

Lectures on Effective Field Theory

- I. Removing heavy particles
- II. Removing large scales
- III. Describing Goldstone bosons
- IV. Interacting with Goldstone bosons



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• Heavy particles can be systematically integrated out resulting in EFTs

Coordinate Space

$$S_{\text{eff}}[\phi] = -\frac{1}{2} \int d^4x \, d^4y \, J(x) G(x-y) J(y) \qquad \qquad S_{\text{eff}}[\phi] = \frac{1}{2M_{\Phi}^2} \int d^4x \, C(\mu) \, \mathcal{O}(x,\mu) + \dots$$



Full Theory

Effective Theory

• OPE: product of two currents represented as a tower of local operators

• Heavy particles can be systematically integrated out resulting in EFTs

Momentum Space



• Heavy particles can be systematically integrated out resulting in EFTs



Full Theory

Effective Theory

• Heavy particles can be systematically integrated out resulting in EFTs



Heavy particles can be systematically integrated out resulting in EFTs



• Identifying effective d.o.f = retaining their non-analyticities, all else \approx analytic

Effective Field Theory

II. Removing large scales

Large Scales

• Large mass but now retain the heavy particle in the EFT

Heavy Quark Effective Theory (HQET)

• Interactions of multiple heavy particles

Non-Relativistic QED (NRQED) Non-Relativistic QCD (NRQCD)

• Particles with large energies, jets, ...

Soft-Collinear Effective Theory (SCET)

*Heavy Baryon Chiral EFT

*Nucleon-Nucleon $EFT(\pi)$

* "bottom up" EFTs

• Treat interactions of large mass particles with small momentum exchanged



Integrate out large momentum modes from heavy quark field --> HQET

$$v_{\mu}v^{\mu} = 1$$

• Momentum space: heavy quark propagator $p_{\mu} = m_Q v_{\mu} + k_{\mu}$, with $k \ll m_Q$

$$\frac{i}{\not p - m_Q + i\epsilon} = i \frac{\not p + m_Q}{p^2 - m_Q^2 + i\epsilon} = i \frac{m_Q(1 + \not p) + \not k}{m_Q^2 v^2 + 2m_Q v \cdot k + k^2 - m_Q^2 + i\epsilon}$$

Full non-analytic structure

(single particle pole)

$$v_{\mu}v^{\mu} = 1$$

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Full non-analytic structure
(single particle pole)
$$= i \frac{\frac{1}{2}(1 + \not p) + \frac{\not k}{2m_Q}}{v \cdot k + \frac{k^2}{2m_Q} + i\epsilon} = \frac{i\mathcal{P}_+}{v \cdot k + i\epsilon} + \mathcal{O}\left(\frac{k}{2m_Q}\right)$$
Rest frame $v_\mu = (1, 0, 0, 0)$
Static heavy quark pole

 $\theta(x_0) \, \delta^{(3)}(\vec{x}\,)$ [Quick Exercise]

$$v_{\mu}v^{\mu} = 1$$

• Momentum space: heavy quark propagator $p_{\mu} = m_Q v_{\mu} + k_{\mu}$, with $k \ll m_Q$

$$\frac{i}{\not p - m_Q + i\epsilon} = i \frac{\not p + m_Q}{p^2 - m_Q^2 + i\epsilon} = i \frac{m_Q(1 + \not p) + \not k}{m_Q^2 v^2 + 2m_Q v \cdot k + k^2 - m_Q^2 + i\epsilon}$$
Full non-analytic structure (single particle pole)
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Static heavy quark pole $\theta(x_0) \, \delta^{(3)}(\vec{x})$
[Quick Exercise]
Spinor projectors $\mathcal{P}_{\pm} = \frac{1}{2}(1 \pm \not p)$
 $\mathcal{P}_+ + \mathcal{P}_- = 1$



Coordinate space: heavy quark field decomposition



• Free heavy quark action $\mathcal{L} = \overline{Q} \left(i \partial - m_Q \right) Q$ $A^{\mu}_{\perp} = A^{\mu} - v \cdot A v^{\mu}$

$$= \overline{Q}_{v} i v \cdot \partial Q_{v} + \overline{\mathfrak{Q}}_{v} \left(-i v \cdot \partial - 2 m_{Q}\right) \mathfrak{Q}_{v} \\ + \overline{\mathfrak{Q}}_{v} i \partial \!\!\!/_{\perp} Q_{v} + \overline{Q}_{v} i \partial \!\!\!/_{\perp} \mathfrak{Q}_{v}$$

