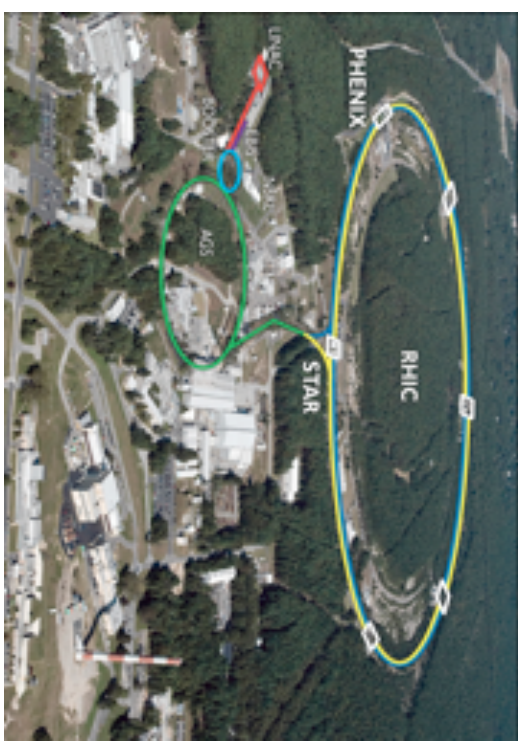




early microscopes



the World's most powerful microscopes

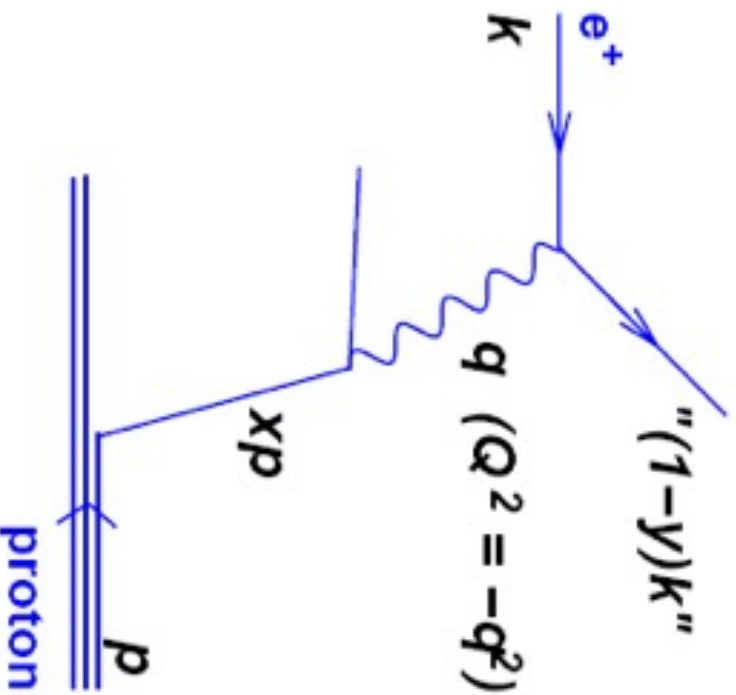
# Part III

inward bound: "femto-spectroscopy"

QCD initial state, partons, DIS, factorization,  
renormalization group, hadron-hadron collisions

# partons in the initial state: the DIS process

start with the simplest process: **deep-inelastic scattering**



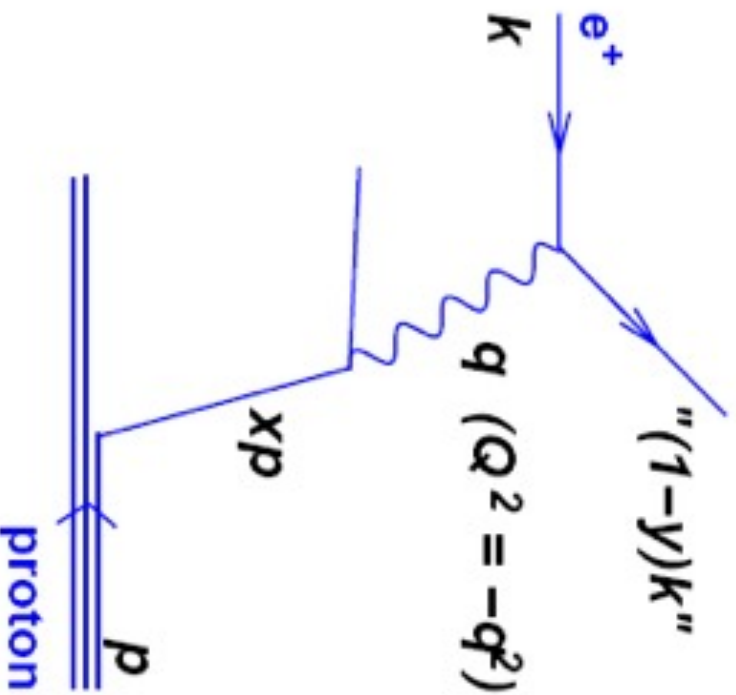
relevant kinematics:

$$x = \frac{Q^2}{2p \cdot q} \quad y = \frac{p \cdot q}{p \cdot k} \quad Q^2 = xys$$

- $Q^2$ : photon virtuality  $\leftrightarrow$  **resolution**  $\sim 1/Q$  at which the proton is probed
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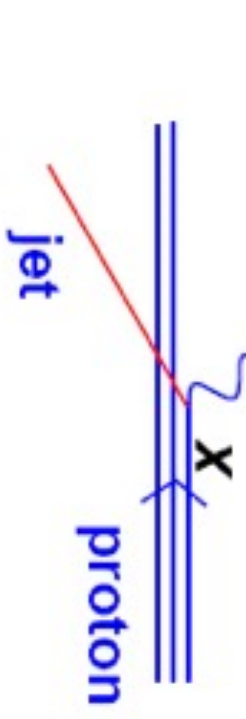
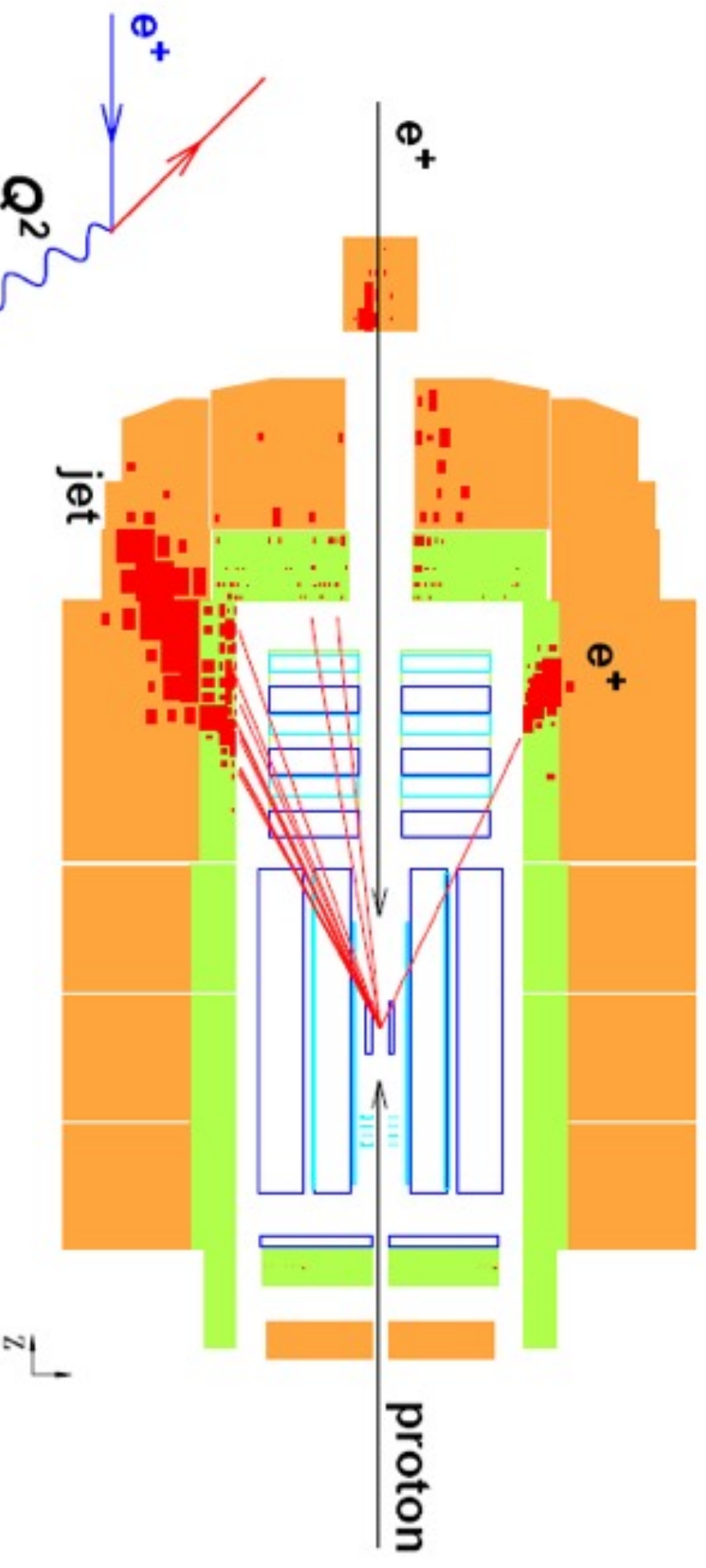
"deep-inelastic":  $Q^2 \gg 1 \text{ GeV}^2$   
 "scaling limit":  $Q^2 \rightarrow \infty, x \text{ fixed}$

resolution:  $\hbar \approx \frac{2 \times 10^{-16} \text{m}}{Q[\text{GeV}]}$   
 $r \sim 1/Q$

# a typical DIS event



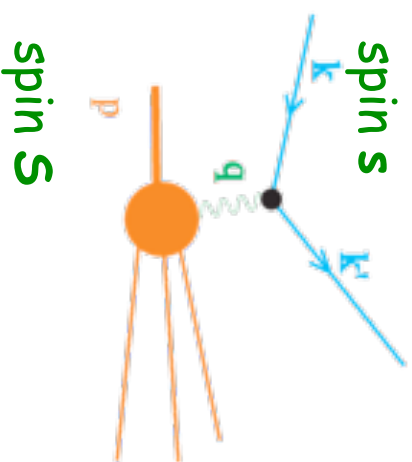
$Q^2 = 25030 \text{ GeV}^2$ ,  $y = 0.56$ ,  $x=0.50$



H1 Run 122145 Event 69506  
Date 19/09/1995

# analysis of DIS: 1<sup>st</sup> steps

electroweak theory tells us how the virtual vector boson (here  $\gamma^*$ ) couples:



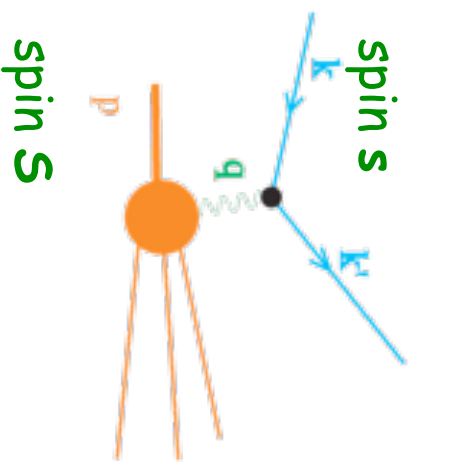
$$d\sigma = \frac{4\alpha^2 d^3\vec{k}'}{s} \frac{1}{2|\vec{k}'|Q^4} L^{\mu\nu}(k, q, s) W_{\mu\nu}(p, q, S)$$

leptonic  
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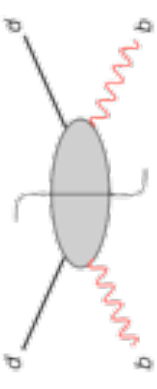
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$$W^{\mu\nu}(P, q, S) = \frac{1}{4\pi} \int d^4z e^{iq \cdot z} \langle P, S | J_\mu(z) J_\nu(0) | P, S \rangle$$

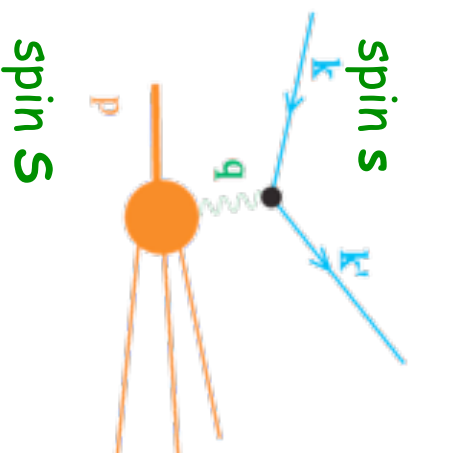


$$= \left( -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \left( P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left( P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) F_2(x, Q^2)$$

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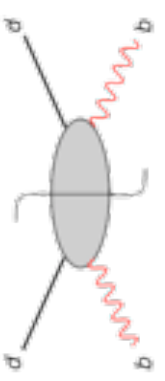
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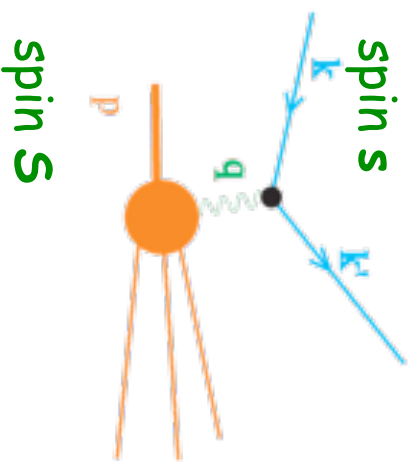
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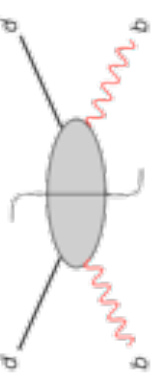
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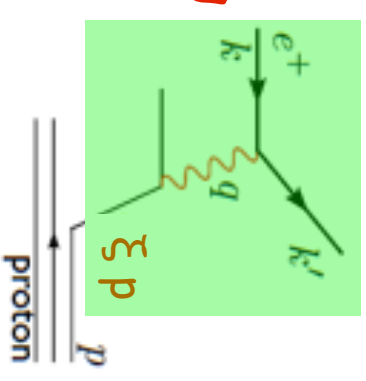
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pol. structure fcts.  $g_{1,2}$  – measure  $W(P, q, S) - W(P, q, -S)$  !



# DIS in the naïve parton model

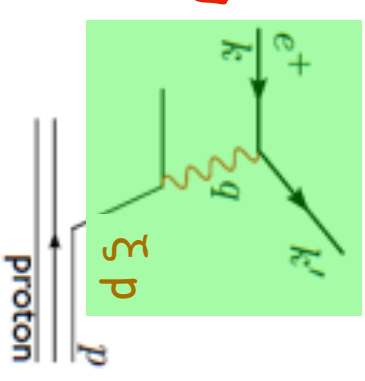
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$$\text{find } \overline{\sum} |\mathcal{M}|^2 = 2e_q^2 e^4 \frac{\hat{s}^2 + \hat{u}^2}{\hat{t}^2}$$



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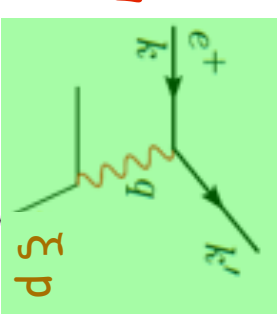
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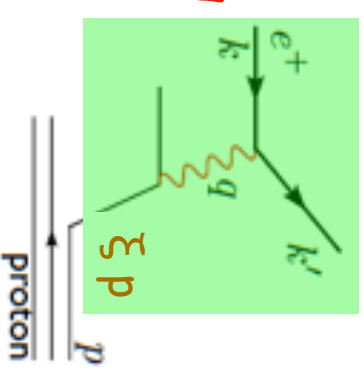
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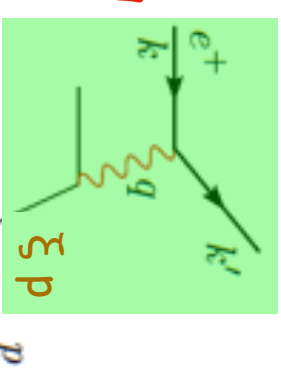


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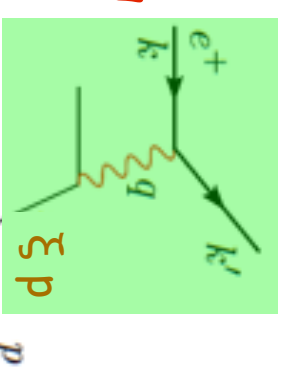
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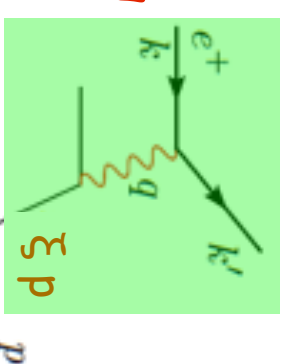
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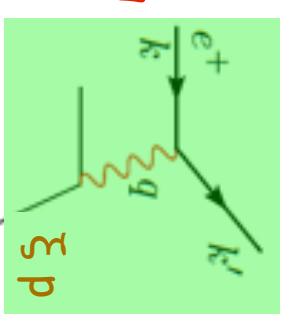
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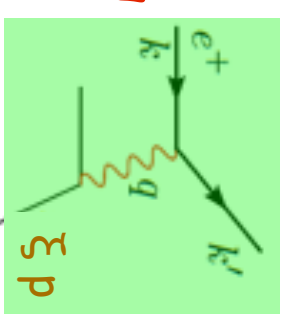
$$p_q'^2 = (p_q + q)^2 = q^2 + 2p_q \cdot q = -2p \cdot q(x - \xi) = 0$$

this implies that  $\xi$  is equal to Bjorken  $x$



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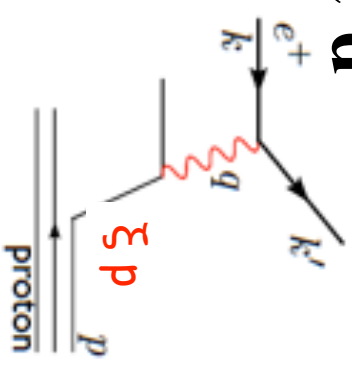
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$$\frac{d\sigma}{dx dQ^2} = \frac{4\pi\alpha^2}{Q^4} [1 + (1-y)^2] \frac{1}{2} e_q^2 \delta(x - \xi)$$

# DIS in the naïve parton model cont'd

compare our result

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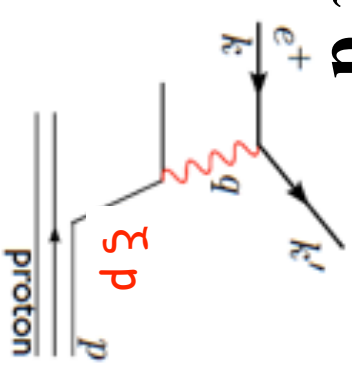
to what one obtains with the hadronic tensor (on the quark level)

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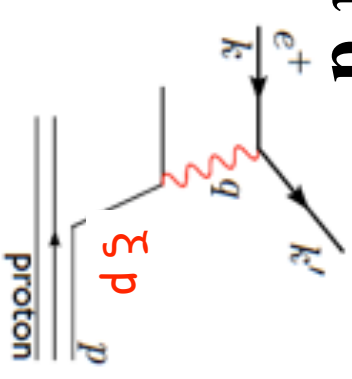
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**Callan Gross relation**  
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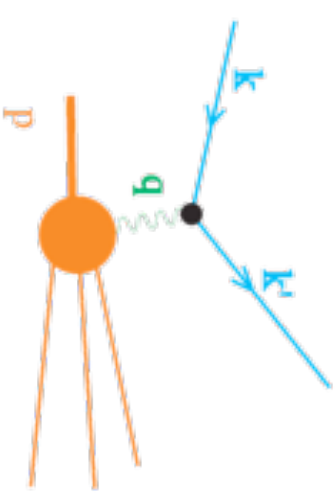
proton structure functions then obtained by weighting the quark str. fct. with the **parton distribution functions** (probability to find a quark with momentum  $\xi$ )

$$F_2 = 2xF_1 = \sum_{q,q'} \int_0^1 d\xi q(\xi) xe_q^2 \delta(x-\xi) = \sum_{q,q'} e_q^2 x q(x)$$

**DIS** measures the charged-weighted sum of quarks and antiquarks  
“**scaling**” - no dependence on scale  $Q$

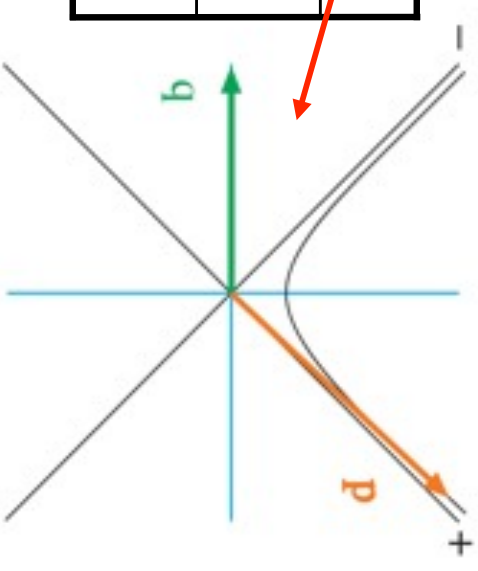
# space-time picture of DIS

this can be best understood in a reference frame where the proton moves very fast and  $Q \gg m_h$  is big

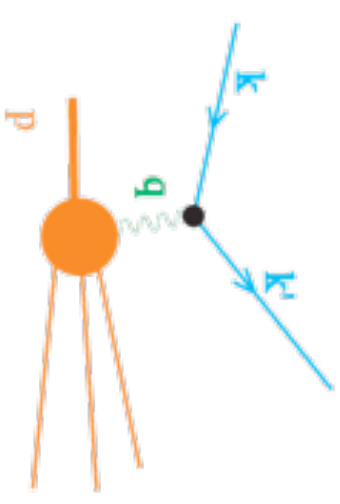


(recall light-cone kinematics from part II)

4-vector	hadron rest frame	Breit frame
$(p^+, p^-, \vec{p}_T)$	$\frac{1}{\sqrt{2}}(m_h, m_h, \vec{0})$	$\frac{1}{\sqrt{2}}(\frac{Q}{x}, \frac{xm_h^2}{Q}, \vec{0})$
$(q^+, q^-, \vec{q}_T)$	$\frac{1}{\sqrt{2}}(-m_h x, \frac{Q^2}{m_h x}, \vec{0})$	$\frac{1}{\sqrt{2}}(-Q, Q, \vec{0})$



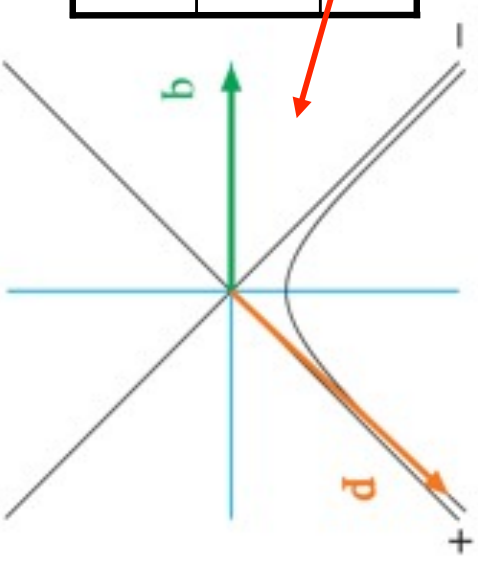
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Lorentz boost

in general  $(a^+, a^-, \vec{a}_T) \rightarrow (e^\omega a^+, e^{-\omega} a^-, \vec{a}_T) = (a'^+, a'^-, \vec{a}')$

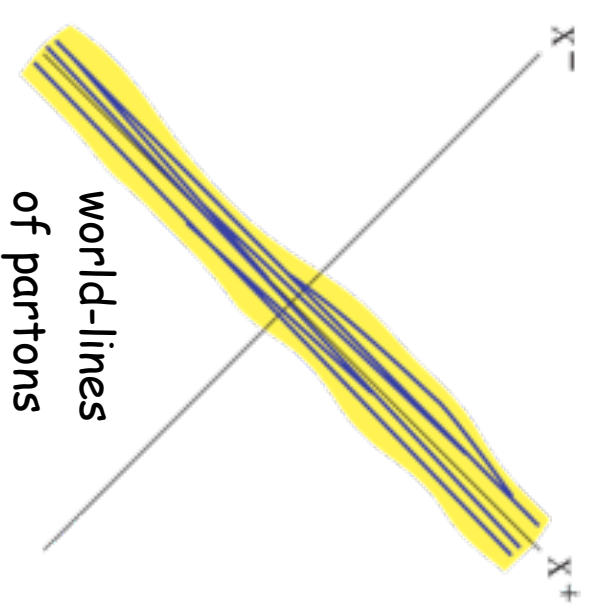
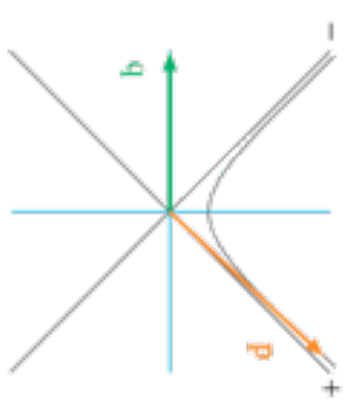
here:  $e^\omega = Q/(xm_h)$

# space-time picture of DIS – cont'd

simple estimate for typical time-scale of interactions among the partons inside a fast-moving hadron:

rest frame:  $\Delta x^+ \sim \Delta x^- \sim \frac{1}{m}$

Breit frame:  $\Delta x^+ \sim \frac{1}{m} \frac{Q}{m} = \frac{Q}{m^2}$  **large**  
 $\Delta x^- \sim \frac{1}{m} \frac{1}{Q} = \frac{1}{Q}$  **small**



# space-time picture of DIS – cont'd

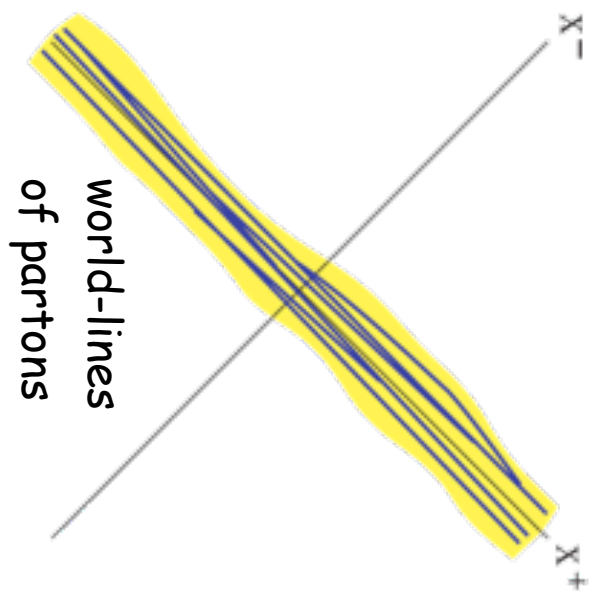
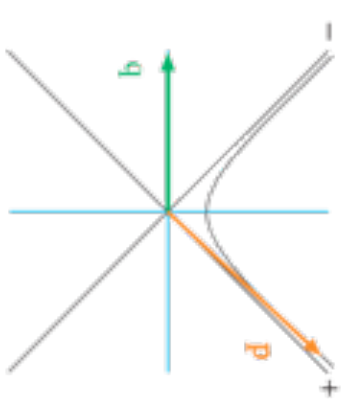
simple estimate for typical time-scale of interactions among the partons inside a fast-moving hadron:

rest frame:  $\Delta x^+ \sim \Delta x^- \sim \frac{1}{m}$

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interactions between partons are spread out inside a fast moving hadron





# space-time picture of DIS – cont'd

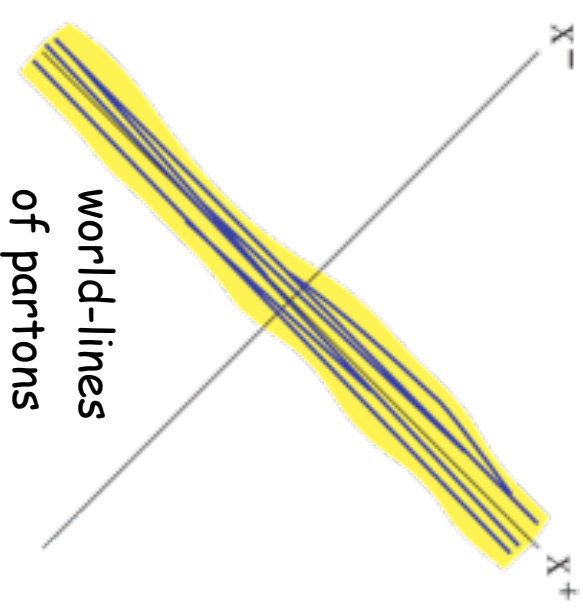
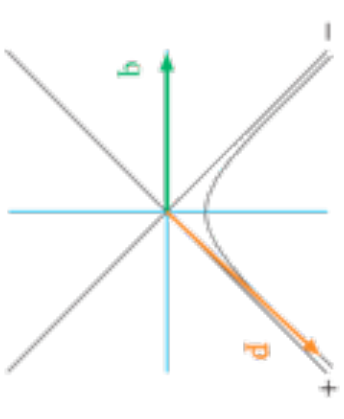
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How does this compare with the time-scale of the hard scattering?

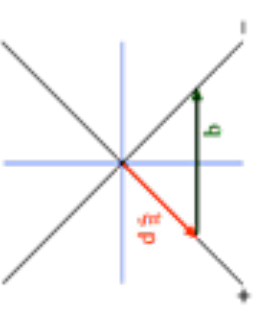
# foundation of naïve Parton Model

Feynman;  
Bjorken, Paschos

**Breit frame:**

proton moves very fast and  $Q \gg m_h$  is big

$$(p^+, p^-, \vec{p}_T) = \frac{1}{\sqrt{2}} \left( \frac{Q}{x}, \frac{xm_h^2}{Q}, \vec{0} \right) \quad (q^+, q^-, \vec{q}_T) = \frac{1}{\sqrt{2}} (-Q, Q, \vec{0})$$



struck quark  
on-shell

$$\xi p^+ + q^+ = 0 \leftrightarrow \xi = x$$

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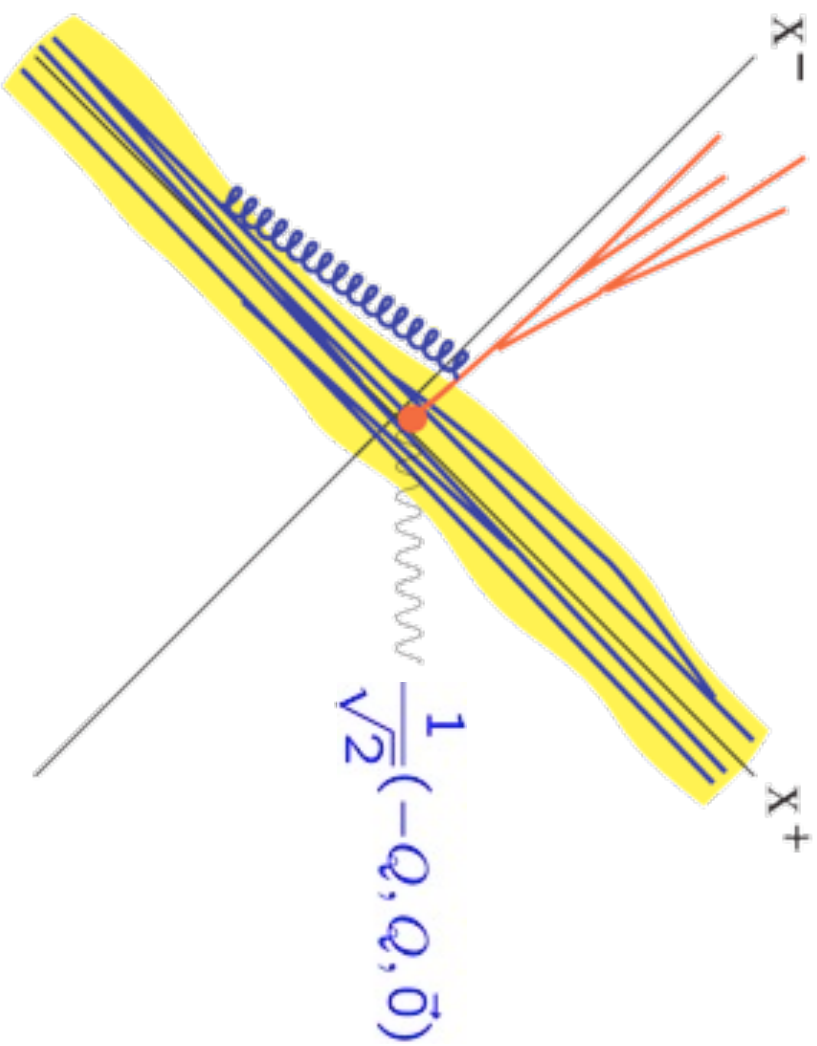
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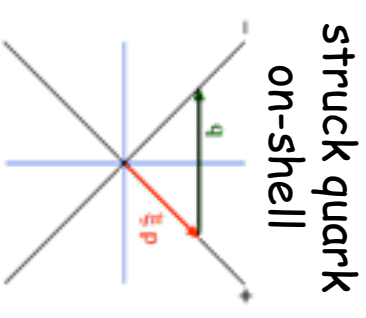
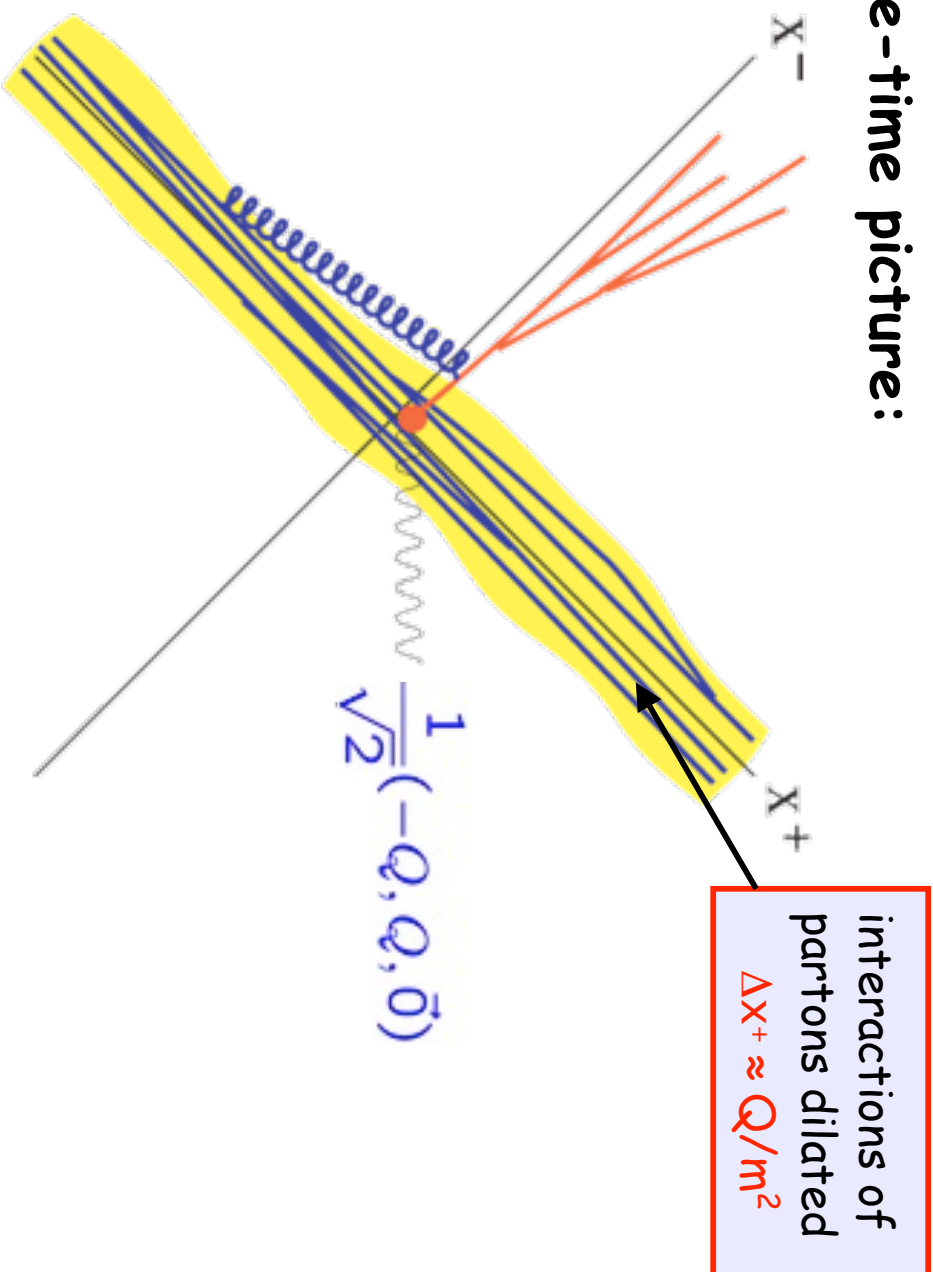
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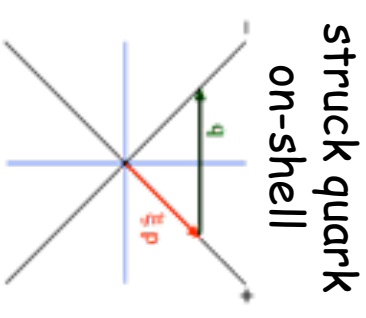
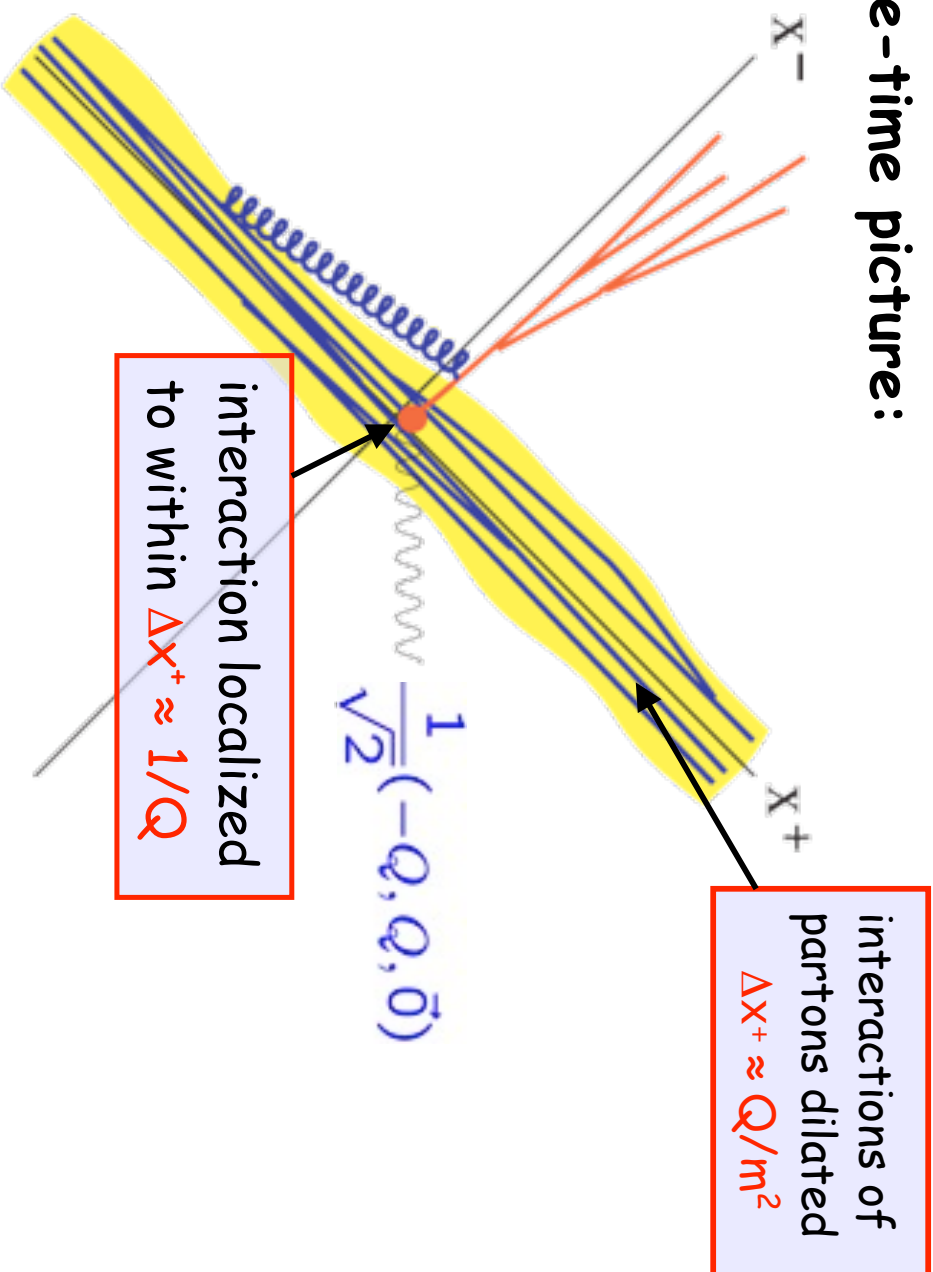
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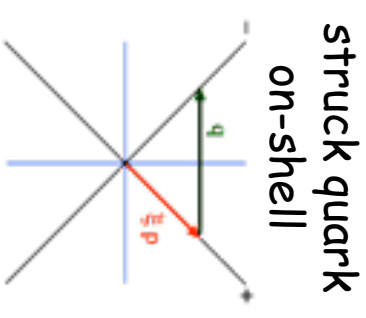
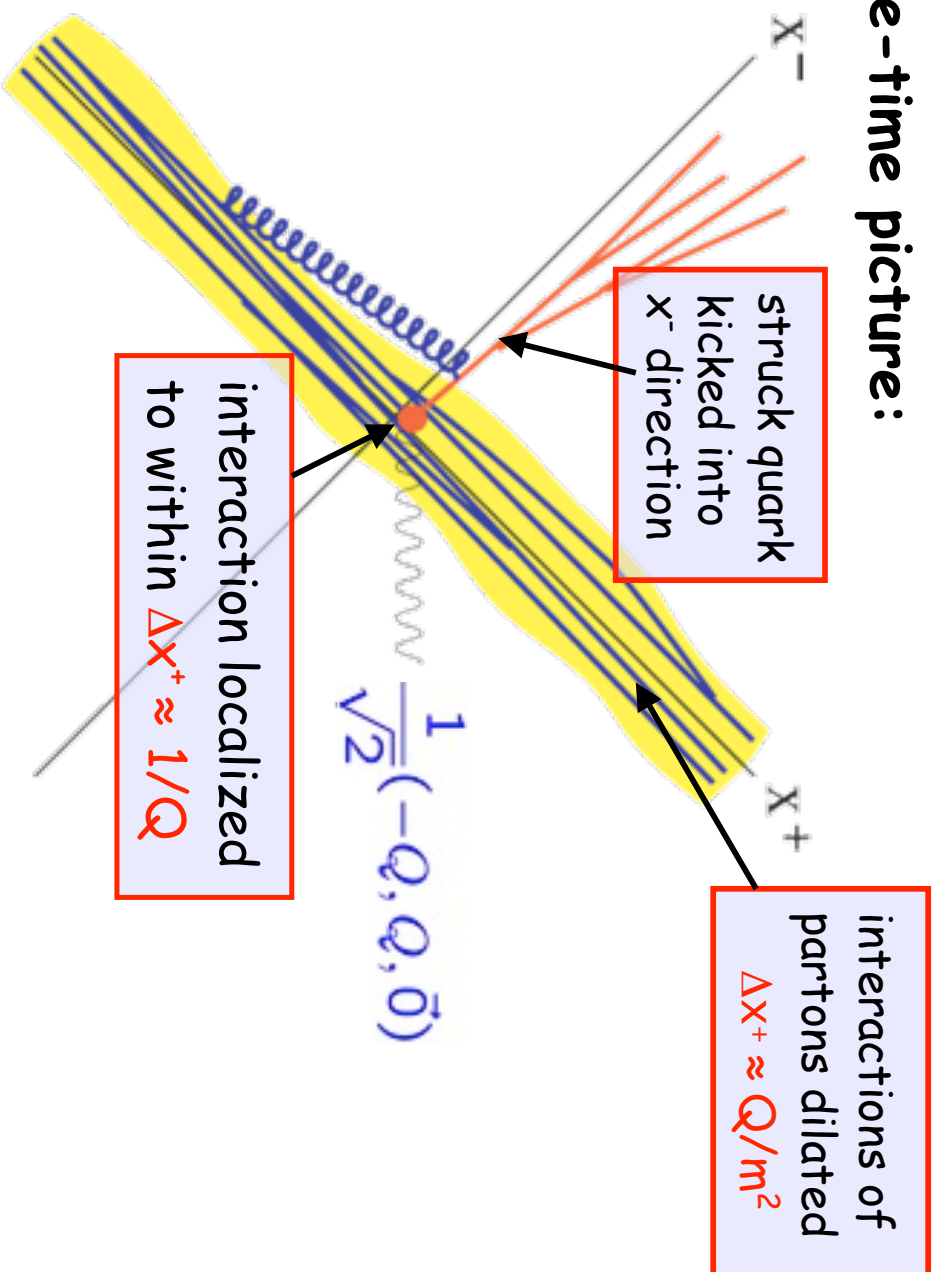
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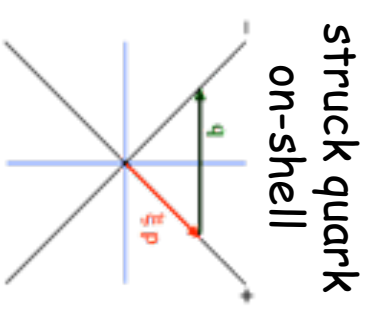
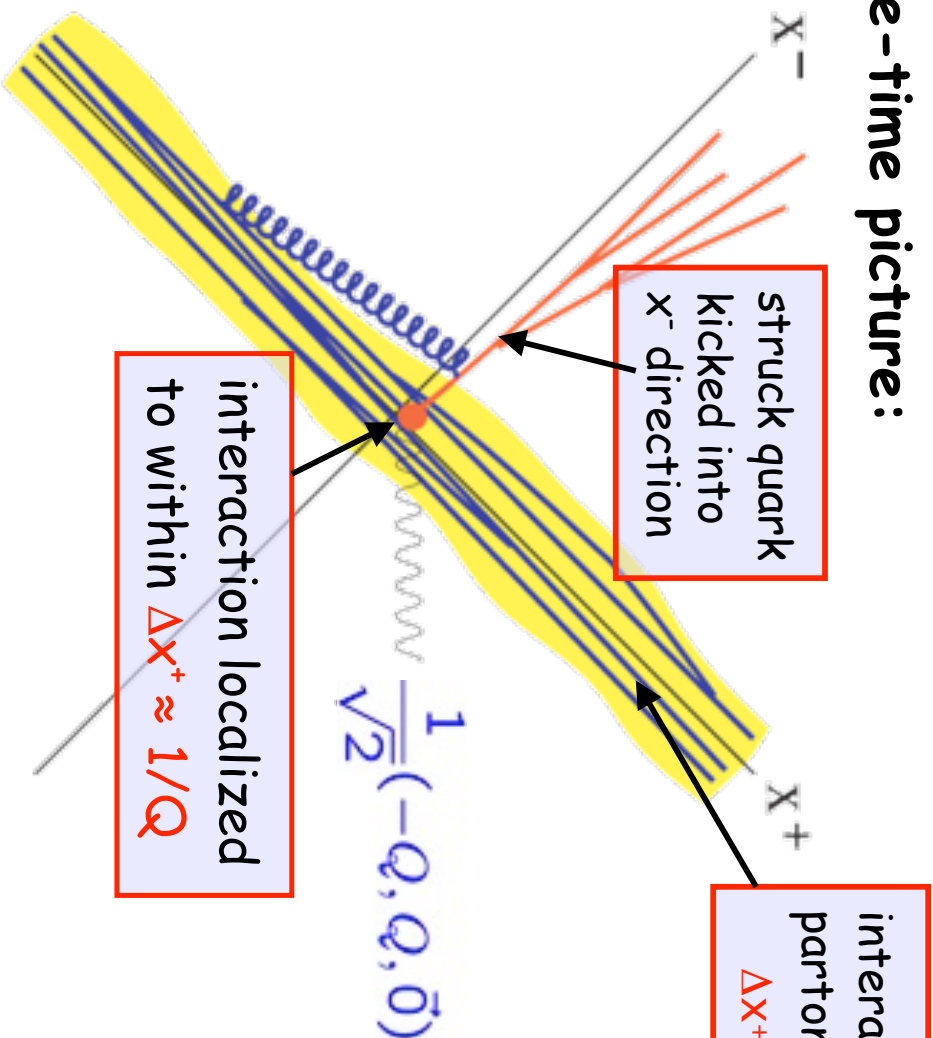
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**space-time picture:**



