



# Perturbative $QCD$

from basic principles to current applications

**Marco Stratmann**

**BROOKHAVEN**  
NATIONAL LABORATORY  
marco@bnl.gov

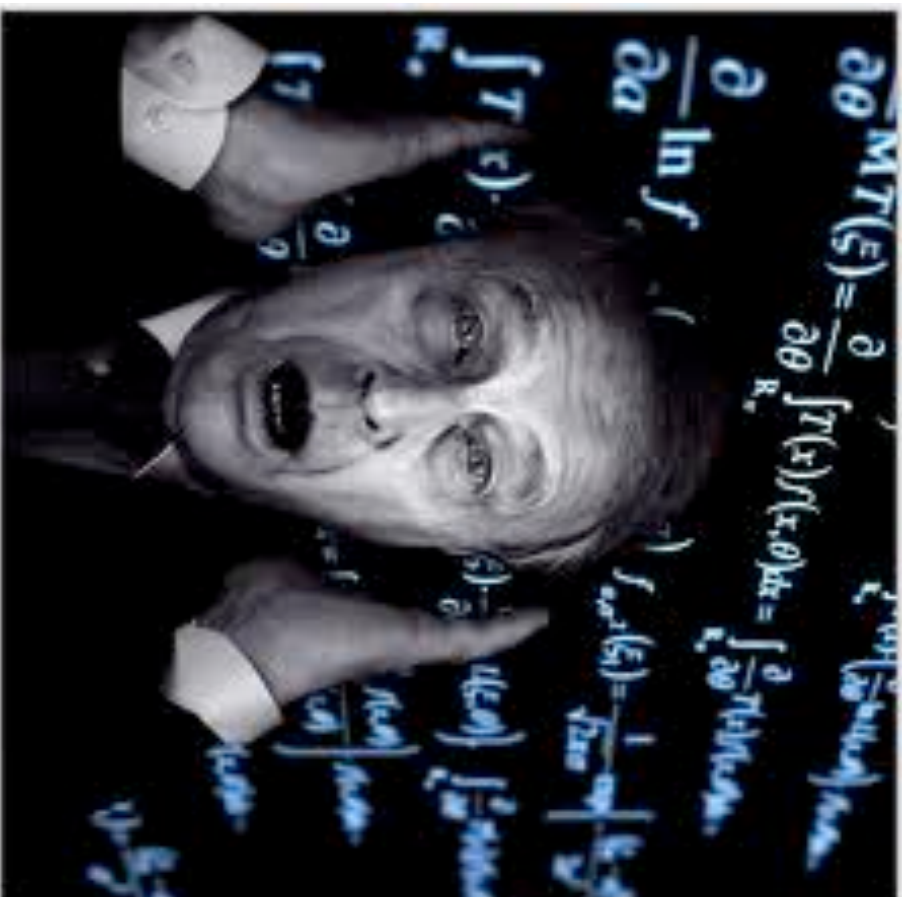


**BROOKHAVEN**  
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July 15/16<sup>th</sup>, 2013

disclaimer:

pQCD is about 40 years old - impossible to review in 3 hrs



# topics & questions to be addressed

**we will mainly concentrate on a few basics  
and their consequences for phenomenology**

- **What are the foundations of QCD?**  
keywords: color;  $SU(3)$  gauge group; local gauge invariance; Feynman rules
- **What are the general features of QCD?**  
keywords: asymptotic freedom; infrared safety; origin of "singularities"
- **How to relate QCD to experiment?**  
keywords: partons; factorization; renormalization group eqs. / evolution
- **How reliable is a theoretical QCD calculation?**  
keywords: scale dependence; NLO; small- $x$ ; all-order resummations
- **What is the status of some non-perturbative inputs**  
keywords: global QCD analysis

# bibliography – a personal selection

## textbooks:

- the “pink book” on QCD and Collider Physics by R.K. Ellis, W.J. Stirling, and B.R. Webber *always a good reference*
- R.D. Field, Applications of pQCD *detailed examples*
- Y.V. Kovchegov, E. Levin, QCD at High Energy *focus on small x physics*
- J. Collins, Foundations of pQCD *focus on formal aspects of evolution*

## lecture notes & write-ups:

- D. Soper, Basics of QCD Perturbation Theory, [hep-ph/9702203](#)
- Collins, Soper, Sterman, Factorization of Hard Processes in QCD, [hep-ph/0409313](#)
- G. Salam, Elements of QCD for Hadron Colliders, [arXiv:1011.5131](#)
- Particle Data Group, Review of Particle Physics, [pdg.lbl.gov](#)

## talks & lectures on the web:

- annual CTEQ summer school, tons of material on [www.cteq.org](#)
- annual CERN/FNAL Hadron Collider Physics School [hcppss.web.cern.ch/hcppss](#)



Photo by Matt Heyssler



# tentative outline of the lectures

## Part 1: **the foundations**

SU(3); color algebra; gauge invariance;  
QCD Lagrangian; Feynman rules



## Part 2: **the QCD toolbox**

asymptotic freedom; infrared safety;  
the QCD final-state; jets; factorization



## Part 3: **inward bound: "femto spectroscopy"**

QCD initial-state; DIS process; partons;  
factorization; renormalization group; scales;  
hadron-hadron collisions