Antiquark components energetically separated from quark components

• "Top down"
$$e^{iS_{\text{HQET}}[\overline{Q}_v, Q_v]} = \frac{\int \mathcal{D}\overline{\mathfrak{Q}}_v \mathcal{D}\mathfrak{Q}_v e^{i\int d^4x \mathcal{L}(\overline{\mathfrak{Q}}_v, \mathfrak{Q}_v, \overline{Q}_v, Q_v)}}{\int \mathcal{D}\overline{\mathfrak{Q}}_v \mathcal{D}\mathfrak{Q}_v e^{i\int d^4x \mathcal{L}(\overline{\mathfrak{Q}}_v, \mathfrak{Q}_v, 0, 0)}}$$

Exercise

• Perform the Gaussian path integral over the antiquark field to arrive at the HQET effective action. Repeat for gauge covariant derivative...

$$A^{\mu}_{\perp} = A^{\mu} - v \cdot A v^{\mu}$$

HQET Symmetries

 $D^{\mu} = \partial^{\mu} + igA^{\mu a}T^{a}$

• New symmetries emerge in the static limit

• HQET has manifest U(4) spin-flavor symmetry for $m_b, m_c = \infty$

Power Corrections

• HQET organized as an expansion in the heavy quark Compton wavelength $\frac{\hbar}{m_O c}$

 m_{q}

 m_Q

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$$\mathcal{L}_{\text{HQET}} = \overline{Q}_{v} \left[iD_{0} + c_{2} \frac{\vec{D}^{2}}{2m_{Q}} + c_{F}g \frac{\vec{\sigma} \cdot \vec{B}}{2m_{Q}} + c_{D}g \frac{\vec{\nabla} \cdot \vec{E}}{8m_{Q}^{2}} + ic_{S}g \frac{\vec{\sigma} \cdot (\vec{D} \times \vec{E} - \vec{E} \times \vec{D})}{8m_{Q}^{2}} \right] Q_{v} + \mathcal{O}(\lambda_{C}^{3})$$
Chromomacnetic moment explicitly breaks $U(4) \rightarrow U(1)$, $\otimes U(1)$

Chromomagnetic moment explicitly breaks $U(4) \rightarrow U(1)_b \otimes U(1)_c$

- Contains all operators allowed by symmetries: parity, time-reversal, gauge invariance, and Galilean invariance
- Underlying Lorentz invariance of QCD implies non-perturbative relations between coefficients at different orders in HQET expansion, e.g. $c_2 = 1$ $c_S = 2c_F - 1$

Exercise

• Demand invariance of the HQET action under an infinitesimal boost to deduce the non-perturbative constraints: $c_2 = 1$, $c_S = 2c_F - 1$.

$$\mathcal{L}_{\mathrm{HQET}} = \overline{Q}_{v} \left[iD_{0} + c_{2} \frac{\vec{D}^{2}}{2m_{Q}} + c_{F}g \frac{\vec{\sigma} \cdot \vec{B}}{2m_{Q}} + c_{D}g \frac{\vec{\nabla} \cdot \vec{E}}{8m_{Q}^{2}} + ic_{S}g \frac{\vec{\sigma} \cdot (\vec{D} \times \vec{E} - \vec{E} \times \vec{D})}{8m_{Q}^{2}} \right] Q_{v}$$

Use the variations under the infinitesimal boost $\delta \vec{v} = -\frac{1}{M}\vec{q}$

$$\delta D_0 = \frac{1}{M} \vec{q} \cdot \vec{D} \qquad \delta \vec{D} = \frac{1}{M} \vec{q} D_0$$
$$\delta \vec{E} = \frac{1}{M} \vec{q} \times \vec{B} \qquad \delta \vec{B} = -\frac{1}{M} \vec{q} \times \vec{E}$$

Hint: you will need to deduce the transformation of the heavy quark field...

Tree-Level Matching

• To second order in HQET expansion, only two parameters to determine: c_F, c_D

$$\mathcal{L}_{\mathrm{HQET}} = \overline{Q}_v \left[iD_0 + \frac{\vec{D}^2}{2m_Q} + c_F g \frac{\vec{\sigma} \cdot \vec{B}}{2m_Q} + c_D g \frac{\vec{\nabla} \cdot \vec{E}}{8m_Q^2} + i(2c_F - 1)g \frac{\vec{\sigma} \cdot (\vec{D} \times \vec{E} - \vec{E} \times \vec{D})}{8m_Q^2} \right] Q_v$$