$$\xi p^+ + q^+ = 0 \leftrightarrow \xi = x$$

**upshot:**

- partons are free during the hard interaction
- lepton scatters off free partons incoherently
- convenient to introduce **momentum fractions**  
 $0 < \xi_i \equiv p_i^+ / p^+ < 1$

# sum rules and isospin

for the quark distributions in a proton there are several sum rules to obey

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

**momentum sum rule**

quarks share proton momentum

$$\int_0^1 dx \left( f_u^{(p)}(x) - f_{\bar{u}}^{(p)}(x) \right) = 2$$

$$\int_0^1 dx \left( f_d^{(p)}(x) - f_{\bar{d}}^{(p)}(x) \right) = 1$$

**flavor sum rules**

conservation of quantum numbers

$$\int_0^1 dx \left( f_s^{(p)}(x) - f_{\bar{s}}^{(p)}(x) \right) = 0$$



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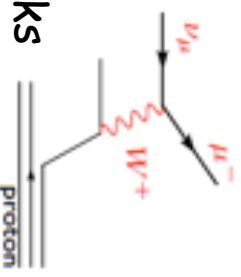
**flavor sum rules**

conservation of quantum numbers

**isospin symmetry** relates a neutron to a proton (just u and d interchanged)

$$F_2^n(x) = x \left( \frac{1}{9} d_n(x) + \frac{4}{9} u_n(x) \right) = x \left( \frac{4}{9} d_p(x) + \frac{1}{9} u_p(x) \right)$$

- measuring both allows to determine  $u^p$  and  $d^p$  separately
- note: CC DIS couples to weak charges and separates quarks and antiquarks

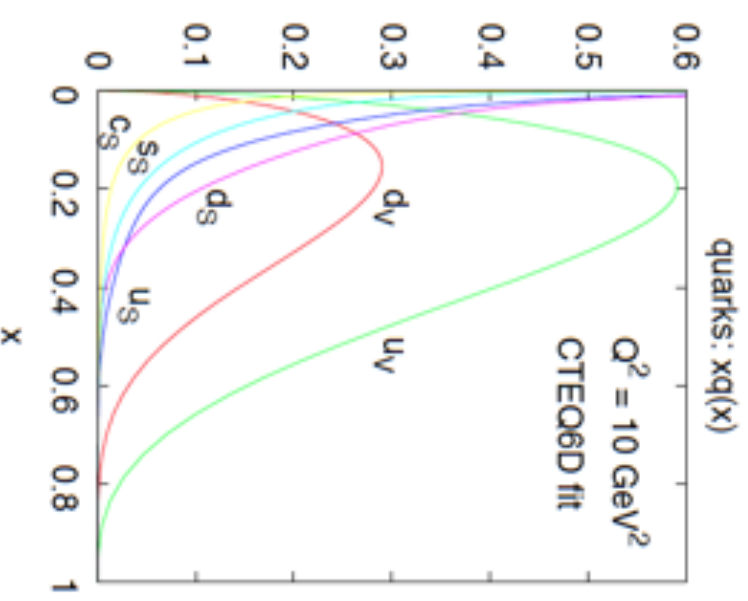


# momentum sum rule in the naïve parton model

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

$u_v$	0.267
$d_v$	0.111
$u_s$	0.066
$d_s$	0.053
$s_s$	0.033
$c_c$	0.016
<b>total</b>	<b>0.546</b>

half of the momentum is missing



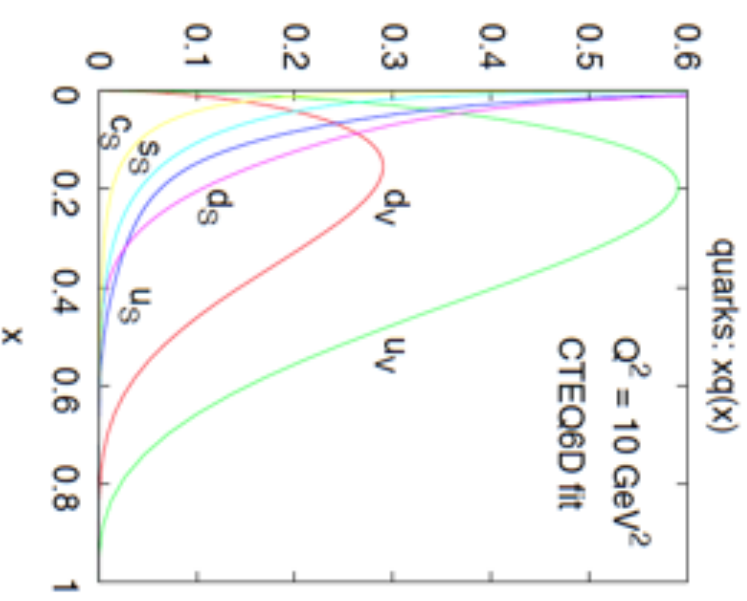
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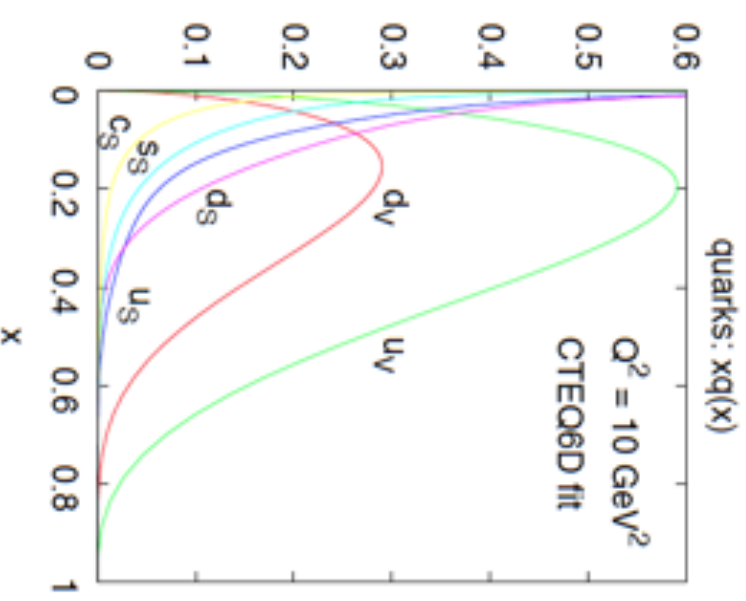
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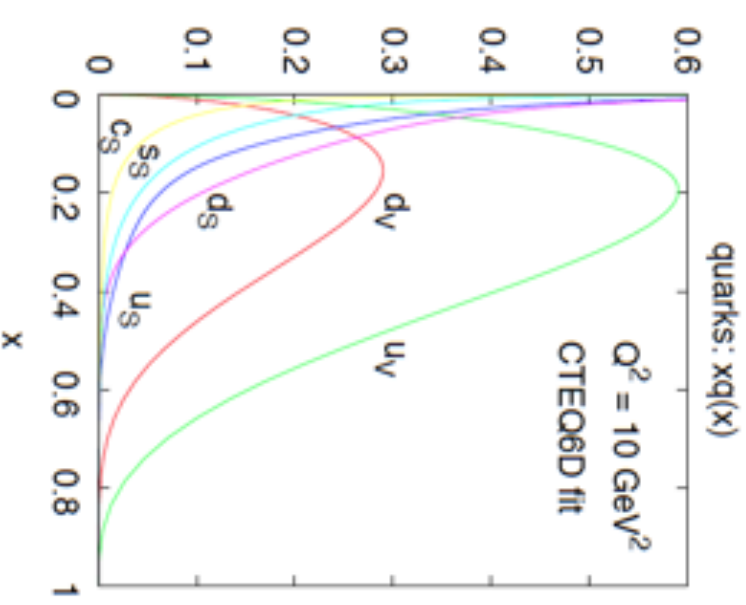
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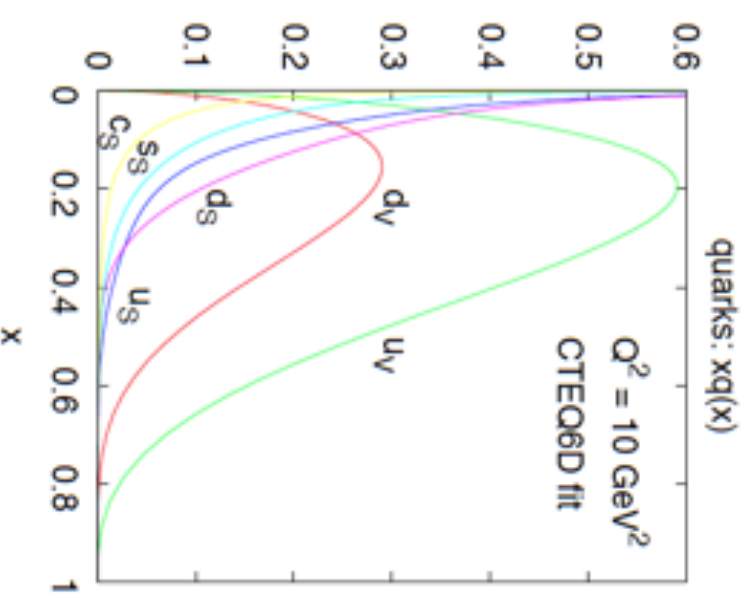
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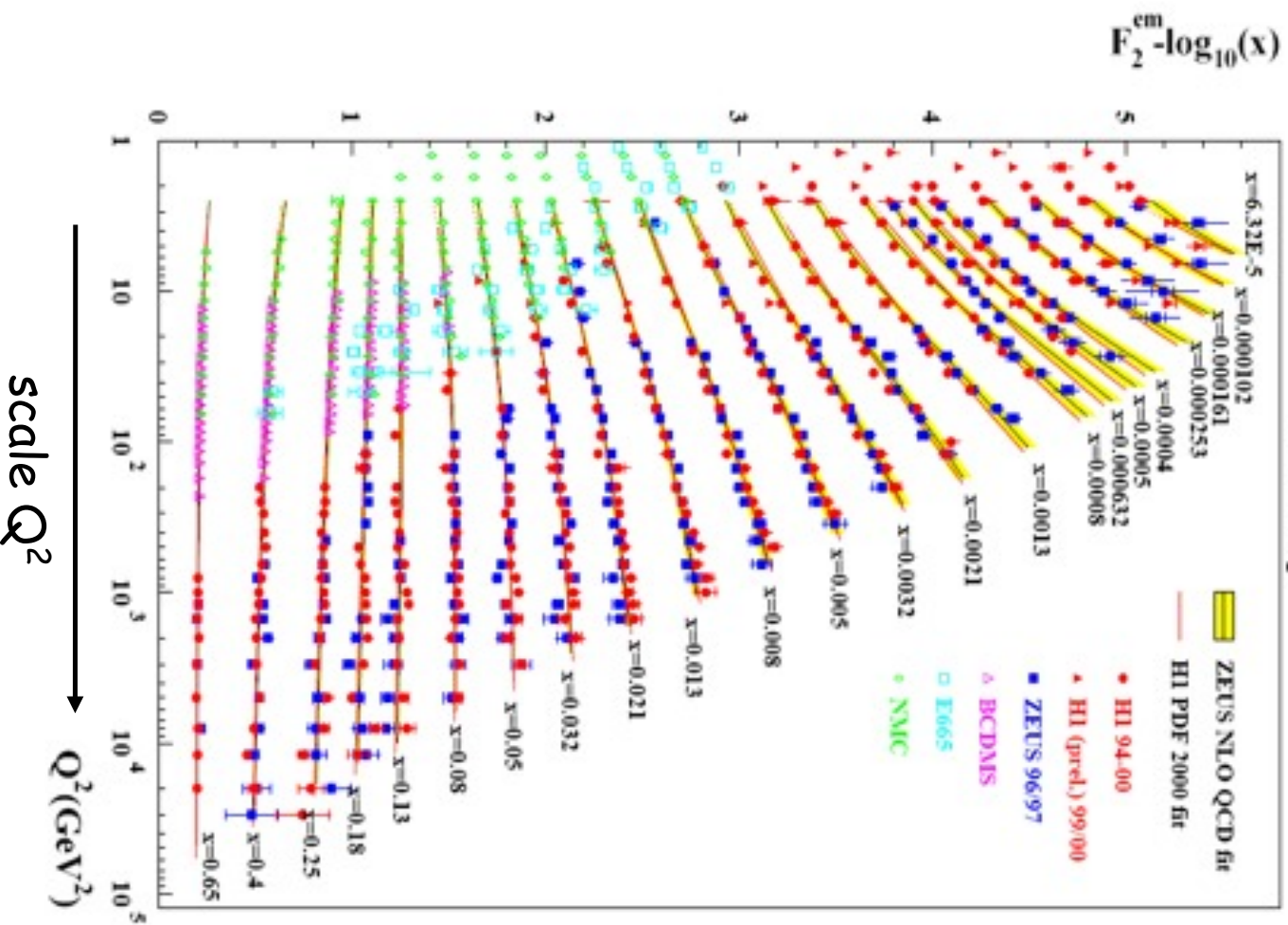


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**gluons will enter the game and everything will become scale dependent**

# Naïve parton model vs. experiment

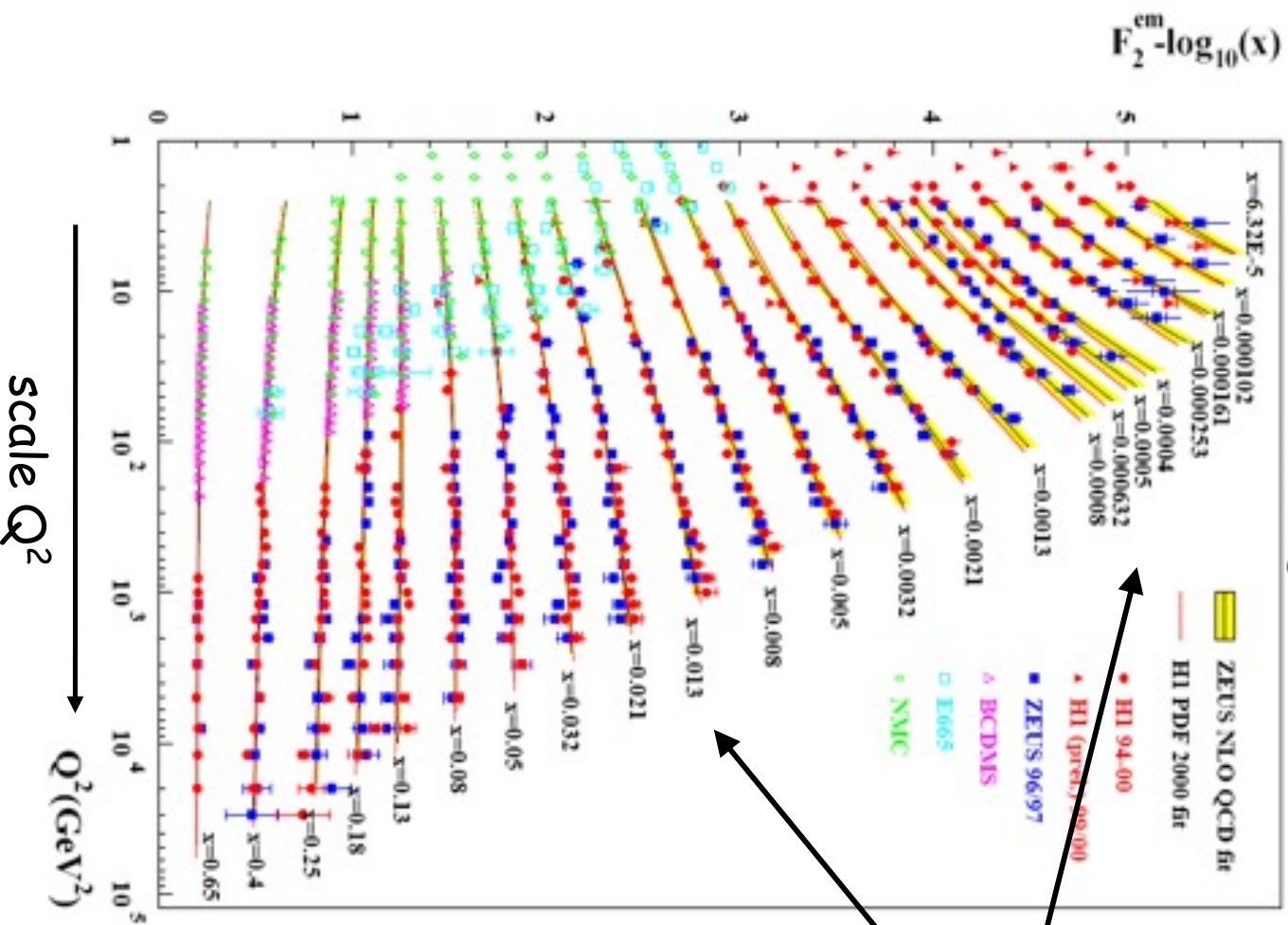
HERA  $F_2$



find strong scaling violations

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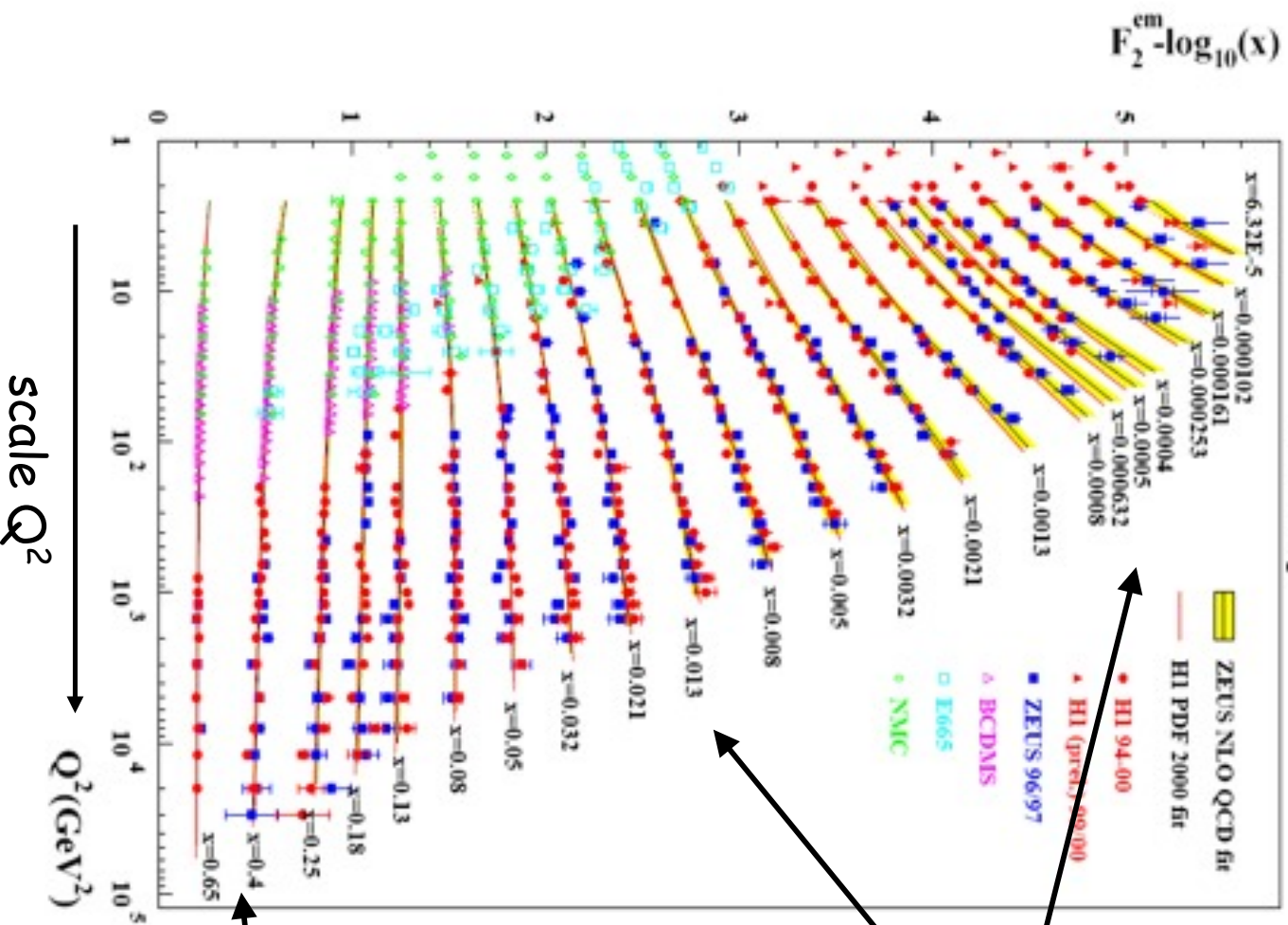
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significant rise at small  $x$



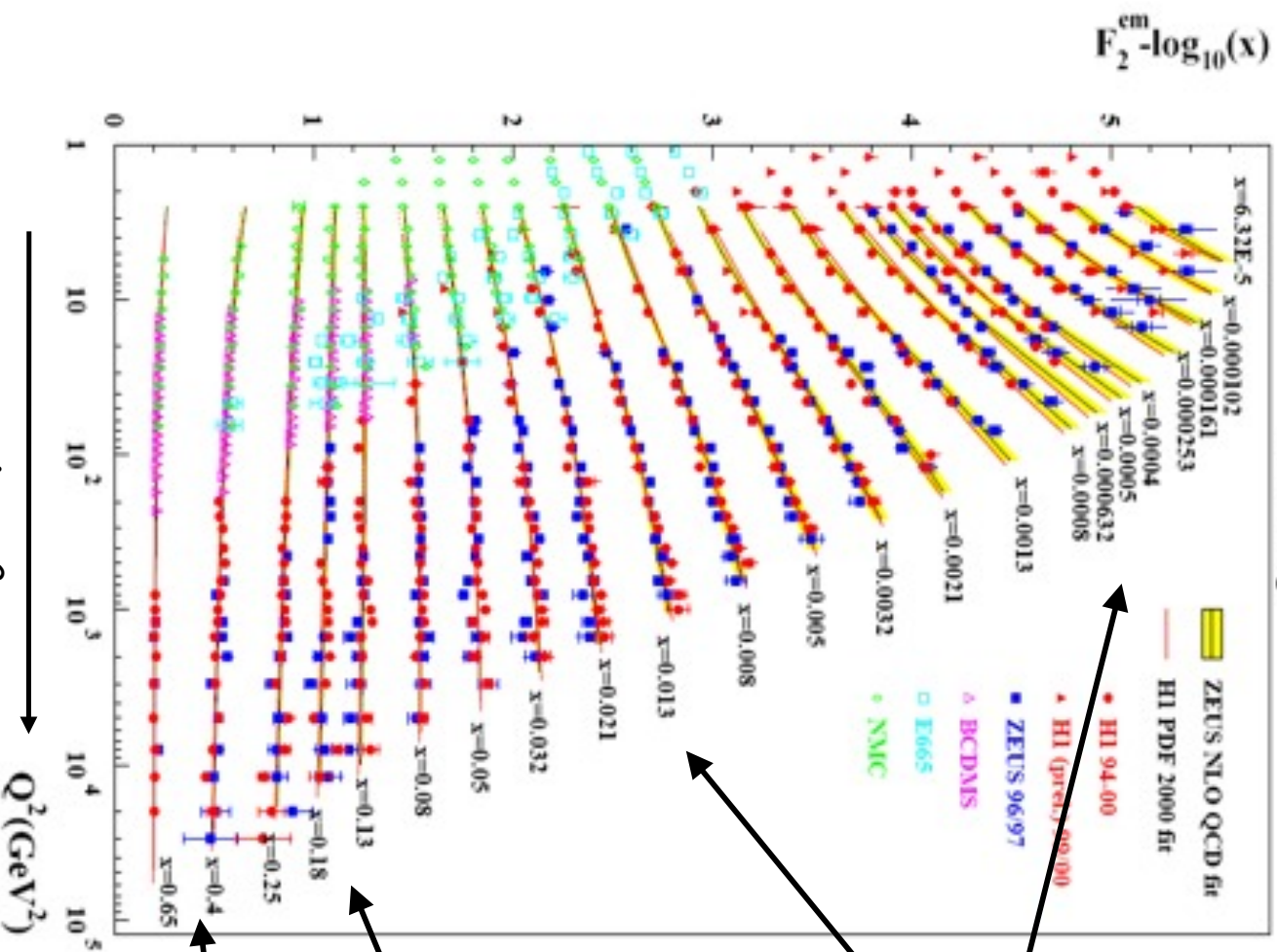
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# Naïve parton model vs. experiment

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find **strong scaling violations**

significant rise at small  $x$

approximate scaling only  
around  $x \approx 0.15$

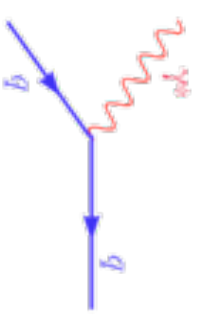
decrease at high  $x$

scale  $Q^2$

$Q^2$ (GeV<sup>2</sup>)

# DIS in the QCD improved parton model

we got a long way (parton model) without invoking QCD

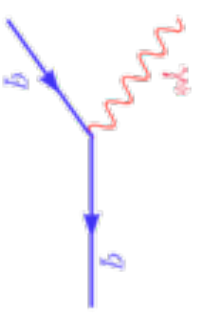


now we have to study QCD dynamics in DIS

- this leads to similar problems already encountered in  $e^+e^-$

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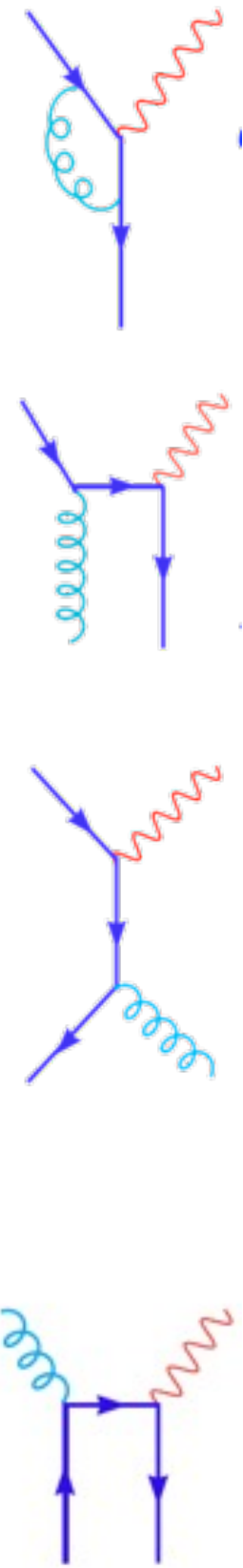
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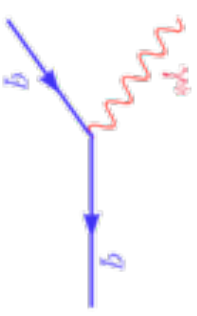


$\alpha_s$  corrections to the LO process

photon-gluon fusion

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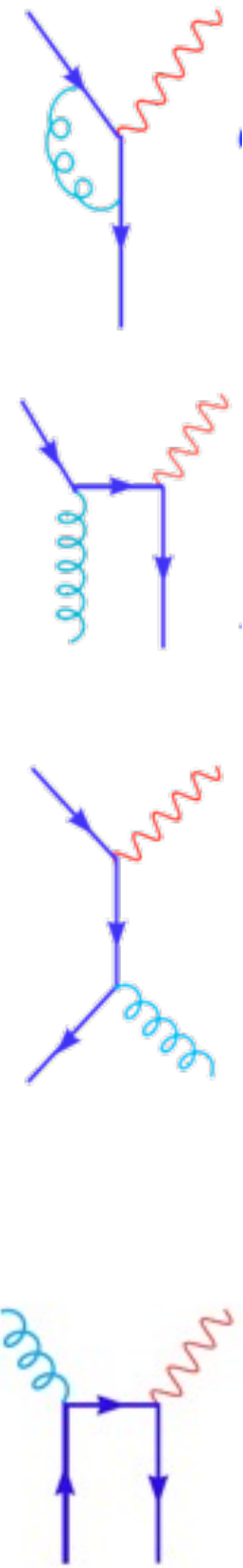
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$\alpha_s$  corrections to the LO process

photon-gluon fusion

**caveat:** have to expect divergencies (recall 2<sup>nd</sup> part)

related to soft/collinear emission or from loops

we cannot calculate with infinities  $\rightarrow$  introduce a "regulator"

and remove it in the end

# general structure of the $O(\alpha_s)$ corrections

using small (artificial) quark/gluon masses as regulator we obtain:

$$\frac{d^2\hat{\sigma}}{dx dQ^2} \Big|_{F_2} \equiv \hat{F}_2^q \quad \begin{array}{c} \text{gluon emission} \\ \text{gluon exchange} \\ \text{gluon exchange} \end{array}$$

$$= e_q^2 x \left[ \delta(1-x) + \frac{\alpha_s(\mu_r)}{4\pi} \left[ P_{qq}(x) \ln \frac{Q^2}{m_g^2} + C_2^q(x) \right] \right]$$


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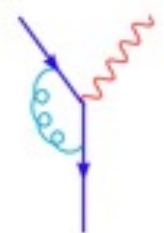
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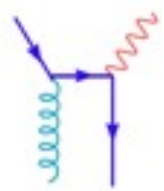
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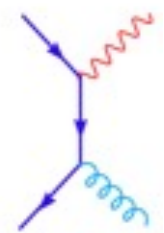
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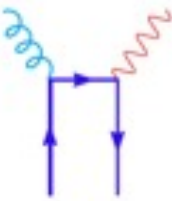
LO







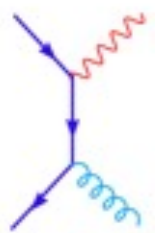
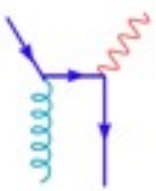
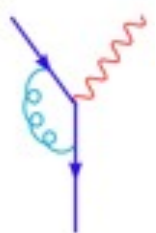
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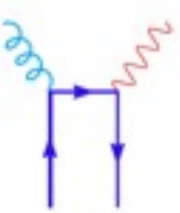
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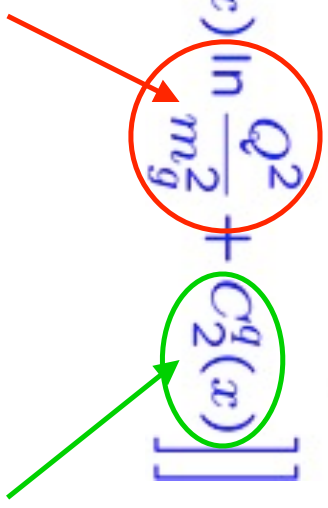
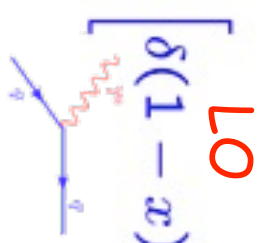
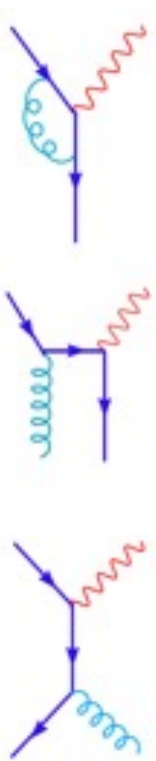


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large logarithms  
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finite  
coefficients

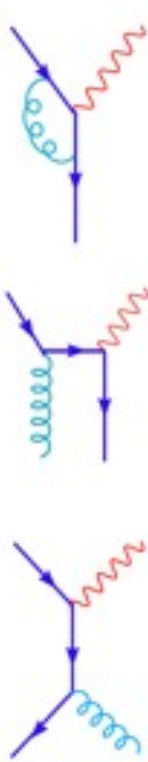
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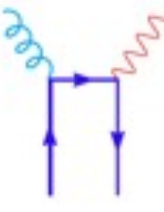


LO



$$\frac{d^2\hat{\sigma}}{dx dQ^2} \Big|_{F_2} \equiv \hat{F}_2^g = \sum_q e_q^2 x \left[ 0 + \frac{\alpha_s(\mu_r)}{4\pi} \left[ P_{qg}(x) \ln \frac{Q^2}{m_q^2} + C_2^g(x) \right] \right]$$



large logarithms  
(collinear emission)

→

finite  
coefficients

→

to see what happens to the logs we have to convolute our results with the PDFs

# factorization of collinear singularities

for the quark part we obtain:


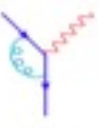


$$F_2(x, Q^2) = x \sum_{a=q, \bar{q}} e_q^2 [f_{a,0}(x) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{d\xi}{\xi} f_{a,0}(x) [P_{qq}(\frac{x}{\xi}) \ln \frac{Q^2}{m_g^2} + C_2^q(\frac{x}{\xi})]]$$



similarly for the gluonic part

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physical/renormalized densities: not calculable in pQCD but **universal**

## general structure of a factorized cross section

putting everything together, keeping only terms up to  $\alpha_s$ :

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**this result is readily extended to hadron-hadron collisions**

# Lesson: theorists are not afraid of infinities

JOAN CARTIER



ALRIGHT RUTH, I ABOUT GOT THIS ONE REMORHALIZED.

**universal PDFs** → **key to predictive power of pQCD**

once PDFs are extracted from one set of experiments, e.g. DIS, we can use them to **predict cross sections** in, say, hadron-hadron collisions

parton densities are **universal**

→ there must be a process-independent **precise definition**

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small print: we need to specify a common factorization scheme for

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standard choice: **modified minimal subtraction ( $\overline{MS}$ ) scheme**

(closely linked to dim. regularization; used in all PDF fits)

less often used: **DIS scheme** = "maximal" subtraction where all

$O(\alpha_s)$  corrections in DIS are absorbed into PDFs  
(nice for DIS but a bit awkward for other processes)

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classic (but old-fashioned) definition of PDFs through their

Bardeen, Buras,  
Duke, Muta

Mellin moments in **Wilson-Zimmermann's operator product expansion (OPE)**

# PDFs as bi-local operators

Curci, Furmanski,  
Petronzio; Collins, Soper  
see, e.g., D. Soper,  
hep-lat/9609018

**more physical formulation** in Bjorken-x space:

matrix elements of bi-local operators on the light-cone

for quarks: (similar for gluons; easy to include spin  $\gamma^+ \rightarrow \gamma^+ \gamma_5$ )

$$f_a(\xi, \mu_f) = \frac{1}{2} \int \frac{dy^-}{2\pi} e^{-i\xi p^+ y^-} \langle p | \bar{\Psi}_a(0, y^-, \vec{0}) \gamma^+ \mathcal{F} \Psi_a(0) | p \rangle_{\overline{MS}}$$

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recreates quark at  $x^+=0$  and  $x^-=y^-$  quark annihilates at  $x^\mu=0$

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Fourier transform  $\rightarrow$  momentum  $\xi$   $p^+$  recreates quark at  $x^+=0$  and  $x^-=y^-$  annihilates quark at  $x^u=0$

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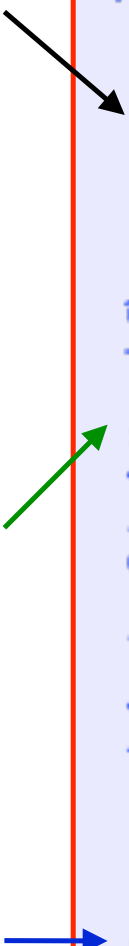
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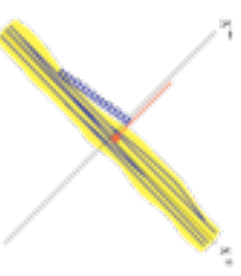


Fourier transform **recreates quark** **annihilates**  
 → momentum  $\xi p^+$  **at  $x^+=0$**  and  $x^-=y^-$  **quark at  $x^\mu=0$**

- in general we need a **"gauge link"** for a gauge invariant definition:

$$\mathcal{F} = \mathcal{P} \exp \left( -ig \int_0^{y^-} dz^- A_c^+(0, z^-, \vec{0}) T_c \right)$$

crucial role for a special class of **"transverse-momentum dep. PDFs"** describing phenomena with transverse polarization (**"Sivers function"**, ...)