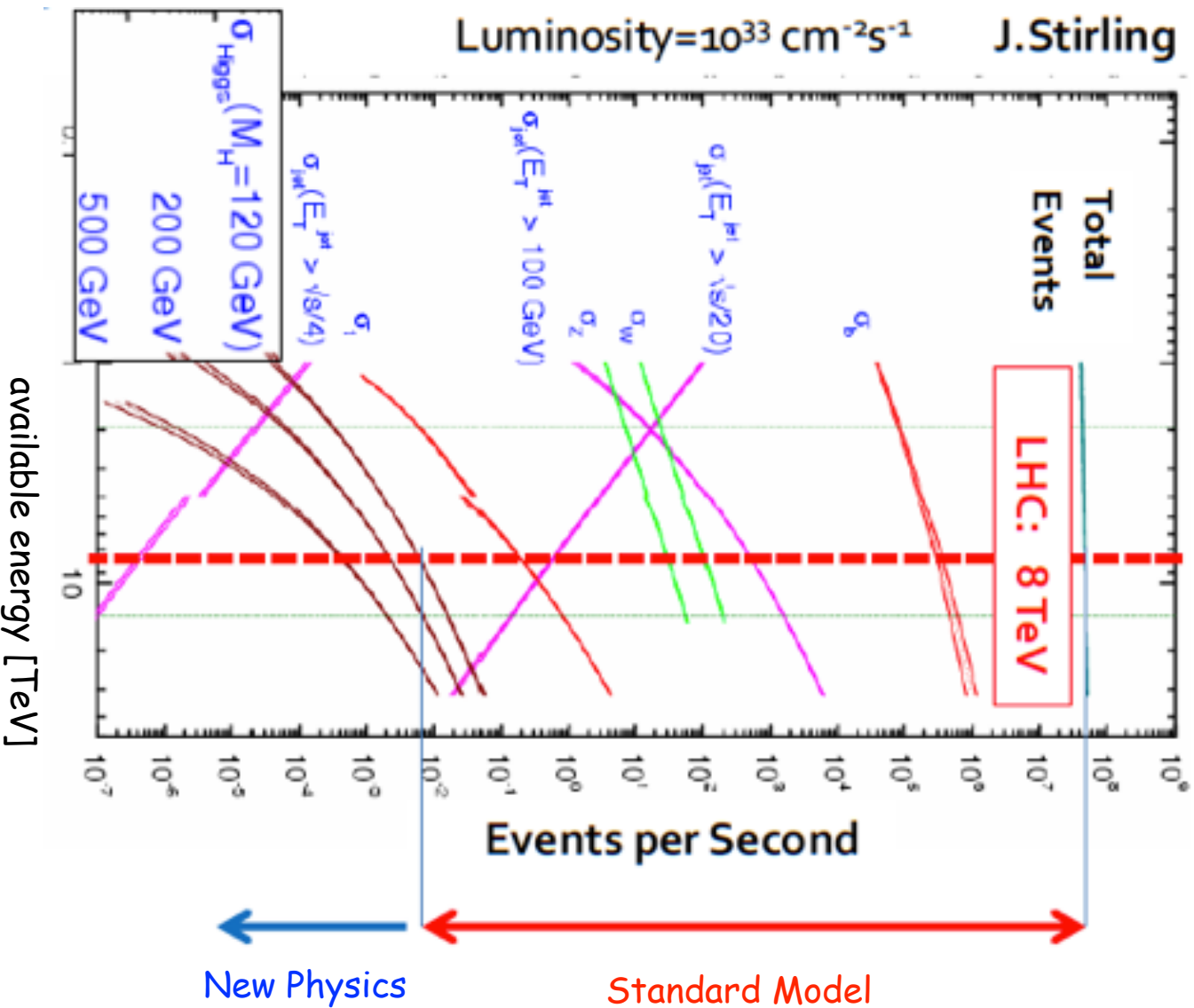
## Part I

the QCD fundamentals

all about color

the concept of gauge invariance

# QCD – why do we still care (or perhaps more than ever)



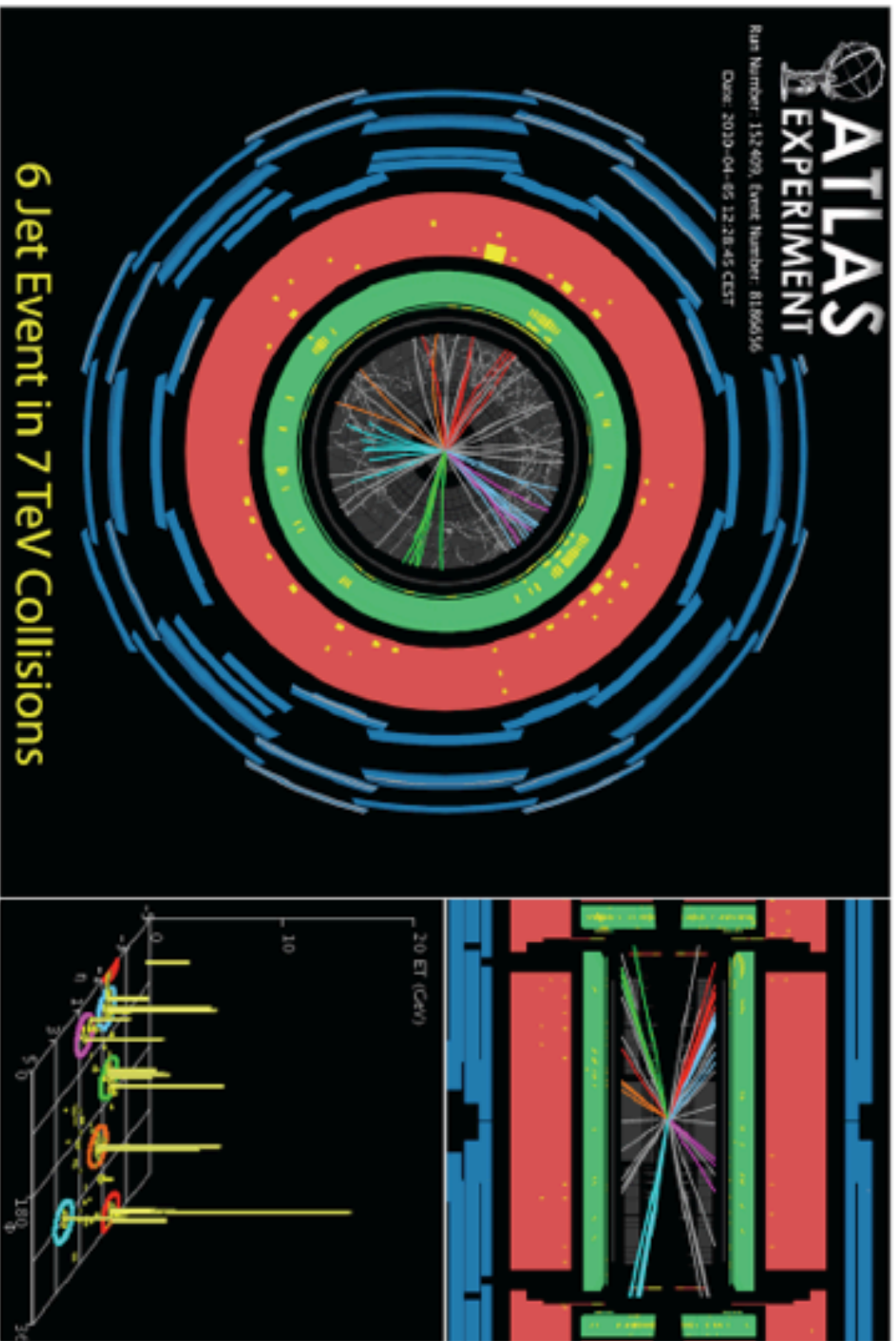
hadron colliders inevitably have to deal with QCD

discovering the Higgs or some New Physics requires a sophisticated **quantitative understanding of QCD**



achieving that can be quite a challenge ...

