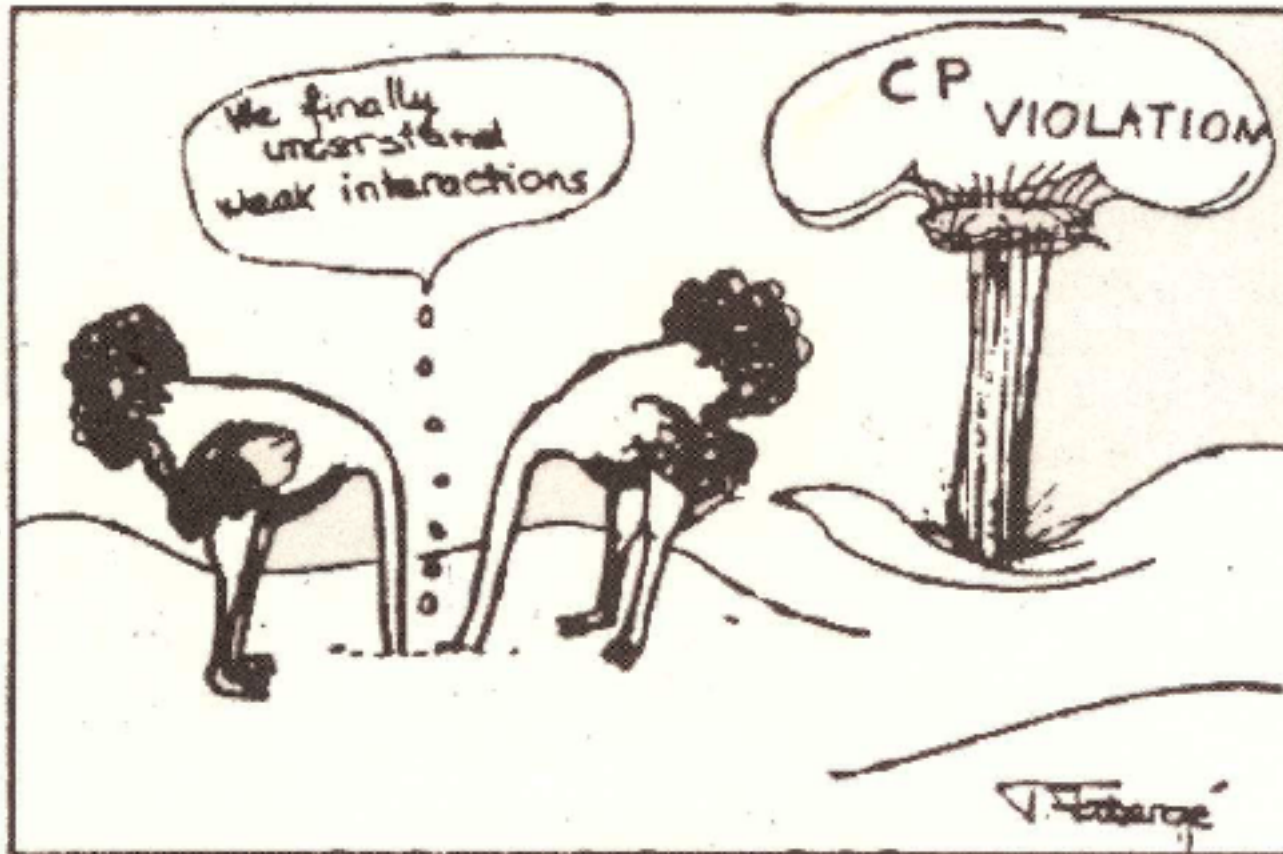
In 1967, Sakharov wrote:  
“From S. Okubo’s effect at high temperature a coat is tailored for the Universe to fit its skewed shape”.



He proposed the conditions under which one can achieve matter-antimatter asymmetry via baryogenesis:

- C and CP-violation
- B violation
- Deviation from thermal equilibrium

In 1986 Fukugita & Yanagida explored conditions for leptogenesis. For example heavy partners of neutrinos in the see-saw mechanism must have been produced in the Early Universe. If their decays are CP-violating they may have given rise to particle-antiparticle asymmetry.



CP-violation is observed in neutral kaon decays, but what is seen there is not big enough to provide baryon-antibaryon asymmetry!



## What is a particle?



Wigner: A particle is an irreducible representation of the Poincare group.

Recall the quantities Lorentz transformations leave invariant:

$$t^2 - \mathbf{r}^2 = \tau^2$$

$$E^2 - \mathbf{p}^2 = m^2$$

$$\mathbf{E}^2 - \mathbf{B}^2$$

$$\mathbf{E} \cdot \mathbf{B}$$

## What is a particle?



Wigner: A particle is an irreducible representation of the Poincare group.

Recall the quantities Lorentz transformations leave invariant:

$$t^2 - \mathbf{r}^2 = \tau^2$$

$$E^2 - \mathbf{p}^2 = m^2$$

$$\mathbf{E}^2 - \mathbf{B}^2$$

$$\mathbf{E} \cdot \mathbf{B}$$



## What is a particle?



Wigner: A particle is an irreducible representation of the Poincare group.

Recall the quantities Lorentz transformations leave invariant:

$$t^2 - \mathbf{r}^2 = \tau^2$$

$$E^2 - \mathbf{p}^2 = m^2$$

$$\mathbf{E}^2 - \mathbf{B}^2$$

$$\mathbf{E} \cdot \mathbf{B}$$

Poincare group is the group including Lorentz boosts, translations and rotations.