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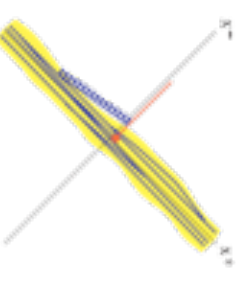
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- interpretation as number operator only in " $A^+=0$  gauge"

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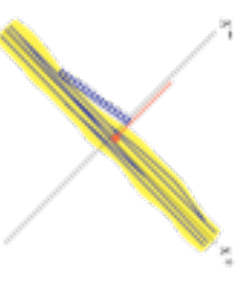
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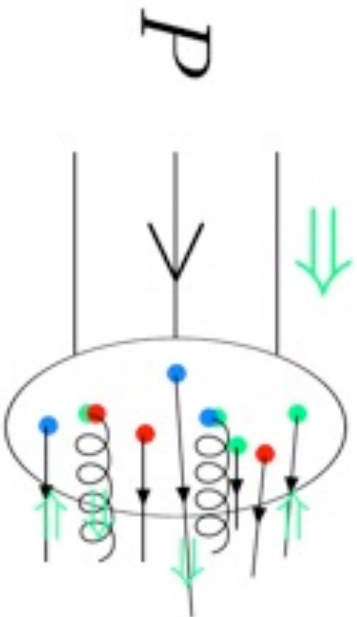


- interpretation as number operator only in "**A<sup>+</sup> = 0 gauge**"
- turn into local operators (→ lattice QCD) if taking moments  $\int_0^1 d\xi \xi^n$



# pictorial representation of PDFs

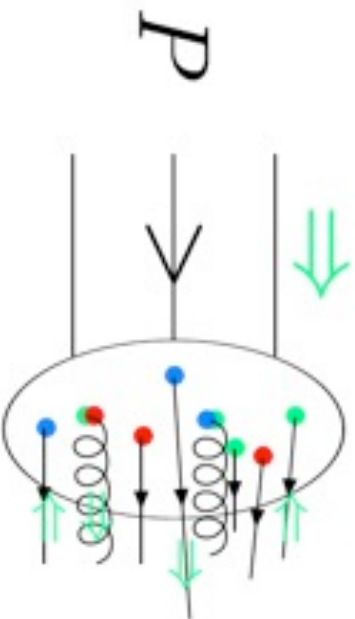
suppose we could take a snapshot of a nucleon with positive helicity



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$$q(x) \equiv \left| \begin{array}{c} \text{helicity} \\ \text{+} \\ x^P \\ \text{+} \\ P_+ \end{array} \right|^2 + \left| \begin{array}{c} \text{+} \\ x^P \\ \text{-} \\ P_+ \end{array} \right|^2$$

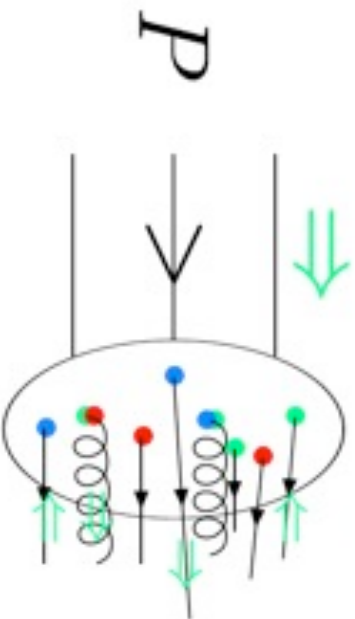
$$g(x) = \left| \begin{array}{c} \text{+} \\ x^P \\ \text{+} \\ P_+ \end{array} \right|^2 + \left| \begin{array}{c} \text{-} \\ x^P \\ \text{-} \\ P_+ \end{array} \right|^2$$

unpolarized PDFs

→ LHC phenomenology, etc.

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↑ helicity

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helicity-dep. PDFs

→ spin of the nucleon

## towards renormalization group equations

**so far**: infinities related to **long-time/distance physics** (soft/collinear emissions)  
these singularities cancel for **infrared safe observables**  
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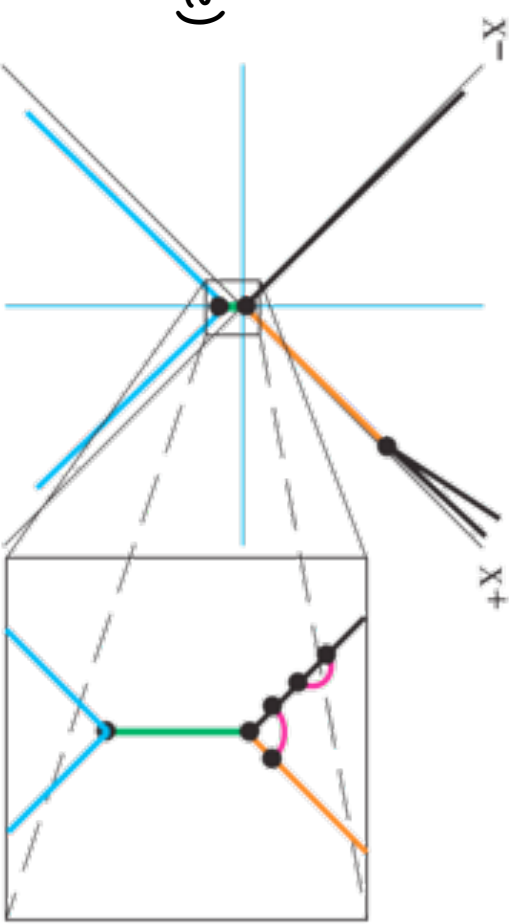
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**but:** class of **ultraviolet infinities** related to the **smallest time scales/distances:**

we can insert perturbative corrections  
to vertices and propagators ("loops")

loop momenta can be very large (=infinite)  
leading to virtual fluctuations on very  
short time scales/distances



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these singularities cancel for **infrared safe observables**  
or can be systematically removed (**factorization**) by "hiding" them  
in some non-perturbative parton or fragmentation functions

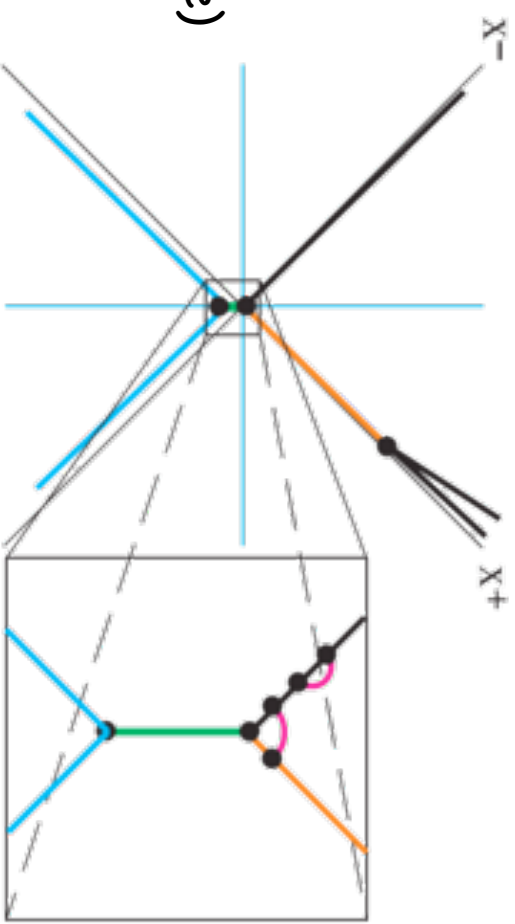
**but:** class of **ultraviolet infinities** related to the **smallest time scales/distances:**

we can insert perturbative corrections  
to vertices and propagators ("loops")

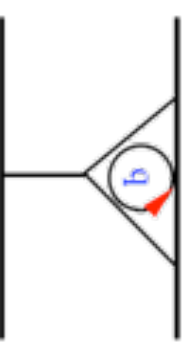
loop momenta can be very large (=infinite)  
leading to virtual fluctuations on very  
short time scales/distances

again, we need a suitable regulator for  
divergent loop integrations:

**UV cut-off vs. dim. regularization**  
intuitive; not beyond NLO      involved; works to all orders



$$\int_0^\infty d^4 q$$



## **the importance of scales**

factorization and renormalization play similar roles at opposite ends of the energy range of pQCD



# the importance of scales

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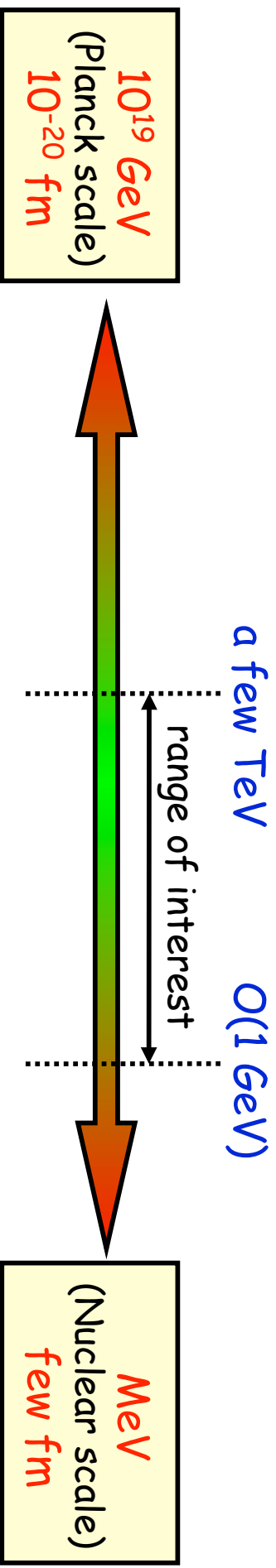
$10^{19}$  GeV  
(Planck scale)  
 $10^{-20}$  fm



MeV  
(Nuclear scale)  
few fm

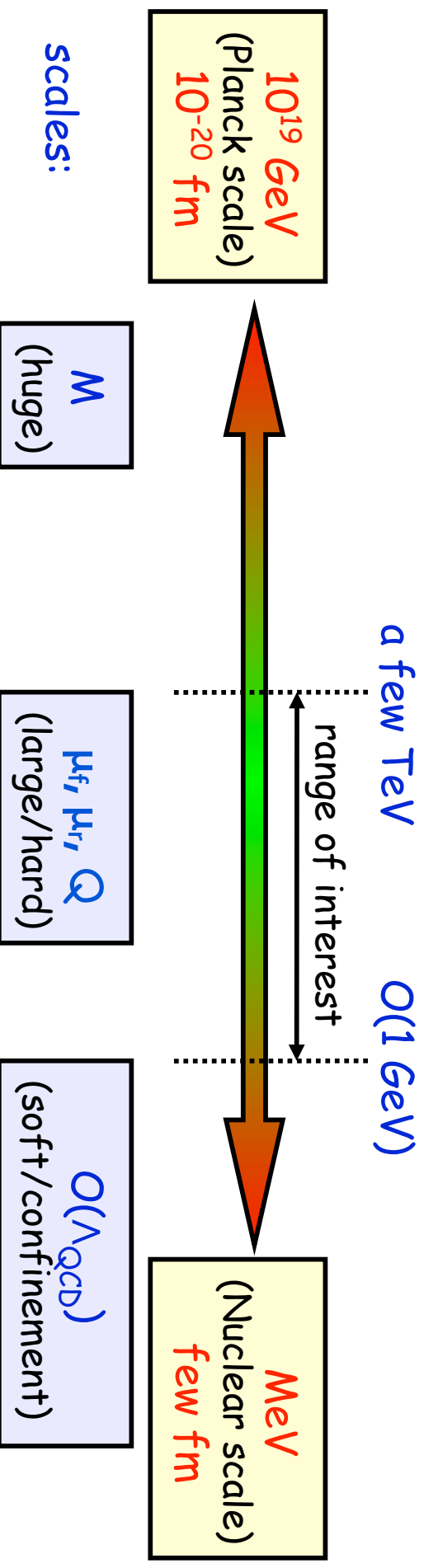
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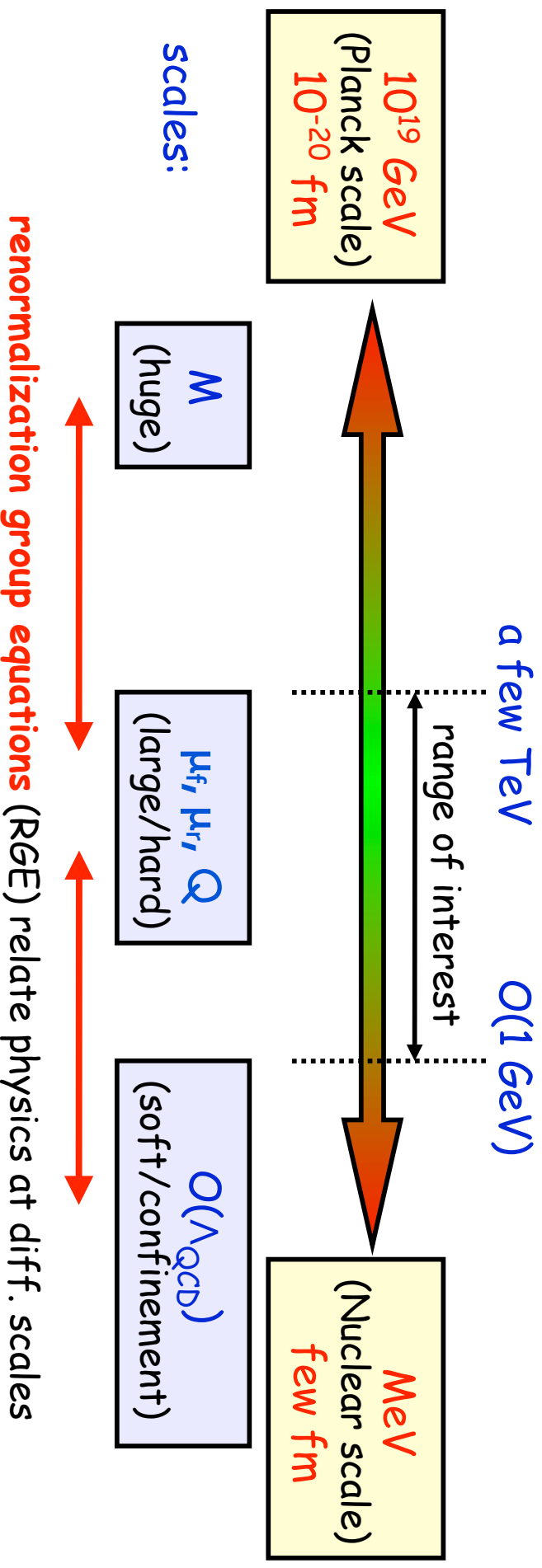
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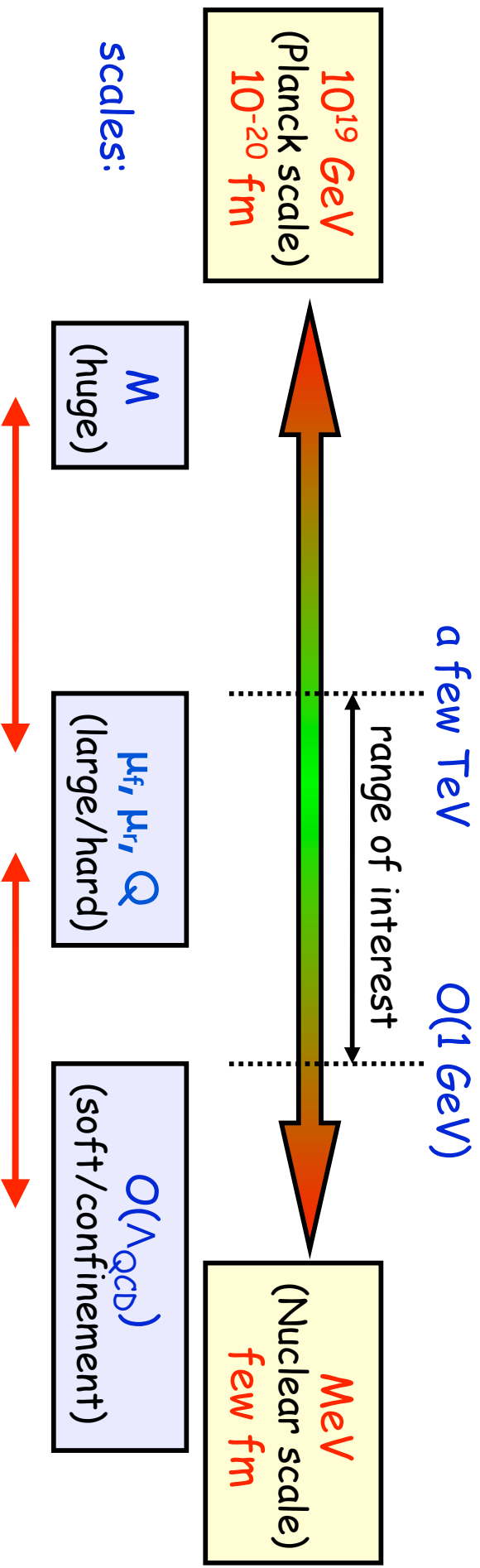
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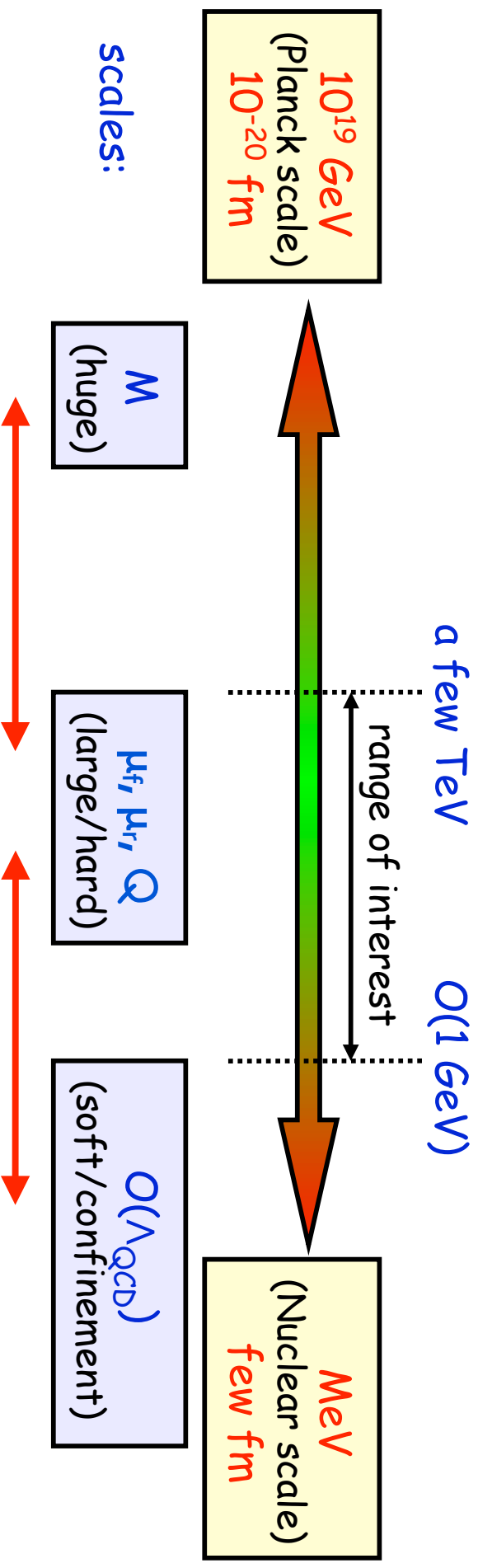


renormalization group equations (RGE) relate physics at diff. scales

**UV renormalization**  
hides our ignorance of physics at huge scales in  $\alpha_s(\mu_r), m(\mu_r), \dots$

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**UV renormalization**  
hides our ignorance of physics at huge scales in  $\alpha_s(\mu_r), m(\mu_r), \dots$

**IR/collinear factorization**  
hides non-perturbative QCD at confinement scale in  $f_d(x, \mu_f), \Delta f_d(x, \mu_f), D_d^H(z, \mu_f), \dots$

# RGE: the swiss army knife of pQCD



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both scale parameters  $\mu_f$  and  $\mu_r$  are not intrinsic to QCD

→ a measurable cross section  $d\sigma$  must be independent of  $\mu_r$  and  $\mu_f$

$$\mu_{r,f} \frac{d\sigma}{d\mu_{r,f}} = \frac{d\sigma}{d \ln \mu_{r,f}} = 0 \quad \rightarrow \quad \text{renormalization group equations}$$



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all we need is a reference measurement at some scale  $\mu_0$

# scale evolution of $\alpha_s$ and parton densities

simplest example of RGE: running coupling  $\alpha_s$  derived from  $\frac{d\sigma}{d\ln\mu_r} = 0$

→ recall part II  $\frac{d\alpha_s}{d\ln\mu^2} = -\beta_0\alpha_s^2 - \beta_1\alpha_s^3 - \beta_2\alpha_s^4 - \beta_3\alpha_s^5 + \dots$   $\alpha_s \equiv \frac{\alpha_s}{4\pi}$

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 $F_2$  for one quark flavor  $F_2(x, Q^2) = q(x, \mu_f) \otimes \hat{F}_2(x, \frac{Q}{\mu_f})$

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$$\int_0^1 dx x^{n-1} \left[ \int_x^1 \frac{dy}{y} f(y) g\left(\frac{x}{y}\right) \right] =$$

$$\int_0^1 dx x^{n-1} \int_0^1 dy \int_0^1 dz \delta(x - zy) f(y) g(z) = f(n) g(n)$$

# simplest example of DGLAP evolution

Dokshitzer; Gribov, Lipatov; Altarelli, Parisi

now we can compute

$$\frac{dF_2(x, Q^2)}{d \ln \mu_f} = 0$$



$$\frac{dq(n, \mu_f)}{d \ln \mu_f} \hat{F}_2\left(n, \frac{Q}{\mu_f}\right) + q\left(n, \mu_f\right) \frac{d\hat{F}_2\left(n, \frac{Q}{\mu_f}\right)}{d \ln \mu_f} = 0$$

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splitting  
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**DGLAP evolution equation**

*solve it*

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disclaimer: kept  $\alpha_s$  constant for simplicity

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→ once we know the PDFs at a scale  $\mu_0$  we can predict them at  $\mu > \mu_0$

**factorization** → **evolution** → **resummation**

physical interpretation of the evolution eqs. :

**RGE resums collinear emissions to all orders**

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- to see this expand the solution in  $\alpha_s$ : 

$$\exp[\dots] = 1 + \frac{\alpha_s}{2\pi} P_{qq}(n) \ln \frac{\mu_f}{\mu_0} + \frac{1}{2} \left[ \frac{\alpha_s}{2\pi} P_{qq}(n) \ln \frac{\mu_f}{\mu_0} \right]^2 + \dots$$

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- the **splitting functions**  $P_{ij}(n)$  or  $P_{ij}(x)$  multiplying the log's are universal and **calculable** in **pQCD** order by order in  $\alpha_s$

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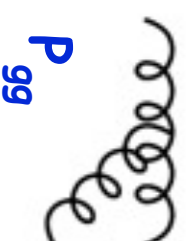
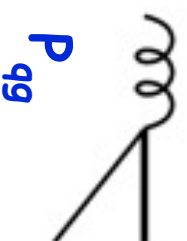
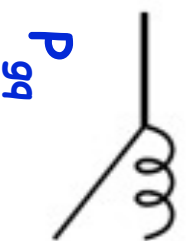
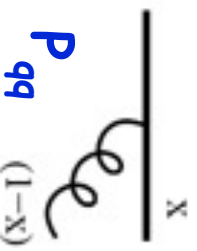
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- the physical meaning of the splitting functions is easy:

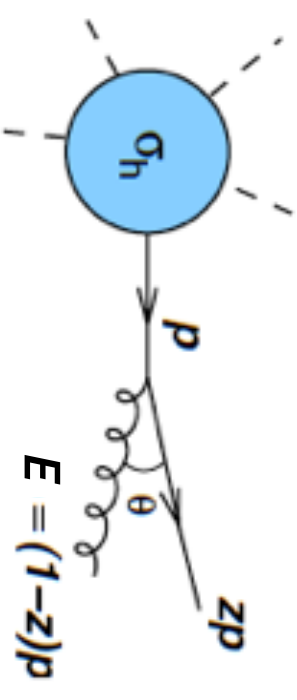
$P_{ij}(x)$  : probability that a parton  $j$  splits collinearly into a parton  $i$  (and something) carrying a momentum fraction  $x$



# factorization recap: final-state vs initial-state

recall what we learned for **final-state radiation**

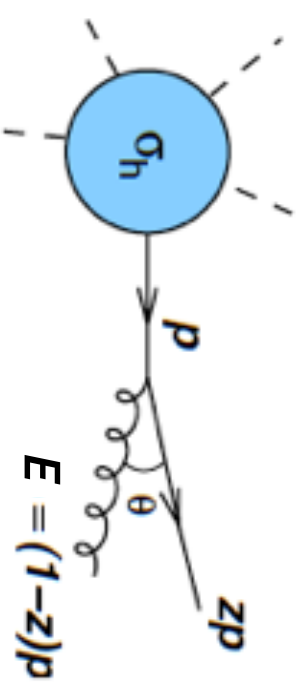
$$\sigma_{h+g} \simeq \sigma_h \frac{\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta^2}{\theta^2}$$



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and rewrite in terms of new variable  $k_\top$

$$\sigma_{h+g} \simeq \sigma_h \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_\top^2}{k_\top^2}$$

where we have used

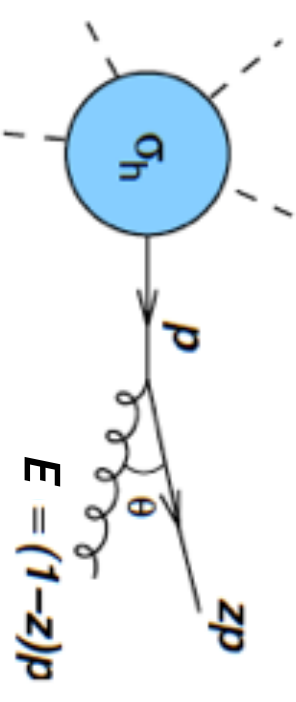
$$\begin{aligned} \mathbf{E} &= (1-z)\mathbf{p} \\ k_\top &= \mathbf{E} \sin \theta \simeq \mathbf{E} \theta \end{aligned}$$



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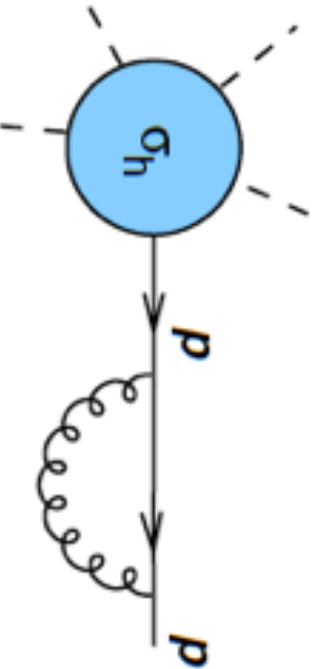
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**KLN**: if we avoid distinguishing quark and collinear quark-gluon final-states (like for **jets**) divergencies cancel against virtual corrections

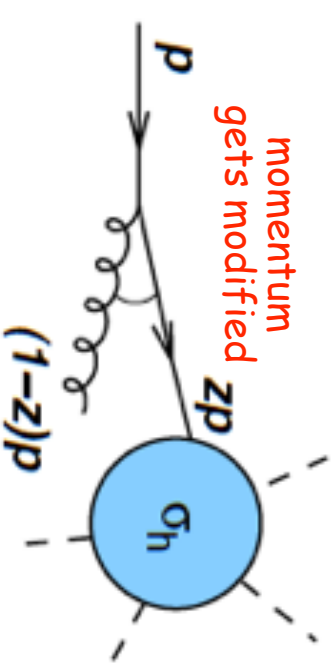


$$\sigma_{h+V} \simeq -\sigma_h \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_{\perp}^2}{k_{\perp}^2}$$

# factorization recap: initial-state peculiarities

initial-state radiation: **crucial difference** - hard scattering happens **after** splitting

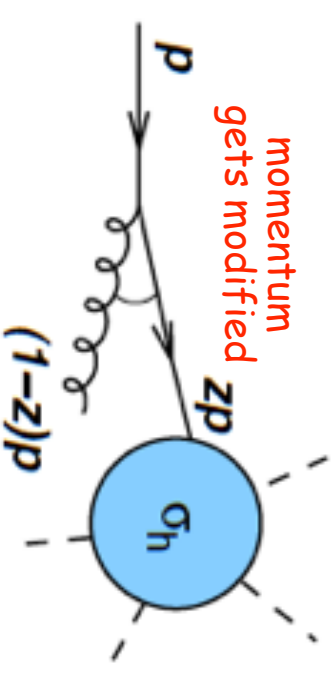
$$\sigma_{g+h}(p) \simeq \sigma_h(zp) \frac{\alpha_s C_F}{\pi} \frac{dz}{1-z} \frac{dk_t^2}{k_t^2}$$



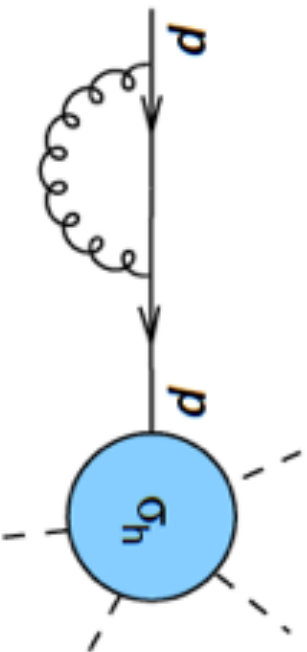
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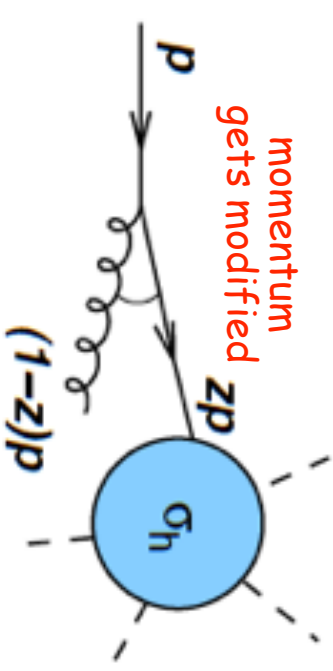


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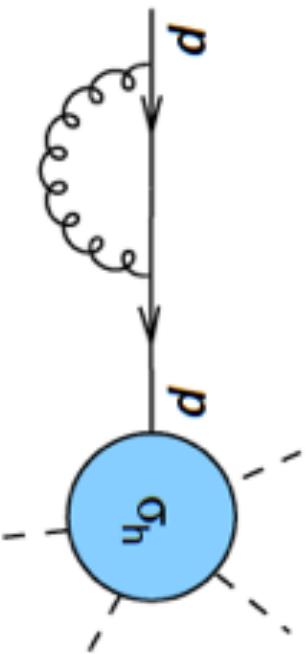
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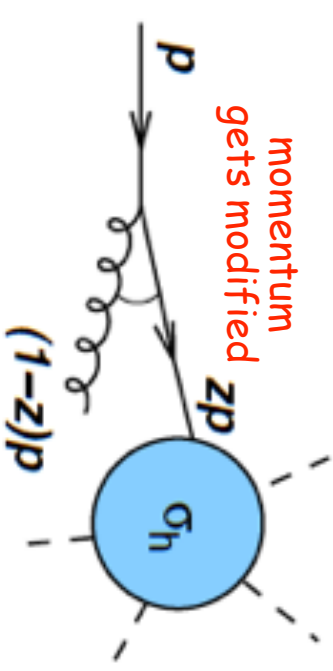
$$\sigma_{g+h} + \sigma_{V+h} \simeq \frac{\alpha_s C_F}{\pi} \int \frac{dk_t^2}{k_t^2} \frac{dz}{1-z} [\sigma_h(zp) - \sigma_h(p)]$$

disclaimer: we assume that  $k_T \ll Q$  (large) to ignore other transverse momenta

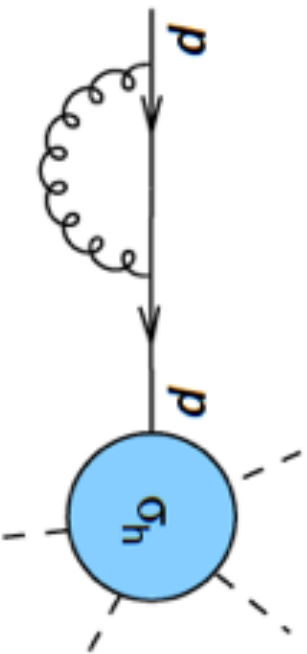
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leads to uncanceled collinear singularity

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## factorization revisited: collinear singularity

$$\sigma_{g+h} + \sigma_{V+h} \simeq \frac{\alpha_s C_F}{\pi} \underbrace{\int_0^{Q^2} \frac{dk_t^2}{k_t^2}}_{\text{infinite}} \underbrace{\int \frac{dz}{1-z} [\sigma_h(zp) - \sigma_h(p)]}_{\text{finite}}$$

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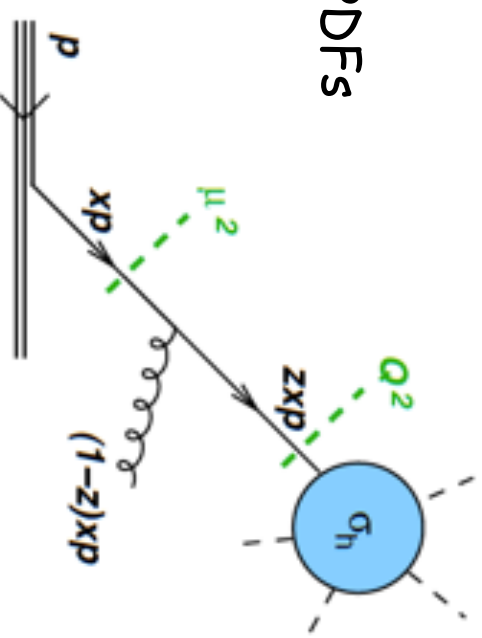
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factorization = collinear “cut-off”

- absorb divergent small  $k_T$  region in non-perturbative PDFs

$$\sigma_1 \simeq \frac{\alpha_s C_F}{\pi} \underbrace{\int_{\mu^2}^{Q^2} \frac{dk_t^2}{k_t^2}}_{\text{finite (large)}} \underbrace{\int \frac{dx dz}{1-z} [\sigma_h(zxp) - \sigma_h(xp)] q(x, \mu^2)}_{\text{finite}}$$



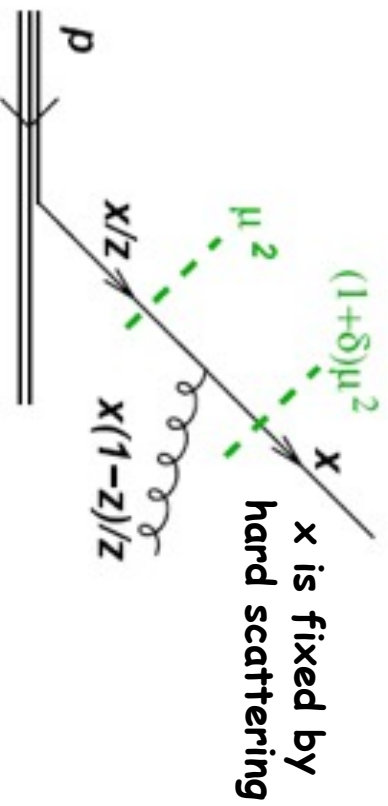


## **anatomy of splitting functions**

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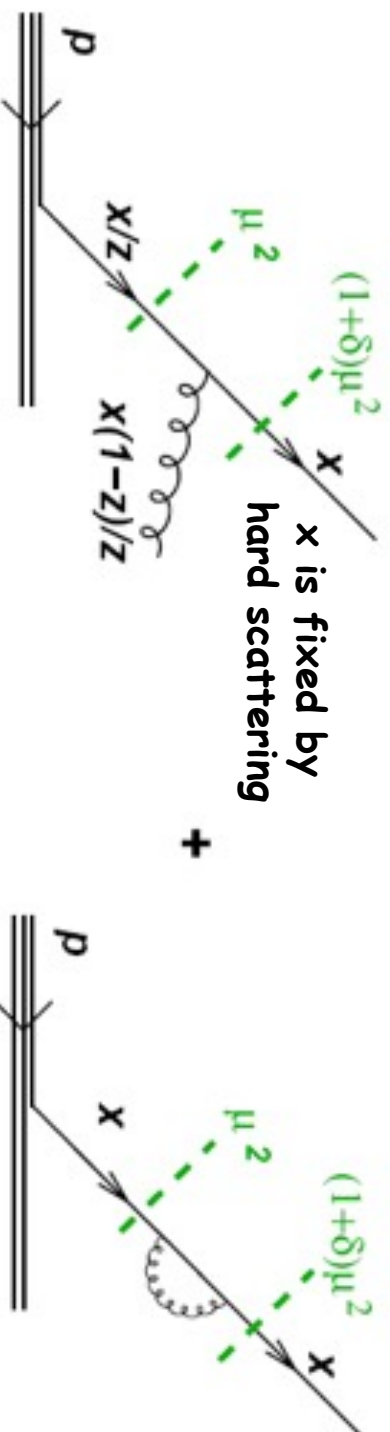


**real emission**  
"something happens"

$$\frac{dq(x, \mu^2)}{d \ln \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 dz P_{qq}(z) \frac{q(x/z, \mu^2)}{z}$$

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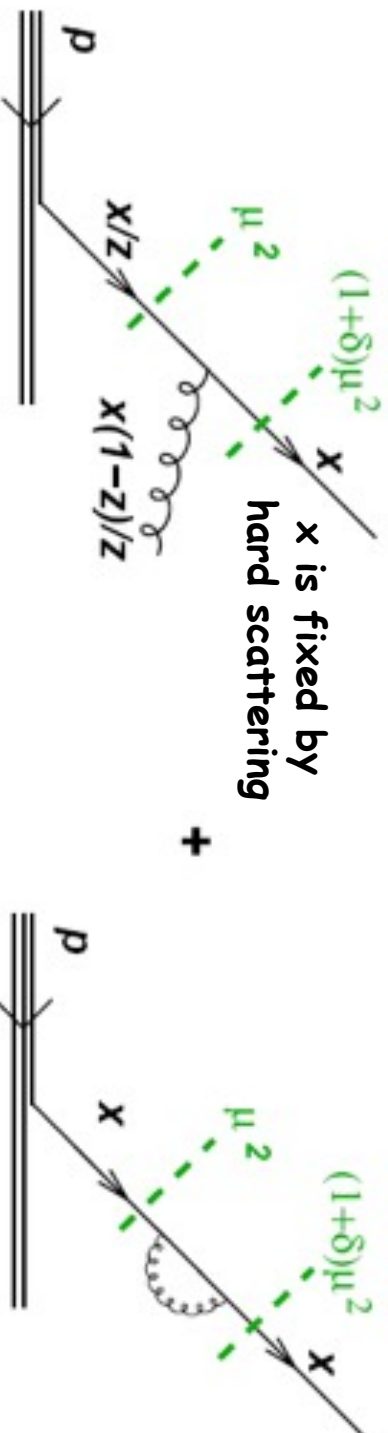
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$$\frac{dq(x, \mu^2)}{d \ln \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 dz P_{qq}(z) \frac{q(x/z, \mu^2)}{z} - \frac{\alpha_s}{2\pi} \int_0^1 dz P_{qq}(z) q(x, \mu^2)$$

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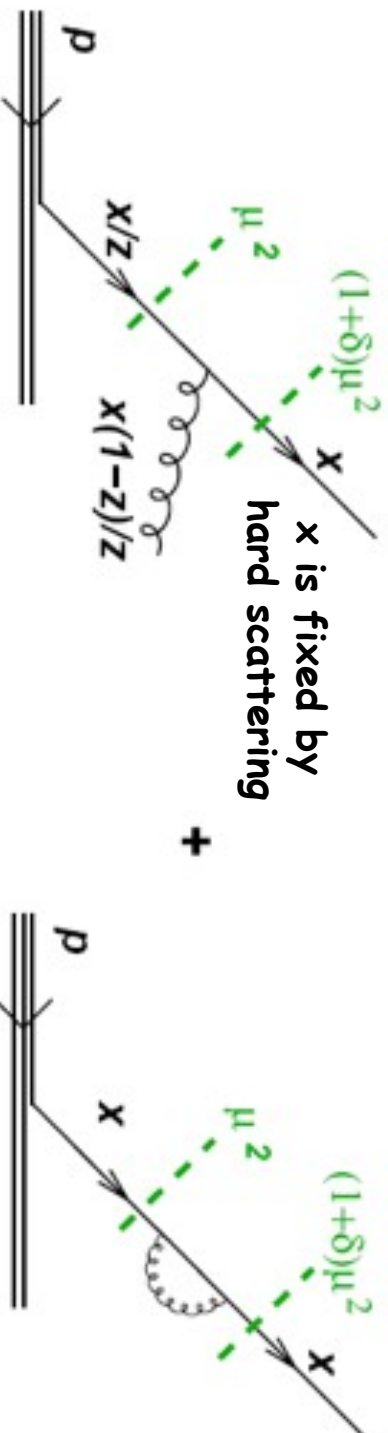
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**combine !**

$$\frac{dq(x, \mu^2)}{d \ln \mu^2} = \frac{\alpha_s}{2\pi} \underbrace{\int_x^1 dz P_{qq}(z) \frac{q(x/z, \mu^2)}{z}}_{P_{qq} \otimes q} \quad P_{qq}(z) = C_F \left( \frac{1+z^2}{1-z} \right) +$$

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involves **"plus distribution"**

$$\int_0^1 dz [g(z)] + f(z) \equiv \int_0^1 dz g(z) [f(z) - f(1)]$$

condition:  $f(z)$  sufficiently smooth for  $z \rightarrow 1$

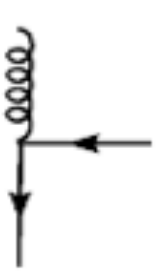
# properties of LO splitting functions

in general, quarks and gluons can split into quarks and gluons  $\rightarrow$  4 functions

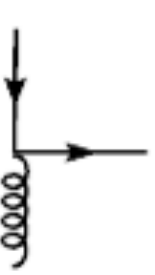
$$P_{q\bar{q}}^{(0)} = P_{q\bar{q}}^{(0)} = C_F \left[ \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right]$$



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$$P_{g\bar{g}}^{(0)} = P_{g\bar{g}}^{(0)} = C_F \frac{1+(1-z)^2}{z}$$



$$P_{gg}^{(0)} = 2C_A \left[ z \left( \frac{1}{1-z} \right)_+ + \frac{1-z}{z} + z(1-z) + b_0 \delta(1-z) \right]$$



in higher orders more complicated, as  $P_{q_i q_j} \neq 0$  arise

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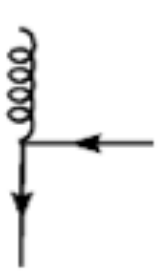
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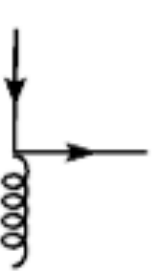
soft gluon divergence (z=1)  
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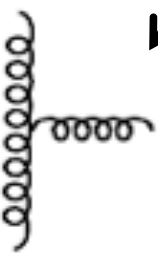
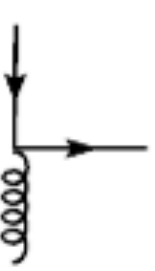
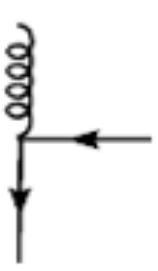
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soft gluon divergence (z=1)  
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symmetric under  
 $z \rightarrow (1-z)$   
except virtuals