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} F_{\mu\nu}^A F^{\mu\nu}_A + \sum_{\text{flavors}} \bar{q}_i (i\not{D} - m)_{ij} q_j$$





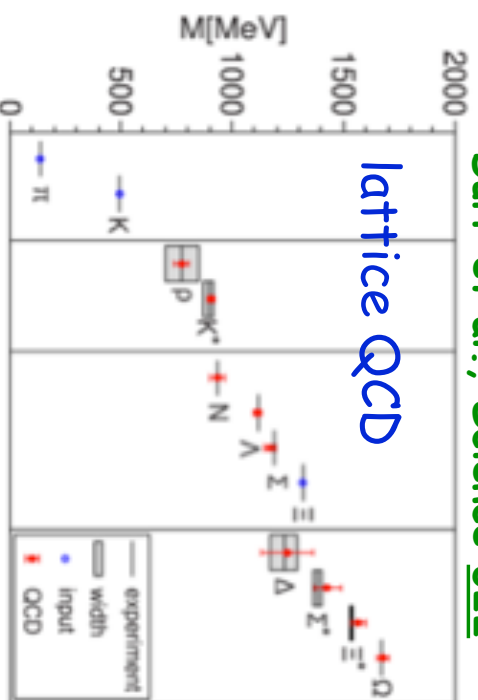
# **QCD – the theory of strong interactions**

**a simple QED-like theory, leading to extremely rich & complex phenomena**

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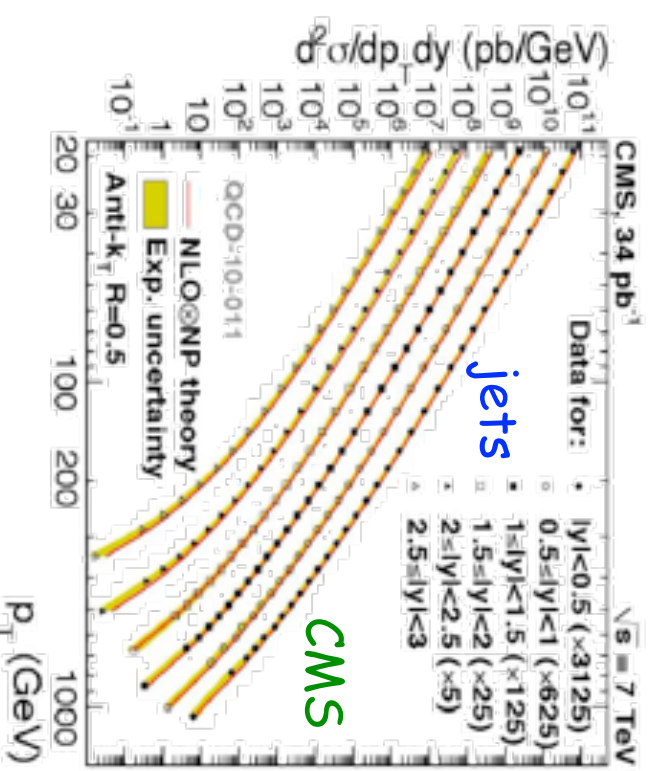
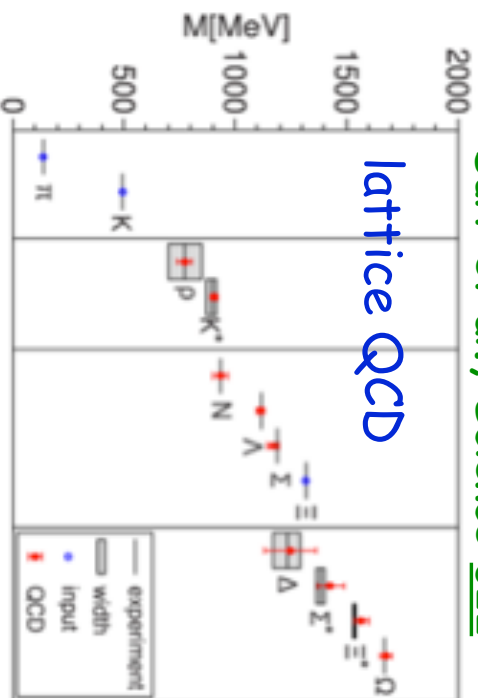
Durr et al., *Science* 322