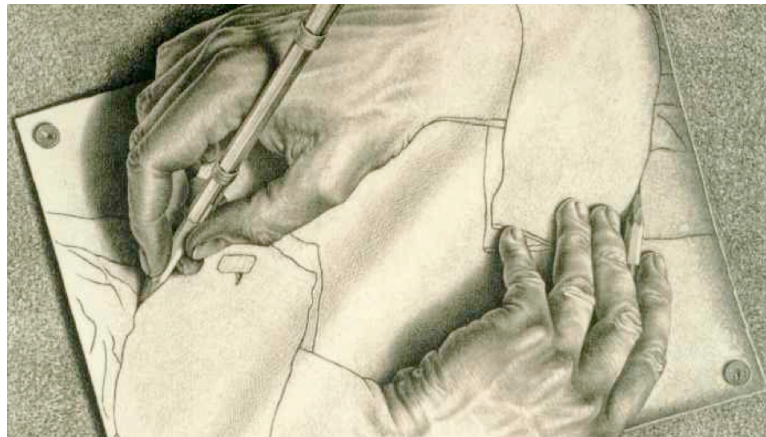
Next let us explore the concept of mass

## What is mass?

$$\Psi_L = \frac{1}{2}(1 - \gamma_5)\Psi$$

$$\Psi_R = \frac{1}{2}(1 + \gamma_5)\Psi$$

$$\mathcal{L} = m\bar{\Psi}\Psi = m(\bar{\Psi}_L\Psi_R + \bar{\Psi}_R\Psi_L)$$



In the Standard Model all elementary masses possibly except those for neutrinos are generated by the Yukawa couplings of the Higgs.



Higgs has very little to do with my mass!

Masses of protons, neutrons, etc. are generated dynamically by the QCD interactions!

In the Early Universe

$$\frac{\text{Number of neutrons}}{\text{Number of protons}} = \frac{e^{-m_n/T}}{e^{-m_p/T}}$$

## Chiral representation of Dirac matrices

$$\gamma^0 = \beta = \begin{pmatrix} 0 & -I \\ -I & 0 \end{pmatrix}, \quad \vec{\alpha} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & -\vec{\sigma} \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

Chirality:  $\gamma_5$ ,

$$\text{Helicity: } \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} \quad \left[ \gamma_5, \frac{\vec{\Sigma} \cdot \vec{p}}{|\vec{p}|} \right] = 0$$

Helicity and chirality commute, hence they can be simultaneously diagonalized!

Dirac equation in chiral representation:  $i\gamma^\mu \partial_\mu \Psi - m_D \Psi = 0$

$$\Psi_D(\mathbf{x}, t) = \sum_h \int \frac{d^3 p}{(2\pi)^{3/2}} \frac{1}{\sqrt{2E}} \left[ b(p, h) u(p, h) e^{-ip \cdot x} + d^\dagger(p, h) v(p, h) e^{ip \cdot x} \right]$$

$$p^\mu \gamma_\mu u(p, h) = m u(p, h) \quad p^\mu \gamma_\mu v(p, h) = -m v(p, h)$$

$$\gamma_5 n^\mu \gamma_\mu u(p, h) = h u(p, h) \quad \gamma_5 n^\mu \gamma_\mu v(p, h) = h v(p, h)$$

$$h = \pm 1$$

$$n^\mu = \left( \frac{|\vec{p}|}{m}, \frac{E}{m} \frac{\vec{p}}{|\vec{p}|} \right) \text{ (spin in the rest frame)}$$

$$n \cdot p = 0$$

We choose  $v(p, h) = u^c(p, h)$

## Helicity eigenstates

$$\vec{p} = |\vec{p}|(\sin\theta \cos\phi \hat{x} + \sin\theta \sin\phi \hat{y} + \cos\theta \hat{z}), \quad \vec{n} = \frac{\vec{p}}{|\vec{p}|}$$

$$\vec{\sigma} \cdot \vec{n} \varphi(\vec{n}, \chi) = \chi \varphi(\vec{n}, \chi), \quad \chi = \pm 1$$

$$\varphi(\vec{n}, \chi = +1) = \begin{pmatrix} \cos\frac{\theta}{2} \\ \sin\frac{\theta}{2} e^{i\phi} \end{pmatrix}, \quad \varphi(\vec{n}, \chi = -1) = \begin{pmatrix} -\sin\frac{\theta}{2} e^{-i\phi} \\ \cos\frac{\theta}{2} \end{pmatrix}$$

$$\varphi^\dagger(\vec{n}, \chi) \varphi(\vec{n}, \chi') = \delta_{\chi\chi'} \quad \varphi^\dagger(\vec{n}, \chi) \vec{\sigma} \varphi(\vec{n}, \chi) = \chi \vec{n}$$

$$\varphi(\vec{n}, \chi) \xrightarrow{\vec{p} \rightarrow -\vec{p}} \varphi(-\vec{n}, \chi) = -\chi e^{i\chi\phi} \varphi(\vec{n}, -\chi)$$



## Chiral representation of Dirac matrices

$$\gamma^0 = \beta = \begin{pmatrix} 0 & -I \\ -I & 0 \end{pmatrix}, \quad \vec{\alpha} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & -\vec{\sigma} \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

## Ultra-relativistic (massless) limit

$$u(p, h = +1) = -\sqrt{2E} \begin{pmatrix} \varphi(\vec{n}, \chi = +1) \\ 0 \end{pmatrix}, \quad u(p, h = -1) = \sqrt{2E} \begin{pmatrix} 0 \\ \varphi(\vec{n}, \chi = -1) \end{pmatrix}$$
$$v(p, h = -1) = \sqrt{2E} \begin{pmatrix} \varphi(\vec{n}, \chi = +1) \\ 0 \end{pmatrix}, \quad v(p, h = +1) = -\sqrt{2E} \begin{pmatrix} 0 \\ \varphi(\vec{n}, \chi = -1) \end{pmatrix}$$

Hence the total Hamiltonian,  $H = \int d^3\vec{x} \mathcal{H}(x)$ , with Dirac mass is

$$H = \sum_{\vec{p}, h} \left\{ |\vec{p}| \left[ b_{ph}^\dagger b_{ph} - d_{-ph}^\dagger d_{-ph} \right] - m_D \left[ b_{ph}^\dagger d_{-ph}^\dagger - d_{-ph} b_{ph} \right] \right\}$$