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# reaching for precision

$$P_{\text{en}}^{(0)}(x) = C_F(2p_{\text{en}}(x) + 3\delta(1-x))$$

$$P_{\text{ps}}^{(0)}(x) = 0$$

$$P_{\text{de}}^{(0)}(x) = 2n_f p_{\text{de}}(x)$$

$$P_{\text{en}}^{(0)}(x) = 2C_F P_{\text{en}}(x)$$

$$P_{\text{se}}^{(0)}(x) = C_A\left(4p_{\text{se}}(x) + \frac{11}{3}\delta(1-x)\right) - \frac{2}{3}n_f\delta(1-x)$$

**LO: 1973**

# reaching for precision

$$\begin{aligned}
 P_{\text{ns}}^{(0)}(x) &= C_F(2p_{\text{qs}}(x) + 3\delta(1-x)) \\
 P_{\text{ps}}^{(0)}(x) &= 0 \\
 P_{\text{qs}}^{(0)}(x) &= 2n_f p_{\text{qs}}(x) \\
 P_{\text{ns}}^{(0)}(x) &= 2C_F P_{\text{ns}}(x) \\
 P_{\text{ns}}^{(0)}(x) &= C_A\left(4p_{\text{ns}}(x) + \frac{11}{3}\delta(1-x)\right) - \frac{2}{3}n_f\delta(1-x)
 \end{aligned}$$

## LO: 1973

$$\begin{aligned}
 P_{\text{ns}}^{(1)+}(x) &= 4C_A C_F \left( p_{\text{qs}}(x) \left[ \frac{67}{18} - \zeta_2 + \frac{11}{6}H_0 + H_{0,0} \right] + p_{\text{qs}}(-x) \left[ \zeta_2 + 2H_{-1,0} - H_{0,0} \right] \right. \\
 &\quad \left. + \frac{14}{3}(1-x) + \delta(1-x) \left[ \frac{17}{24} + \frac{11}{3}\zeta_2 - 3\zeta_3 \right] \right) - 4C_F n_f \left( p_{\text{qs}}(x) \left[ \frac{5}{9} + \frac{1}{3}H_0 \right] + \frac{2}{3}(1-x) \right. \\
 &\quad \left. + \delta(1-x) \left[ \frac{1}{12} + \frac{2}{3}\zeta_2 \right] \right) + 4C_F^2 \left( 2p_{\text{qs}}(x) \left[ H_{1,0} - \frac{3}{4}H_0 + H_2 \right] - 2p_{\text{qs}}(-x) \left[ \zeta_2 + 2H_{-1,0} \right. \right. \\
 &\quad \left. \left. - H_{0,0} \right] - (1-x) \left[ 1 - \frac{3}{2}H_0 \right] - H_0 - (1+x)H_{0,0} + \delta(1-x) \left[ \frac{3}{8} - 3\zeta_2 + 6\zeta_3 \right] \right) \\
 P_{\text{ns}}^{(1)-}(x) &= P_{\text{ns}}^{(1)+}(x) + 16C_F \left( C_F - \frac{C_A}{2} \right) \left( p_{\text{qs}}(-x) \left[ \zeta_2 + 2H_{-1,0} - H_{0,0} \right] - 2(1-x) \right. \\
 &\quad \left. - (1+x)H_0 \right)
 \end{aligned}$$

$$P_{\text{ps}}^{(1)}(x) = 4C_F n_f \left( \frac{201}{9}x - 2 + 6x - 4H_0 + x^2 \left[ \frac{8}{3}H_0 - \frac{56}{9} \right] + (1+x) \left[ 5H_0 - 2H_{0,0} \right] \right)$$

$$\begin{aligned}
 P_{\text{qs}}^{(1)}(x) &= 4C_A n_f \left( \frac{201}{9}x - 2 + 25x - 2p_{\text{qs}}(-x)H_{-1,0} - 2p_{\text{qs}}(x)H_{1,1} + x^2 \left[ \frac{44}{3}H_0 - \frac{218}{9} \right] \right. \\
 &\quad \left. + 4(1-x) \left[ H_{0,0} - 2H_0 + xH_1 \right] - 4\zeta_2 x - 6H_{0,0} + 9H_0 \right) + 4C_F n_f \left( 2p_{\text{qs}}(x) \left[ H_{1,0} + H_{1,1} + H_2 \right. \right. \\
 &\quad \left. \left. - \zeta_2 \right] + 4x^2 \left[ H_0 + H_{0,0} + \frac{5}{2} \right] + 2(1-x) \left[ H_0 + H_{0,0} - 2xH_1 + \frac{29}{4} \right] - \frac{15}{2}H_{0,0} - \frac{1}{2}H_0 \right)
 \end{aligned}$$

$$\begin{aligned}
 P_{\text{ns}}^{(1)}(x) &= 4C_A C_F \left( \frac{1}{x} + 2p_{\text{ns}}(x) \left[ H_{1,0} + H_{1,1} + H_2 - \frac{11}{6}H_1 \right] - x^2 \left[ \frac{8}{3}H_0 - \frac{44}{9} \right] + 4\zeta_2 - 2 \right. \\
 &\quad \left. - 7H_0 + 2H_{0,0} - 2H_1 x + (1+x) \left[ 2H_{0,0} - 5H_0 + \frac{37}{9} \right] - 2p_{\text{ns}}(-x)H_{-1,0} \right) - 4C_F n_f \left( \frac{2}{3}x \right. \\
 &\quad \left. - p_{\text{ns}}(x) \left[ \frac{2}{3}H_1 - \frac{10}{9} \right] \right) + 4C_F^2 \left( p_{\text{ns}}(x) \left[ 3H_1 - 2H_{1,1} \right] + (1+x) \left[ H_{0,0} - \frac{7}{2} + \frac{7}{2}H_0 \right] - 3H_{0,0} \right. \\
 &\quad \left. + 1 - \frac{3}{2}H_0 + 2H_1 x \right)
 \end{aligned}$$

$$\begin{aligned}
 P_{\text{ns}}^{(1)}(x) &= 4C_F n_f \left( 1-x - \frac{10}{9}p_{\text{ns}}(x) - \frac{13}{9} \left( \frac{1}{x} - x^2 \right) - \frac{2}{3}(1+x)H_0 - \frac{2}{3}\delta(1-x) \right) + 4C_A^2 \left( 27 \right. \\
 &\quad \left. + (1+x) \left[ \frac{11}{3}H_0 + 8H_{0,0} - \frac{27}{2} \right] + 2p_{\text{ns}}(-x) \left[ H_{0,0} - 2H_{-1,0} - \zeta_2 \right] - \frac{67}{9} \left( \frac{1}{x} - x^2 \right) - 12H_0 \right. \\
 &\quad \left. - \frac{44}{3}x^2 H_0 + 2p_{\text{ns}}(x) \left[ \frac{67}{18} - \zeta_2 + H_{0,0} + 2H_{1,0} + 2H_2 \right] + \delta(1-x) \left[ \frac{8}{3} + 3\zeta_3 \right] \right) + 4C_F n_f \left( 2H_0 \right. \\
 &\quad \left. + \frac{21}{3}x + \frac{10}{3}x^2 - 12 + (1+x) \left[ 4 - 5H_0 - 2H_{0,0} \right] - \frac{1}{2}\delta(1-x) \right)
 \end{aligned}$$

Curci, Furmanski, Petronzio;  
Floratos et al., ...

## NLO: 1980

# **$P_{ij}$ @ NNLO: a landmark calculation**

10000 diagrams,  $10^5$  integrals, 10 man years, and several CPU years later:

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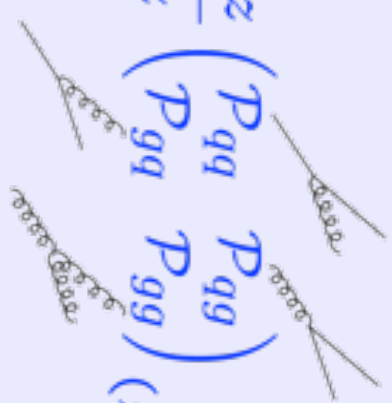
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2004

NNLO the new emerging standard in QCD - essential for precision physics

# DGLAP evolution in full glory

taking quarks and gluons together: coupled integro-differential equations

$$\frac{d}{d \ln \mu} \begin{pmatrix} q(x, \mu) \\ g(x, \mu) \end{pmatrix} = \int_x^1 \frac{dz}{z} \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} (z, \alpha_s) \cdot \begin{pmatrix} q(x/z, \mu) \\ g(x/z, \mu) \end{pmatrix}$$


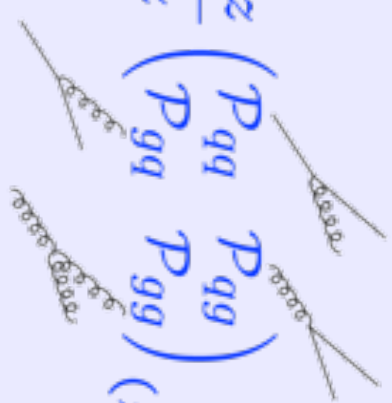
best solved in Mellin moment space: set of ordinary differential eqs.:

no closed solution in exp. form beyond LO (commutators of P matrices!)



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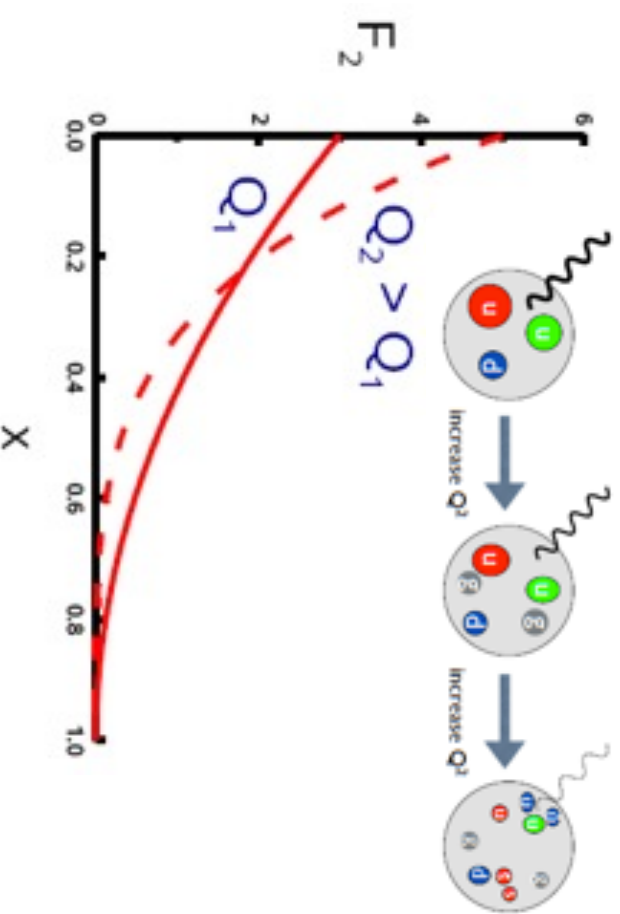
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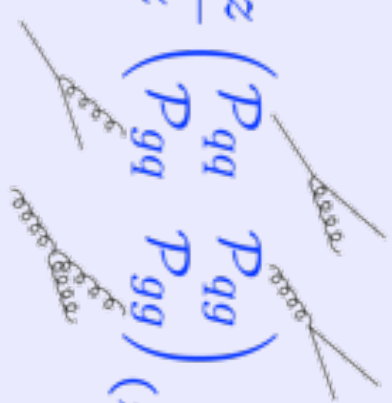
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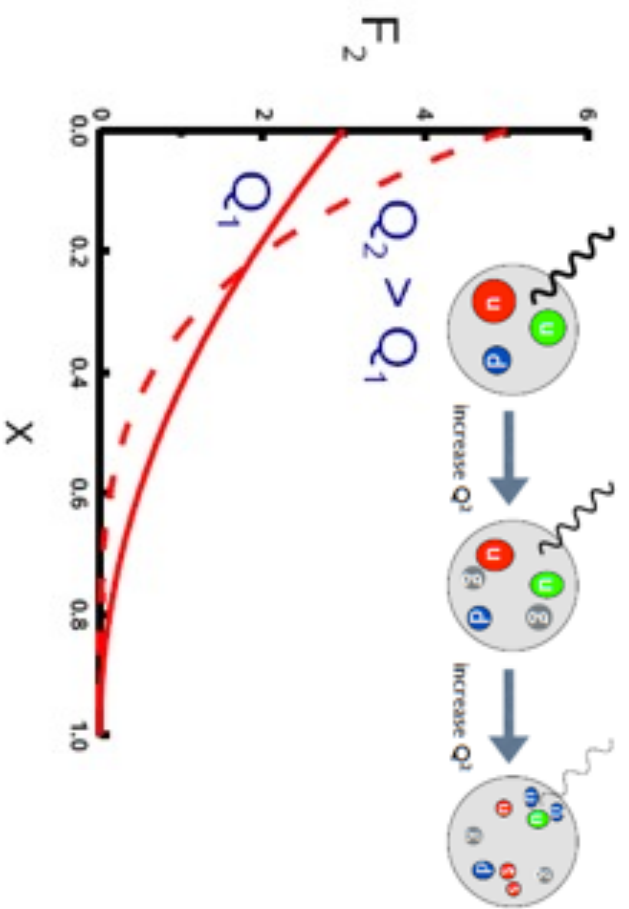
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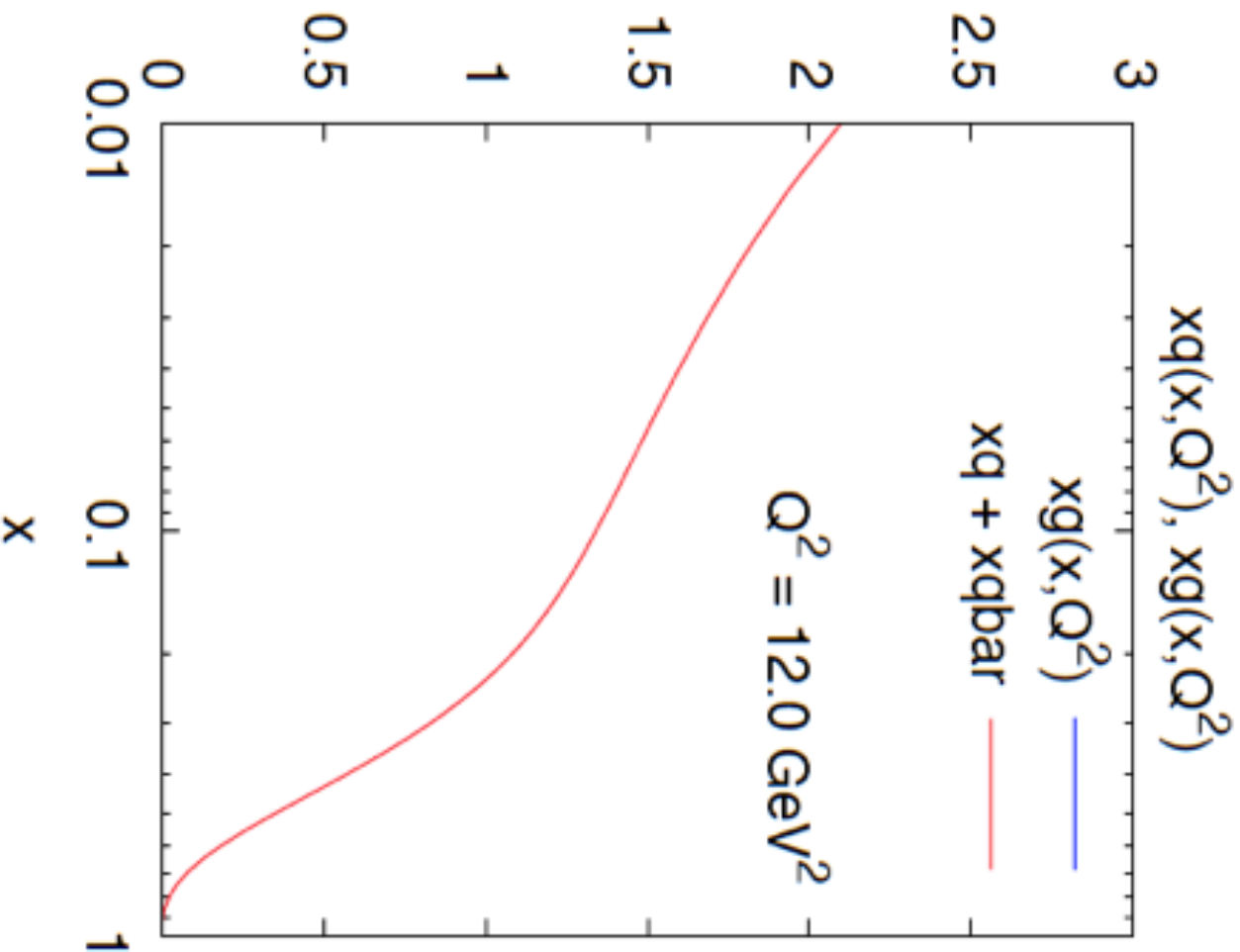
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**exactly as observed in experiment**  
**huge success of pQCD**





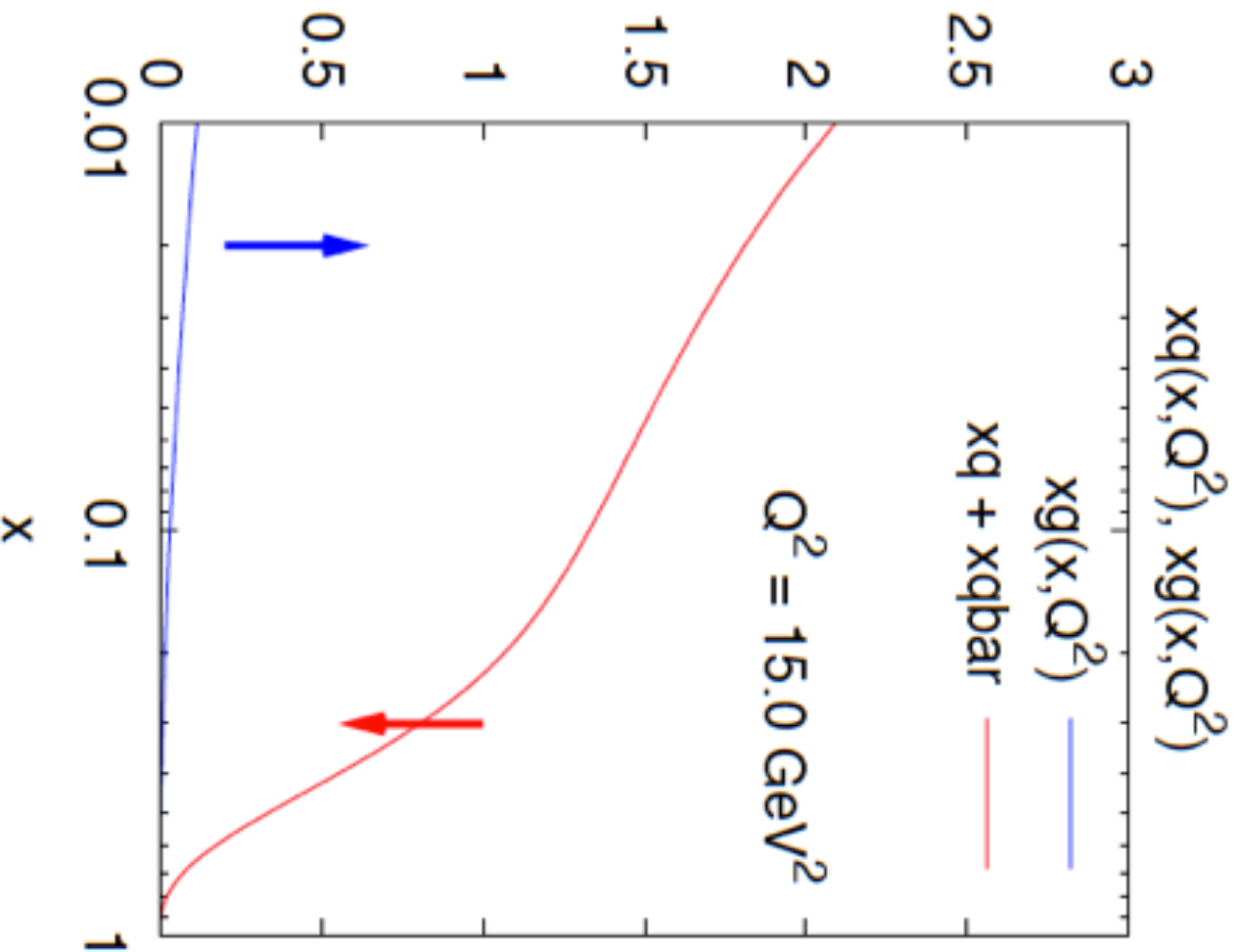
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start off from just quarks, no gluons

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- gluons rise quickly at small  $x$   
(which, btw, also generates sea quarks)

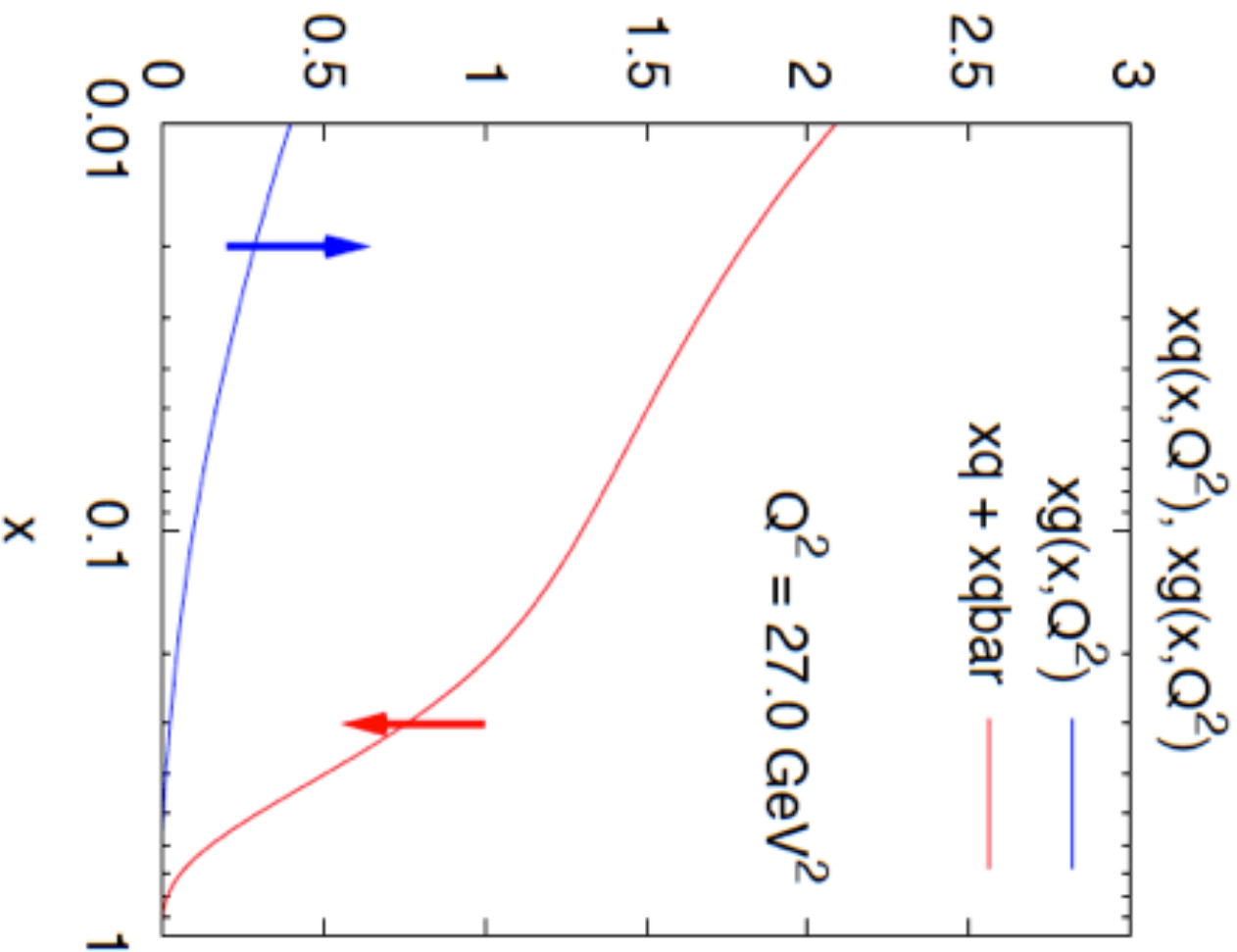
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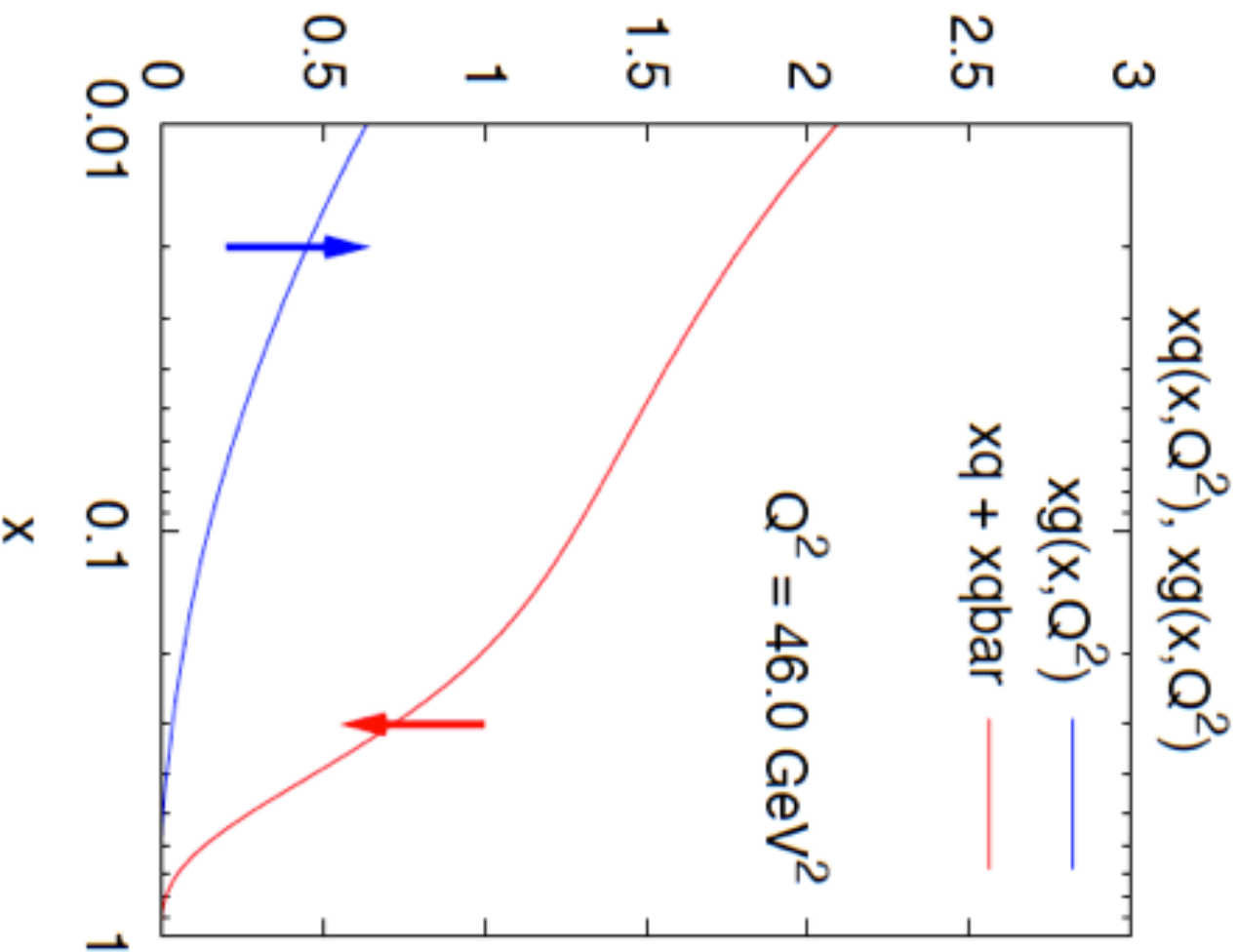
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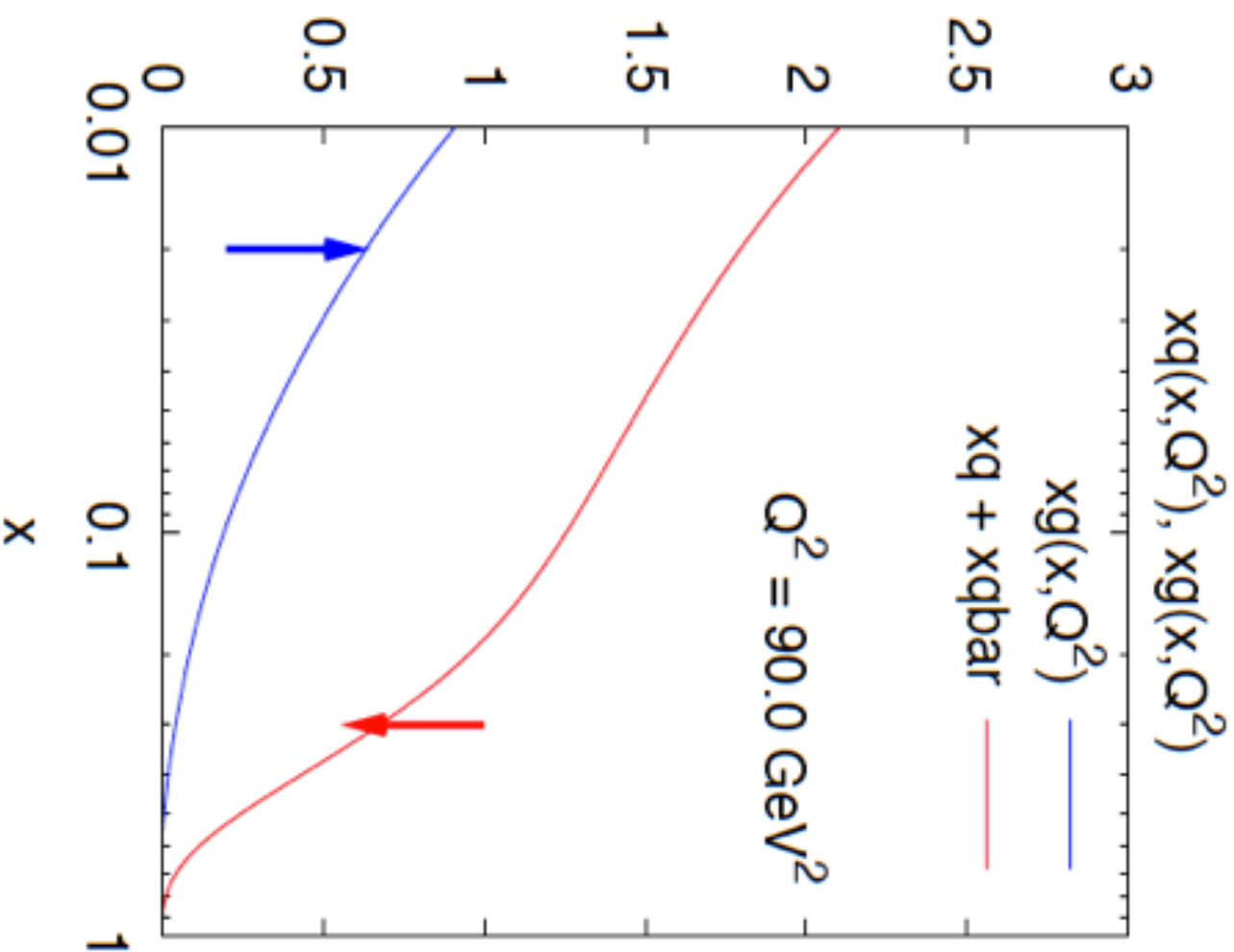
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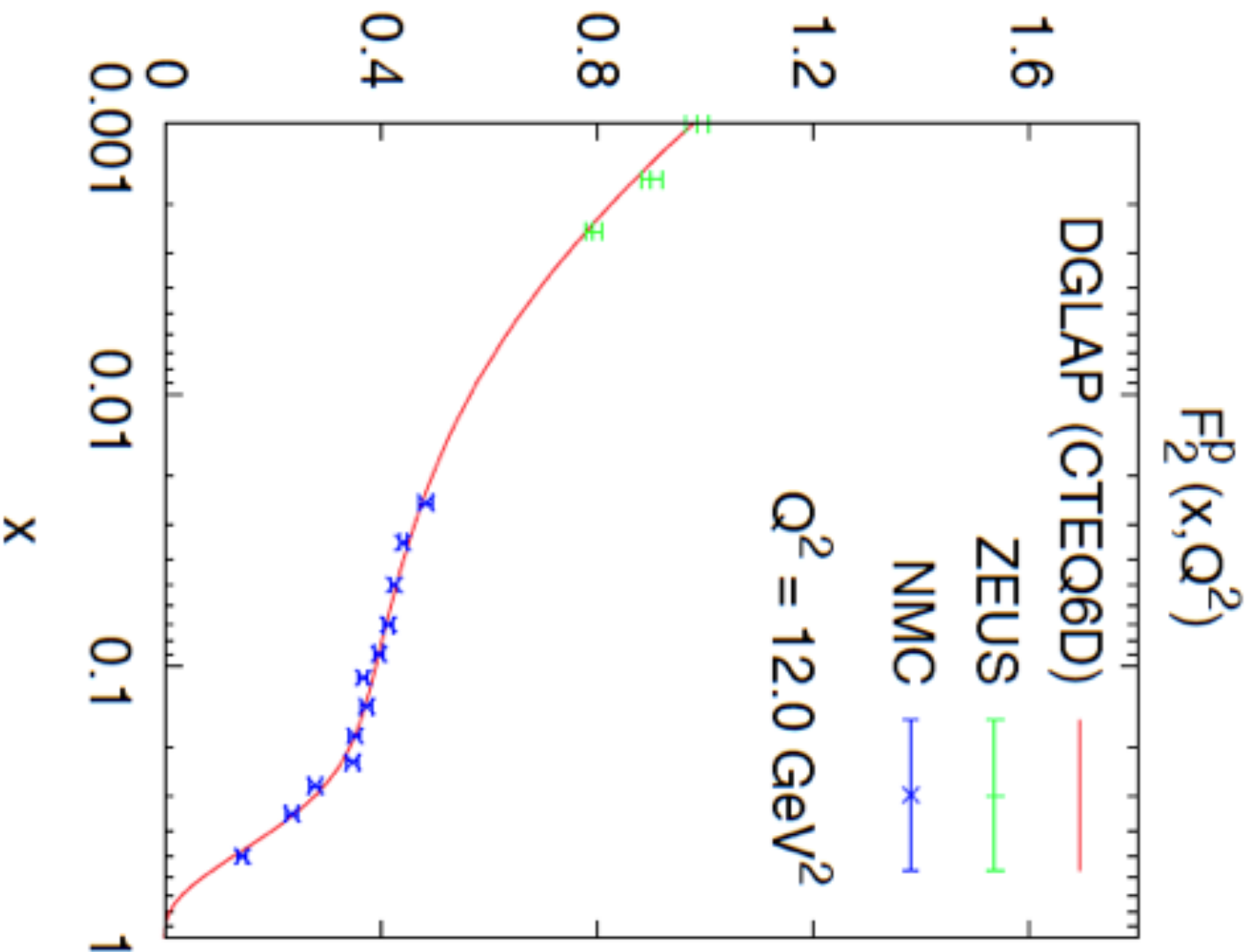
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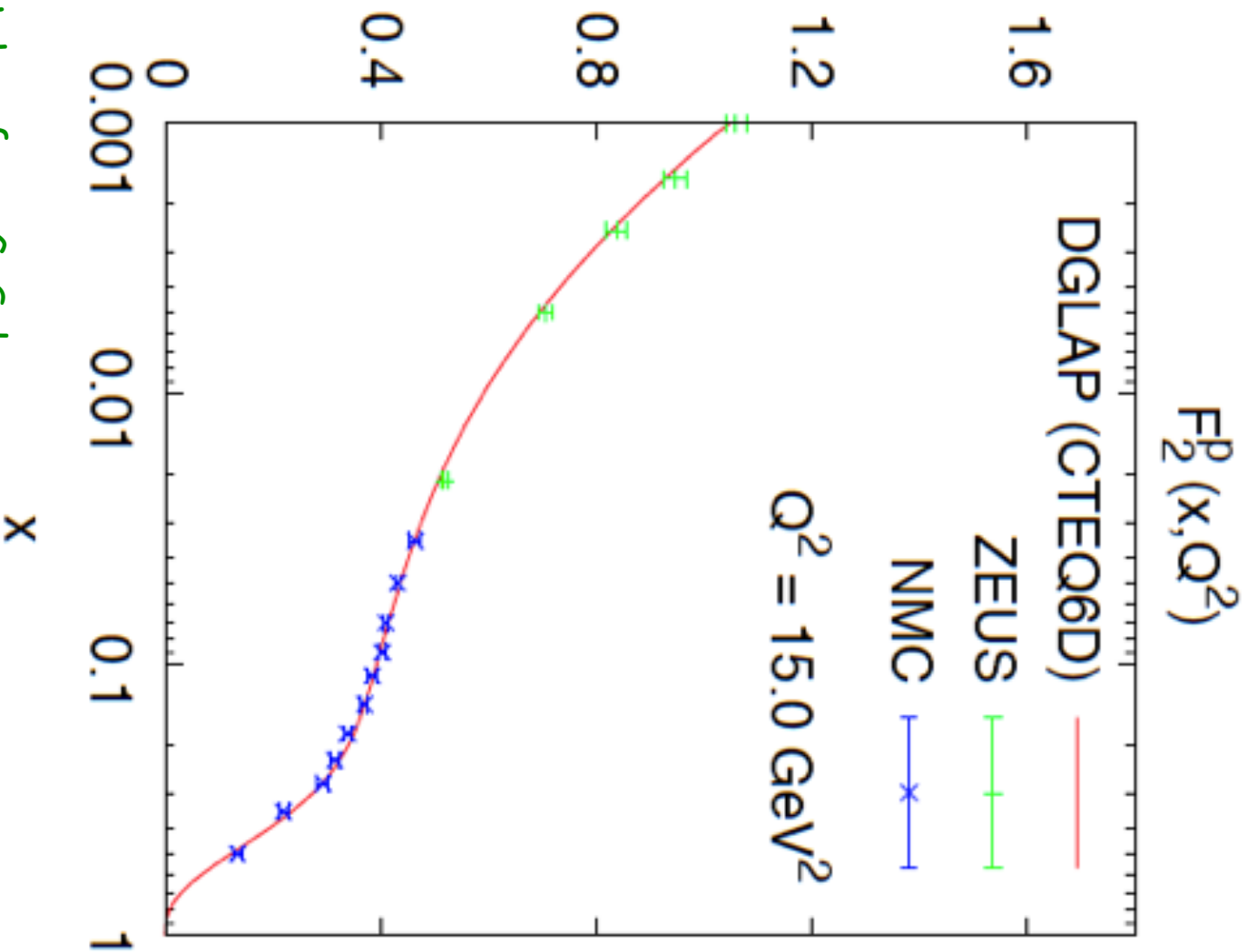
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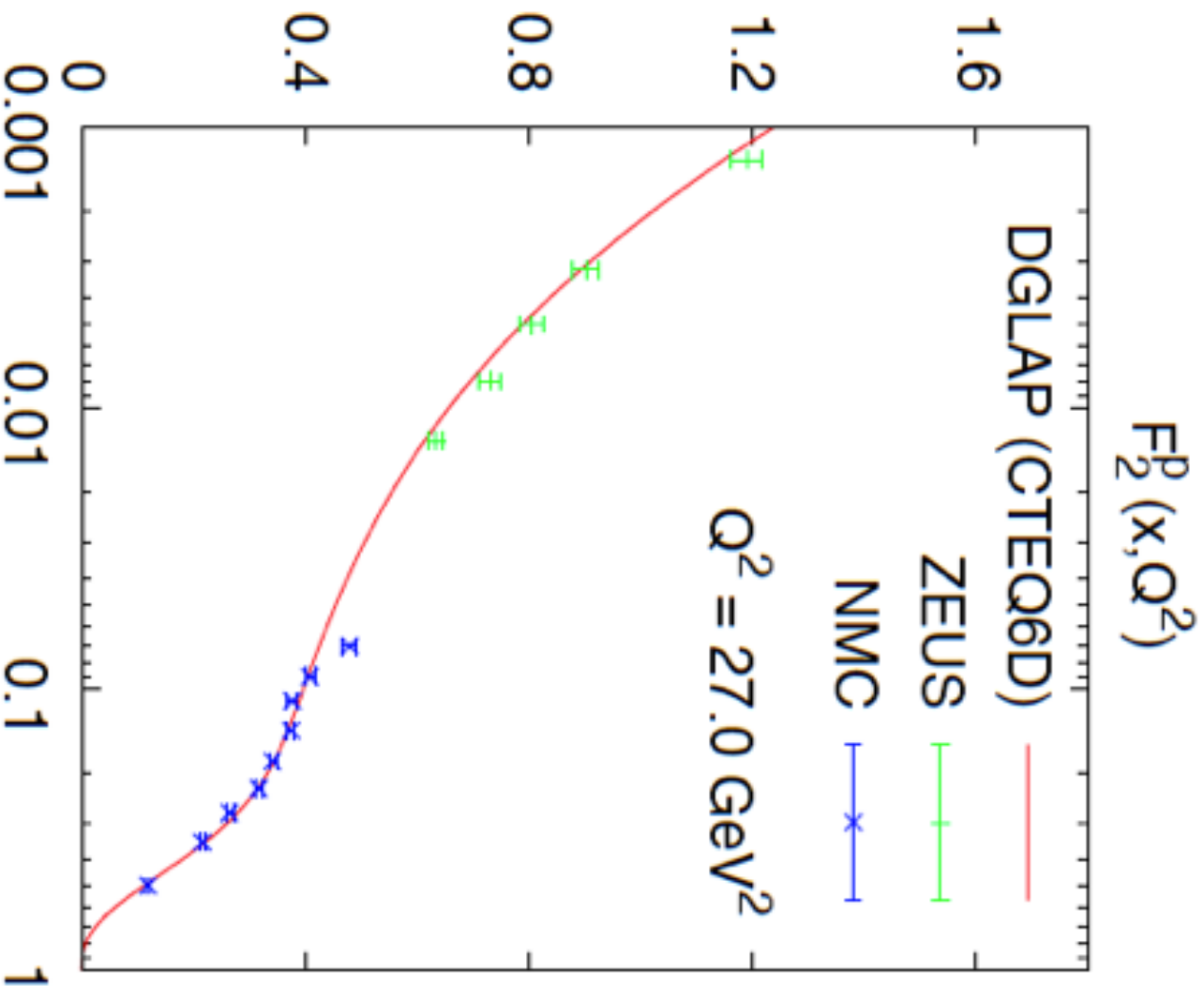
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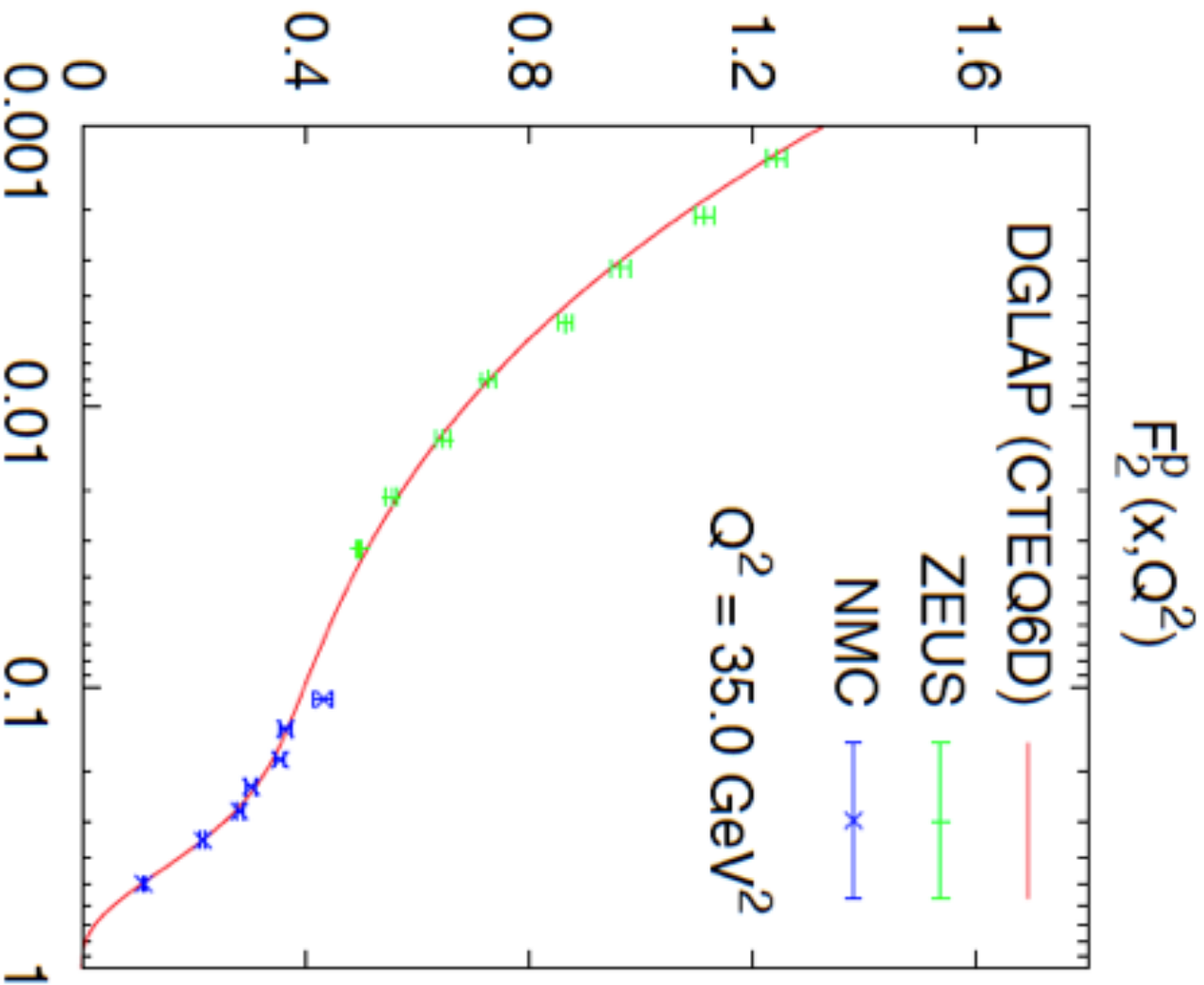
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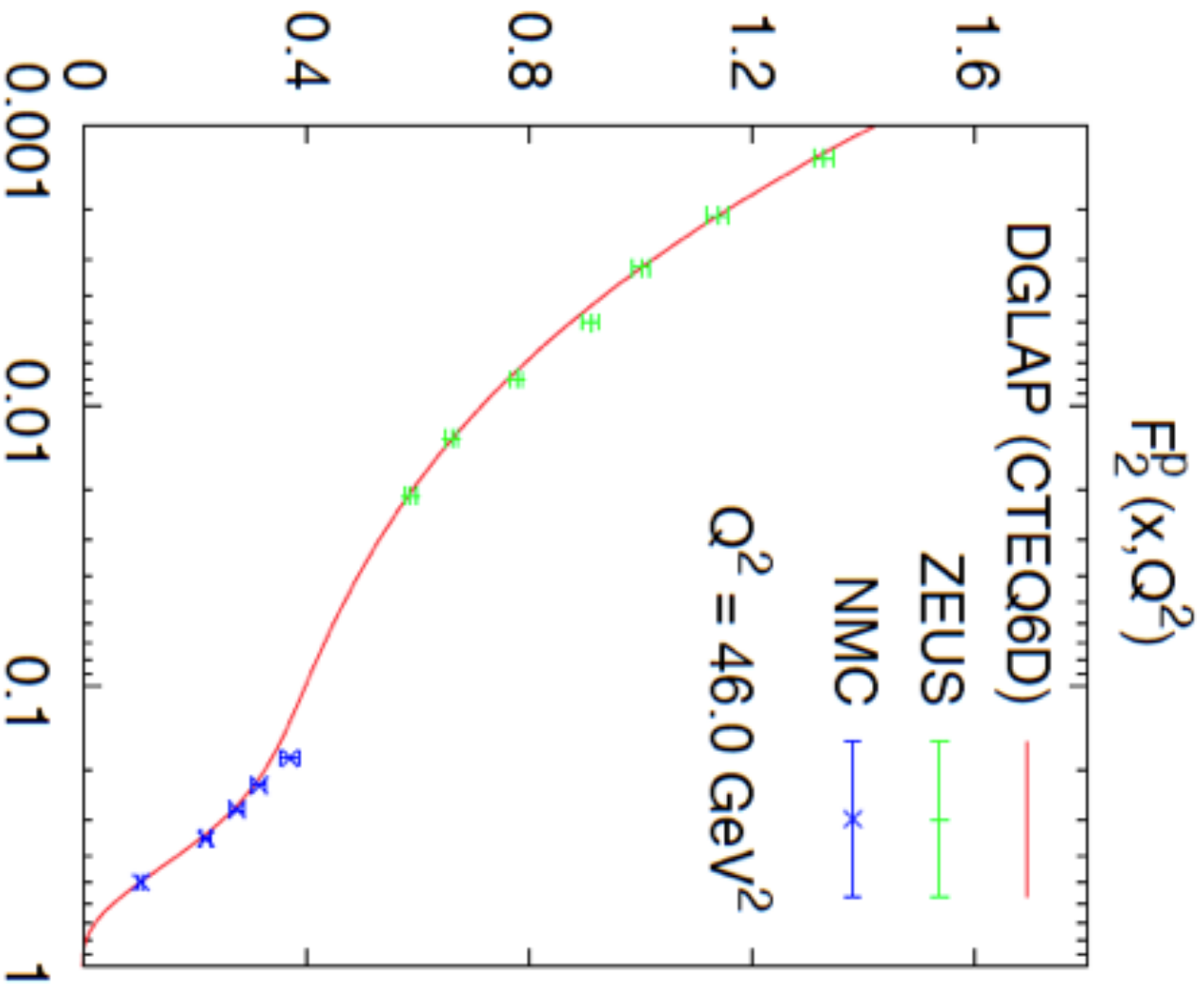