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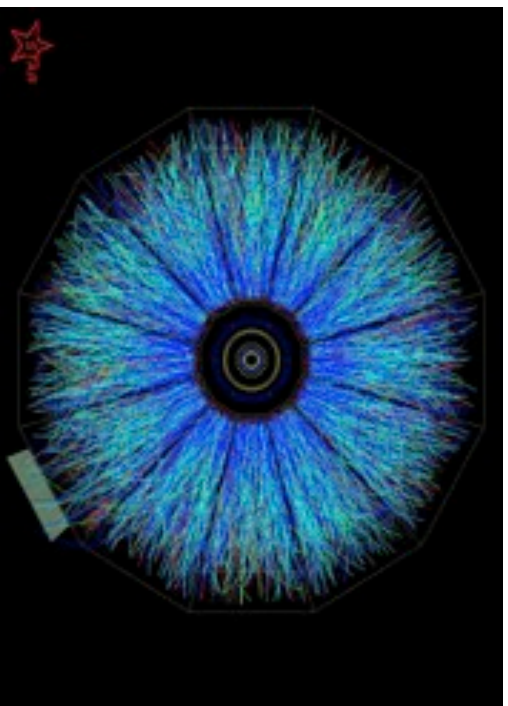
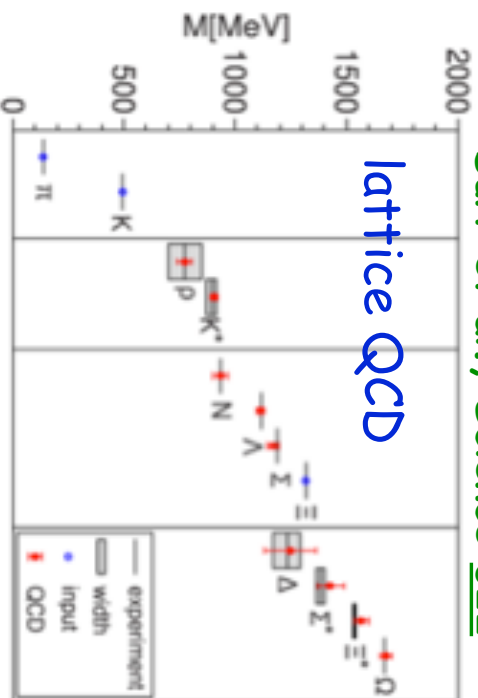
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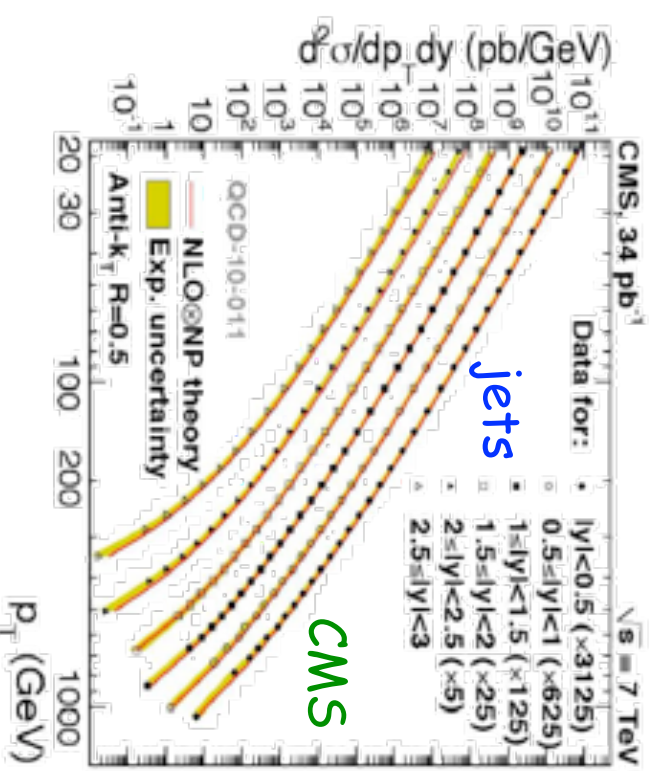
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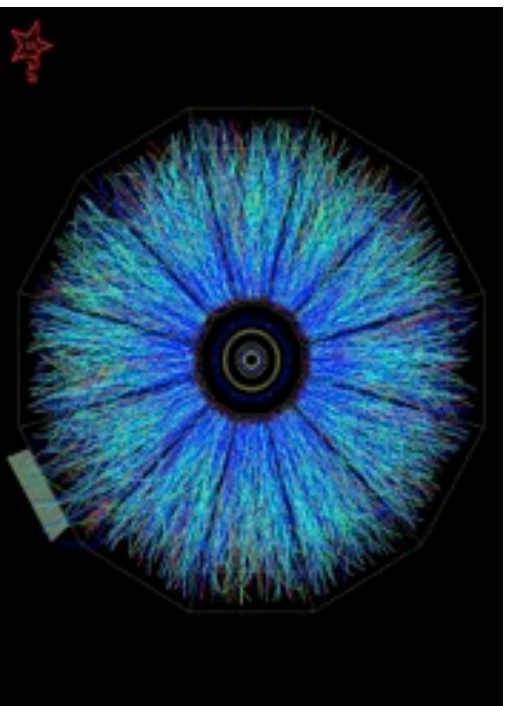
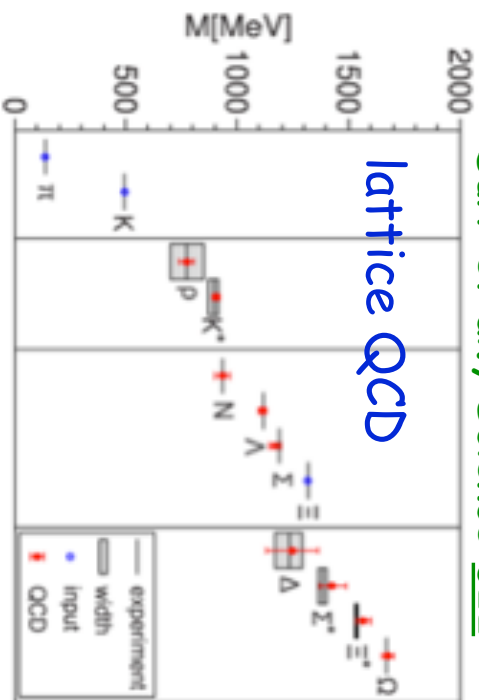
AuAu collision at STAR