General quark-gluon vertex
$$\langle p'|J^{a\mu}|p \rangle = g \overline{u}(p') \left[F_1(q^2)\gamma^{\mu} + F_2(q^2) \frac{i\sigma^{\mu\nu}q_{\nu}}{2m_Q} \right] T^a u(p)$$
 \overline{g} $u(p) = \sqrt{\frac{m_Q}{E_{\vec{p}}}} \frac{p + m_Q}{\sqrt{m_Q + E_{\vec{p}}}} \mathcal{P}_+ \xi$ Tree level $g \overline{u}(p')\gamma^{\mu}T^a u(p)$ $= g \xi^{\dagger} \left[v^{\mu} + \frac{1}{2m_Q} (\vec{p} + \vec{p}')^{\mu} + \frac{i}{2m_Q} (\vec{\sigma} \times \vec{q})^{\mu} \right] \xi + \mathcal{O}(m_Q^{-2})$ Full Theory (QCD)Effective Theory

- pQCD corrections to the coefficients are needed in practice $\alpha_s(m_Q)$
- HQET has different short-distance behavior than QCD



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- HQET has same long-distance behavior as QCD $B = B_{eff}$
- HQET has different short-distance behavior than QCD



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- HQET has different short-distance behavior than QCD



Full Theory (QCD)

Effective Theory

Exercise

• Verify the matching condition explicitly by isolating the UV and IR divergences of the chromomagnetic moment in QCD and HQET.



HQET for Proton

 Although I don't know what the "Q" stands for, similar analysis can be applied to E&M interactions with a composite heavy particle, *e.g.* proton

$$\mathcal{L}_{p} = \psi_{p}^{\dagger} \left[iD_{0} + \frac{\vec{D}^{2}}{2M_{p}} + c_{F}e\frac{\vec{\sigma}\cdot\vec{B}}{2M_{p}} + c_{D}e\frac{\vec{\nabla}\cdot\vec{E}}{8M_{p}^{2}} + i(2c_{F}-Z)e\frac{\vec{\sigma}\cdot(\vec{D}\times\vec{E}-\vec{E}\times\vec{D})}{8M_{p}^{2}} \right]\psi_{p}$$

• Matching cannot be performed in pQCD, need experimental data (or lattice QCD)

$$c_F = Z + \kappa$$
$$c_D = Z + \frac{4}{3}M_p^2 < r_E^2 >$$

• Coefficients need not be $\mathcal{O}(1)$ in Compton wavelength expansion

$$c_F = 2.8$$
 35%
 $c_D = 21$ 5%

Point-like Dirac results

Long-range interactions

Coulomb photons: label changing $\mathcal{O}(v)$

• Non-relativistic bound states of two heavy particles, e.g. electron + positron, NRQED

NRQCD/NRQED

• Low-energy scattering described by effective range expansion... which is an EFT

NNEFT

$$\mathcal{L} = N^{\dagger} \left(i\partial_{0} + \frac{\vec{\nabla}^{2}}{2M} \right) N - C_{0} \left(N^{T} \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^{T}} \right)$$
Propagator $\frac{i}{k_{0} - \frac{\vec{k}^{2}}{2M} + i\epsilon}$
Spin x Isospin $\Pi^{a} = \frac{1}{\sqrt{8}} \begin{cases} \sigma_{2} \tau_{2} \tau^{a} \\ \sigma_{2} \sigma^{a} \tau_{2} \end{cases}$
• Power counting $Q \ll \Lambda \sim m_{\pi}$ with $k_{0} \sim \frac{\vec{k}^{2}}{2M} \sim \frac{Q^{2}}{M}$, i.e. $\vec{k} \sim Q$

$$\int dk_{0} d\vec{k} \sim \frac{Q^{2}}{M} Q^{3} = \frac{Q^{5}}{M}$$
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 $N = \begin{pmatrix} p \\ n \end{pmatrix}$

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NNEFT
$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
Short-range interactions• Low-energy scattering described by effective range expansion... which is an EFT $\mathcal{L} = N^{\dagger} \left(i \partial_0 + \frac{\vec{\nabla}^2}{2M} \right) N - C_0 \left(N^T \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^T} \right)$ Propagator $\frac{i}{k_0 - \frac{\vec{k}^2}{2M} + i\epsilon}$ Spin x Isospin $\Pi^a = \frac{1}{\sqrt{8}} \begin{cases} \sigma_2 \tau_2 \tau^a \\ \sigma_2 \sigma^a \tau_2 \end{cases}$

• Power counting $\ Q \ll \Lambda \sim m_\pi$ with $k_0 \sim {\vec k^2 \over 2M} \sim {Q^2 \over M}$, i.e. ${\vec k} \sim Q$