This Hamiltonian can be diagonalized by the transformation

$$\begin{pmatrix} B_{ph} \\ D_{-ph}^\dagger \end{pmatrix} = \begin{pmatrix} \cos \vartheta & -\sin \vartheta \\ \sin \vartheta & \cos \vartheta \end{pmatrix} \begin{pmatrix} b_{ph} \\ d_{-ph}^\dagger \end{pmatrix}$$

$$H = \sum_{ph} \sqrt{\vec{p}^2 + m_D^2} \left( B_{ph}^\dagger B_{ph} - D_{-ph}^\dagger D_{-ph} \right)$$

$$\cos 2\vartheta = \frac{|\vec{p}|}{\sqrt{\vec{p}^2 + m_D^2}} \quad \sin 2\vartheta = \frac{m_D}{\sqrt{\vec{p}^2 + m_D^2}}$$

## Chiral representation of Dirac matrices

$$\gamma^0 = \begin{pmatrix} 0 & -I \\ -I & 0 \end{pmatrix}, \quad \vec{\gamma} = \begin{pmatrix} 0 & \vec{\sigma} \\ -\vec{\sigma} & 0 \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}, \quad C = \begin{pmatrix} -i\sigma_2 & 0 \\ 0 & i\sigma_2 \end{pmatrix}$$

$$\psi = \begin{pmatrix} R \\ L \end{pmatrix} \Rightarrow \begin{cases} \psi_L = \frac{1}{2}(1 - \gamma_5)\psi = \begin{pmatrix} 0 \\ L \end{pmatrix} \\ \psi_R = \frac{1}{2}(1 + \gamma_5)\psi = \begin{pmatrix} R \\ 0 \end{pmatrix} \end{cases}$$

$$\psi^c = \eta_C C \gamma_0^T \psi^* = \begin{pmatrix} i\sigma_2 L^* \\ -i\sigma_2 R^* \end{pmatrix} \Rightarrow \begin{cases} (\psi_L)^c \text{ is right-handed} \\ (\psi_R)^c \text{ is left-handed} \end{cases}$$



Majorana mass term:

$$m_M \left( (\Psi_L)^c \right)^\dagger \gamma_0 \Psi_L$$

- Such a mass term violates lepton number conservation since it implies that neutrinos are their antiparticles.

- Symmetries, in particular weak isospin invariance, define the Standard Model. The symmetry is  $SU(2)_W \times U(1)$ .
- In the Standard Model, the left-handed and the right-handed components of the neutrino are treated differently:  $\nu_L$  sits in a weak-isospin doublet ( $I_W = 1/2$ ) together with the left-handed component of the associated charged lepton, whereas  $\nu_R$  is a weak-isospin singlet ( $I_W = 0$ ). Actually  $\nu_R$  is not even in the Standard Model, but can be included in a minimally extended version.

## SU(2) × U(1) Standard Model

Weak isospin

$$SU(2)_W : I^{(W)} = \frac{1}{2} \Rightarrow \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \begin{array}{l} I_3^{(W)} = +\frac{1}{2} \\ I_3^{(W)} = -\frac{1}{2} \end{array}$$

Weak singlets

$$I^{(W)} = 0 : \nu_R, e_R$$

Higgs Field sits in a weak doublet with  $I_3^{(W)} = -\frac{1}{2}$ .

- Symmetries, in particular weak isospin invariance, define the Standard Model. The symmetry is  $SU(2)_W \times U(1)$ .
- In the Standard Model, the left-handed and the right-handed components of the neutrino are treated differently:  $\nu_L$  sits in a weak-isospin doublet ( $I_W = 1/2$ ) together with the left-handed component of the associated charged lepton, whereas  $\nu_R$  is a weak-isospin singlet ( $I_W = 0$ ).
- A mass term connects left- and right-handed components. The usual Dirac mass term is  $L = m\bar{\psi}\psi = m(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L)$ . But such a neutrino mass term requires a right-handed neutrino, hence it is not in the Standard Model.
- The right-handed component of the neutrino carries no weak isospin quantum numbers.

A very brief introduction to the effective  
field theories



## A note on dimensional counting

- Lagrangian,  $\mathcal{L}$ , has dimensions of energy (or mass).
- $\mathcal{L} = \int d^3x L \Rightarrow$  Lagrangian density,  $L$ , has dimensions of energy/volume or  $M^4$ .
- Define the scaling dimension of  $x$ ,  $[x]$  to be  $-1 \Rightarrow$  scaling dimension of momentum (or mass) is  $[m] = +1$  (recall that  $(p \cdot x / \hbar)$  is dimensionless and we take  $[\hbar] = 0$ ).
- Clearly  $[L] = 4$ . This should be true for any Lagrangian density of any theory.
- Consider the mass term for fermions,  $L_m = m \bar{\Psi}\Psi$ . Then  $[\bar{\Psi}\Psi] = 3$  or  $[\Psi] = 3/2$ .
- In the Standard Model the Higgs field vacuum expectation value gives the particle mass:  $L = H \bar{\Psi}\Psi$ . Hence  $[H] = 1$ .