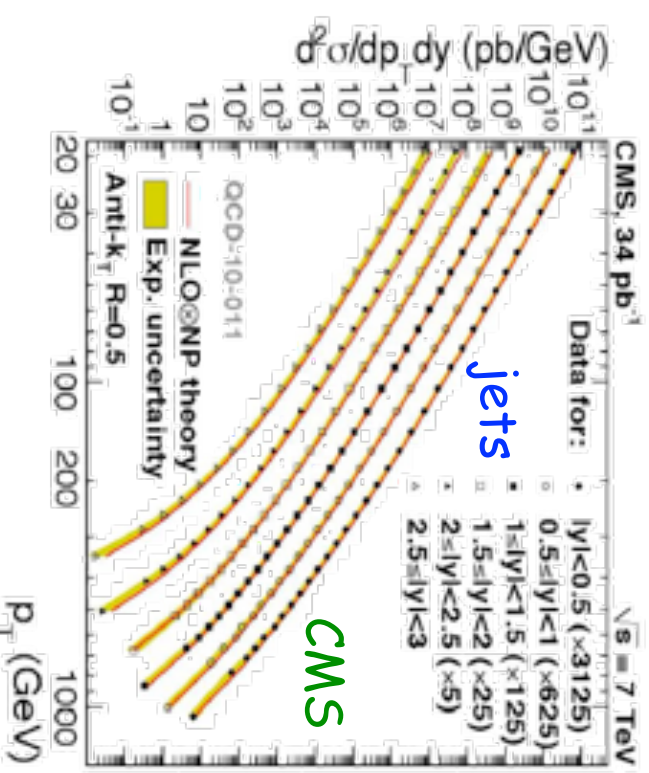
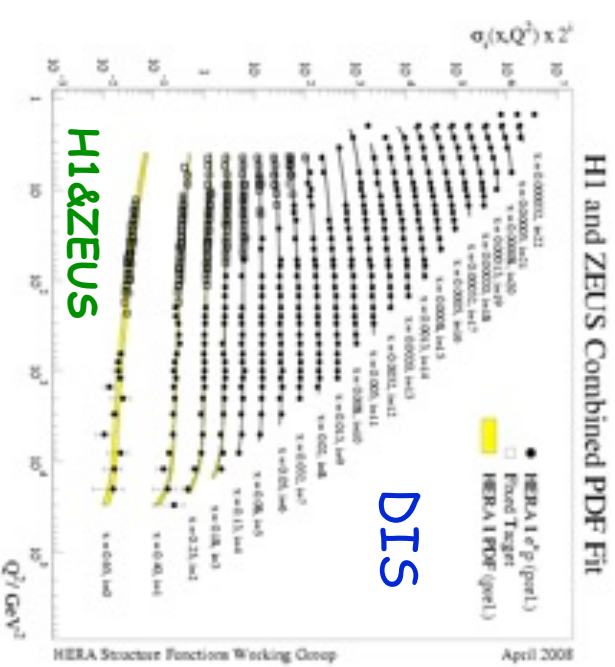
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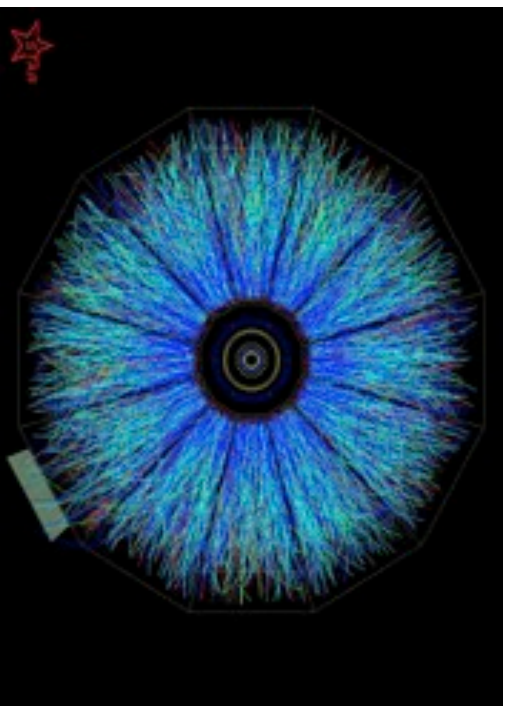
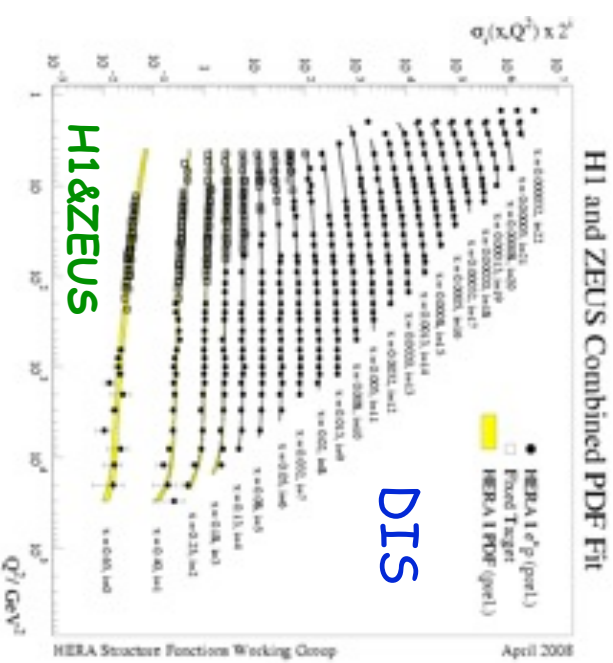
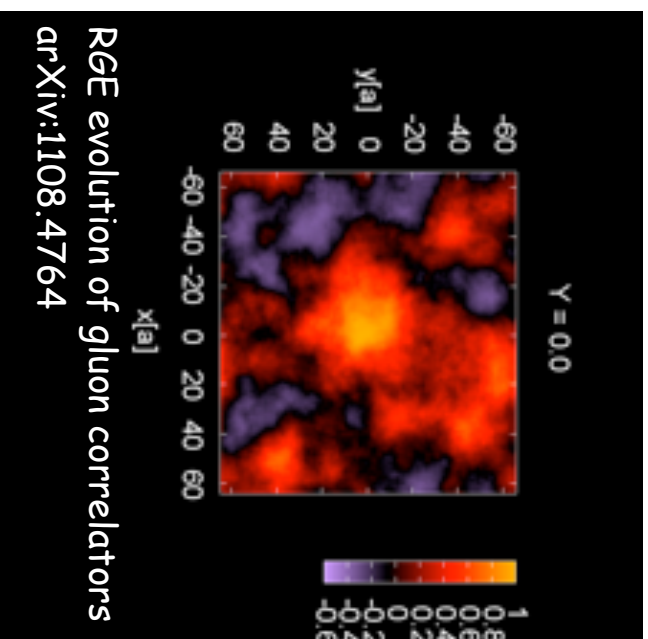
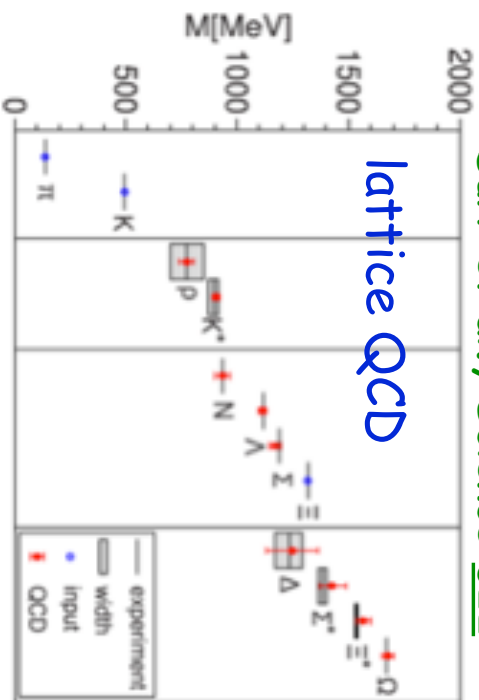
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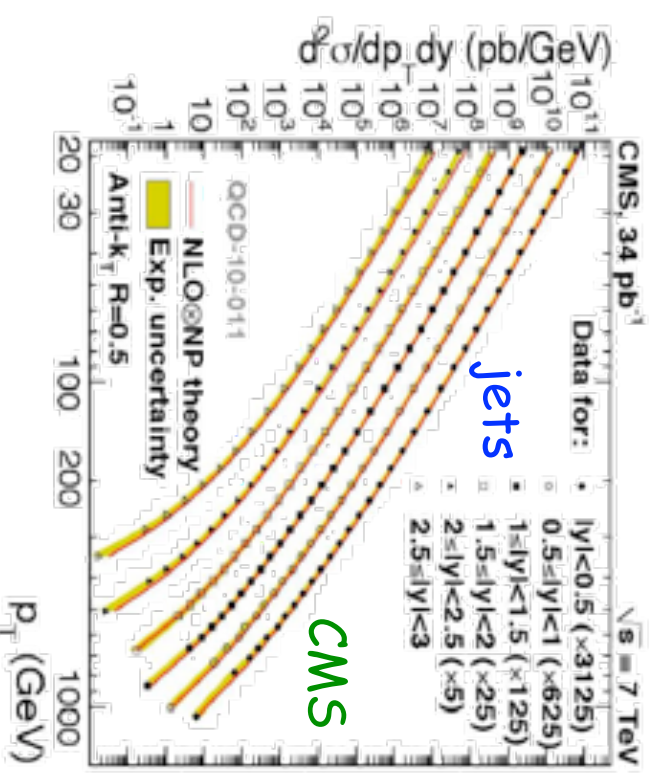