• Perturbative short-range interaction $QMC_0 \ll 1$

Propagator

NNEFT

$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
Short-range interactions
• Low-energy scattering described by effective range expansion... which is an EFT

$$\mathcal{L} = N^{\dagger} \left(i\partial_0 + \frac{\vec{\nabla}^2}{2M} \right) N - C_0 \left(N^T \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^T} \right)$$
Propagator

$$\frac{i}{k_0 - \frac{\vec{k}^2}{2M} + i\epsilon}$$
Spin x Isospin $\Pi^a = \frac{1}{\sqrt{8}} \begin{cases} \sigma_2 \tau_2 \tau^a \\ \sigma_2 \sigma^a \tau_2 \end{cases}$
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$$\int dk_0 d\vec{k} \sim \frac{Q^2}{M} Q^3 = \frac{Q^5}{M}$$

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• Strong short-range interaction $QMC_0 \sim 1$, must sum the series

 C_0

$$T = C_0 + C_0 I(p) C_0 + C_0 [I(p)C_0]^2 + \dots$$

NNEFT
$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
Short-range interactions• Low-energy scattering described by effective range expansion... which is an EFT $\mathcal{L} = N^{\dagger} \left(i \partial_0 + \frac{\vec{\nabla}^2}{2M} \right) N - C_0 \left(N^T \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^T} \right)$ Propagator $\frac{i}{k_0 - \frac{\vec{k}^2}{2M} + i\epsilon}$ • Power counting $Q \ll \Lambda \sim m_{\pi}$ with $k_0 \sim \frac{\vec{k}^2}{2M} \sim \frac{Q^2}{M}$, i.e. $\vec{k} \sim Q$

• Strong short-range interaction $QMC_0 \sim 1$, must sum the series

$$T = \frac{C_0}{1 - I(p)C_0} = \frac{1}{\frac{1}{C_0} - I(p)}$$

$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
 Short-range interactions

• Low-energy scattering described by effective range expansion... which is an EFT

$$\mathcal{L} = N^{\dagger} \left(i\partial_0 + \frac{\vec{\nabla}^2}{2M} \right) N - C_0 \left(N^T \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^T} \right)$$
Propagator $\frac{i}{k_0 - \frac{\vec{k}^2}{2M} + i\epsilon}$
Spin x Isospin $\Pi^a = \frac{1}{\sqrt{8}} \begin{cases} \sigma_2 \tau_2 \tau^a \\ \sigma_2 \sigma^a \tau_2 \end{cases}$
• Power counting $Q \ll \Lambda \sim m_{\pi}$ with $k_0 \sim \frac{\vec{k}^2}{2M} \sim \frac{Q^2}{M}$, i.e. $\vec{k} \sim Q$

$$X + X + X + +$$

UV divergent

NNEFT

$$I(p) = \int \frac{dk}{(2\pi)^3} \frac{M}{p^2 - \vec{k}^2 + i\epsilon} \qquad \qquad T = \frac{C_0}{1 - I(p)C_0} = \frac{1}{\frac{1}{C_0} - I(p)}$$

PDS scheme

 $I(p)_{\rm reg} \equiv \left[I(p)^d - I(p)^{d\approx 2}\right]_{d\rightarrow 3} = -\frac{M}{4\pi} \left(\mu + ip\right)$

NNEFT

$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
Short-range interactions
• Low-energy scattering described by effective range expansion... which is an EFT

$$\mathcal{L} = N^{\dagger} \left(i\partial_{0} + \frac{\vec{\nabla}^{2}}{2M} \right) N - C_{0} \left(N^{T} \Pi N \right) \cdot \left(N^{\dagger} \Pi^{\dagger} N^{\dagger^{T}} \right)$$
Propagator

$$\frac{i}{k_{0} - \frac{k^{2}}{2M} + i\epsilon}$$
Spin x Isospin $\Pi^{a} = \frac{1}{\sqrt{8}} \begin{cases} \sigma_{2} \tau_{2} \tau^{a} \\ \sigma_{2} \sigma^{a} \tau_{2} \end{cases}$
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UV divergent

$$I(p) = \int \frac{d\vec{k}}{(2\pi)^{3}} \frac{M}{p^{2} - \vec{k}^{2} + i\epsilon}$$
 $T = \frac{1}{1 - \tau} = \frac{-\frac{4\pi}{M}}{4\pi - 1}$

$$T = \frac{1}{\frac{1}{C_0} - I(p)} = \frac{-\overline{M}}{-\frac{4\pi}{M}\frac{1}{C_0} - \mu - ip}$$