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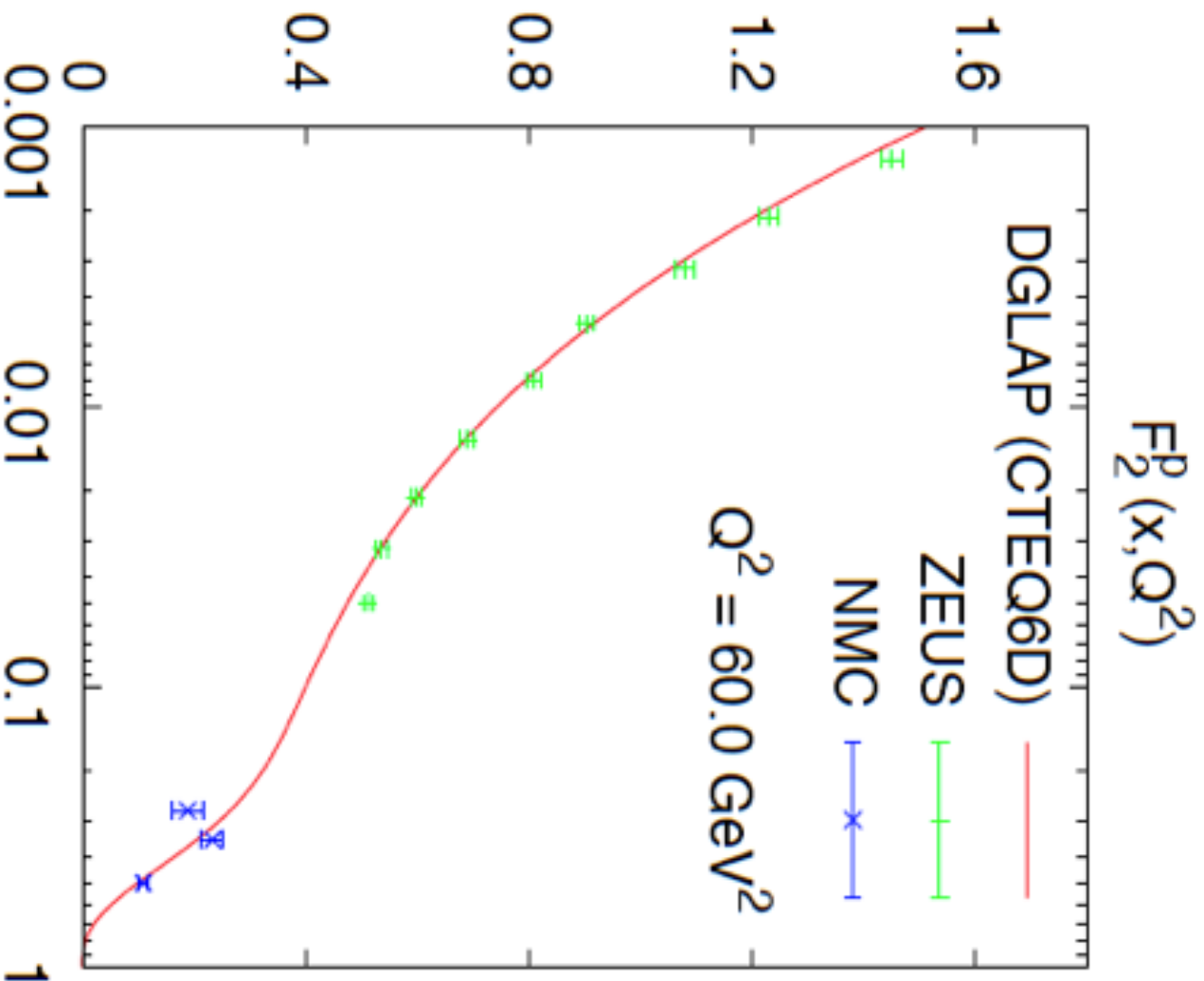
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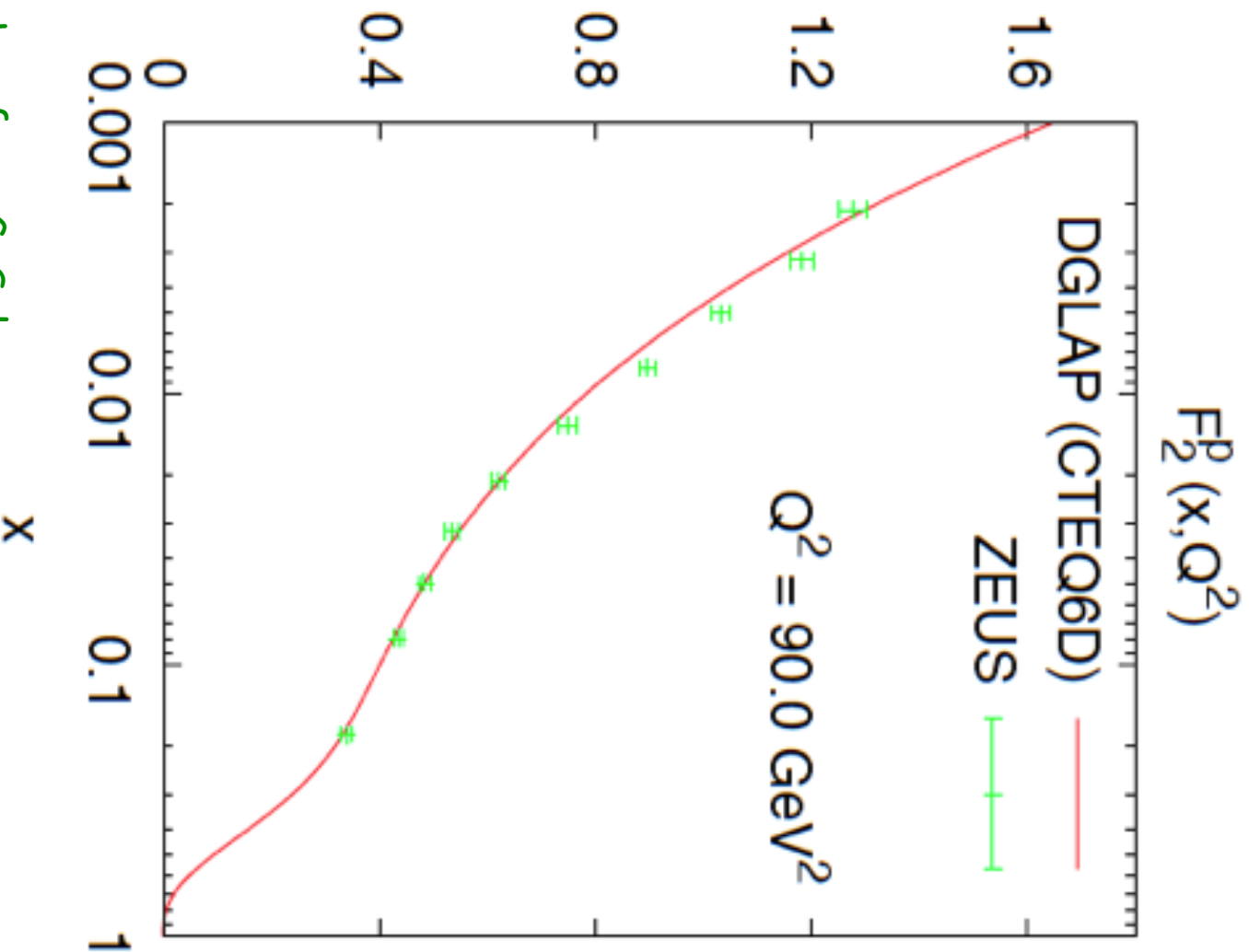
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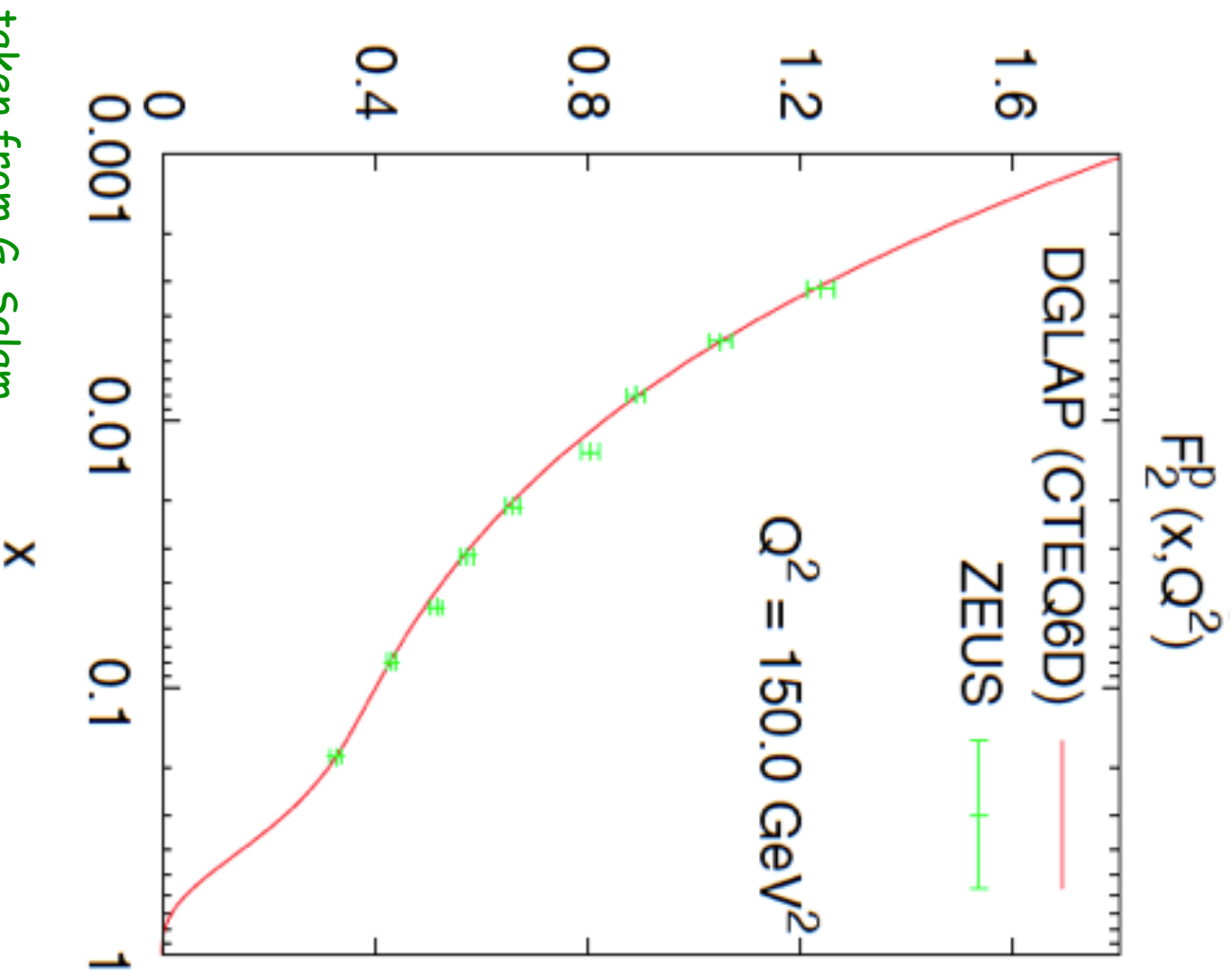
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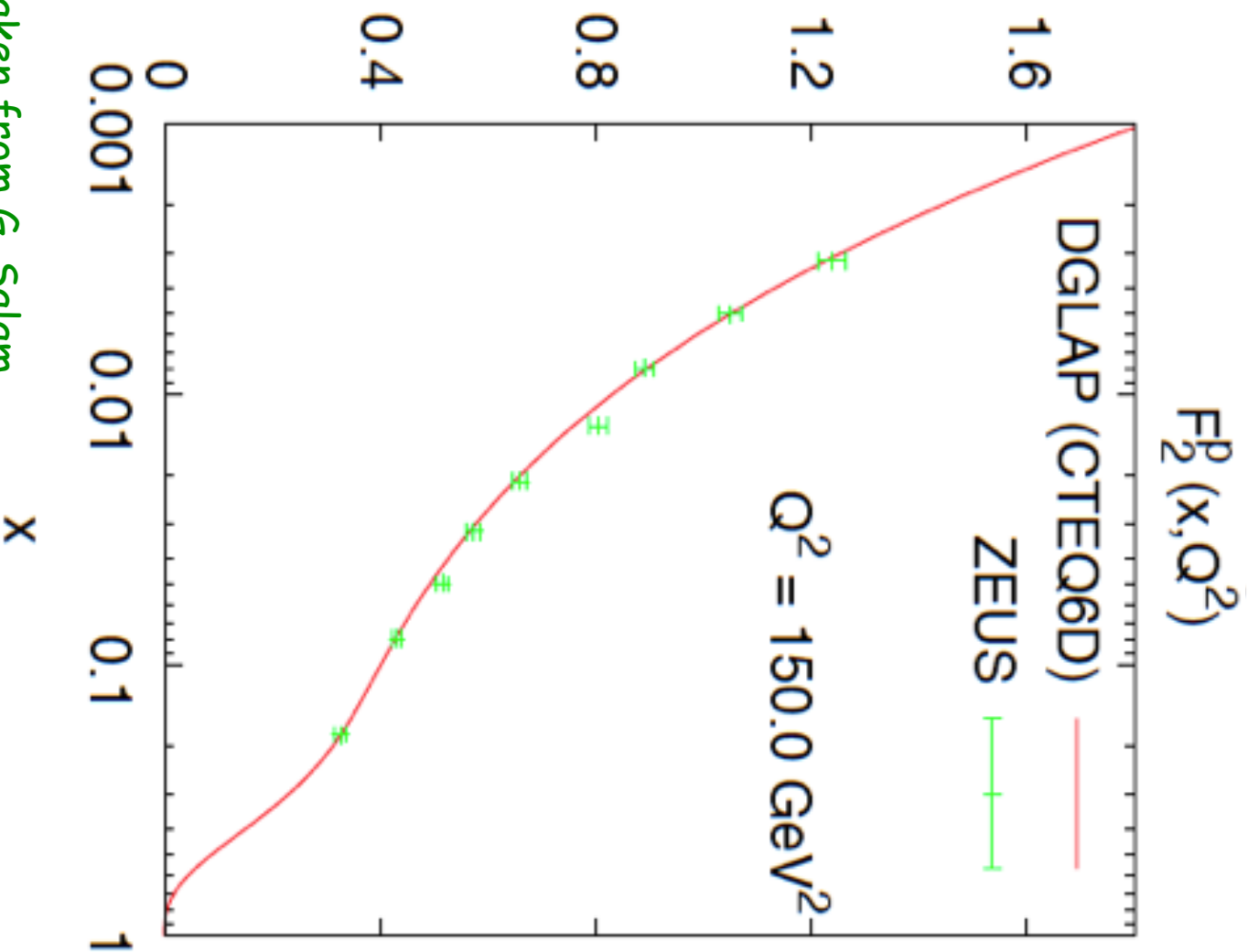
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taken from G. Salam

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# DGLAP evolution seen in DIS data



- use one of the global fits of PDFs to data by CTEQ
- steep rise of  $F_2$  at small x (due to gluon evolution)

**major success of pQCD  
and DGLAP evolution**

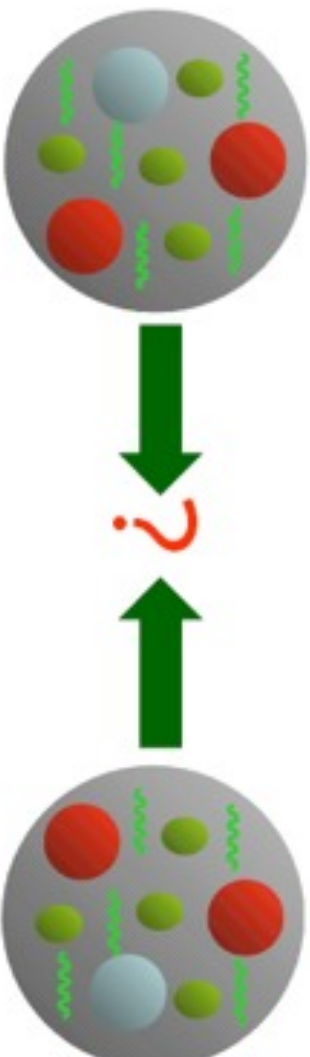
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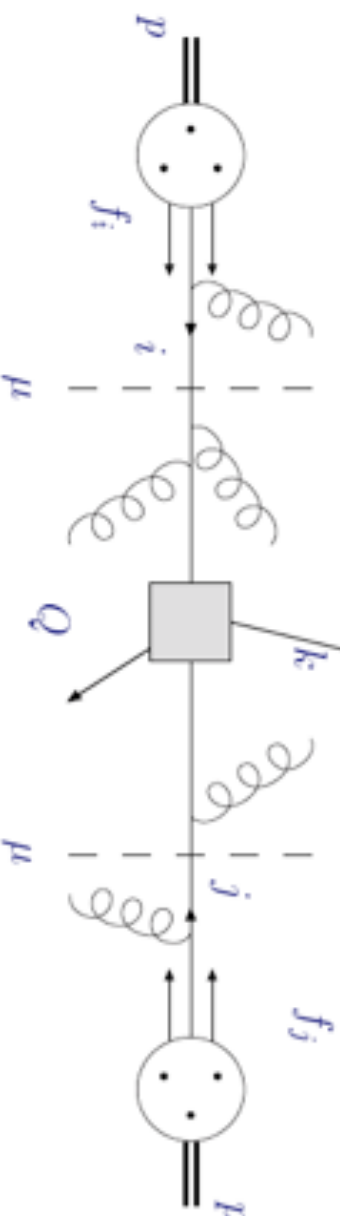
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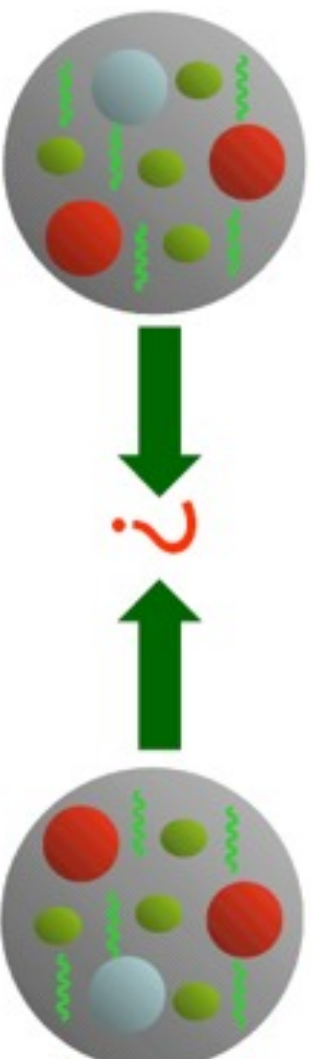
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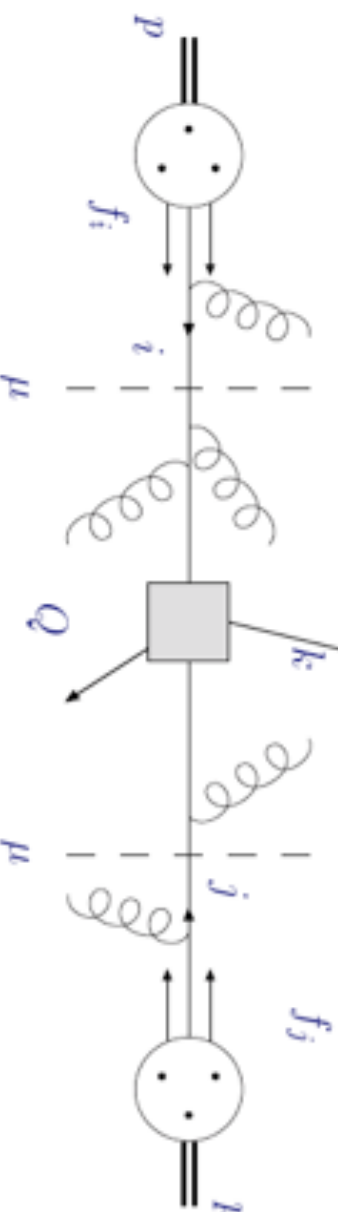
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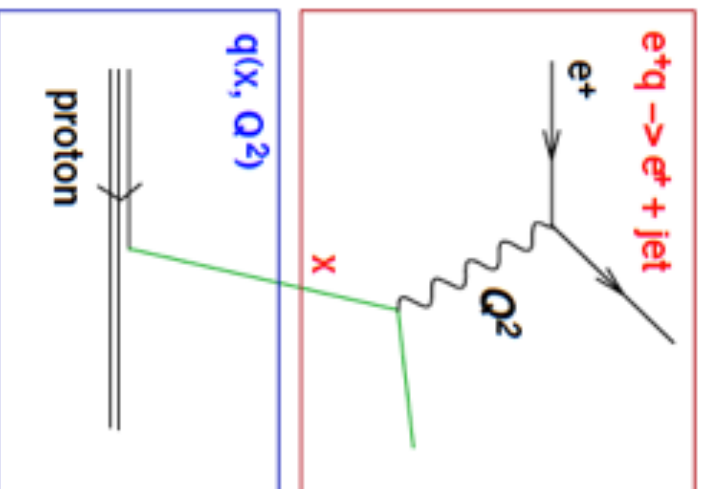
non-perturbative  $\xrightarrow{\text{linked}}$  hard scattering of  
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# factorization at work

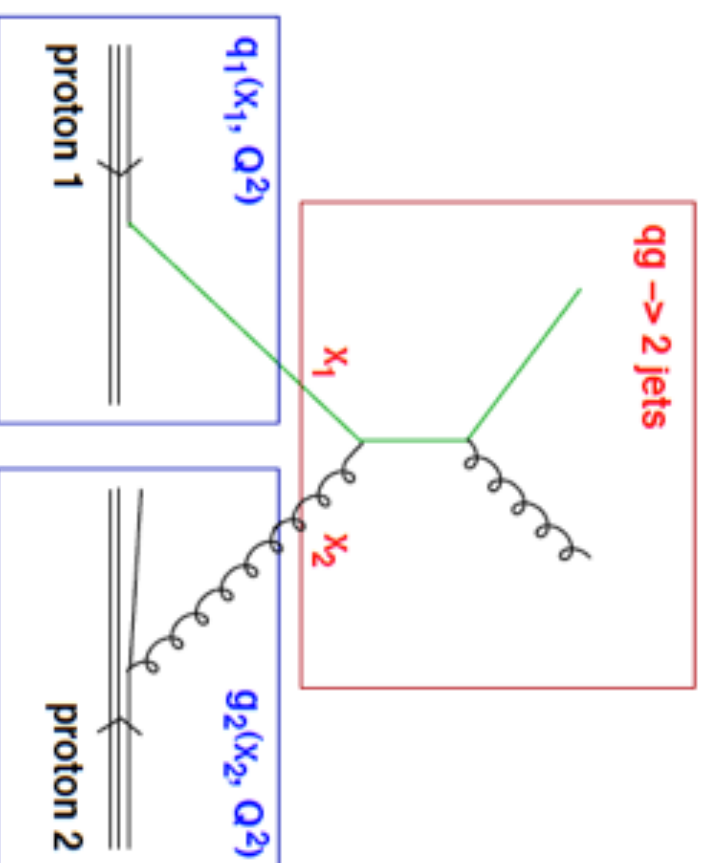
key assumption that a cross section factorizes into

- hard (perturbatively calculable) process-dep. **partonic subprocesses**
- non-perturbative but universal **parton distribution functions**

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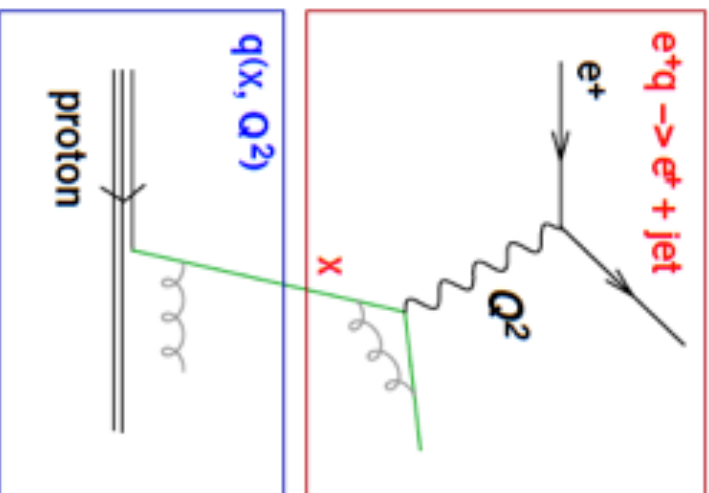
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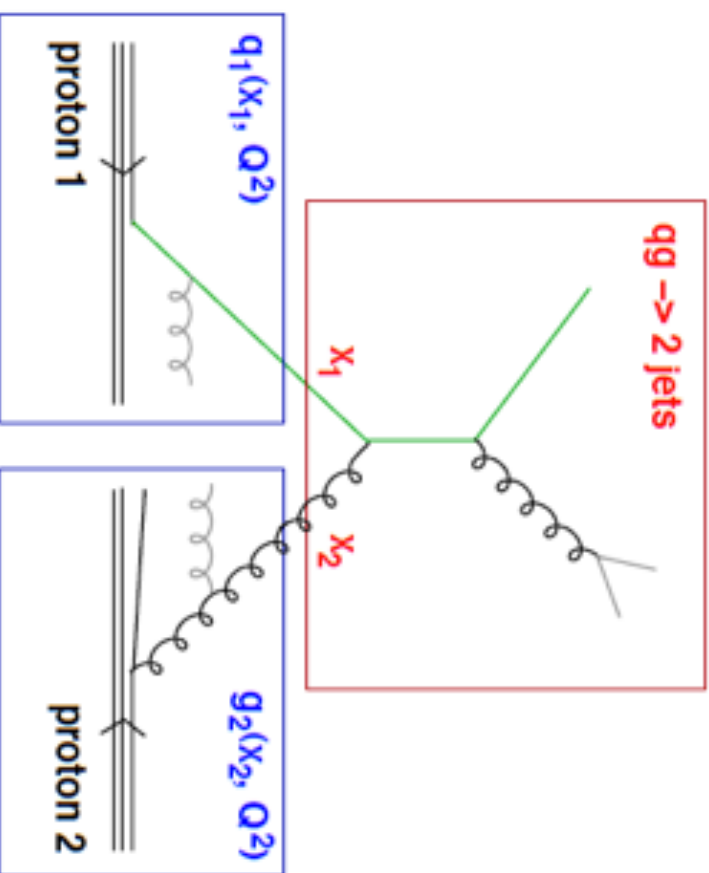
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# factorization: so far a success story

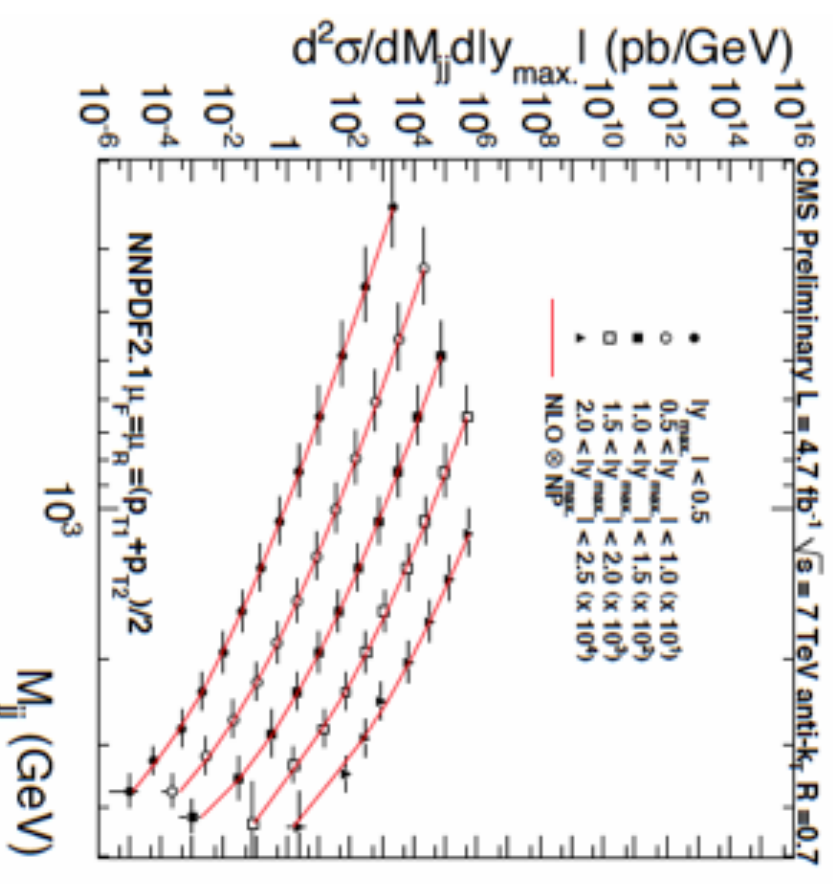
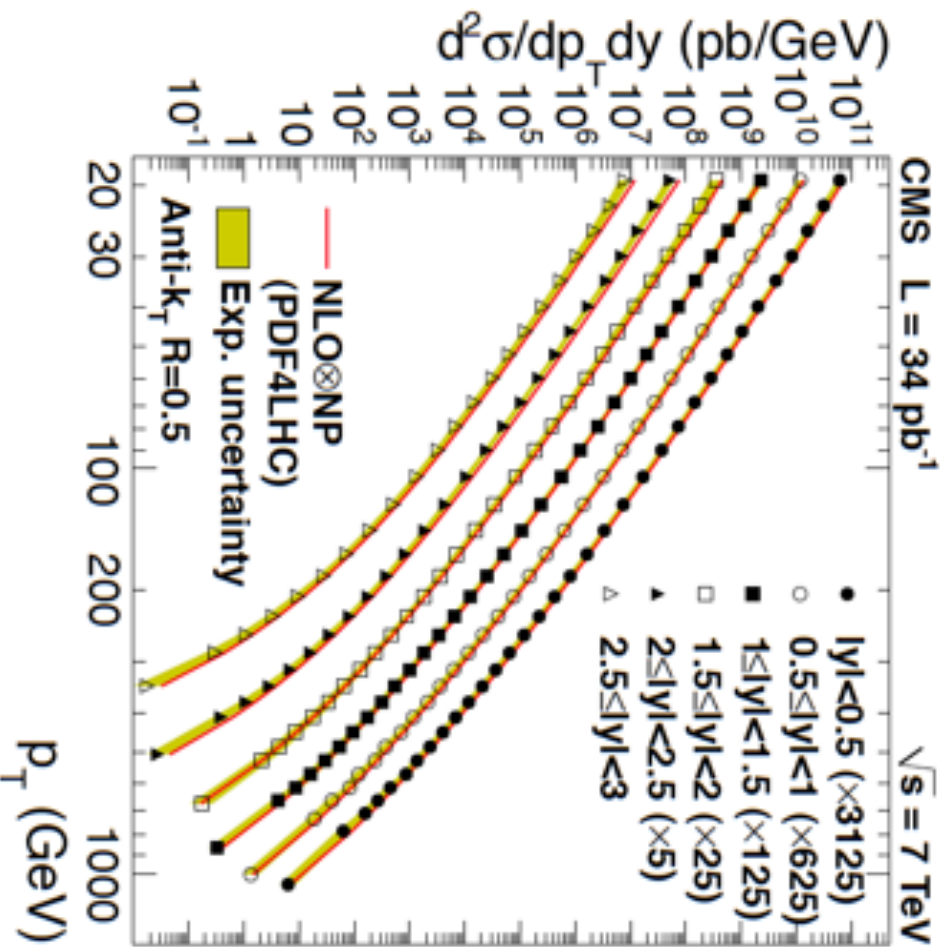
two recent examples from the LHC:

## 1-jet and di-jet cross sections

many other final-states available

$$y = \ln \tan \frac{\theta}{2} \sim \frac{1}{2} \ln \frac{x_1}{x_2} \quad M = \sqrt{x_1 x_2 s}$$

$$x_1 = \frac{M}{\sqrt{s}} e^{+y} \quad x_2 = \frac{M}{\sqrt{s}} e^{-y}$$



results now start to being used in global fits to constrain PDFs particularly sensitive to gluons



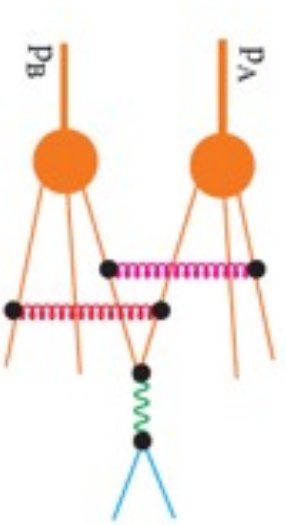
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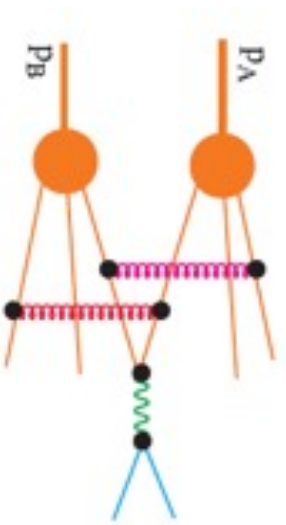
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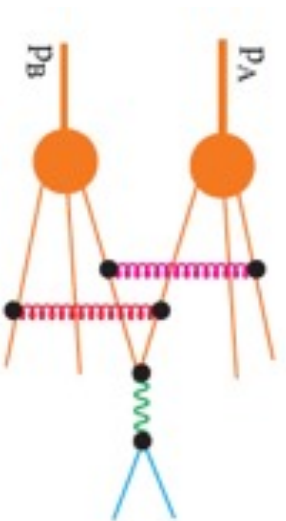
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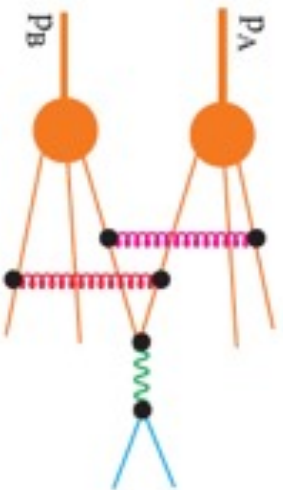
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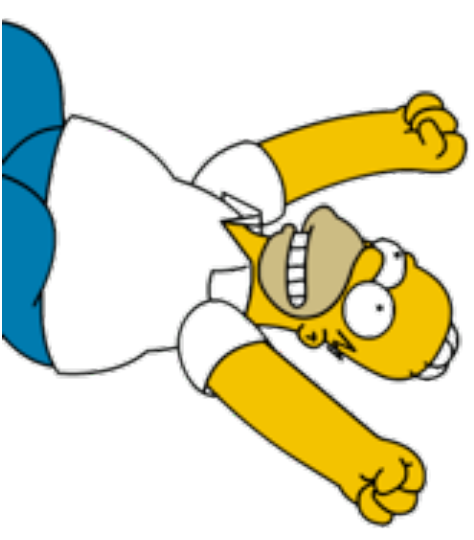
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recall: the **renormalizability** of a non-abelian gauge theory like QCD was demonstrated by 't Hooft and Veltman

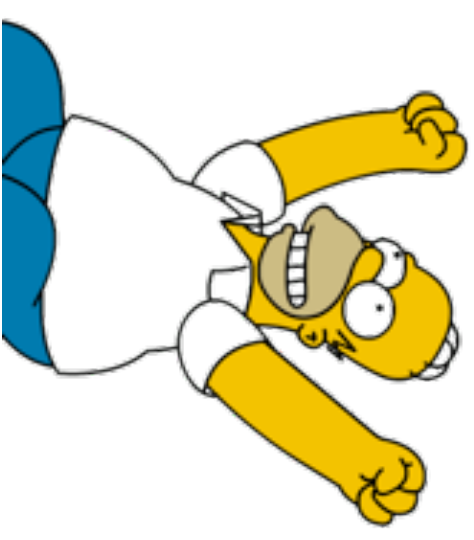




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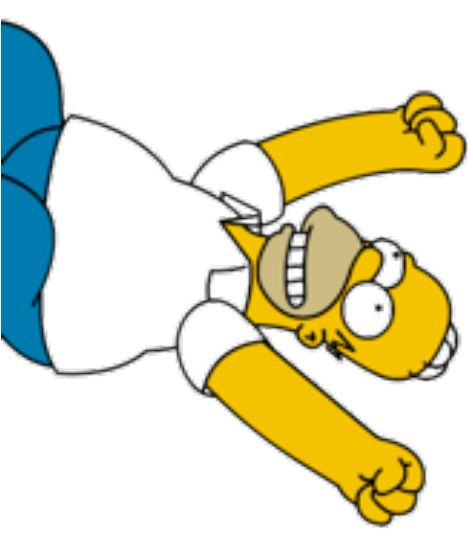


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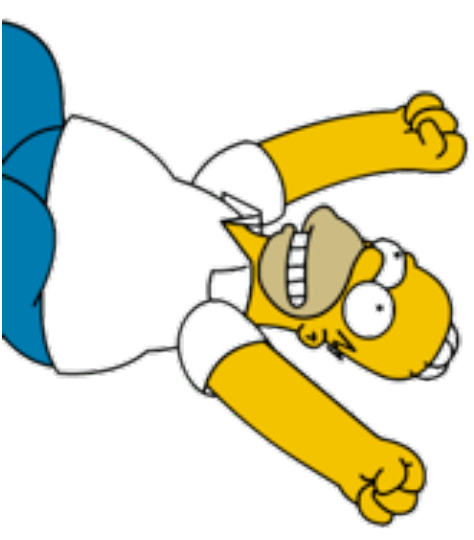
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## **recap: salient features of pQCD**

- strong interactions, yet perturbative methods are applicable
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keys to resolve the apparent dilemma:

- asymptotic freedom
- infrared safety
- factorization theorems & renormalizability

# to take home from this part of the lectures



- factorization = isolating and absorbing long-distance singularities accompanying identified hadrons into parton densities (initial state) and fragmentation fcts. (final state)
- factorization and renormalization introduce arbitrary scales
  - powerful concept of renormalization group equations
  - $\alpha_s$ , PDFs, frag. fcts. depend on energy/resolution
- PDFs (and frag. fcts) have definitions as bilocal operators
- hard hadron-hadron interactions factorize as well:  $f \otimes f \otimes d \otimes$
- strict proofs of factorization only for limited class of processes

**pQCD: a tool for the most violent collisions**





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high- $p_T$  jet: factorization!