## An example for the effective field theories

Euler-Heisenberg correction to the Q.E.D. Lagrangian

$$L = \frac{1}{2} (\mathbf{E}^2 - \mathbf{B}^2) + \underbrace{\text{terms which are higher order in fields}}$$

Consistent with the  
symmetries of the system


$$\mathcal{L} = \frac{1}{2}(\mathbf{E}^2 - \mathbf{B}^2) + \frac{g^4}{\Lambda^4} \left[ c_1 \underbrace{(\mathbf{E}^2 - \mathbf{B}^2)^2}_{\text{mass dimension 8}} + c_2 \underbrace{(\mathbf{E} \cdot \mathbf{B})^2}_{\text{mass dimension 8}} + c_3 \underbrace{(\mathbf{E}^2 - \mathbf{B}^2)(\mathbf{E} \cdot \mathbf{B})}_{\text{mass dimension 8}} \right]$$

These operators have mass dimension 8, so there should be a power of 4 here

## Symmetries of the Electromagnetism

Lorentz Invariants:  $\mathbf{E}^2 - \mathbf{B}^2$  and  $\mathbf{E} \cdot \mathbf{B}$

$$\begin{aligned}\mathbf{E} &\rightarrow \mathbf{E} \\ \mathbf{B} &\rightarrow -\mathbf{B} \\ \mathbf{E}^2 - \mathbf{B}^2 &\rightarrow \mathbf{E}^2 - \mathbf{B}^2 \\ \mathbf{E} \cdot \mathbf{B} &\rightarrow -\mathbf{E} \cdot \mathbf{B}\end{aligned}$$

  
*Under time-reversal*

Since we want the Lagrangian density to be *invariant* under *both* Lorentz *and* time-reversal transformations we pick  $\mathbf{E}^2 - \mathbf{B}^2$ .

$$\mathcal{L} = \frac{1}{2}(\mathbf{E}^2 - \mathbf{B}^2) + \frac{g^4}{\Lambda^4} \left[ c_1 \underbrace{(\mathbf{E}^2 - \mathbf{B}^2)^2}_{\text{mass dimension 8}} + c_2 \underbrace{(\mathbf{E} \cdot \mathbf{B})^2}_{\text{mass dimension 8}} + c_3 \underbrace{(\mathbf{E}^2 - \mathbf{B}^2)(\mathbf{E} \cdot \mathbf{B})}_{\text{mass dimension 8}} \right]$$

Not good  
(not T-  
invariant)

$$\mathcal{L} = \frac{1}{2}(\mathbf{E}^2 - \mathbf{B}^2) + \frac{g^4}{\Lambda^4} \left[ c_1 \underbrace{(\mathbf{E}^2 - \mathbf{B}^2)^2}_{\text{mass dimension 8}} + c_2 \underbrace{(\mathbf{E} \cdot \mathbf{B})^2}_{\text{mass dimension 8}} \right]$$

## An example for the effective field theories

Euler-Heisenberg correction to the Q.E.D. Lagrangian

$$\mathcal{L} = \frac{1}{2} (\mathbf{E}^2 - \mathbf{B}^2) + \frac{2\alpha^2}{45m_e^4} \left[ (\mathbf{E}^2 - \mathbf{B}^2)^2 + 7 (\mathbf{E} \cdot \mathbf{B})^2 \right]$$

Another example: Low-energy limit of weak interactions

$$\mathcal{L} = \left( \underbrace{\bar{\psi}_L \gamma_\mu \psi_L \bar{\psi}_L \gamma^\mu \psi_L}_{\text{mass dimension 6}} \right)$$



Another example: Low-energy limit of weak interactions

$$\mathcal{L} = \left( \underbrace{\bar{\psi}_L \gamma_\mu \psi_L \bar{\psi}_L \gamma^\mu \psi_L}_{\text{mass dimension 6}} \right)$$

$$\mathcal{L} = \frac{g^2}{\Lambda^2} \left( \underbrace{\bar{\psi}_L \gamma_\mu \psi_L \bar{\psi}_L \gamma^\mu \psi_L}_{\text{mass dimension 6}} \right)$$

$$G_F = \frac{\sqrt{2} g^2}{8M_W^2}$$

Using the Standard Model degrees of freedom one can parameterize the neutrino mass by a dimension 5 operator.  
 (Recall that  $I_3^W = 1/2$  for the  $\nu_L$  and  $-1/2$  for  $H_{SM}$ ).

$$L = X_{\alpha\beta} H_{SM} H_{SM} \overline{\nu_{L\alpha}^c} \nu_{L\beta} / \Lambda$$

$$v^2 X_{\alpha\beta} / \Lambda = U m_\nu^{\text{diagonal}} U^T$$

This term is not renormalizable! It is the only dimension-five operator one can write using the Standard Model degrees of freedom. Hence the neutrino mass is the most accessible new physics beyond the Standard Model!

There are other ways to obtain neutrino mass:

$$L = H_{I=1} \overline{\nu_{L\alpha}}^c \nu_{L\beta}$$

Note: This Higgs is not in the Standard Model!

At lower energies, Beyond Standard Model physics is described by local operators

$$L = L_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \sum_i \frac{C_i^{(7)}}{\Lambda^3} O_i^{(7)} + \dots$$

Majorana  
neutrino  
mass  
(unique)

Includes  
Majorana  
neutrino  
magnetic  
moment



## Majorana mass term:

$$m_M \left( (\Psi_L)^c \right)^\dagger \beta \Psi_L$$

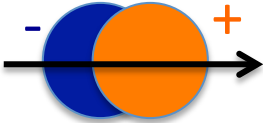
- Such a mass term violates lepton number conservation since it implies that neutrinos are their antiparticles.
- It is permitted by the weak-isospin invariance of the Standard Model.
- Neutrino mass terms are not included in the fundamental Lagrangian of the Standard Model. They arise from new physics. Of course it is possible to write down an *effective* Lagrangian for the neutrino mass in terms of only the Standard Model fields if you give up renormalizability.