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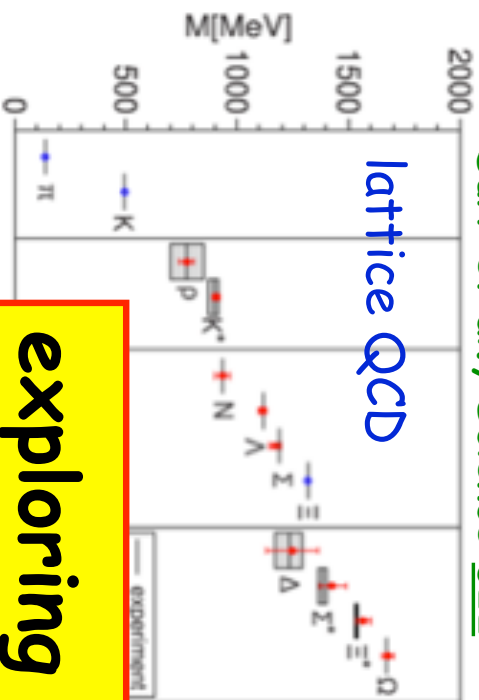
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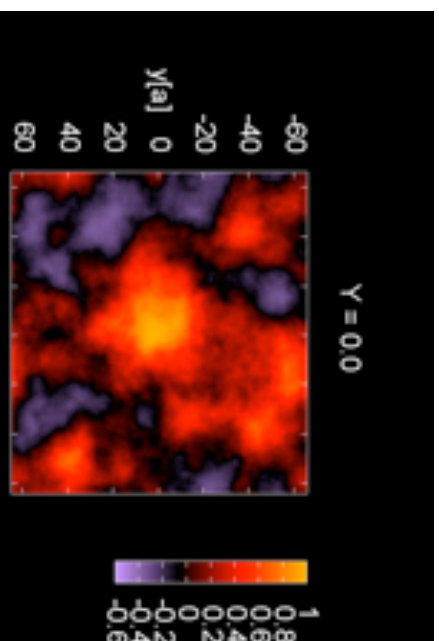
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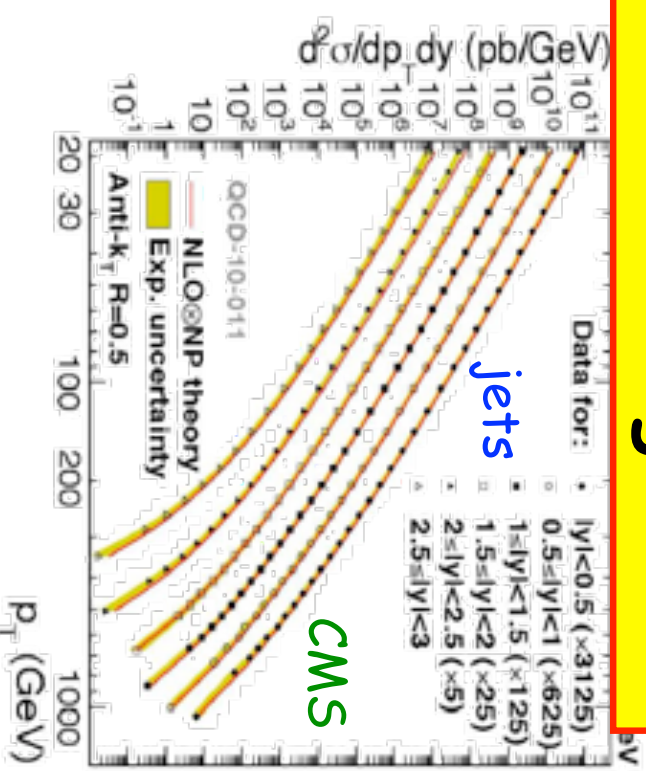
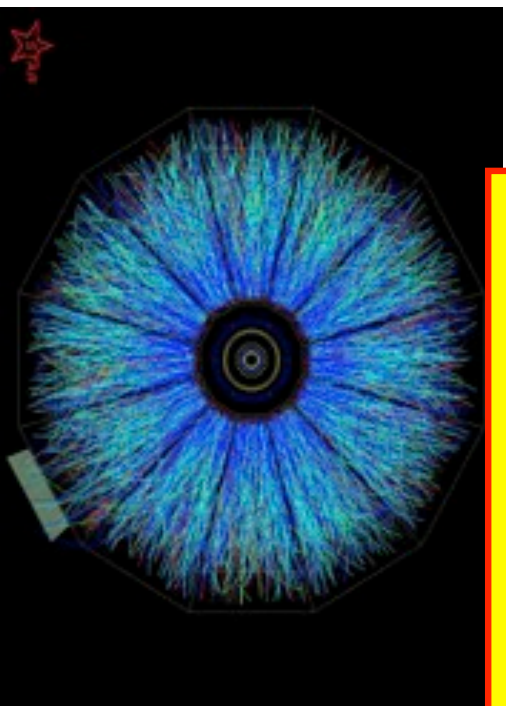