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“underlying event”: more than difficult



*unofficial* Part IV

## some applications & advanced topics

scales and theoretical uncertainties; Drell-Yan process  
small-x physics; global QCD analysis; resummations

Start your  
business right  
with Precision  
Calculations  
advise!



# 1

## the Whys and Hows of NLO Calculations & Beyond

# why go beyond LO (and even NLO)?

recall factorization theorem for hadronic processes:

$$d\sigma = \sum_{ij} \int dx_i dx_j f_i(x_i, \mu^2) f_j(x_j, \mu^2) d\hat{\sigma}_{ij}(\alpha_s(\mu_r), Q^2, \mu^2, x_i, x_j)$$

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simplest example:

$e^+e^- \rightarrow$  hadrons

$$\frac{d}{d \ln \mu_r} \sum_{n=1}^N c_n(\mu_r) \alpha_s^n(\mu_r) \sim \mathcal{O}(\alpha_s^{N+1}(\mu_r))$$

applies in general also for  $\mu_f$

**uncertainty is formally of higher order**

$\rightarrow$  gets smaller if higher orders are known



# explicit example: scale dependence of $e^+e^- \rightarrow \text{jets}$

recall: at NLO we have

$$\sigma^{\text{NLO}}(\mu_R) = \sigma_{q\bar{q}}(1 + c_1 \alpha_s(\mu_R))$$

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NLO coefficient  
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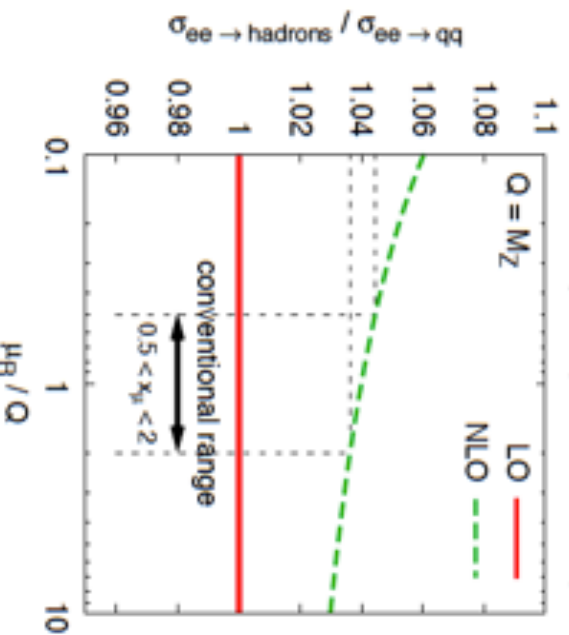
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variation of scale introduces NNLO piece




LO is a pure el-mag process, no  $\alpha_s$ , no scales

## explicit example - cont'd

next calculate full NNLO result:

$$\sigma^{\text{NNLO}}(\mu_R) = \sigma_{q\bar{q}} [1 + c_1 \alpha_s(\mu_R) + c_2(\mu_R) \alpha_s^2(\mu_R)]$$

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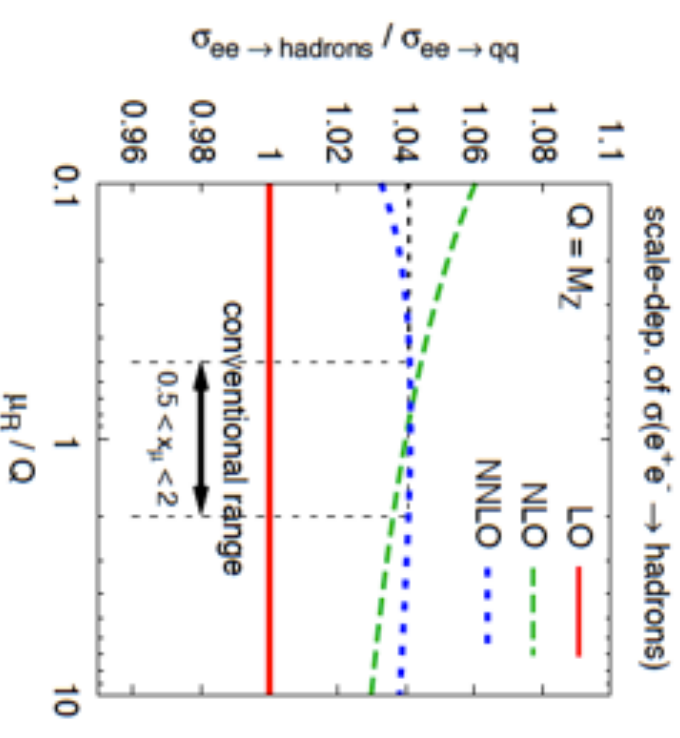
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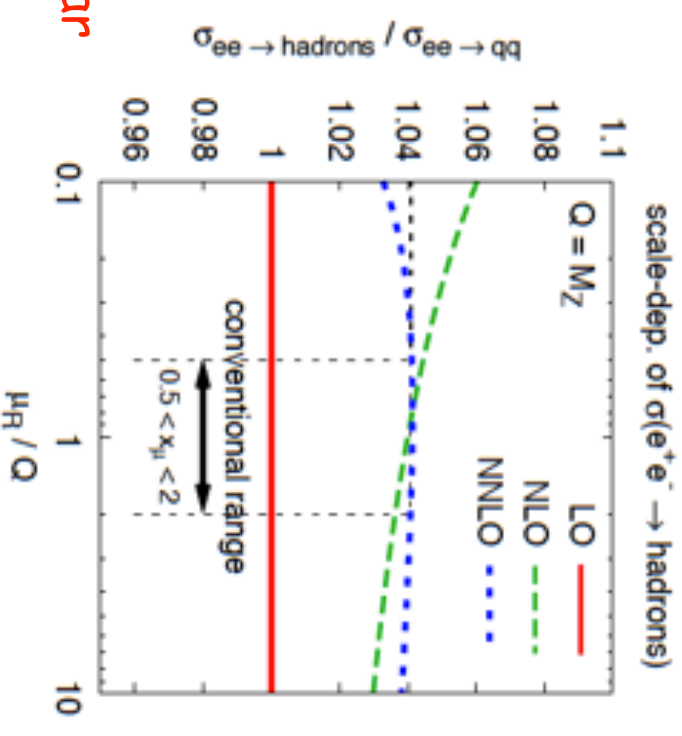
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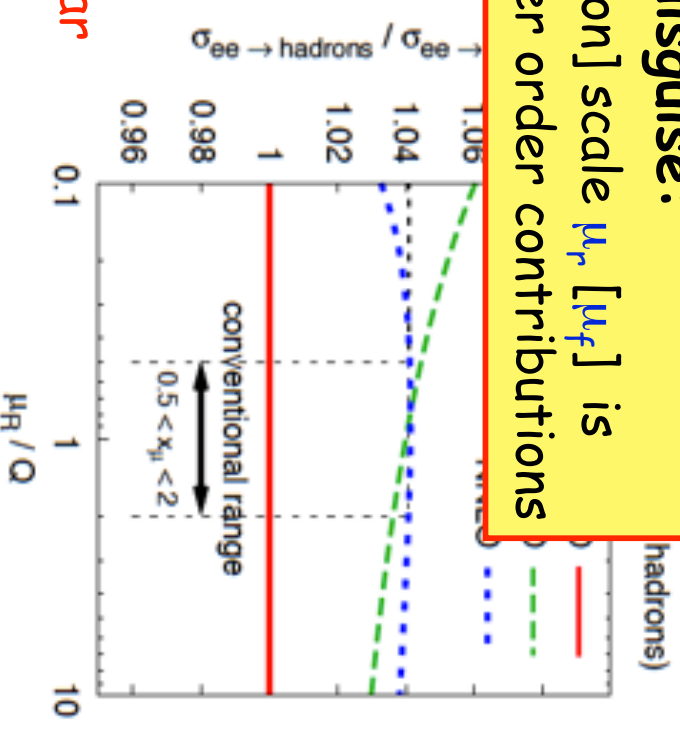
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**scale "ambiguity" is a blessing in disguise:**  
varying the renormalization [factorization] scale  $\mu_r$  [ $\mu_f$ ] is  
a way of guessing the uncalculated higher order contributions

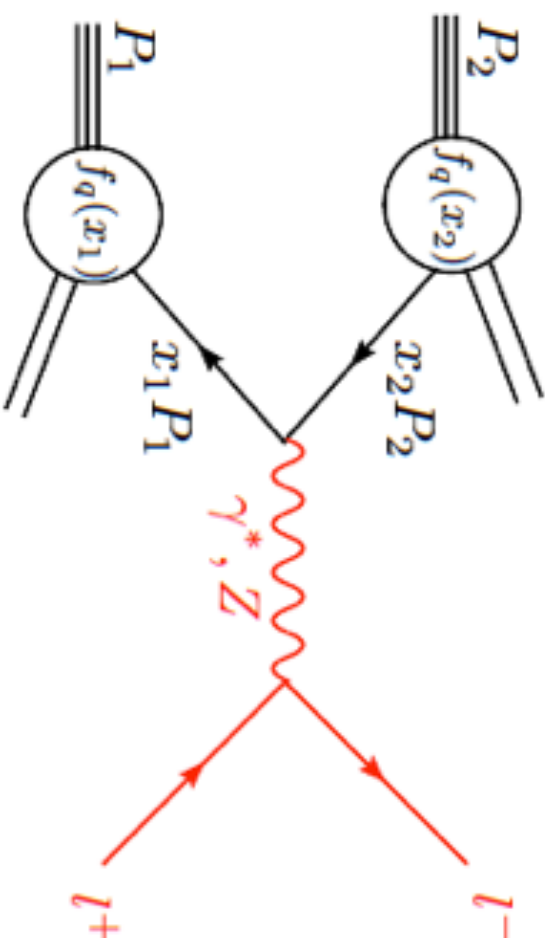
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# example from hadronic collisions

take the “classic” **Drell Yan process**



- dominated by quarks in the initial-state
- at LO no colored particles in the final-state
- clean experimental signature
- at LO an electromagnetic process (low rate)
- one of the best studied processes (known to NNLO)  
as “clean” as it can get at a hadron collider

# uncertainties for the Drell Yan process – cont'd

at NLO:

$$\sigma_{pp \rightarrow Z}^{\text{NLO}} = \sum_{ij} \int dx_1 dx_2 f_i(x_1, \mu_F^2) f_j(x_2, \mu_F^2) [\hat{\sigma}_{0,ij \rightarrow Z}(x_1, x_2) + \text{LO piece} + \alpha_s(\mu_R) \hat{\sigma}_{1,ij \rightarrow Z}(x_1, x_2, \mu_F)]$$

- no  $\alpha_s$  at LO but  $\mu_F$  appears in PDFs
- $\alpha_s$  enters at NLO and hence  $\mu_R$
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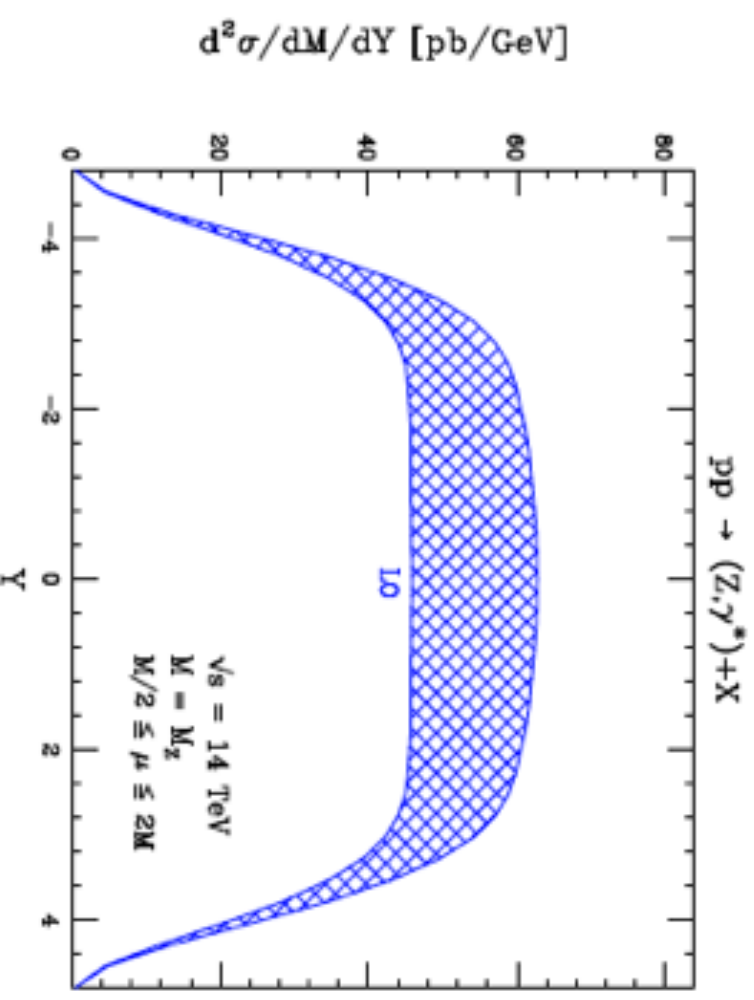
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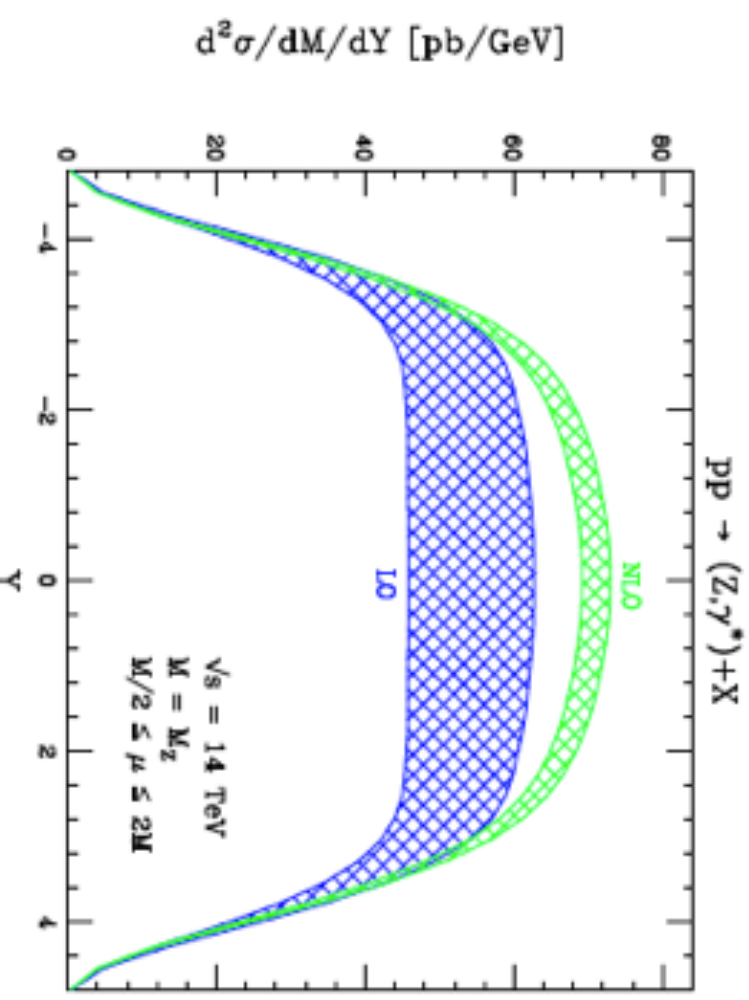
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- NLO corrections large but scale dependence is reduced





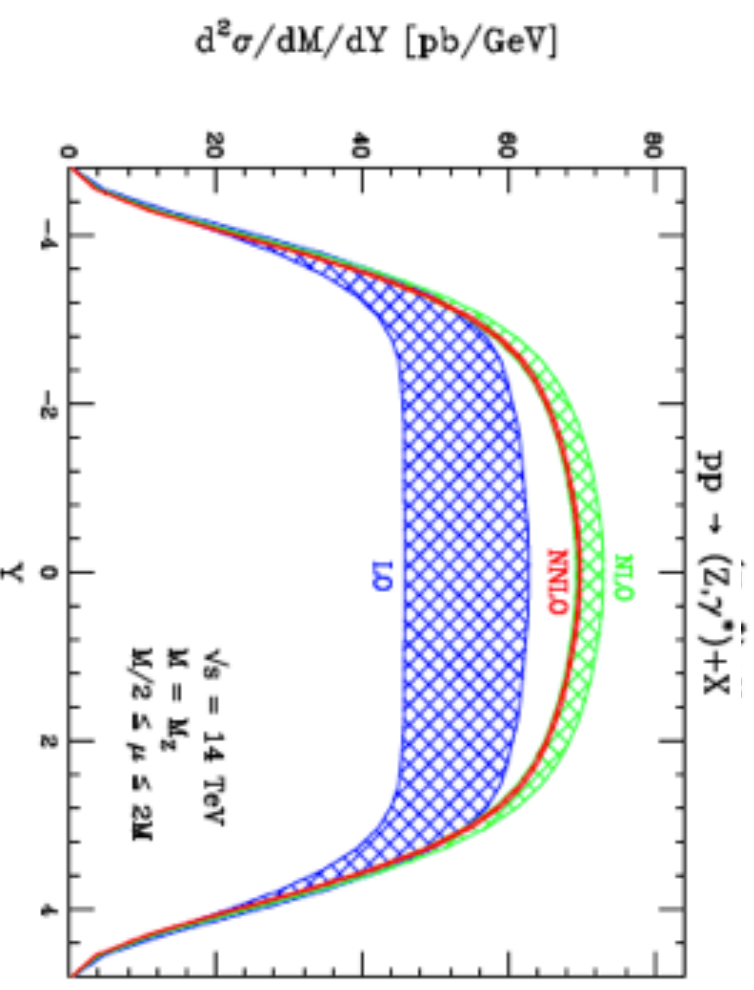
# uncertainties for the Drell Yan process – cont'd

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$$\sigma_{pp \rightarrow Z}^{\text{NLO}} = \sum_{ij} \int dx_1 dx_2 f_i(x_1, \mu_F^2) f_j(x_2, \mu_F^2) [\hat{\sigma}_{0,ij \rightarrow Z}(x_1, x_2) + \alpha_s(\mu_R) \hat{\sigma}_{1,ij \rightarrow Z}(x_1, x_2, \mu_F)]$$

LO piece

- no  $\alpha_s$  at LO but  $\mu_F$  appears in PDFs
- $\alpha_s$  enters at NLO and hence  $\mu_R$
- NLO terms reduce dep. on  $\mu_F$
- one often varies  $\mu_F$  and  $\mu_R$  together (but that can underestimate uncertainties)
- NLO corrections large but scale dependence is reduced
- even better at NNLO



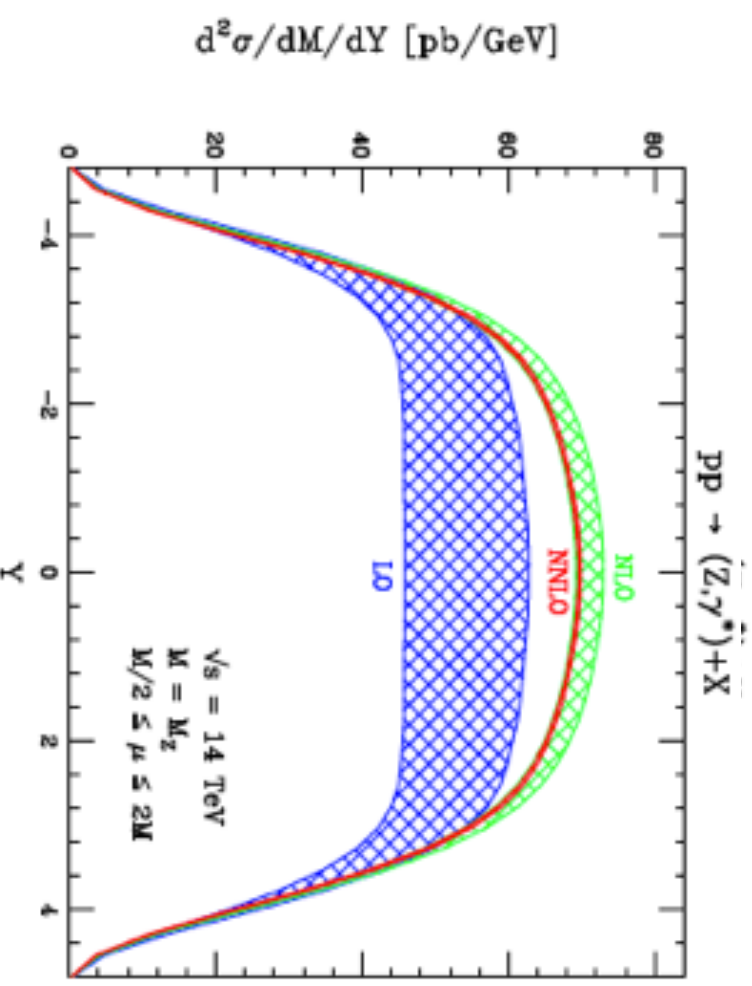
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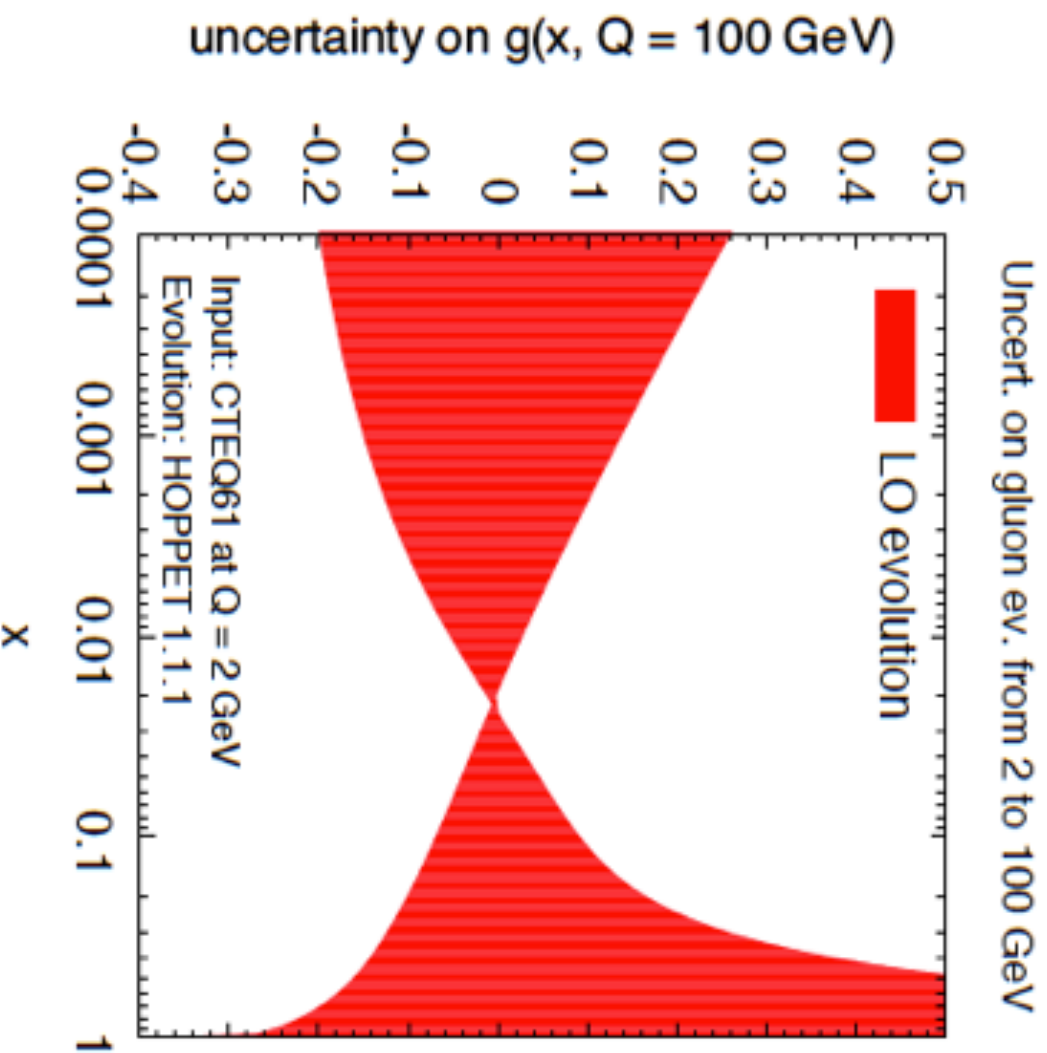
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perturbative accuracy of O(percent) achieved

# changing scales in DGLAP evolution

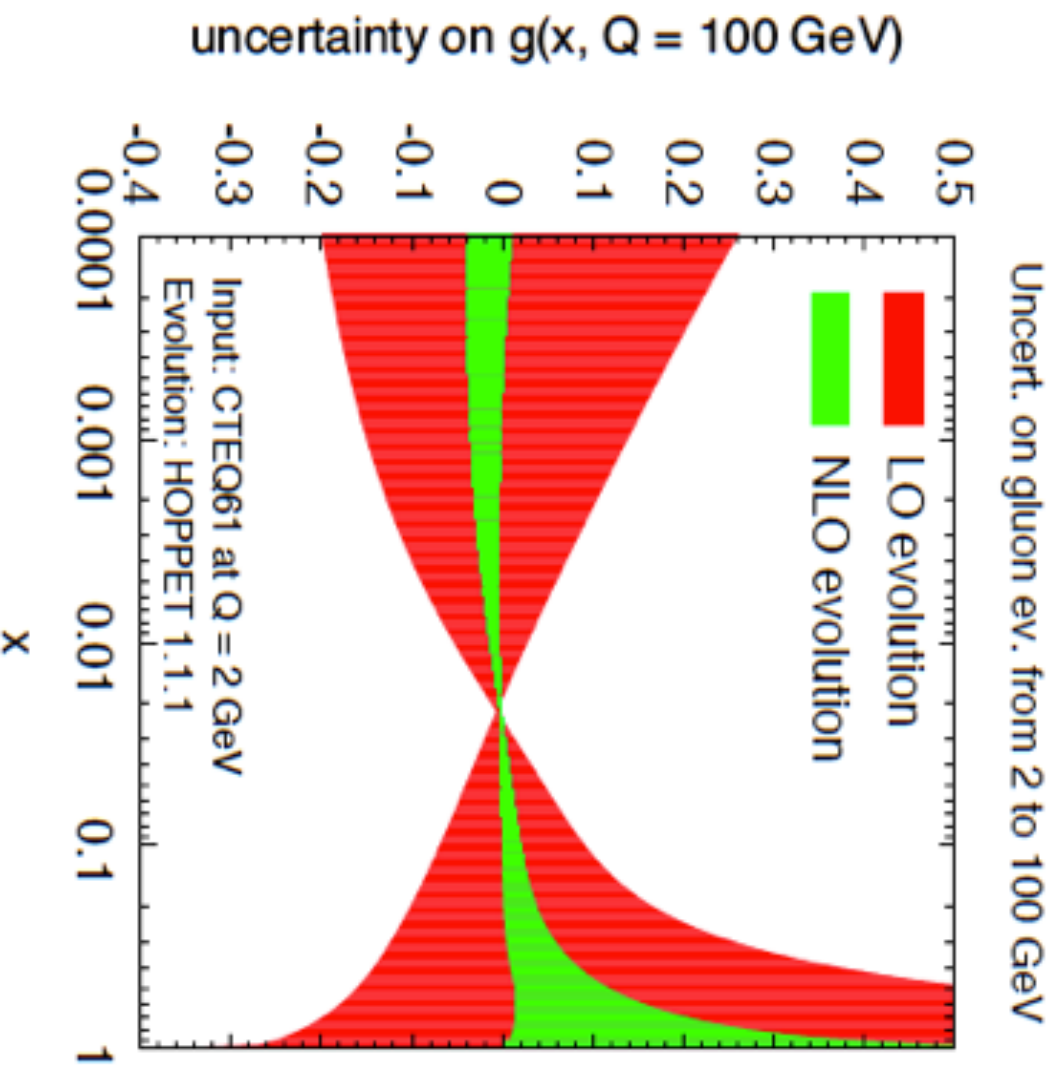
estimate by G. Salam: vary the scale of  $\alpha_s$  in the DGLAP kernel



- about 30% in LO

# changing scales in DGLAP evolution

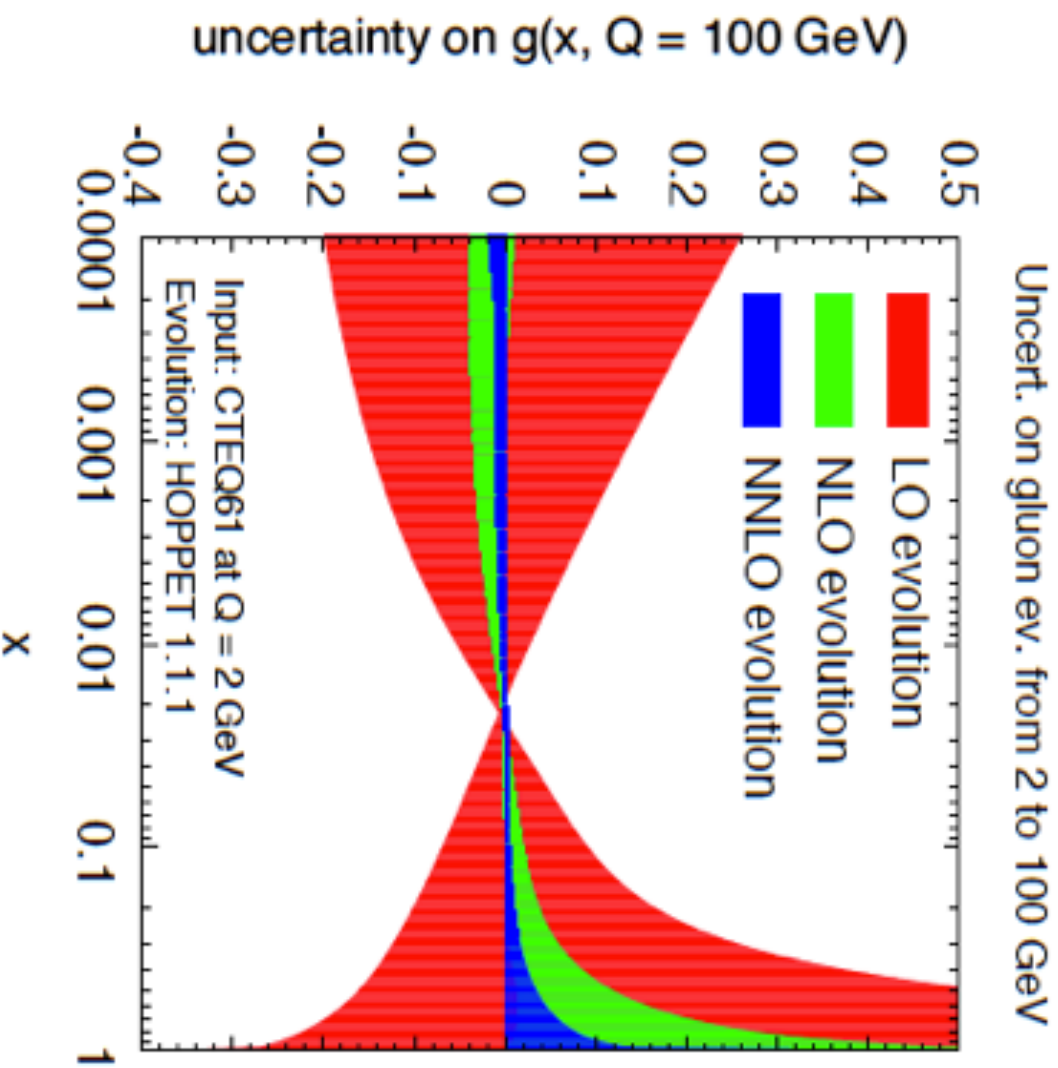
estimate by  $G.$  Salam: vary the scale of  $\alpha_s$  in the DGLAP kernel



- about 30% in LO
- down to about 5% in NLO

# changing scales in DGLAP evolution

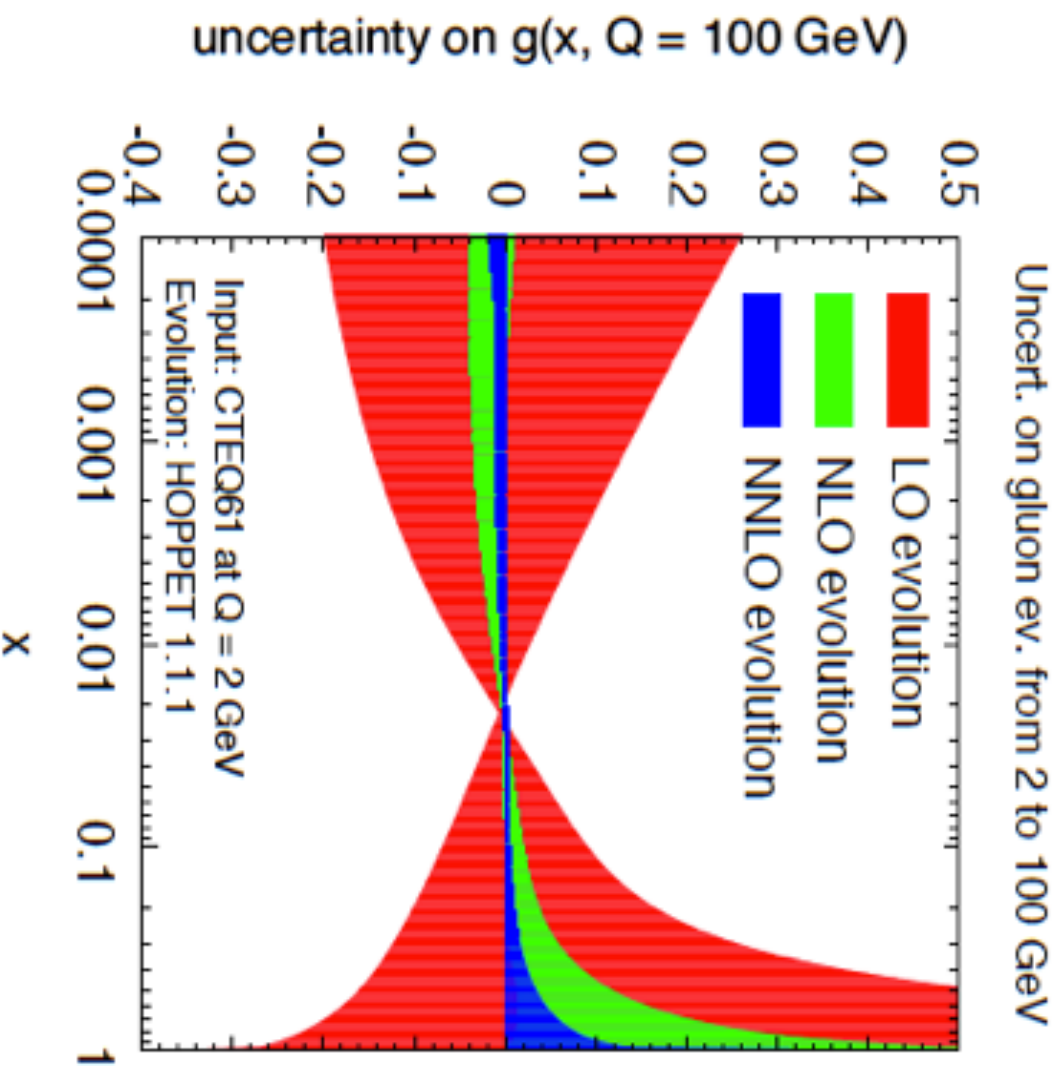
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- about 30% in LO
- down to about 5% in NLO
- NNLO brings it down to 2%

# changing scales in DGLAP evolution

estimate by  $G.$  Salam: vary the scale of  $\alpha_s$  in the DGLAP kernel



- about 30% in LO
- down to about 5% in NLO
- NNLO brings it down to 2%  
which is about the precision  
of the HERA DIS data

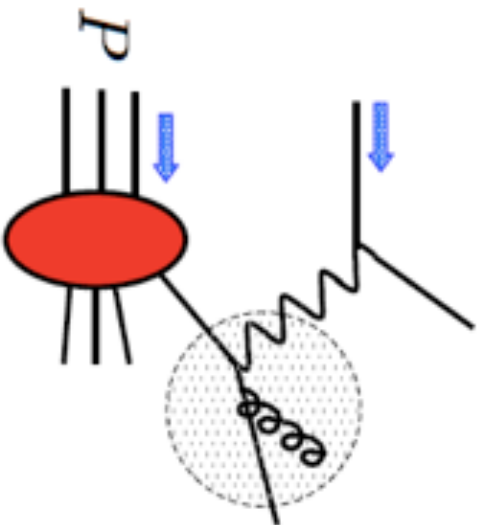


## Anatomy of a Global QCD Analysis

# 2

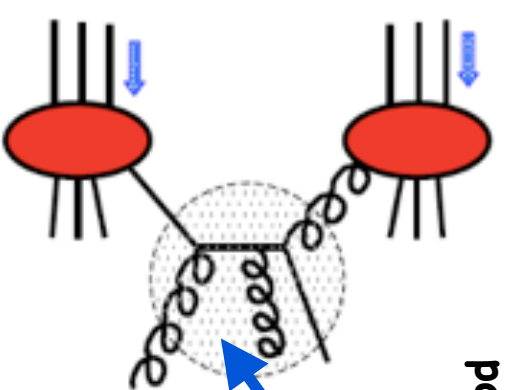
# how to determine PDFs from data?

probes:



DIS

hard scale  $Q$



parton cross section  
calculable

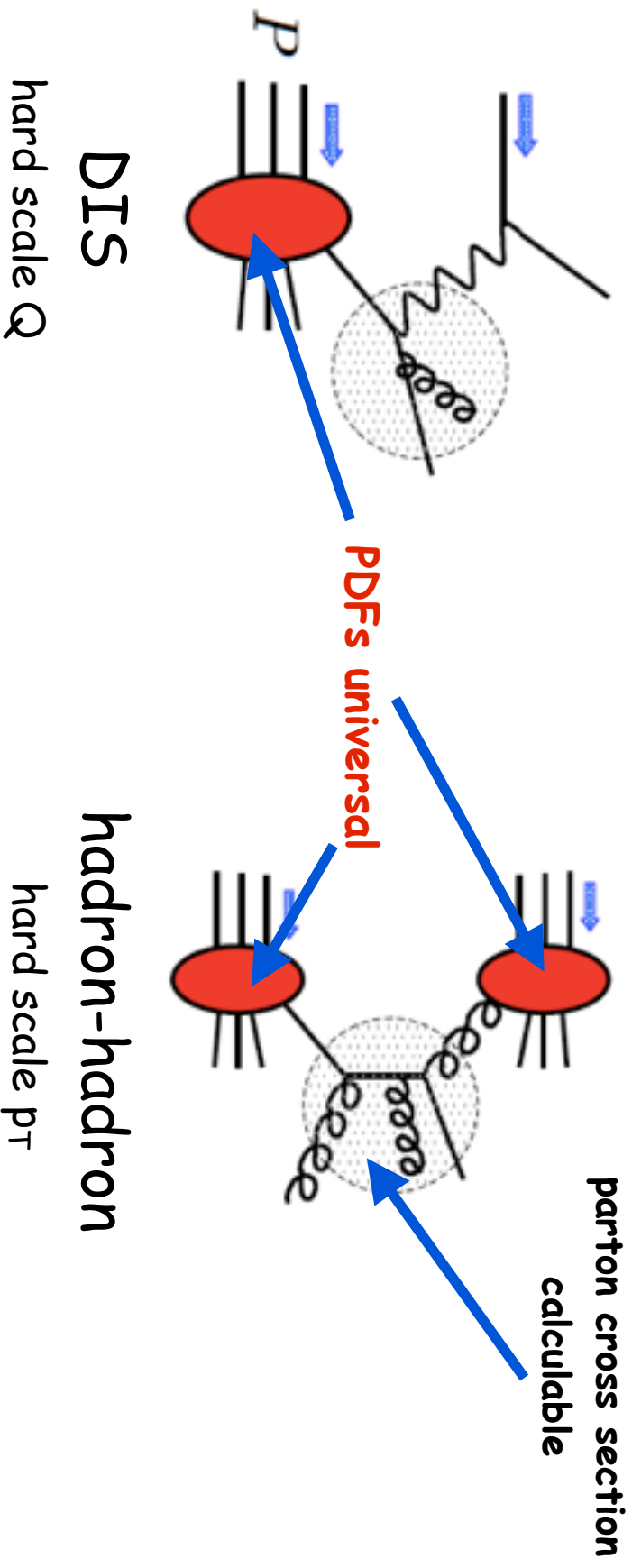
hadron-hadron

hard scale  $p_T$



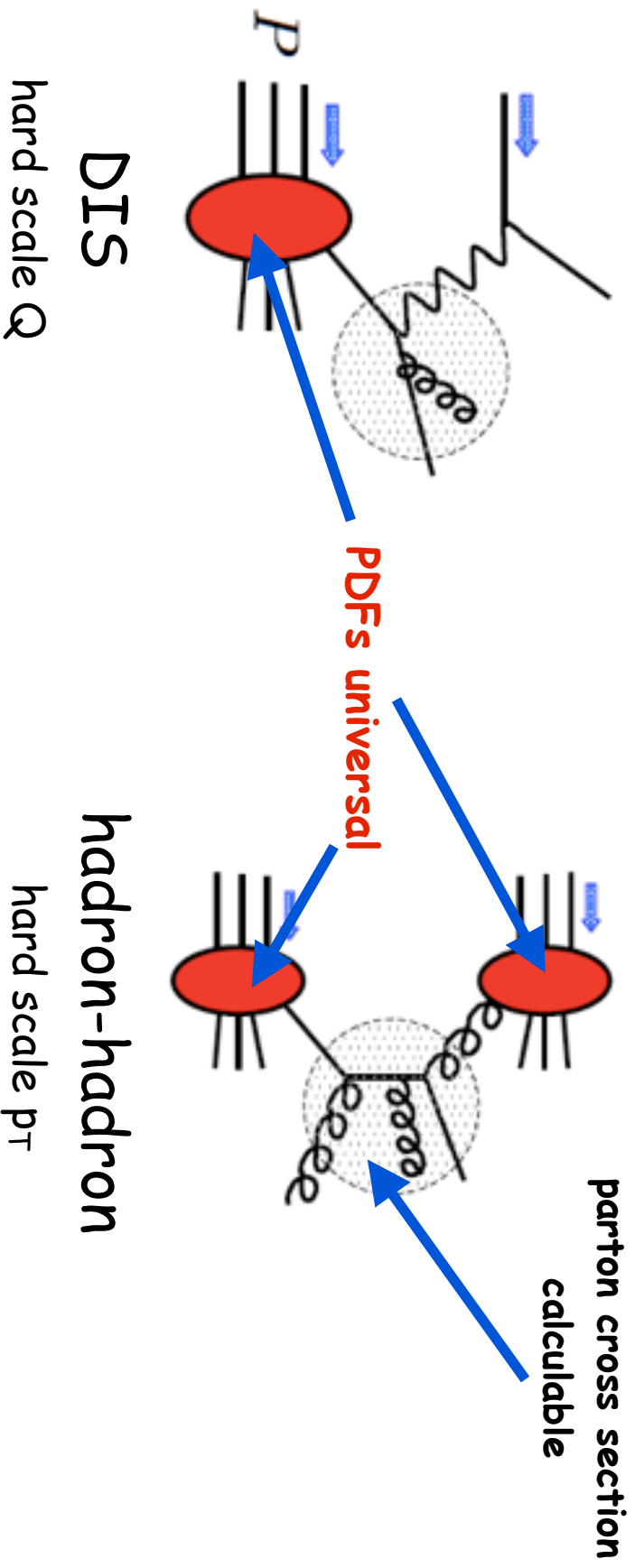
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# how to determine PDFs from data?

probes:

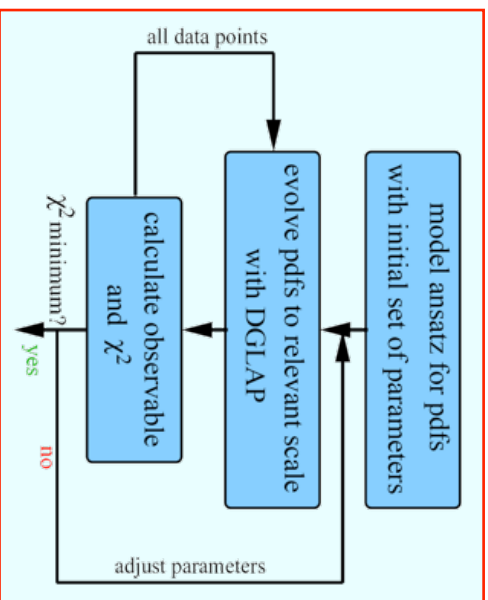


**task:** extract PDFs and their uncertainties (assume factorization)

- all processes tied together: universality of pdfs &  $Q^2$  - evolution
- each reaction provides insights into different aspects and kinematics
- need at least NLO accuracy for quantitative analyses
- information on PDFs “hidden” inside complicated (multi-)convolutions

# anatomy of global PDF analyses

obtain PDFs  
through global  $\chi^2$  optimization



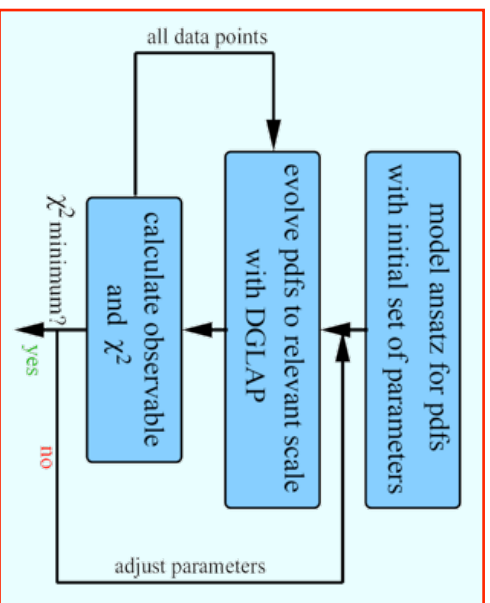
set of **optimum parameters**  
for *assumed* functional form

**computational challenge:**

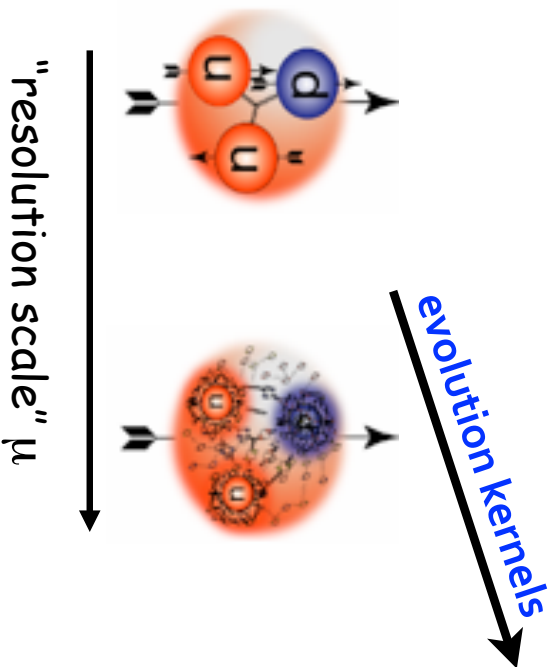
- up to  $O(20-30)$  parameters
- many sources of uncertainties
- very time-consuming NLO expressions

# anatomy of global QCD analyses

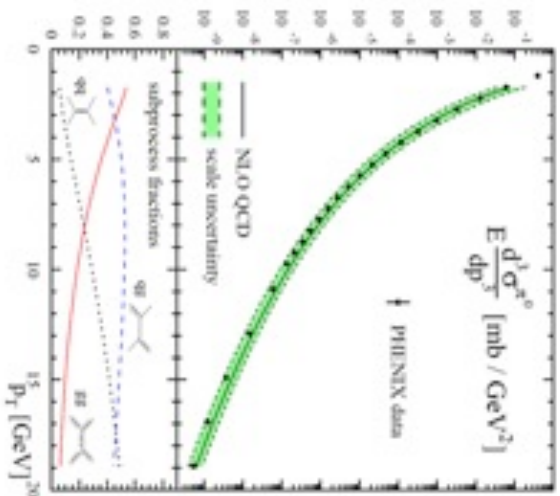
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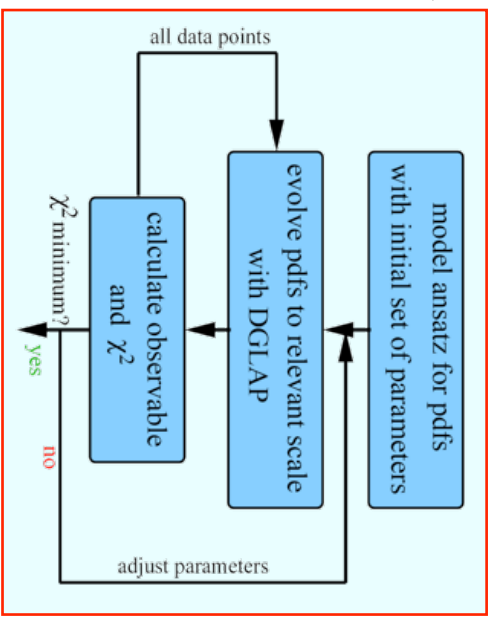


# anatomy of global QCD analyses



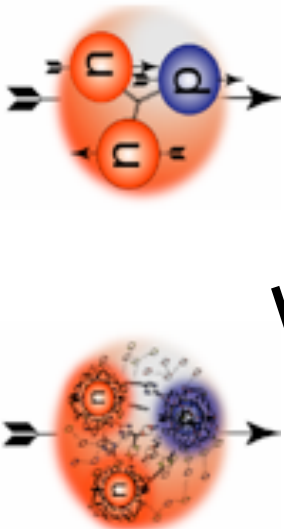
Cross sections at NLO

obtain PDFs through global  $\chi^2$  optimization



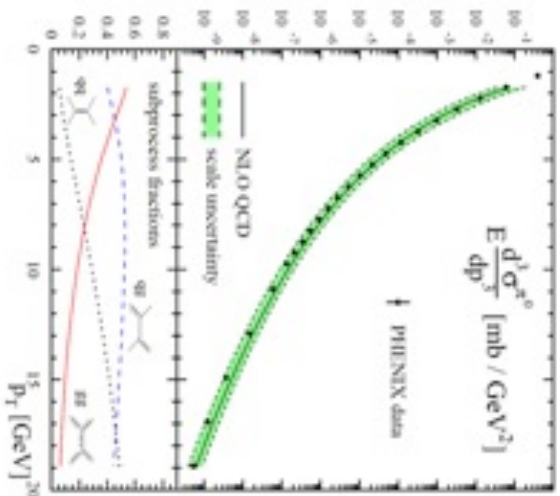
set of optimum parameters for assumed functional form

evolution kernels



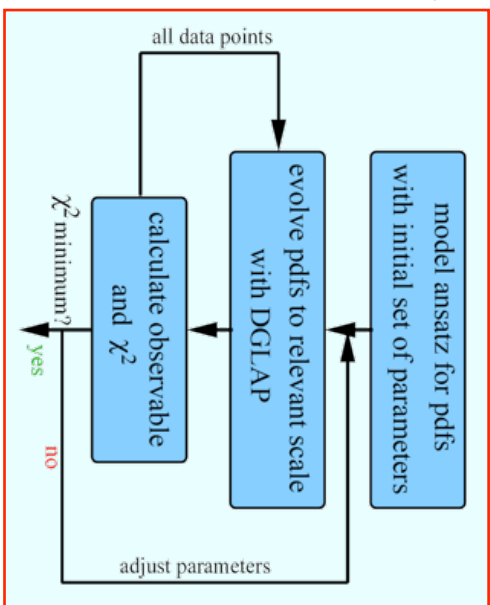
"resolution scale"  $\mu$

# anatomy of global QCD analyses



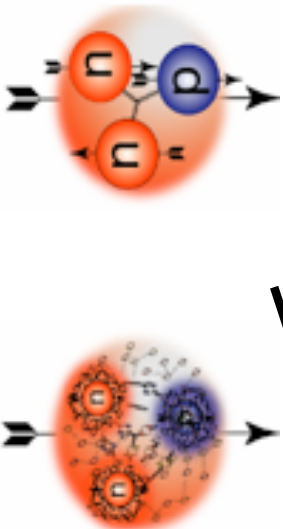
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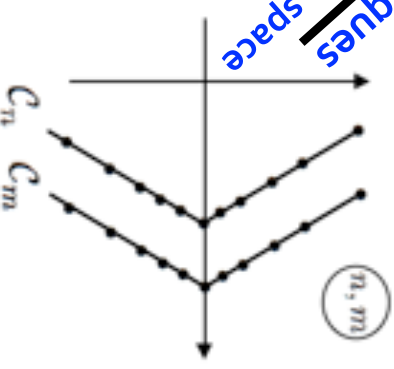
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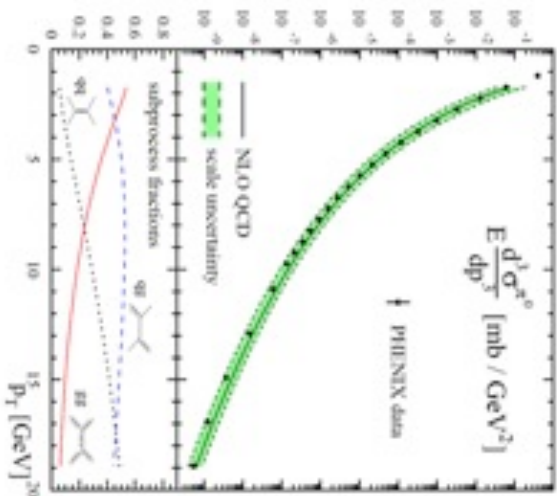


"resolution scale"  $\mu$

novel techniques e.g. in complex Mellin space

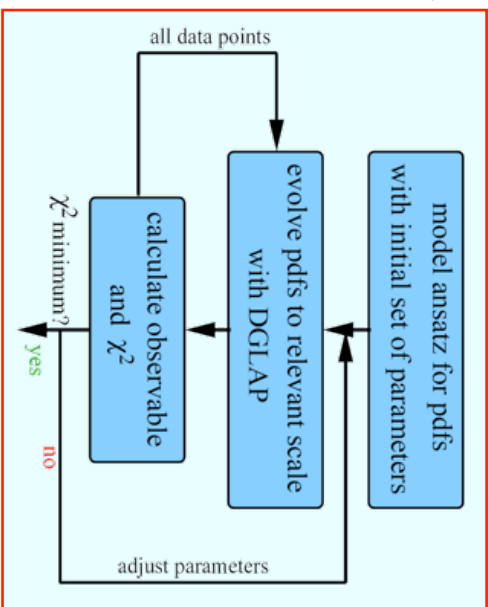


# anatomy of global QCD analyses



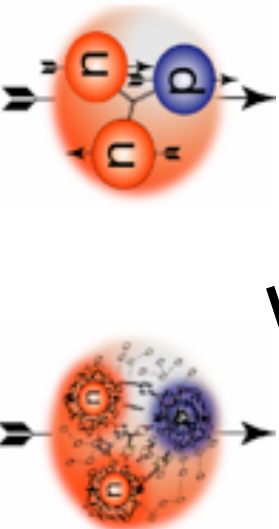
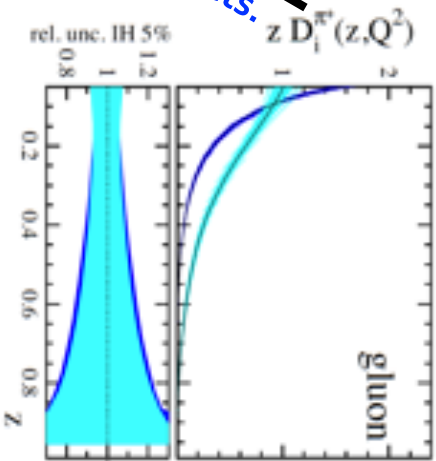
Cross sections at NLO

obtain PDFs through global  $\chi^2$  optimization



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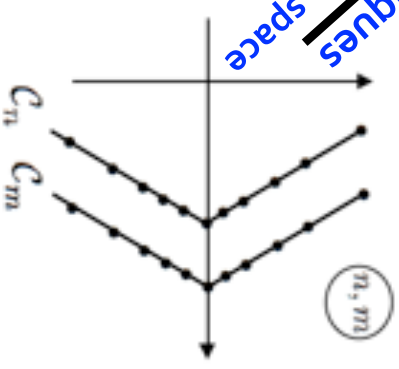
non-pert. inputs e.g. frag. fcts.



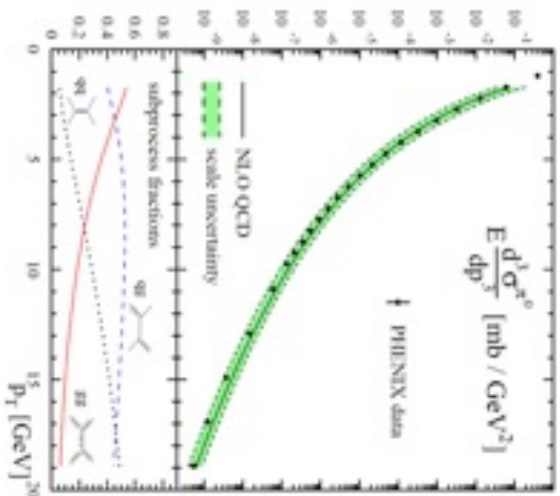
evolution kernels

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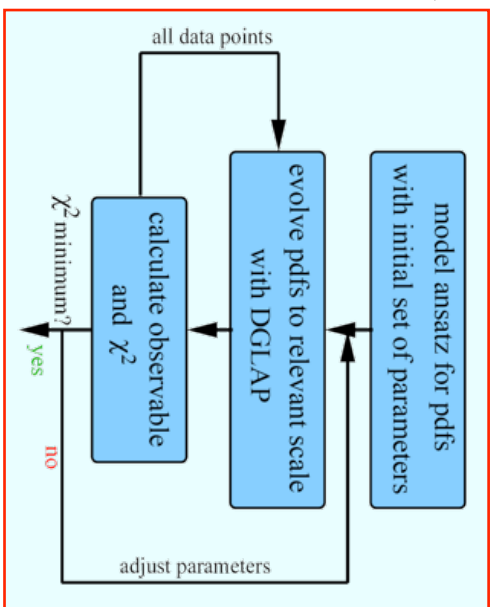


# anatomy of global QCD analyses



Cross sections at NLO

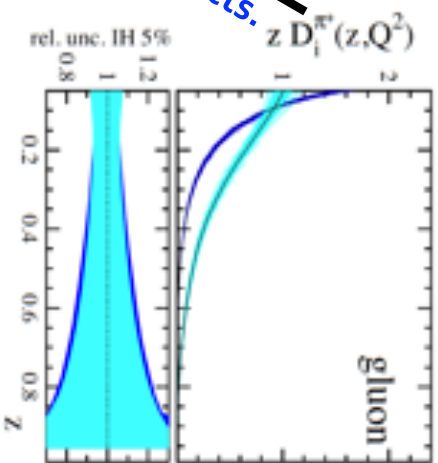
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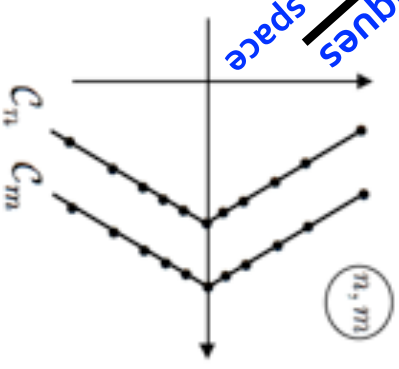
set of optimum parameters for assumed functional form

plus a prescription to estimate & propagate **uncertainties**

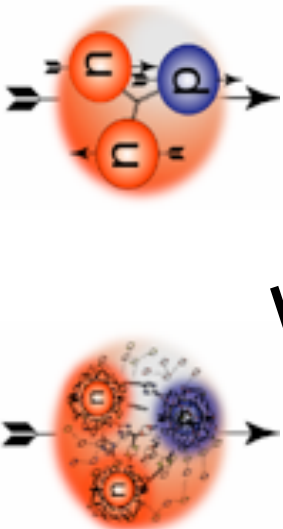
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novel techniques e.g. in complex Mellin space



evolution kernels



"resolution scale"  $\mu$



## global analysis: computational challenge

- one has to deal with  $O(2800)$  data points from many processes and experiments
  - need to determine  $O(20-30)$  parameters describing PDFs at  $\mu_0$
  - NLO expressions often very complicated → computing time becomes excessive
    - develop sophisticated algorithms & techniques, e.g. based on Mellin moments
- Kosower; Vogt; Vogelsang, MS

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**Kosower; Vogt; Vogelsang, MS**

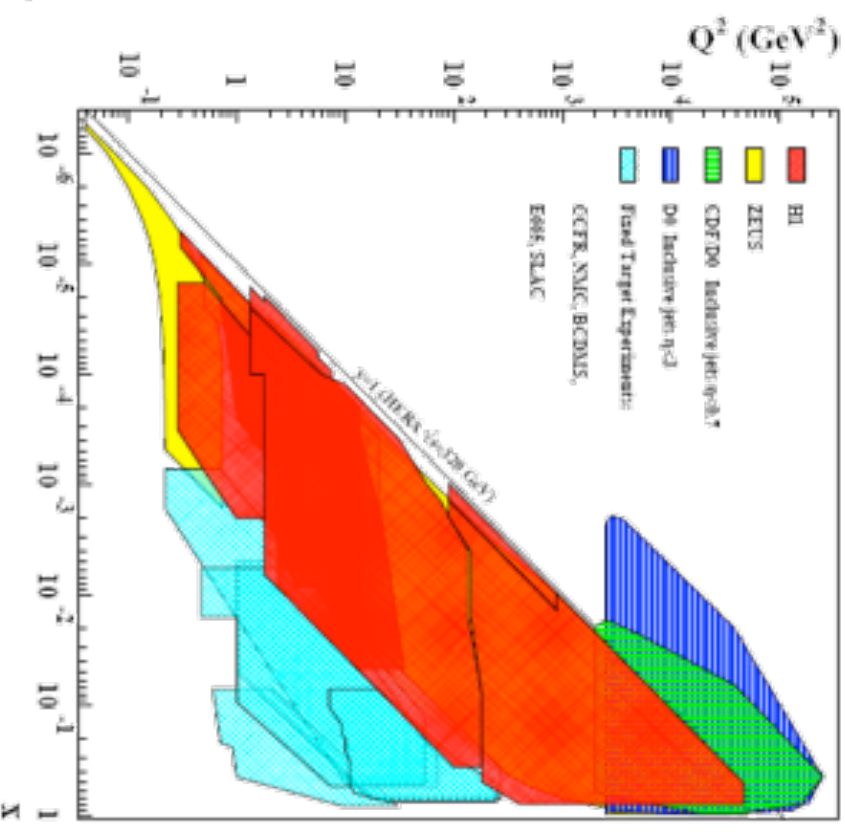
data sets &  $(x, Q^2)$  coverage used in MSTW fit

Martin, Stirling, Thorne, Watt, arXiv:0901.0002

Data set	$N_{pts.}$
H1 MB 99 $e^+p$ NC	8
H1 MB 97 $e^+p$ NC	64
H1 low $Q^2$ 96-97 $e^+p$ NC	80
H1 high $Q^2$ 98-99 $e^-p$ NC	126
H1 high $Q^2$ 99-00 $e^+p$ NC	147
ZEUS SVX 95 $e^+p$ NC	30
ZEUS 96-97 $e^+p$ NC	144
ZEUS 98-99 $e^-p$ NC	92
ZEUS 99-00 $e^+p$ NC	90
H1 99-00 $e^+p$ CC	28
ZEUS 99-00 $e^+p$ CC	30
H1/ZEUS $e^\pm p$ $F_2^{charm}$	83
H1 99-00 $e^+p$ incl. jets	24
ZEUS 96-97 $e^+p$ incl. jets	30
ZEUS 98-00 $e^\pm p$ incl. jets	30
DØ III $p\bar{p}$ incl. jets	110
CDF II $p\bar{p}$ incl. jets	76
CDF II $W \rightarrow l\nu$ asym.	22
DØ III $W \rightarrow l\nu$ asym.	10
DØ III Z rap.	28
CDF II Z rap.	29

Data set	$N_{pts.}$
BCDMS $\mu p$ $F_2$	163
BCDMS $\mu d$ $F_2$	151
NMC $\mu p$ $F_2$	123
NMC $\mu d$ $F_2$	123
NMC $\mu n/\mu p$	148
E665 $\mu p$ $F_2$	53
E665 $\mu d$ $F_2$	53
SLAC ep $F_2$	37
SLAC ed $F_2$	38
NMC/BCDMS/SLAC $F_L$	31
E866/NuSea pp DY	184
E866/NuSea pd/pp DY	15
NuTeV $\nu N$ $F_2$	53
CHORUS $\nu N$ $F_2$	42
NuTeV $\nu N$ $xF_3$	45
CHORUS $\nu N$ $xF_3$	33
CCFR $\nu N \rightarrow \mu\mu X$	86
NuTeV $\nu N \rightarrow \mu\mu X$	84
All data sets	2743

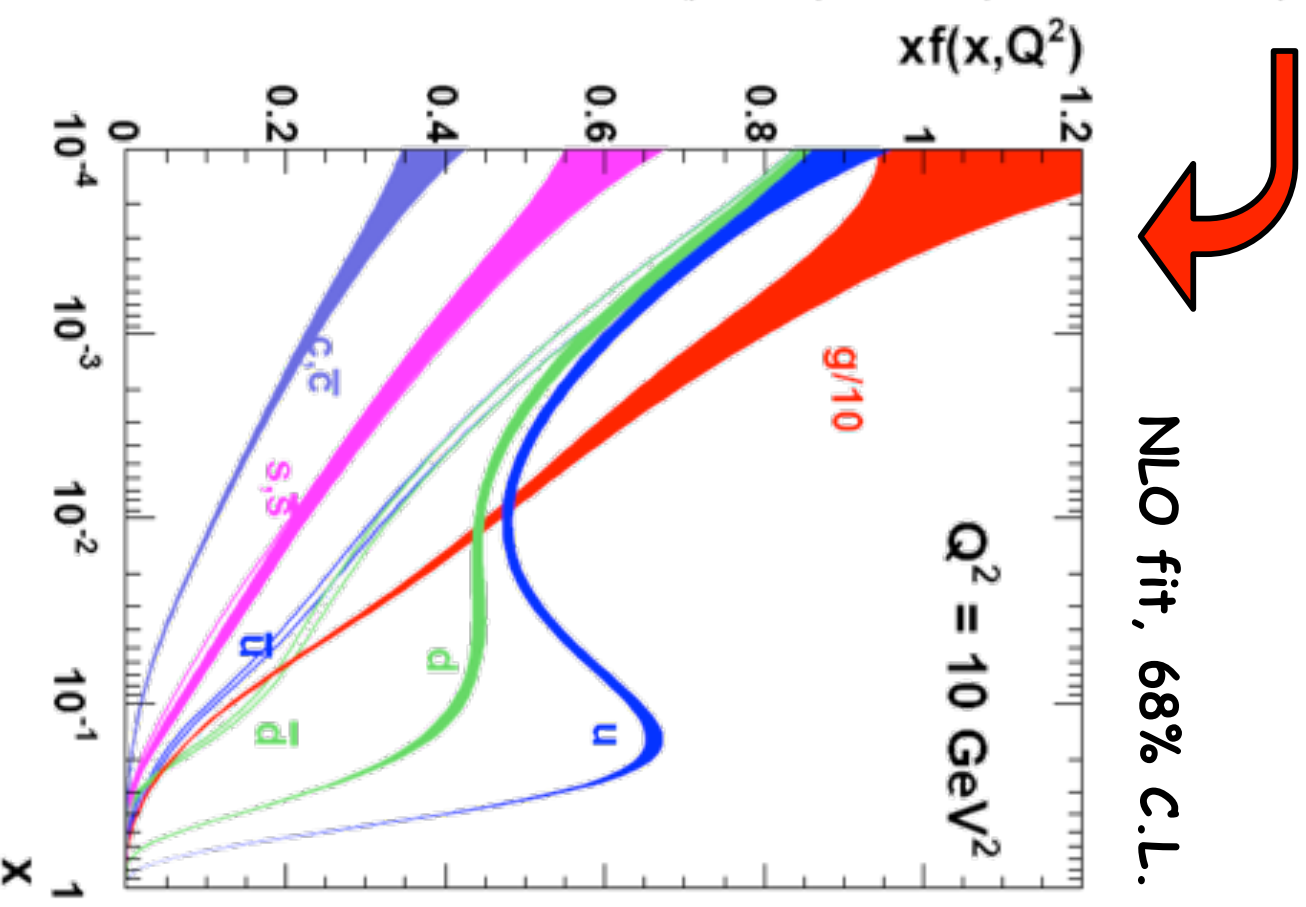
• Red = New w.r.t. MRST 2006 fit.



# which data sets determine which partons

Process	Subprocess	Partons	$x$ Range
$\ell^\pm \{p, n\} \rightarrow \ell^\pm X$	$\gamma^* q \rightarrow q$	$q, \bar{q}, g$	$x \lesssim 0.01$
$\ell^\pm n/p \rightarrow \ell^\pm X$	$\gamma^* d/u \rightarrow d/u$	$d/u$	$x \lesssim 0.01$
$pp \rightarrow \mu^+ \mu^- X$	$u\bar{u}, d\bar{d} \rightarrow \gamma^*$	$\bar{q}$	$x \lesssim 0.35$
$pn/pp \rightarrow \mu^+ \mu^- X$	$(u\bar{d})/(u\bar{u}) \rightarrow \gamma^*$	$\bar{d}/\bar{u}$	$x \lesssim 0.35$
$\nu(\bar{\nu}) N \rightarrow \mu^\pm(\mu^\mp) X$	$W^* q \rightarrow q'$	$q, \bar{q}$	$x \lesssim 0.5$
$\nu N \rightarrow \mu^- \mu^+ X$	$W^* s \rightarrow c$	$s$	$x \lesssim 0.2$
$\bar{\nu} N \rightarrow \mu^+ \mu^- X$	$W^* \bar{s} \rightarrow \bar{c}$	$\bar{s}$	$x \lesssim 0.2$
$e^\pm p \rightarrow e^\pm X$	$\gamma^* q \rightarrow q$	$g, q, \bar{q}$	$0.0001 \lesssim x \lesssim 0.1$
$e^+ p \rightarrow \bar{\nu} X$	$W^+ \{d, s\} \rightarrow \{u, c\}$	$d, s$	$x \lesssim 0.01$
$e^\pm p \rightarrow e^\pm c\bar{c} X$	$\gamma^* c \rightarrow c, \gamma^* g \rightarrow c\bar{c}$	$c, g$	$0.0001 \lesssim x \lesssim 0.01$
$e^\pm p \rightarrow \text{jet} + X$	$\gamma^* g \rightarrow q\bar{q}$	$g$	$0.01 \lesssim x \lesssim 0.1$
$p\bar{p} \rightarrow \text{jet} + X$	$gg, qg, qq \rightarrow 2j$	$g, q$	$0.01 \lesssim x \lesssim 0.5$
$p\bar{p} \rightarrow (W^\pm \rightarrow \ell^\pm \nu) X$	$ud \rightarrow W, \bar{u}\bar{d} \rightarrow W$	$u, d, \bar{u}, \bar{d}$	$x \lesssim 0.05$
$p\bar{p} \rightarrow (Z \rightarrow \ell^+ \ell^-) X$	$uu, d\bar{d} \rightarrow Z$	$d$	$x \lesssim 0.05$

Martin, Stirling, Thorne, Watt, arXiv:0901.0002

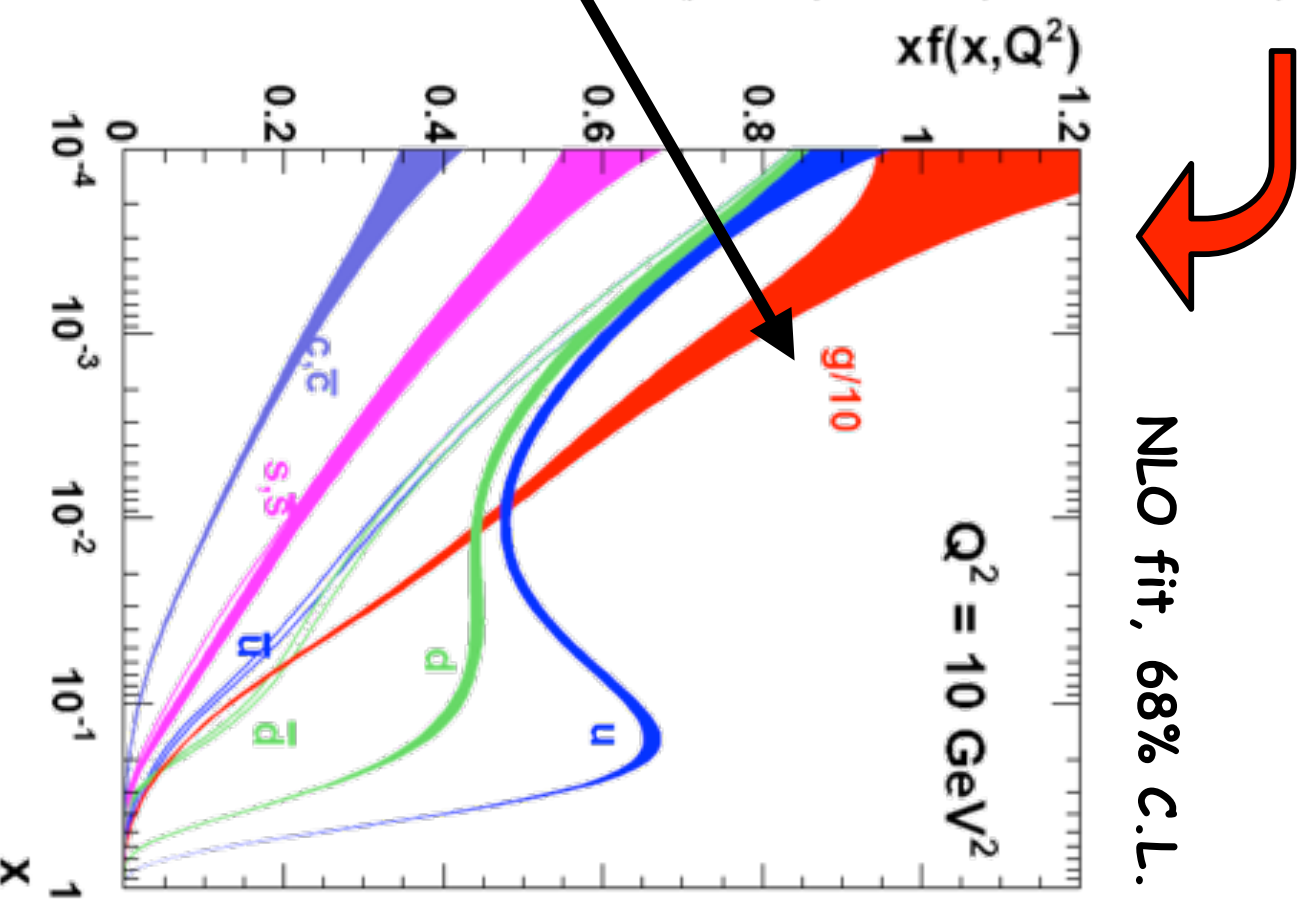


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Martin, Stirling, Thorne, Watt, arXiv:0901.0002

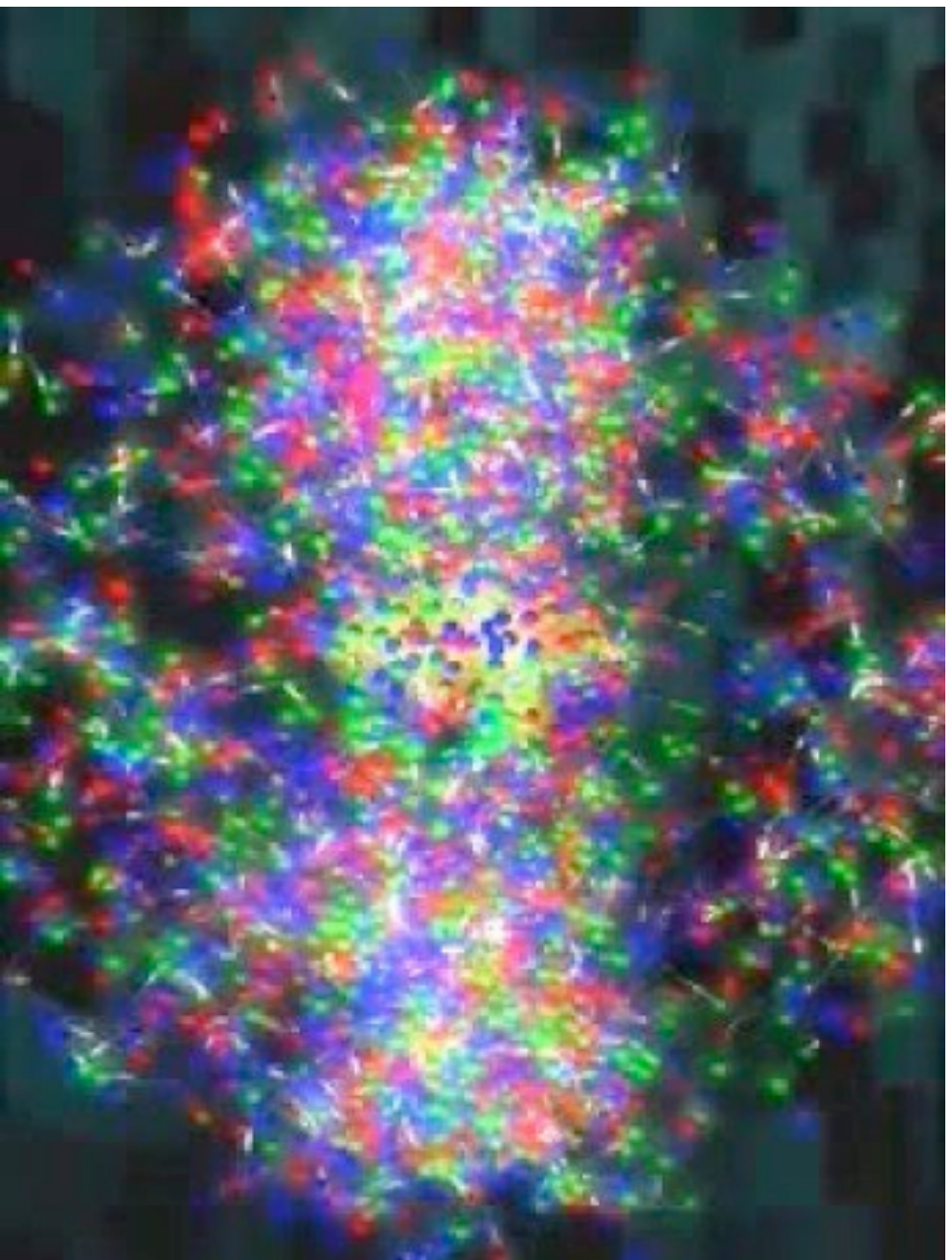
- notice the huge gluon distribution
- quality of the fit:
  - 2543/2699 NLO
  - 3066/2598 LO



interplay of many data sets crucial

**PARTON**  
**Drive carefully**

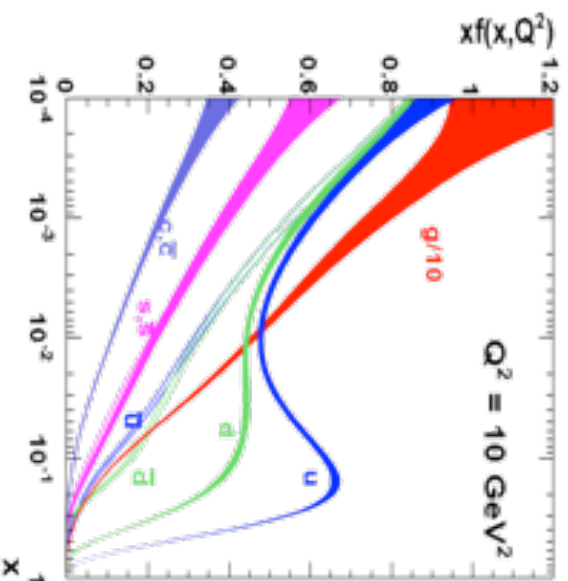
**Burial place of  
James Clerk Maxwell**



**when there is not enough room:  
gluons at small  $x$**

3

# what drives the growth of the gluon density

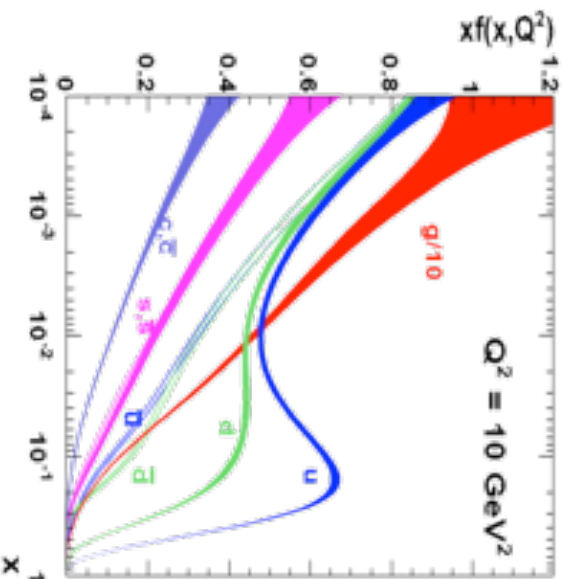


observe that only 2 splitting fcts are singular at small  $x$

$$P_{gq}(x) \Big|_{x \rightarrow 0} \approx \frac{2C_F}{x} \quad P_{gg}(x) \Big|_{x \rightarrow 0} \approx \frac{2C_A}{x}$$