In effective field theories at lower energies,  
beyond Standard Model physics is described by  
local operators

$$L = L_{SM} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \sum_i \frac{C_i^{(7)}}{\Lambda^3} O_i^{(7)} + \dots$$

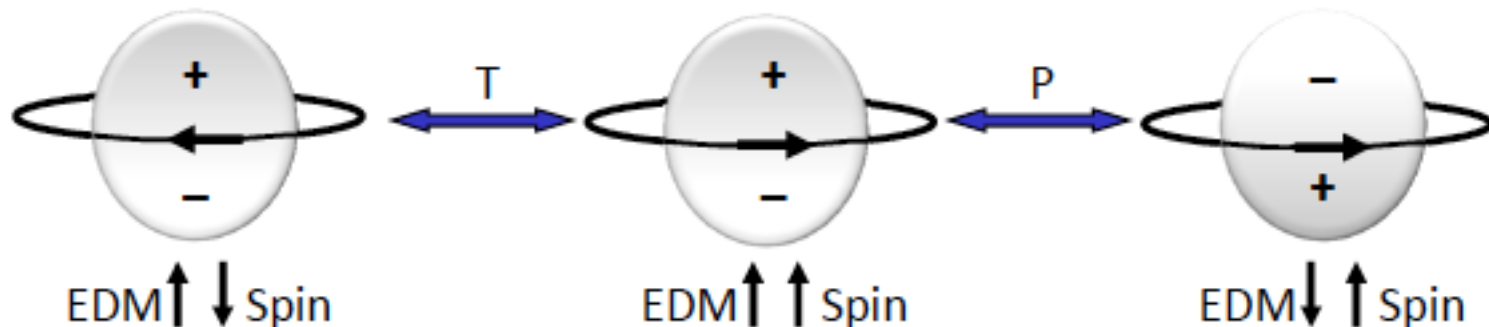
Majorana  
neutrino  
mass  
(unique)

$$-\theta \frac{g_s^2}{32\pi^2} \text{Tr} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$H \approx -d \mathbf{J} \cdot \mathbf{E}$$


Electric  
dipole  
moment

$$d_i \propto \frac{m_i}{\Lambda^2} \sin \phi_{CP}$$



$$\Psi(\mathbf{x}, t) = \sum_h \int \frac{d^3 p}{(2\pi)^{3/2}} \frac{1}{\sqrt{2E}} \left[ b(p, h) u(p, h) e^{-ip \cdot x} + d^\dagger(p, h) v(p, h) e^{ip \cdot x} \right]$$

$$\Psi^C(\mathbf{x}, t) = \sum_h \int \frac{d^3 p}{(2\pi)^{3/2}} \frac{1}{\sqrt{2E}} \left[ b^\dagger(p, h) u^C(p, h) e^{+ip \cdot x} + d(p, h) v^C(p, h) e^{-ip \cdot x} \right]$$

Majorana Field:  $\Psi_M^C = \Psi_M$

$$\Psi_M(\mathbf{x}, t) = \sum_h \int \frac{d^3 p}{(2\pi)^{3/2}} \frac{1}{\sqrt{2E}} \left[ b(p, h) u(p, h) e^{-ip \cdot x} + b^\dagger(p, h) v(p, h) e^{ip \cdot x} \right]$$

Note that the Majorana field can be written as

$$\Psi_M = \psi + \psi^C$$

# Neutrino mixing

$$|\nu_{\text{flavor}}\rangle = T |\nu_{\text{mass}}\rangle$$

$$T = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{atmospheric neutrinos}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{reactor neutrinos}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{solar neutrinos}}$$

$$c_{ij} = \cos \theta_{ij} \quad s_{ij} = \sin \theta_{ij}$$

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta_{13} \left[ \cos^2 \theta_{12} \sin^2 (\Delta_{31}L) + \sin^2 \theta_{12} \sin^2 (\Delta_{32}L) \right] - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 (\Delta_{21}L)$$

$$\Delta_{ij} = \frac{\delta m_{ij}^2}{4E_\nu} = \frac{m_i^2 - m_j^2}{4E_\nu}, \quad \Delta_{32} = \Delta_{31} - \Delta_{21}$$

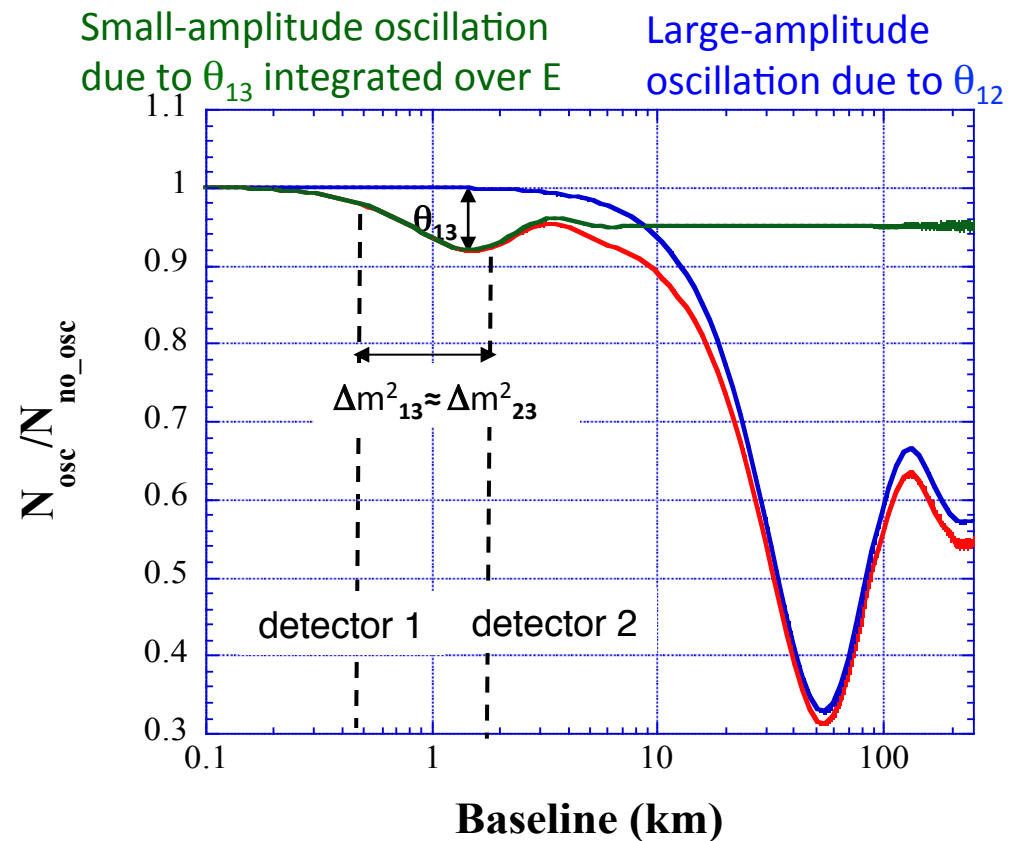


# Measuring $\theta_{13}$ with Reactor Antineutrinos

Double Chooz  
Daya Bay  
RENO

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E_\nu}\right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2\left(\frac{\Delta m_{21}^2 L}{4E_\nu}\right)$$