lattice QCD



DIS

exploring all these phenomena in QCD is interesting in its own right

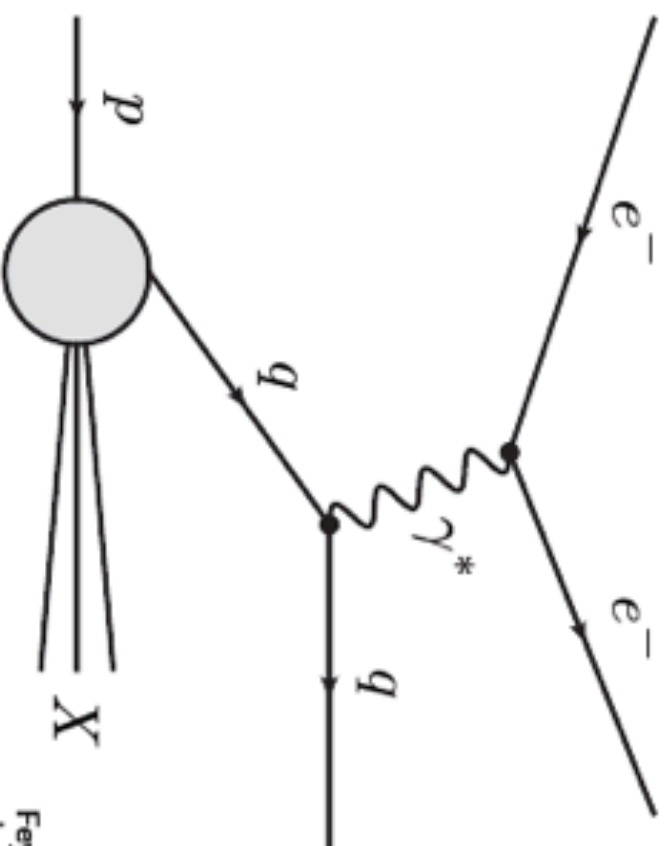
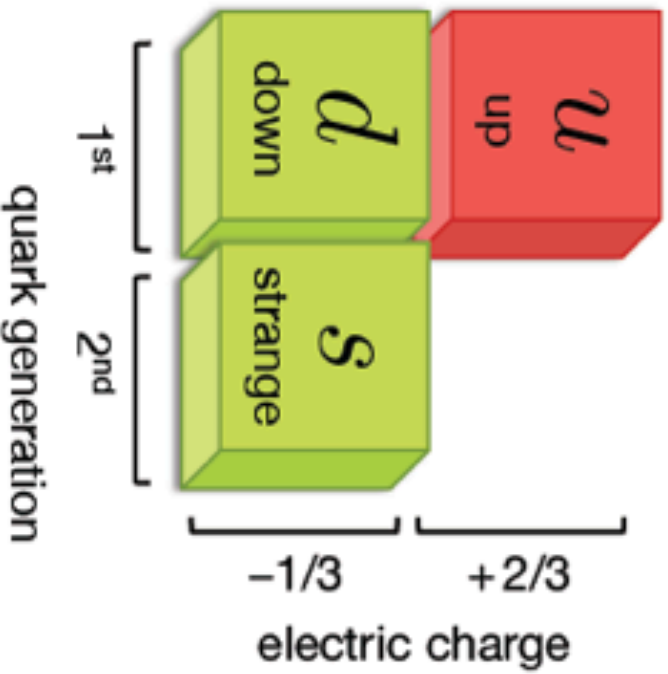


CMS

jets

AuAu collision at STAR

# QCD matter sector: Three Quarks for Muster Mark

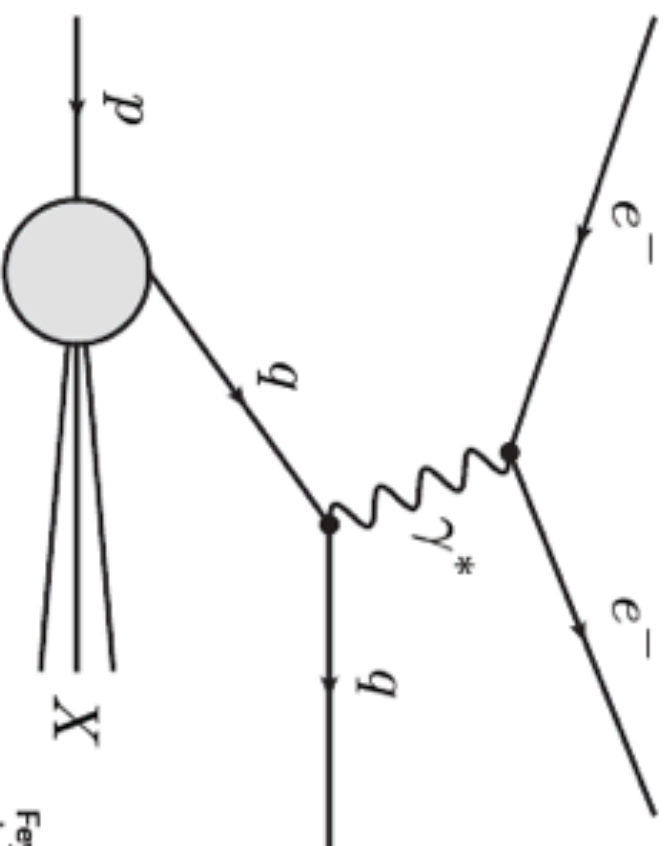
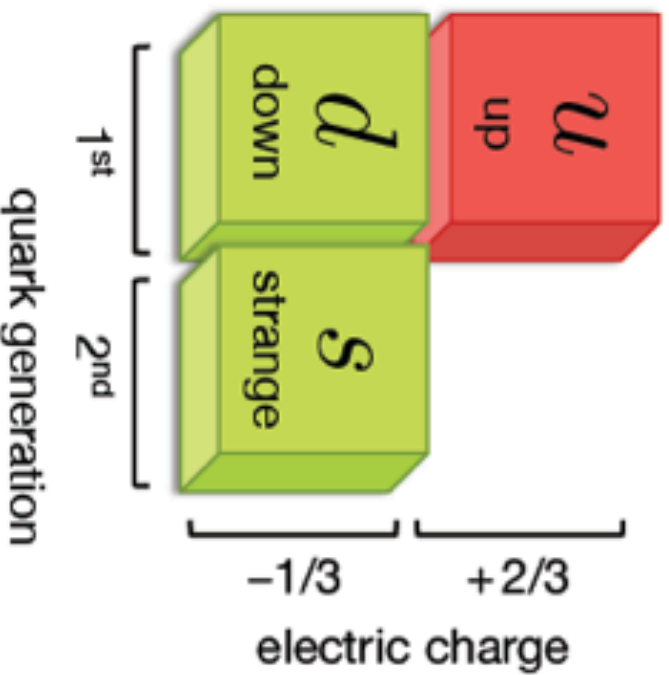