-> small  $x$  region dominated by gluons

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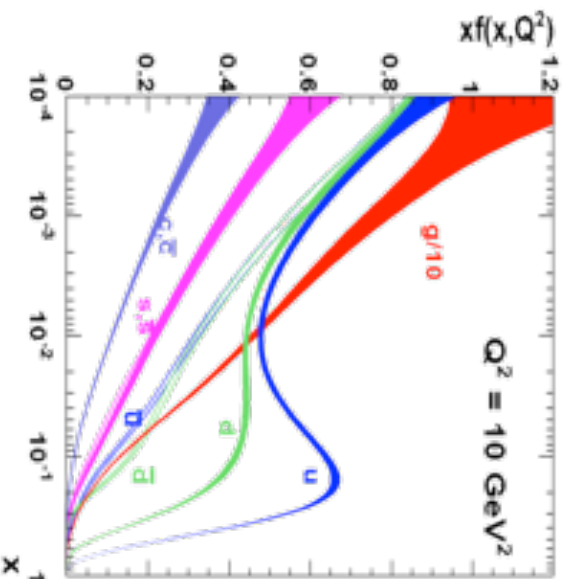
-> small x region dominated by gluons

- write down “gluon-only” DGLAP equation **only valid for small x and large  $Q^2$**

$$\frac{dg(x, \mu^2)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \frac{2C_A}{z} g(x/z, \mu^2)$$



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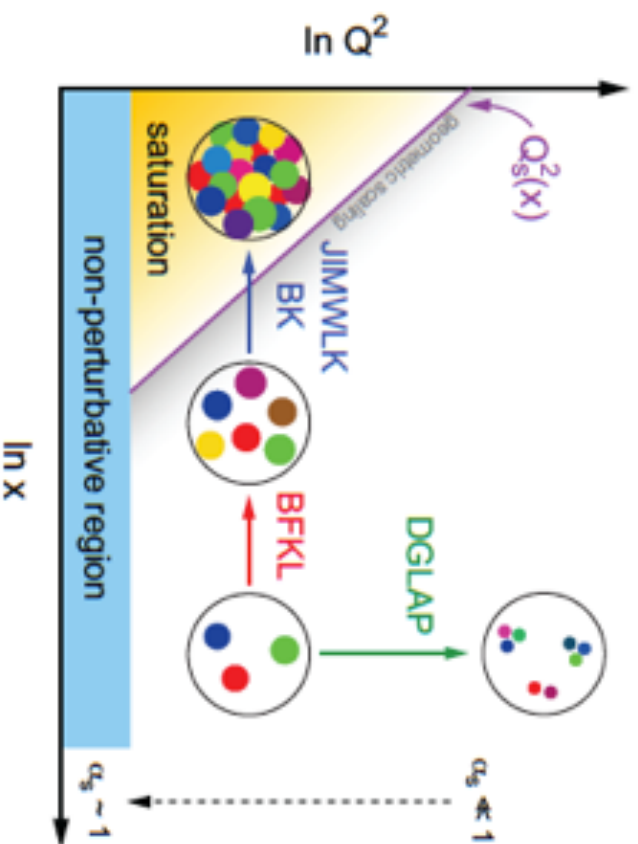
- for fixed coupling this leads to **“double logarithmic approximation”**

$$xg(x, Q^2) \sim \exp \left( 2 \sqrt{\frac{\alpha_s C_A}{\pi} \log(1/x) \log(Q^2/Q_0^2)} \right)$$

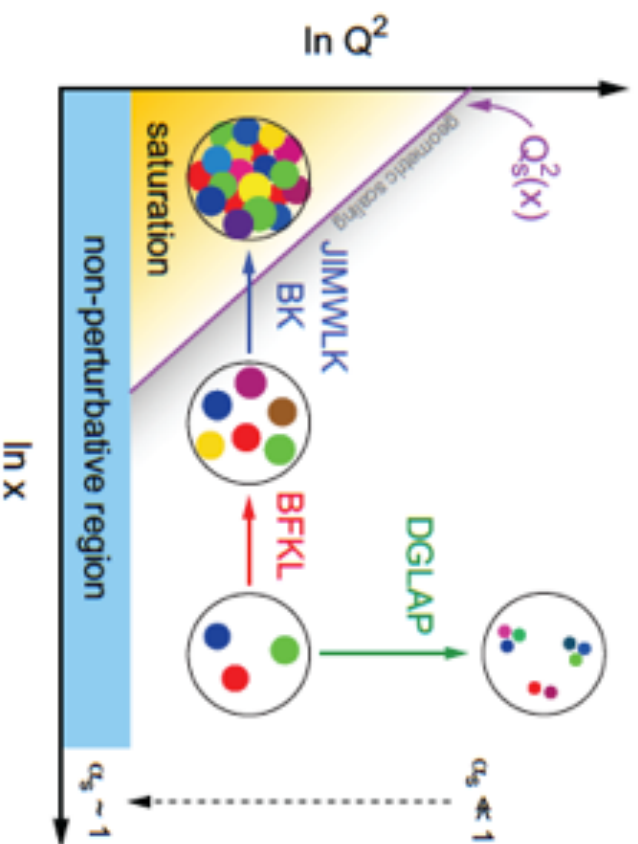
predicts rise that is faster than  $\log^a(1/x)$  but slower than  $(1/x)^a$

# gluon occupancy

- DGLAP predicts an increase of gluons at small  $x$  but proton becomes more dilute as  $Q^2$  increases  
transverse size of partons  $\approx 1/Q$



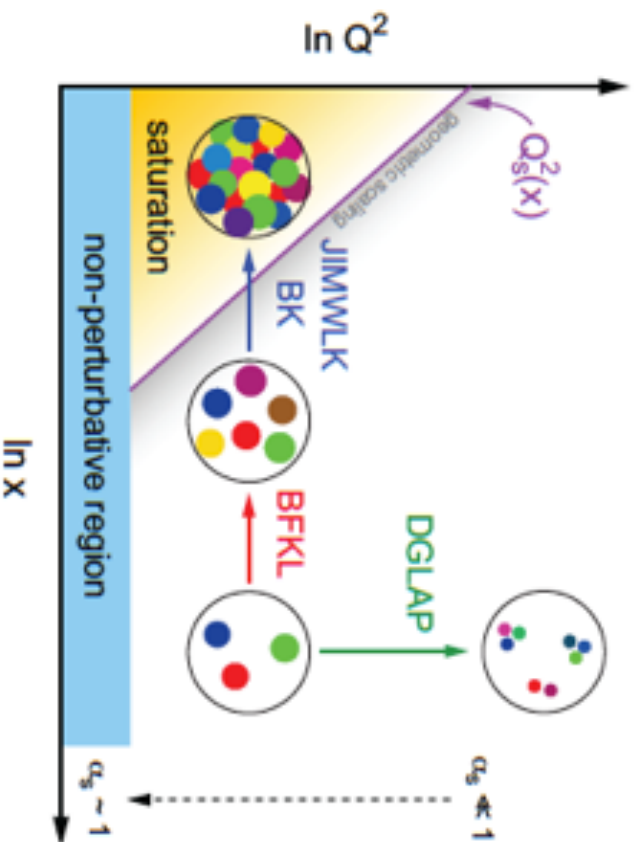
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**but what happens at small  $x$   
for not so large (fixed)  $Q^2$  ?**

# gluon occupancy



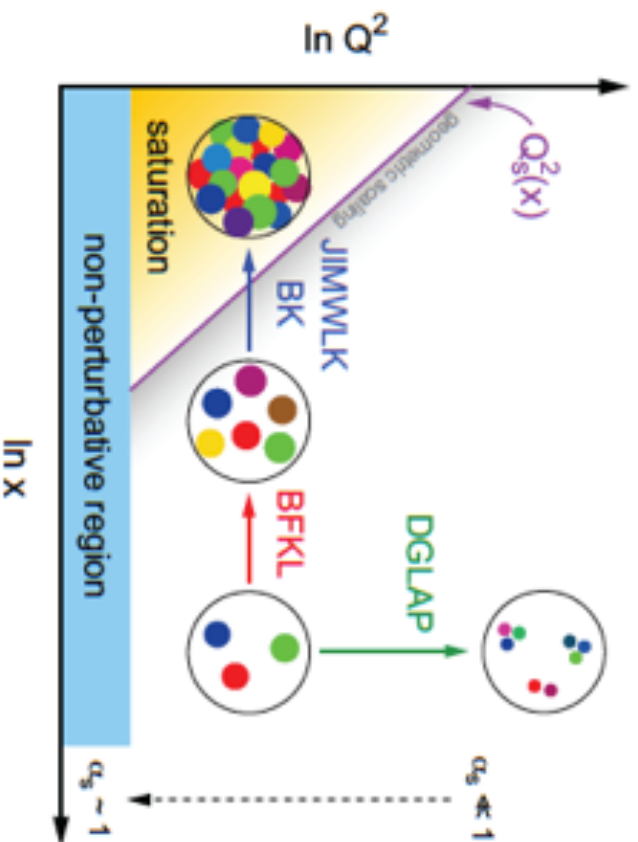
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for not so large (fixed)  $Q^2$  ?

“high-energy (Regge) limit of QCD”

- aim to resum terms  $\approx \alpha_s \log(1/x)$
- Balitsky-Fadin-Kuraev-Lipatov (BFKL) equation: evolves in  $x$  not  $Q^2$
- BFKL predicts a power-like growth  $xg(x, Q^2) \sim (1/x)^{\alpha_P-1}$   
much faster than in DGLAP

# gluon occupancy



- DGLAP predicts an increase of gluons at small  $x$  but proton becomes more dilute as  $Q^2$  increases  
transverse size of partons  $\approx 1/Q$

but what happens at small  $x$   
for not so large (fixed)  $Q^2$  ?

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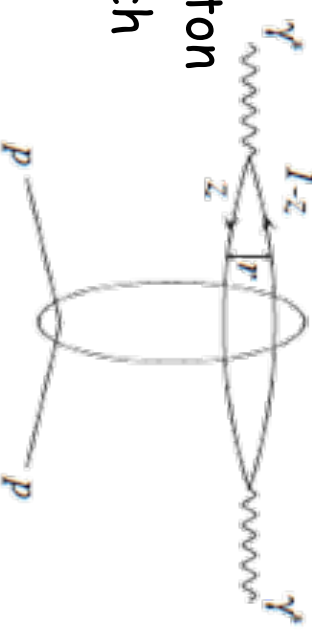
## BIG problem

- proton quickly fills up with gluons (transverse size now fixed !)
- hadronic cross sections violate  $\ln^2 s$  bound (Froissart-Martin) and grow like a power

## color dipole model

make progress by viewing, e.g., DIS from a "different angle"

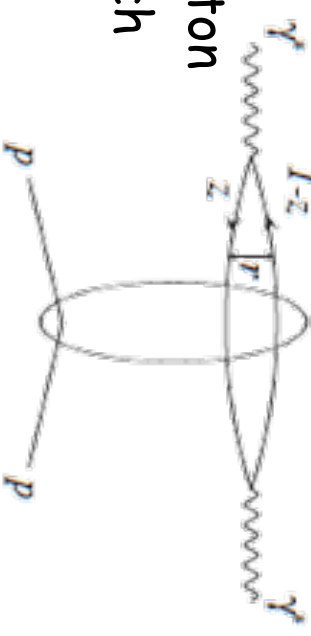
DIS in the **proton rest frame** can be viewed as the photon splitting into a quark-antiquark pair ("**color dipole**") which scatters off the proton (= "slow" gluon field)



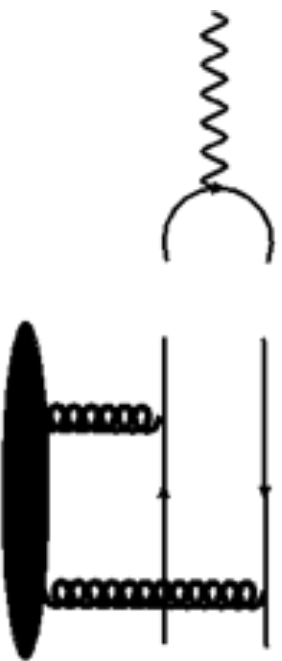
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- **factorization** now in terms of



probability of photon  
fluctuating into  $q\bar{q}$ -pair

QED

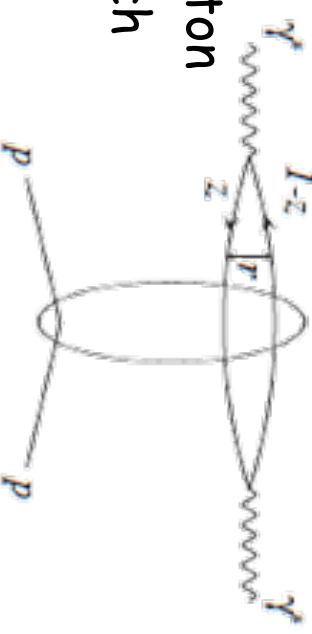
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QCD

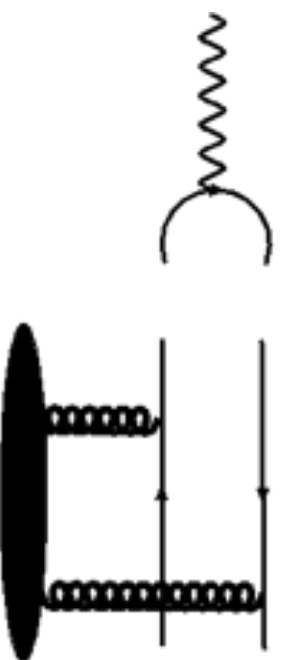
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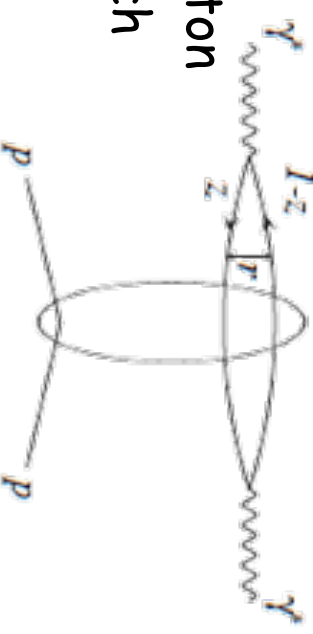
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- energy dependence of  $N$  described by **Balitsky-Kovchegov equation**



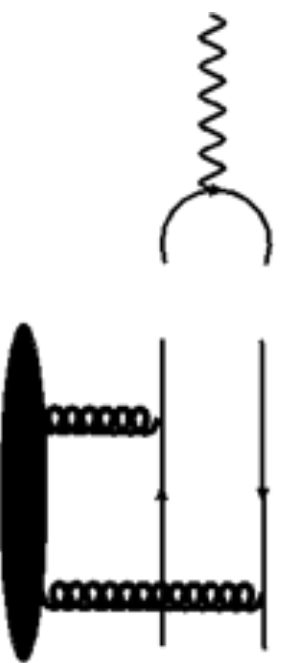
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• **factorization** now in terms of



= probability of photon fluctuating into  $q\bar{q}$ -pair

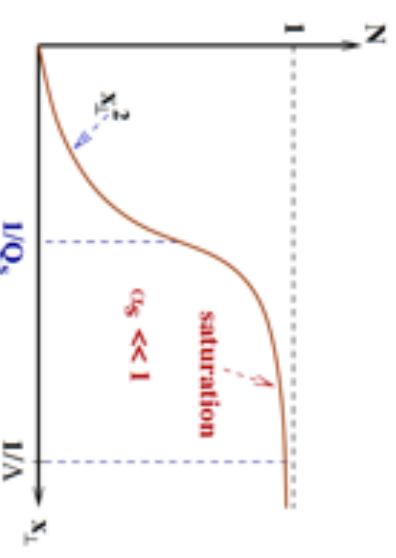
QED

⊗ probability of dipole scattering on the target

QCD

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- energy dependence of  $N$  described by **Balitsky-Kovchegov equation**

- **non-linear**  $\rightarrow$  includes multiple scatterings for unitarization
- generates saturation scale  $Q_s$
- suited to treat collective phenomena (shadowing, diffraction)
- impact parameter dependence





**when  $N^{\times}LO$  is not enough:  
all order resummations**

**4**

# when a $N^xLO$ calculation is not good enough

**observation:** fixed  $N^xLO$  order QCD calculations are not necessarily reliable  
this often happens at low energy fixed-target experiments  
and can be an issue also at colliders, even the LHC

**reason:** structure of the perturbative series and IR cancellation

at partonic threshold / near exclusive boundary:

- just enough energy to produce, e.g., high- $p_T$  parton
- "inhibited" radiation (general phenomenon for gauge theories)

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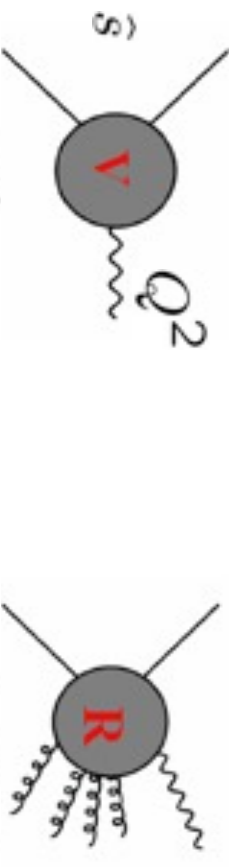
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simple example:

Drell-Yan process

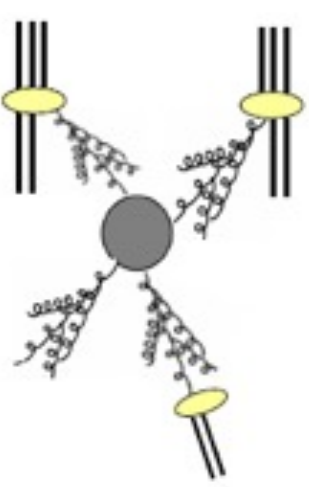

$$z \equiv \frac{Q^2}{\hat{s}} = 1 \quad \propto \alpha_s^k \frac{\ln^{2k-1}(1-z)}{1-z}$$

"imbalance" of real and virtual contributions: **IR cancellation leaves large log's**

# all order structure of partonic cross sections

let's consider pp scattering:

logarithms related to partonic threshold  $\hat{x}_T = \frac{2p_T}{\sqrt{s}} \rightarrow 1$



general structure of partonic cross sections at the  $k^{\text{th}}$  order:

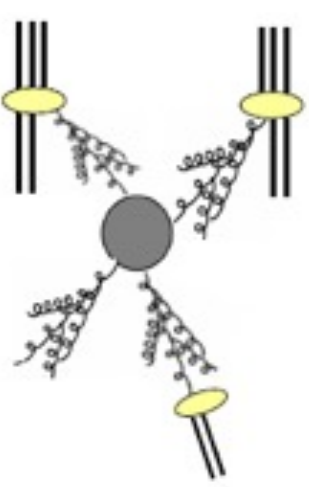
$$p_T^3 \frac{d\hat{\sigma}_{ab}}{dp_T} = p_T^3 \frac{d\hat{\sigma}_{ab}^{\text{Born}}}{dp_T} \left[ \underbrace{1 + \mathcal{A}_1 \alpha_s \ln^2(1 - \hat{x}_T^2)}_{\text{NLO}} + \mathcal{B}_1 \alpha_s \ln(1 - \hat{x}_T^2) \right] + \dots + \mathcal{A}_k \alpha_s^k \ln^{2k}(1 - \hat{x}_T^2) + \dots + \dots$$

“threshold logarithms”

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"threshold logarithms"

where relevant? ... convolution with steeply falling parton luminosity  $\mathcal{L}_{ab}$ :

$$d\sigma \propto \sum_{a,b} \int_{\tau}^1 \frac{dz}{z} \mathcal{L}_{ab}\left(\frac{\tau}{z}\right) d\hat{\sigma}_{ab}(z)$$

large at small  $\tau/z$  in particular as  $\tau \rightarrow 1$

→ important for fixed target phenomenology: threshold region more relevant (large  $\tau$ )

# resummations – how are they done

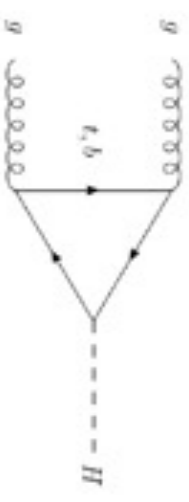
$$\alpha_s^k \ln^{2k} (1 - \hat{x}_T^2)$$

may spoil perturbative series -  
unless taken into account to all orders

**resummation** of such terms has reached a high level of sophistication

Sterman; Catani, Trentadue; Laenen, Oderda, Sterman;  
Catani et al.; Sterman, Vogelsang; Kidonakis, Owens; ...

- worked out for most processes of interest at least to NLL
- **well defined class of higher-order corrections**
- often of much phenomenological relevance  
even for high mass particle production at the LHC



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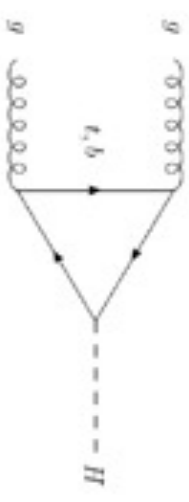
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resummation (= **exponentiation**) occurs when “right” moments are taken:

Mellin moments for  
threshold logs  $\alpha_s^k \ln^{2k}(1 - \hat{x}_T^2) \rightarrow \alpha_s^k \ln^{2k}(N)$

- fixed order calculations needed to determine “coefficients”
- the more orders are known, the more subleading logs can be resummed



# **resummations – terminology**

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**Fixed order calculation**

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**L0**



# resummations – terminology

Fixed order calculation

**LO**

**NLO**

$\alpha_s L^2$

$\alpha_s L$

$\alpha_s$

+ ...

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Fixed order calculation

**LO**

**NLO**

**NNLO**

$$\alpha_s L^2$$

$$\alpha_s L$$

$$\alpha_s$$

+ ...

$$\alpha_s^2 L^4$$

$$\alpha_s^2 L^3$$

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$$\alpha_s^2 L$$

+ ...



# resummations – terminology

Fixed order calculation

**L0**

**NLO**

**NNLO**

**N<sup>k</sup>LO**

$$\alpha_s^2 L^2 \quad \alpha_s L \quad \alpha_s \quad + \dots$$

$$\alpha_s^2 L^4 \quad \alpha_s^2 L^3 \quad \alpha_s^2 L^2 \quad \alpha_s^2 L \quad + \dots$$

$$\alpha_s^3 L^6 \quad \alpha_s^3 L^5 \quad \alpha_s^3 L^4 \quad \alpha_s^3 L^3 \quad + \dots$$

$$\alpha_s^4 L^8 \quad \alpha_s^4 L^7 \quad \alpha_s^4 L^6 \quad \alpha_s^4 L^5 \quad + \dots$$

$$\vdots \quad \vdots \quad \vdots \quad \vdots$$

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Resummation



# resummations – terminology

Fixed order calculation

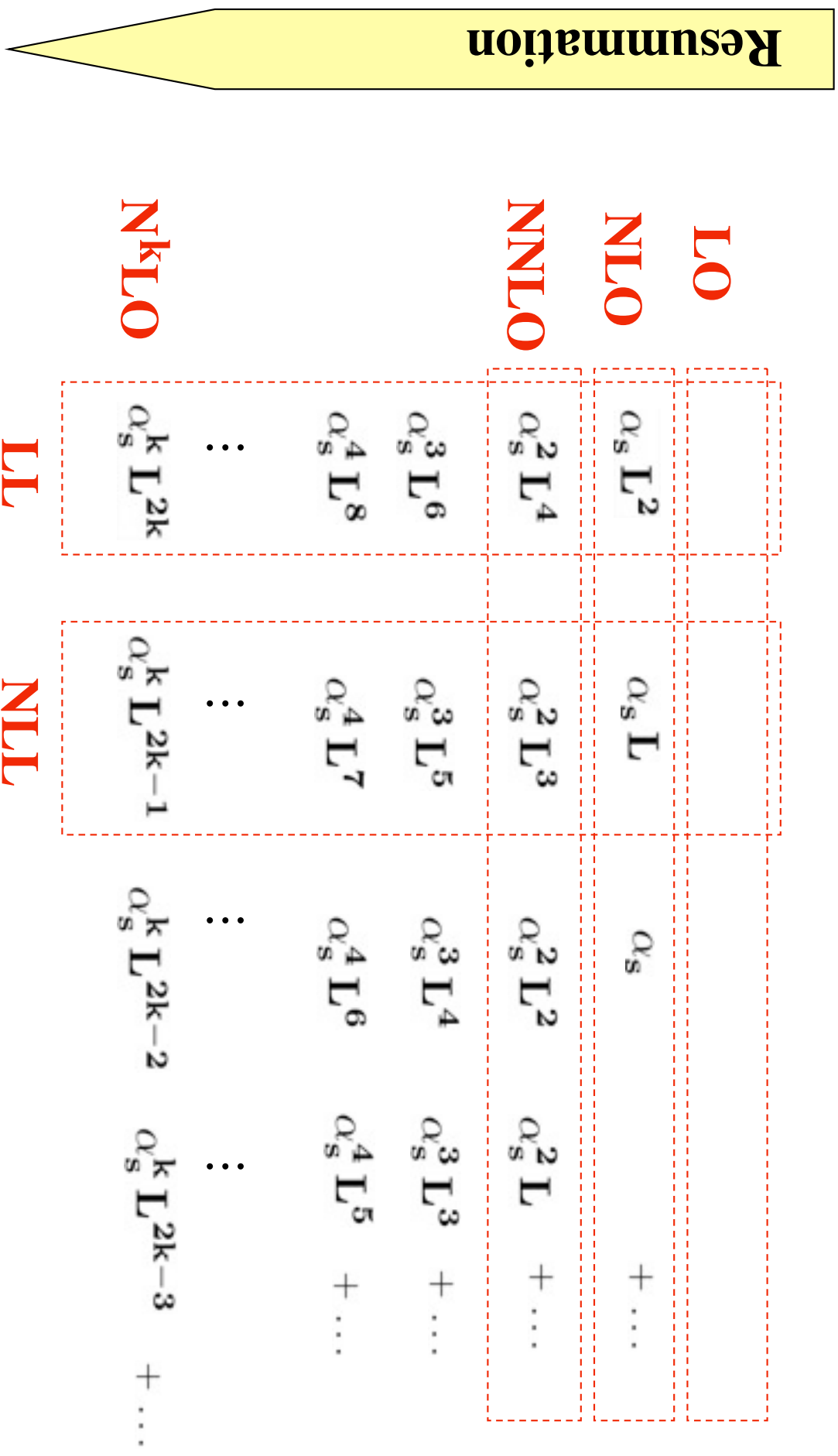
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**LL**

Resummation

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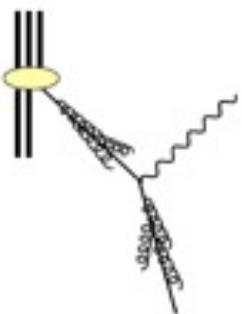
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	<b>LL</b>	<b>NLL</b>	<b>NNLL</b>		

# some leading log exponents

(assuming fixed  $\alpha_s$  for simplicity)

color factors for soft gluon radiation matter:

DIS



$$\exp \left[ \frac{C_F \alpha_s}{\pi} \ln^2(N) - \frac{C_F \alpha_s}{\pi} \frac{1}{2} \ln^2(N) \right]$$

unobserved parton "  
Sudakov "suppression"

moderate enhancement, unless  $x_{Bj}$  large

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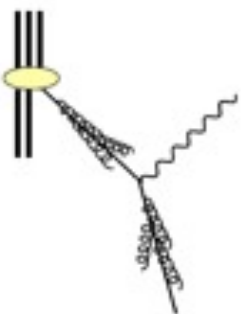
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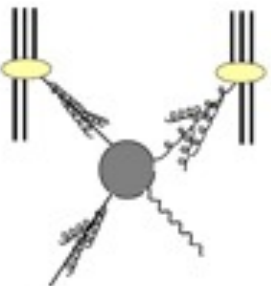
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prompt

photons



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$$qg \rightarrow \gamma q \quad \exp \left[ \left( C_F + C_A - \frac{1}{2} C_F \right) \frac{\alpha_s}{\pi} \ln^2(N) \right]$$


exponents positive  $\rightarrow$  enhancement

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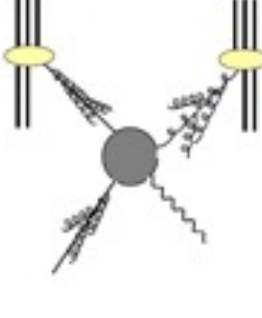


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prompt photons



$$\begin{aligned} q\bar{q} &\rightarrow \gamma g & \exp \left[ \left( C_F + C_F - \frac{1}{2} C_A \right) \frac{\alpha_s}{\pi} \ln^2(N) \right] \\ qg &\rightarrow \gamma q & \exp \left[ \left( C_F + C_A - \frac{1}{2} C_F \right) \frac{\alpha_s}{\pi} \ln^2(N) \right] \end{aligned}$$

exponents positive  $\rightarrow$  enhancement

inclusive hadrons



e.g.  $gg \rightarrow gg$

$$\exp \left[ \left( C_A + C_A + C_A - \frac{1}{2} C_A \right) \frac{\alpha_s}{\pi} \ln^2(N) \right]$$

observed partons      unobserved

expect much larger enhancement

# resummations: window to non-perturbative regime

important technical issue:

resummations are sensitive to strong coupling regime

→ need some “minimal prescription” to avoid Landau pole (where  $\alpha_s \rightarrow \infty$ )  
*Catani, Mangano, Nason, Trentadue:*

define resummed result such that series is asymptotic  
w/o factorial growth associated with power corrections  
[achieved by particular choice of Mellin contour]

→ power corrections may be added afterwards if pheno. needed  
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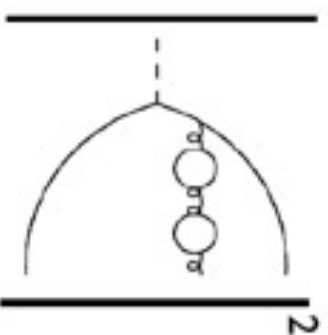
**window to the non-perturbative regime so far little explored**



# “convergence” of an asymptotic series

see, “Renormalons” review by [M. Beneke, hep-ph/9807443](#)

suppose we keep calculating  
higher and higher orders



$$\rightarrow \alpha_s^{n+1} \beta_0^n n!$$

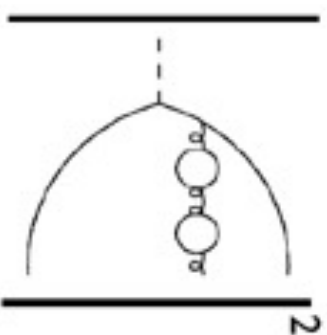
**factorial  
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→ **big trouble:** the perturbative series is not convergent but only **asymptotic**

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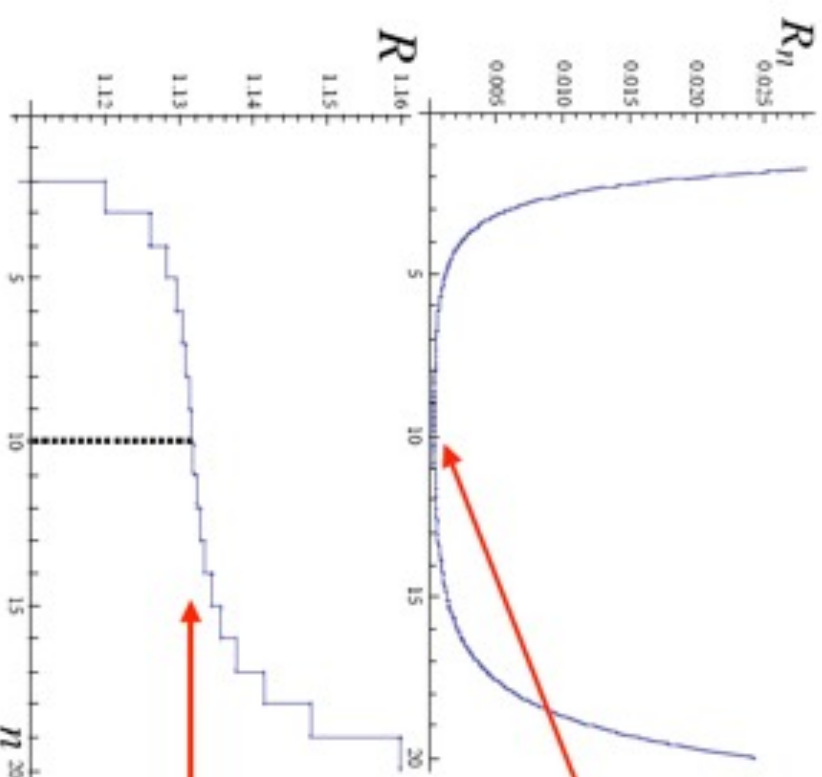
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illustration:

try resumming

$$R = \sum_{n=0}^{\infty} \alpha_s^n n!$$

[with  $\alpha_s = 0.1$ ]



minimal term

$$R_{\min} = 1/\alpha_s$$

asymptotic value of the sum:

$$R_{\text{asympt}} = \sum_{n=0}^{n_{\min}} \alpha_s^n n!$$

# pQCD – non-perturbative bridge

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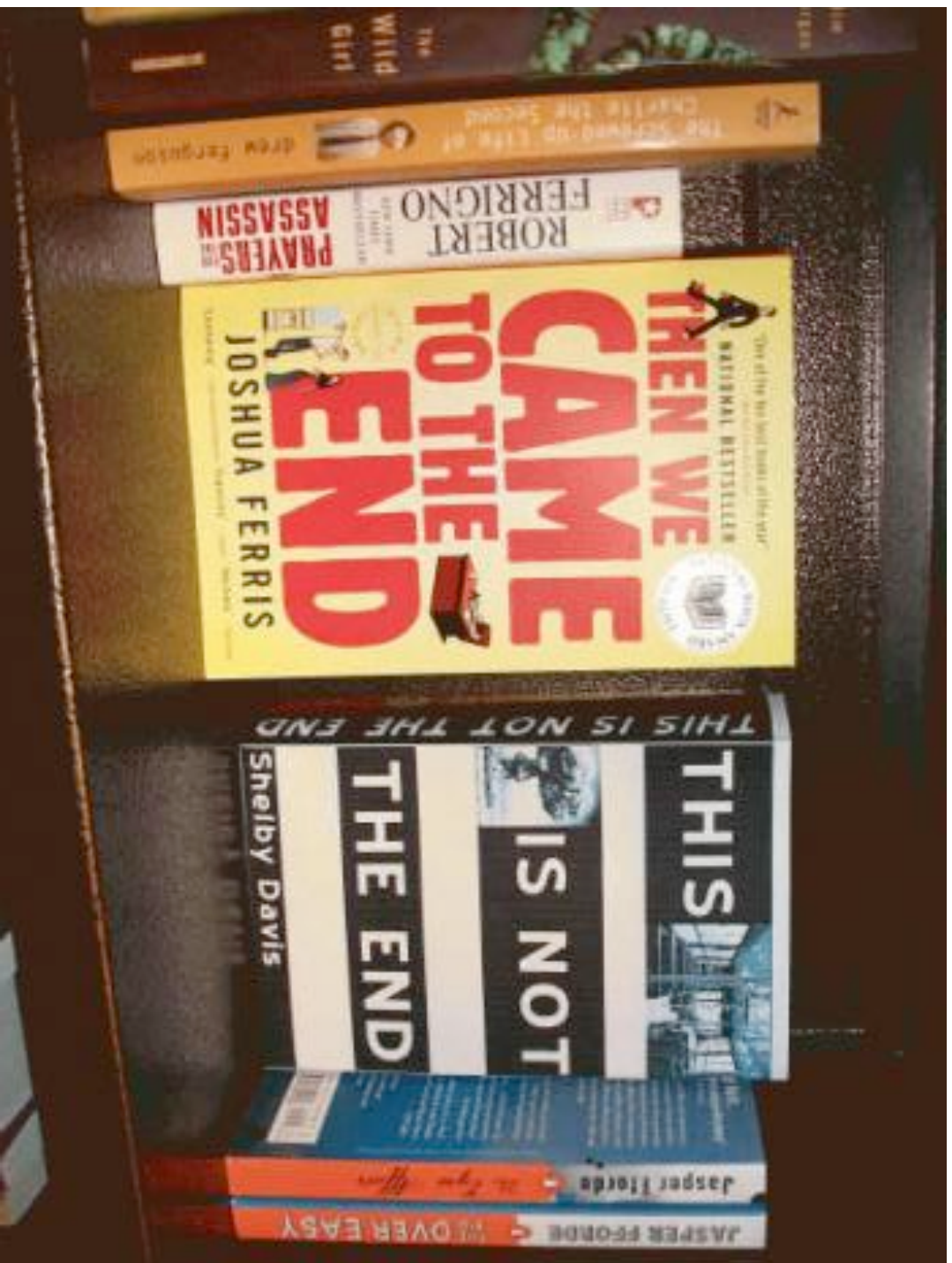
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$$R = R^{pQCD} + R^{NP}$$

- QCD: NP corrections are power suppressed:

$$R^{NP} = \exp\left(-p \ln \frac{Q^2}{\Lambda^2}\right) = \left(\frac{\Lambda^2}{Q^2}\right)^p$$

the value of  $p$  depends on the process and can sometimes be predicted



# SUMMARY & OUTLOOK

# QCD: the most perfect gauge theory (so far)

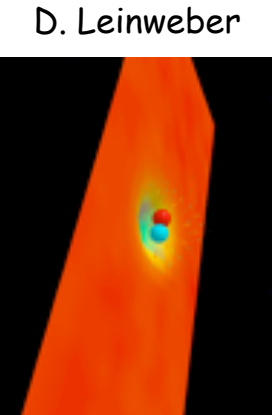
simple  $\mathcal{L}$  but rich & complex phenomenology; few parameters

in principle complete up to the Planck scale  
(issue: CP, axions?)

highly non-trivial ground state responsible  
for all the structure in the visible universe

**emergent phenomena:** confinement,  
chiral symmetry breaking, hadrons

**confinement**



non-perturbative  
structure of hadrons

e.g. through lattice QCD



interplay between  
High Energy and  
Hadron Physics

**asymptotic freedom**

hard scattering  
cross sections  
and  
renormalization group

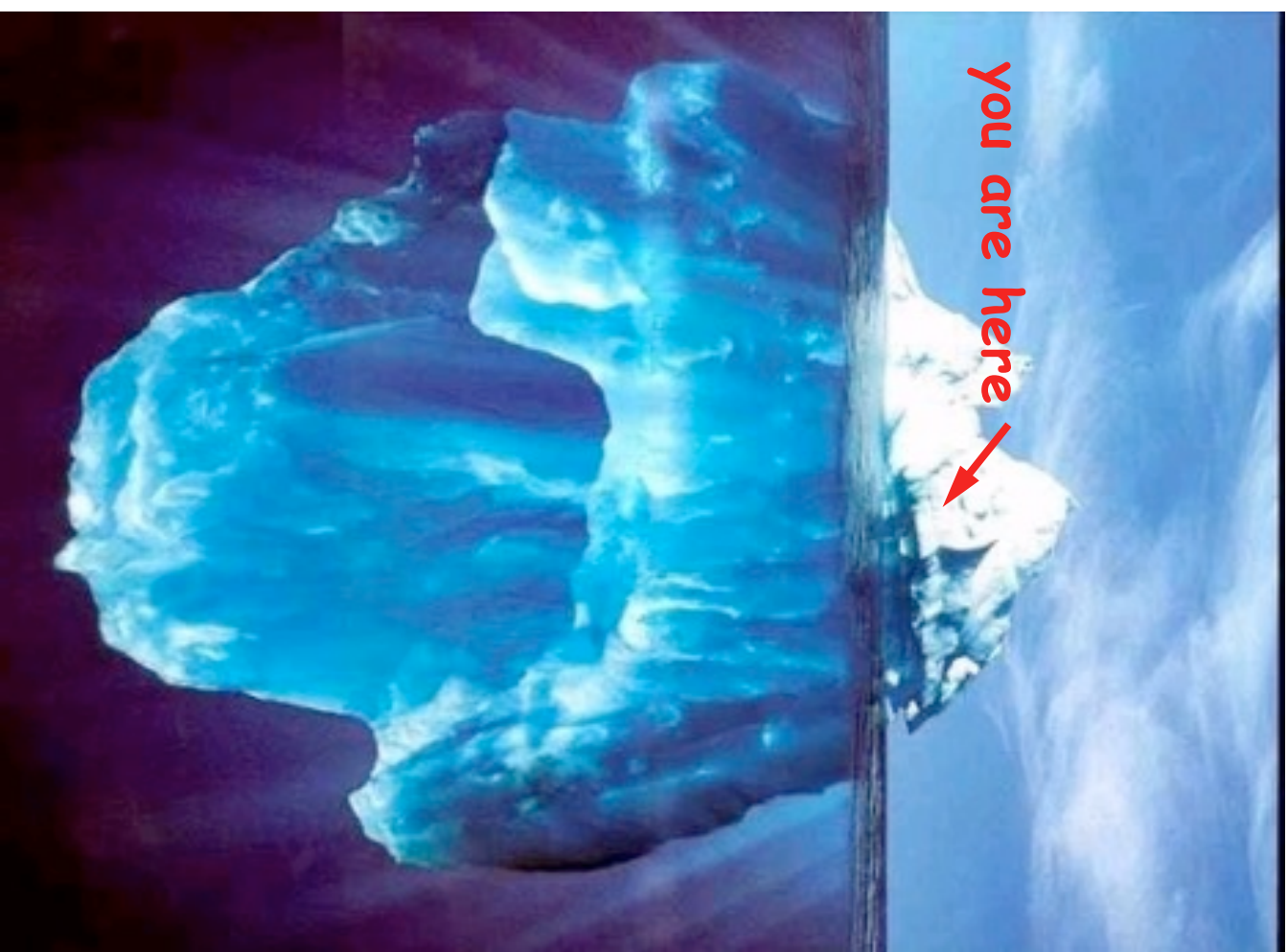


**perturbative methods**





**we have just explored the  
tip of the iceberg**



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tip of the iceberg**

**enjoy the other lectures !**