- Reactor neutrino energies are too low to produce muons. Hence this is an antineutrino disappearance experiment (also no matter effects).
- Measure ratio(s) of interaction rates in two or more detectors to cancel systematic errors.
- Those detectors will never be identical, hence one should try to control mass differences, detection efficiencies, etc.



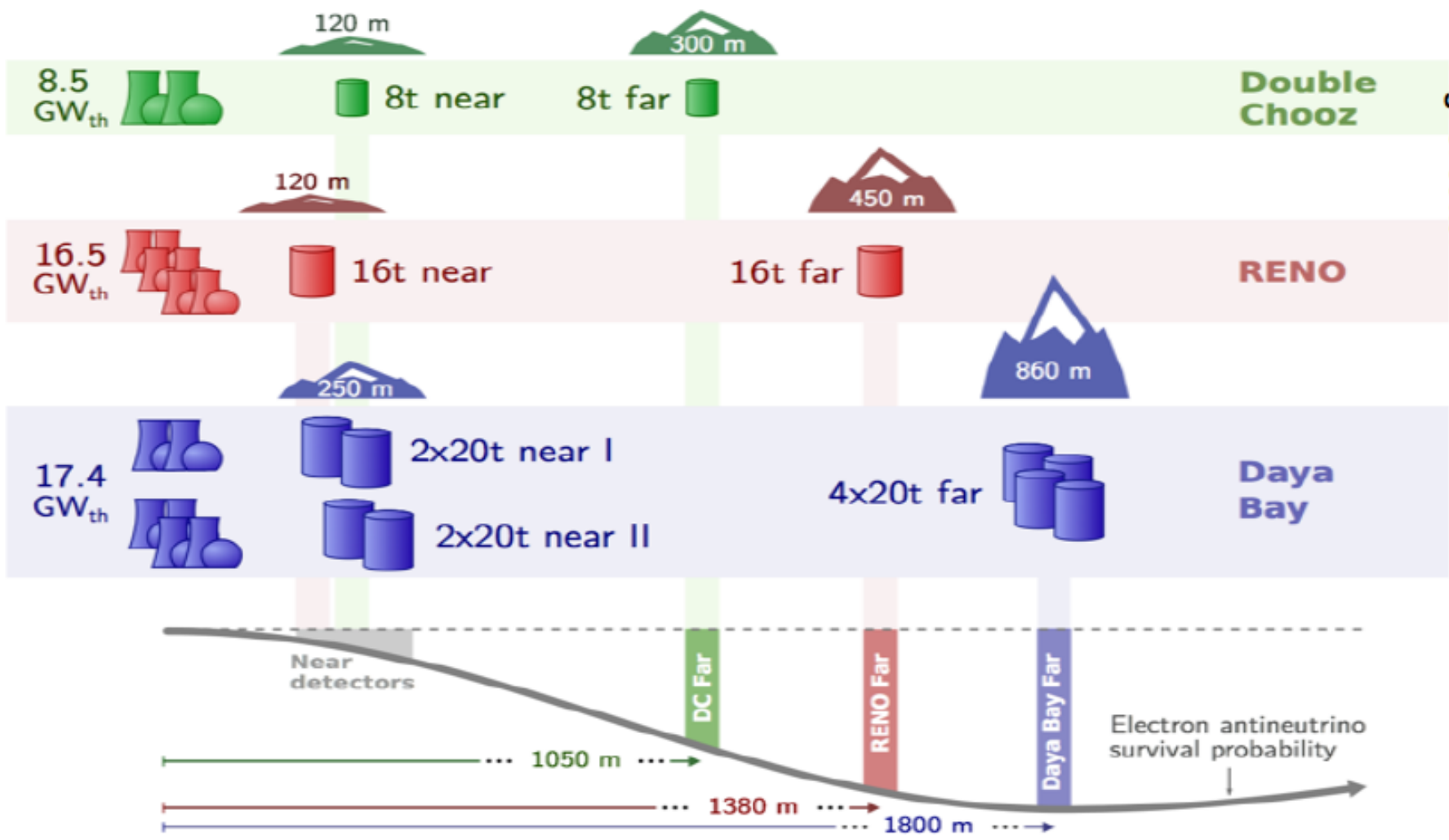
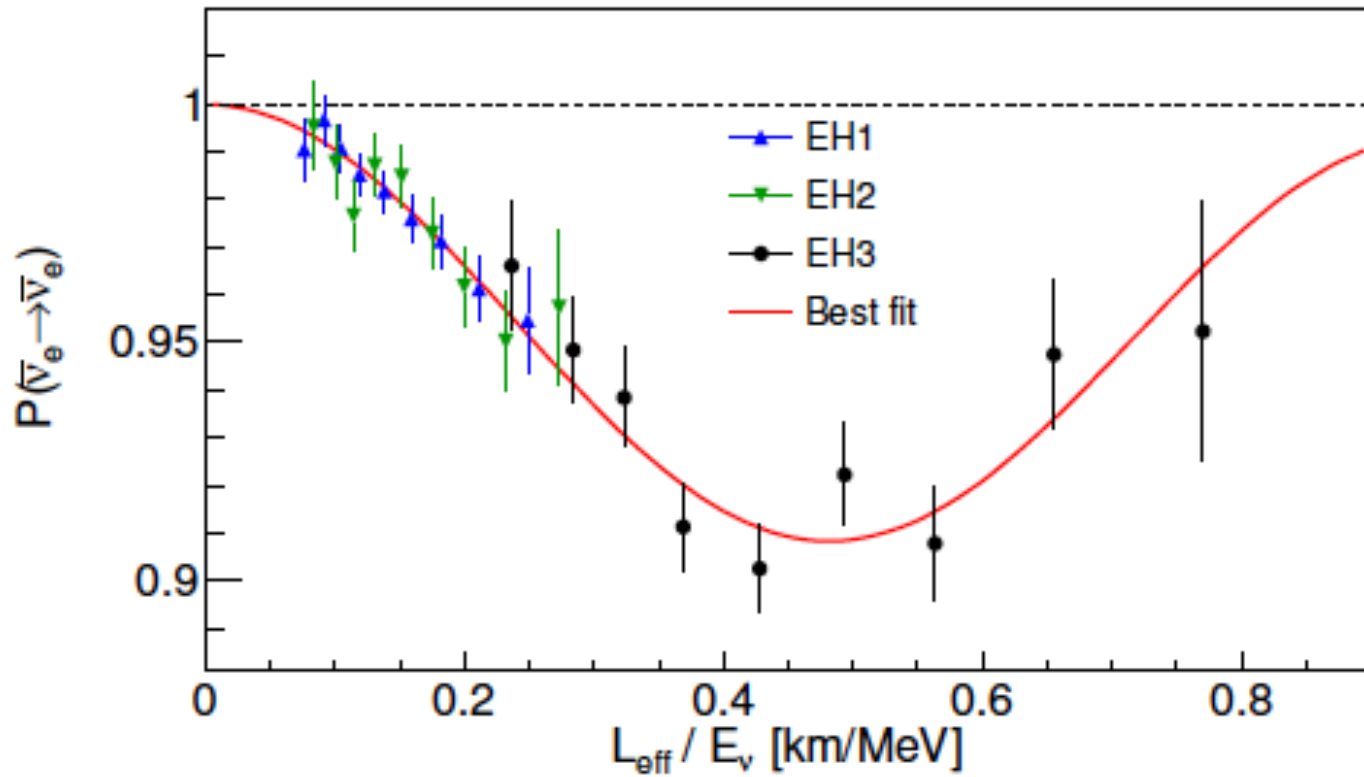