PDS scheme

 $I(p)_{\rm reg} \equiv \left[I(p)^d - I(p)^{d\approx 2} \right]_{d\to 3} = -\frac{M}{4\pi} \left(\mu + ip \right) \qquad \mathsf{C}$ 7

Compare with
$$p \cot \delta = -\frac{1}{a} + \frac{1}{2}r_0p^2 + \cdots$$

 $T(p) = -\frac{4\pi}{M}\frac{1}{p \cot \delta - ip}$

$$N = \begin{pmatrix} p \\ n \end{pmatrix}$$
 Short-range interactions

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$$\mathcal{L} = N^{\dagger} \left(i\partial_0 + \frac{\vec{\nabla}^2}{2M} \right) N - C_0 \left(N^T \mathbf{\Pi} N \right) \cdot \left(N^{\dagger} \mathbf{\Pi}^{\dagger} N^{\dagger^T} \right)$$
Propagator $\frac{i}{k_0 - \frac{\vec{k}^2}{2M} + i\epsilon}$ Spin x Isospin $\Pi^a = \frac{1}{\sqrt{8}} \begin{cases} \sigma_2 \tau_2 \tau^a \\ \sigma_2 \sigma^a \tau_2 \end{cases}$
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$$\times + \times + \times +$$

$$T = rac{-rac{4\pi}{M}}{-rac{4\pi}{M}rac{1}{C_0} - \mu - ip} \qquad C_0(\mu) = rac{4\pi}{M}\left(rac{1}{a} - \mu
ight)$$

Compare with $p \cot \delta = -\frac{1}{a} + \frac{1}{2}r_0p^2 + \cdots$ $T(p) = -\frac{4\pi}{16} - \frac{1}{2}r_0p^2 + \cdots$

$$(p) = -\frac{m}{M} \frac{1}{p \cot \delta - ip}$$

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 Short-range interactions

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$$\times + \times + \times +$$

• Strong short-range interaction

$$T = \frac{-\frac{4\pi}{M}}{-\frac{4\pi}{M}\frac{1}{C_0} - \mu - ip}$$

$$C_0(\mu) = \frac{4\pi}{M} \left(\frac{1}{a} - \mu\right)$$

$$QMC_0 \sim 1 \implies a \sim \frac{1}{QM} \gg \frac{1}{\Lambda}$$

$$a_{np}^{s=0} = -23.7 \,\text{fm}$$

$$a_{nn} = -18.4 \,\text{fm}$$

$$a_{np}^{s=1} = +5.4 \,\text{fm}$$
 bound
$$T = \frac{-\frac{4\pi}{M}}{-\frac{4\pi}{M}\frac{1}{C_0} - \mu - ip}$$

$$C_0(\mu) = \frac{4\pi}{M} \left(\frac{1}{a} - \mu\right)$$

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$$C_0(\mu) = \frac{4\pi}{M} \left(\frac{1}{a} - \mu\right)$$

SCET



- Integrate out large momentum scales of high-energy particle (propagate along light-cone)

$$p^2 = p_0^2 - p_3^2 - \vec{p}_\perp^2 = (p_0 + p_3)(p_0 - p_3) - \vec{p}_\perp^2 \equiv p_+ p_- - \vec{p}_\perp^2$$

Energetic motion along the z-direction: $p_+ = E + p_3 \approx 2E$

$$p_{-} = E - p_3 \approx \frac{m^2}{2E}$$

$$p_{\mu} = (p_{+} \sim E, p_{-} \sim \lambda^{2}E, p_{\perp} \sim \lambda E)$$

• SCET mode decomposition $P_{\mu} = (p_+, 0, \vec{p}_{\perp}) + k_{\mu} \leftarrow residual momentum <math>\mathcal{O}(\lambda^2)$

$$\frac{1}{P^2 + i\epsilon} = \frac{1}{p_+ k_- - \vec{p}_\perp^2 + i\epsilon} \sim \lambda^{-2}$$
 label momentum $\mathcal{O}(1), \mathcal{O}(\lambda)$

• Soft radiation $q_{\mu} \sim (\lambda^2, \lambda^2, \lambda^2)$ • Collinear radiation $q_{\mu} \sim (1, \lambda^2, \lambda)$

Summary II. Removing large scales

 m_Q

k

 μ

• Large scales can be systematically integrated out resulting in EFTs, e.g. HQET

 $(m_Q)^{-n}$ power corrections $\alpha_s(m_Q)$ perturbative corrections

• EFT coefficients determined from matching "top-down"

- Theories have different UV behavior
- Only IR behavior is shared and thus cancels in matching

Computations in EFT are simpler EFT involves only d.o.f. relevant to energy regime

large scales can be interrelated... NRQCD/SCET