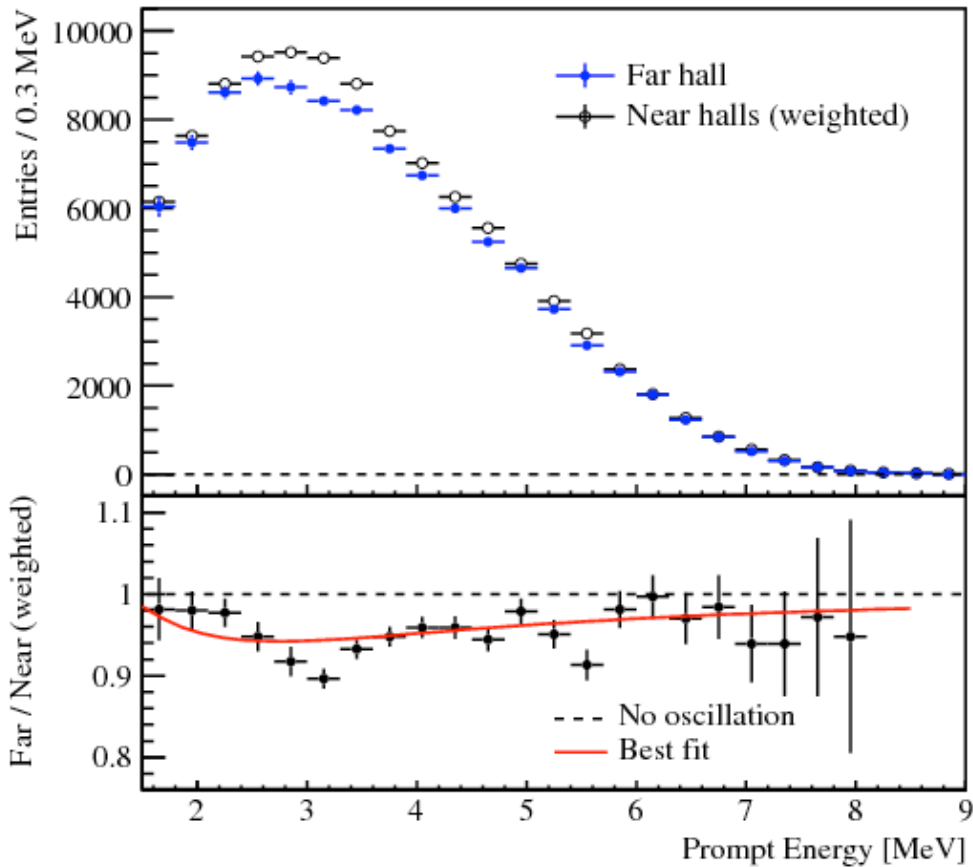


Fig: S. Jetter



$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2 2\theta_{13} \left[ \underbrace{\cos^2 \theta_{12} \sin^2(\Delta_{31}L) + \sin^2 \theta_{12} \sin^2(\Delta_{32}L)}_{\sin^2(\delta m_{ee}^2 L / 4E)} \right] - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2(\Delta_{21}L)$$



Neutron capture on hydrogen:

$$\sin^2 2\theta_{13} = 0.071 \pm 0.011$$

Neutron capture on Gd:

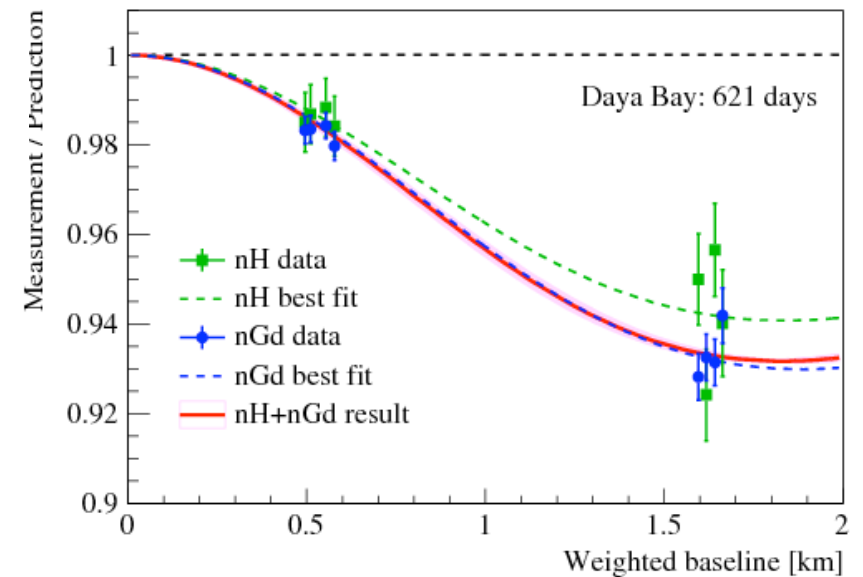
$$\sin^2 2\theta_{13} = 0.084 \pm 0.005$$

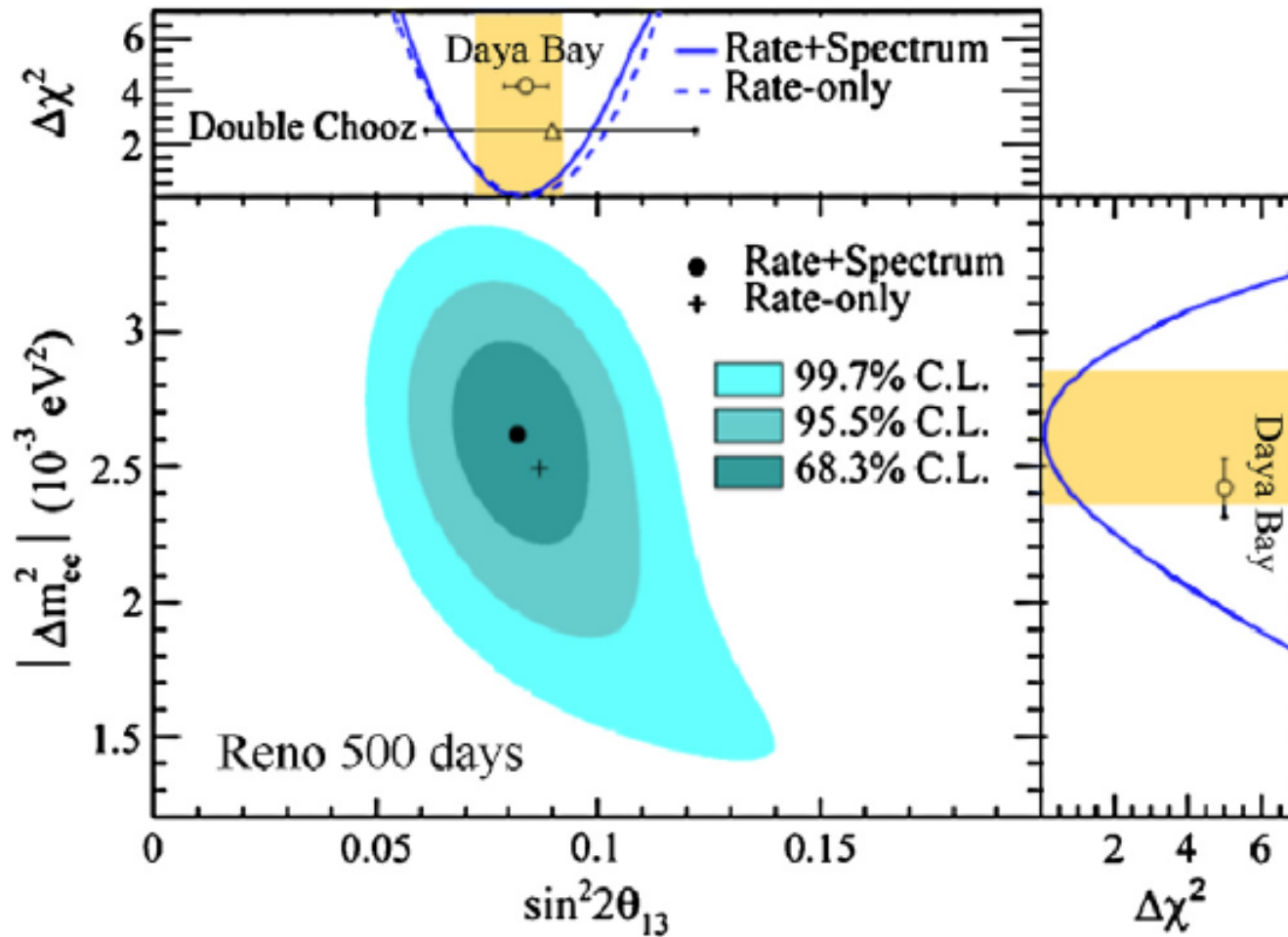
Simultaneous fit:

$$\sin^2 2\theta_{13} = 0.082 \pm 0.004$$



Phys.Rev. D93 (2016) 072011





$$\sin^2 2\theta_{13} = 0.082 \pm 0.009 \text{ (stat.)} \pm 0.006 \text{ (syst.)}$$

$$|\delta m_{ee}^2| = \left[ 2.62^{+0.21}_{-0.23} \text{ (stat.)} {}^{+0.12}_{-0.13} \text{ (syst.)} \right] \times 10^{-3} \text{ eV}^2$$