Feynman diagram  
describing DIS of an  
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existence of light quarks validated in deep-inelastic scattering (DIS)  
experiments carried out at SLAC in 1968



# QCD matter sector: Three Quarks for Muster Mark



Feynman diagram describing DIS of an electron on a proton

existence of light quarks validated in deep-inelastic scattering (DIS) experiments carried out at SLAC in 1968

strange quarks necessary component in **quark model** to classify the

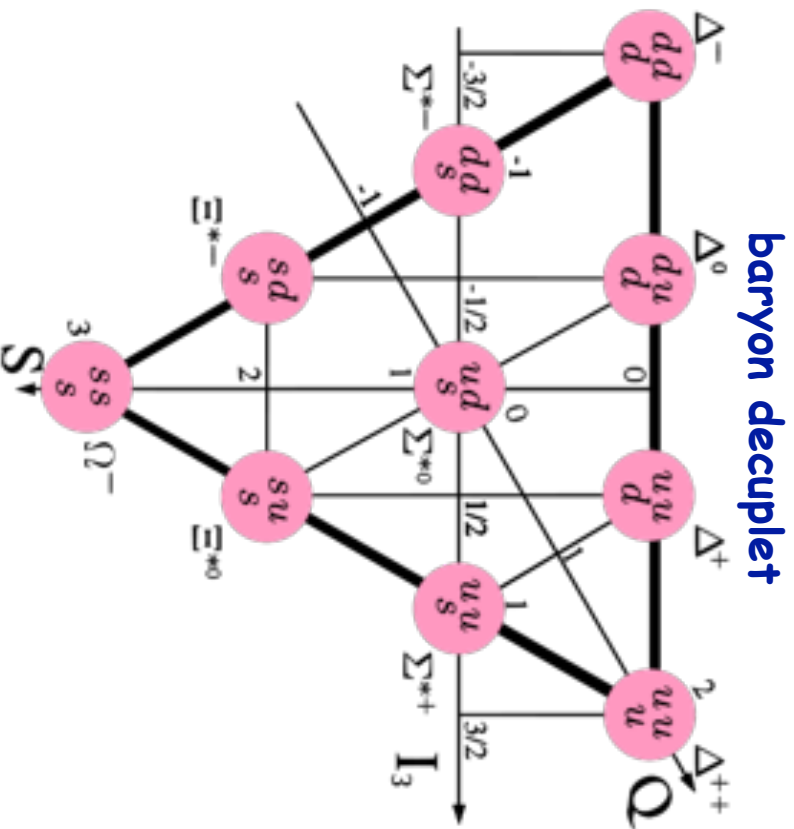
observed slew of mesons/baryons **Gell-Mann, Zweig (1964)**

based on “**Eightfold Way**” (=  $SU(3)_{\text{flavor}}$ ) **Gell-Mann; Ne’eman (1961)**



# quark model: mesons and baryons

categorizes mesons (baryons) in terms of two (three) constituent quarks  
 in  $SU(3)_{\text{flavor}}$  multiplets = octets and decuplets

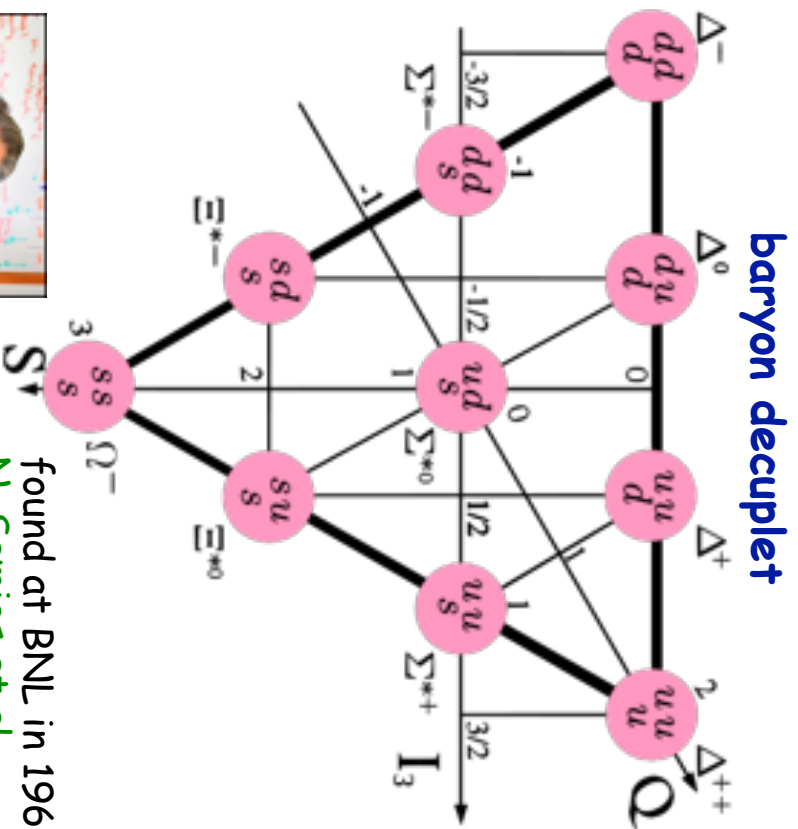


spectrum fully classified by assuming:

- quarks have spin  $\frac{1}{2}$
- quarks have fractional charges  
 (but combine into hadrons with integer charges)

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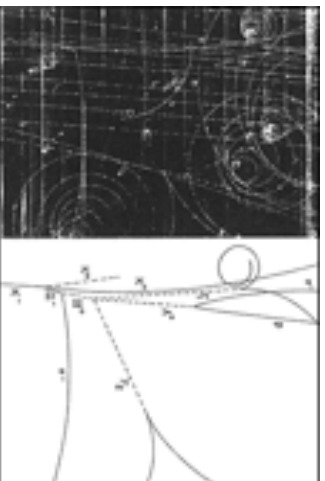
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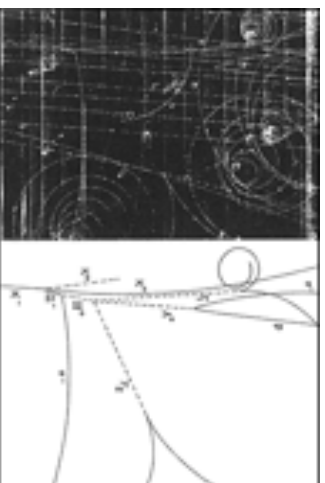
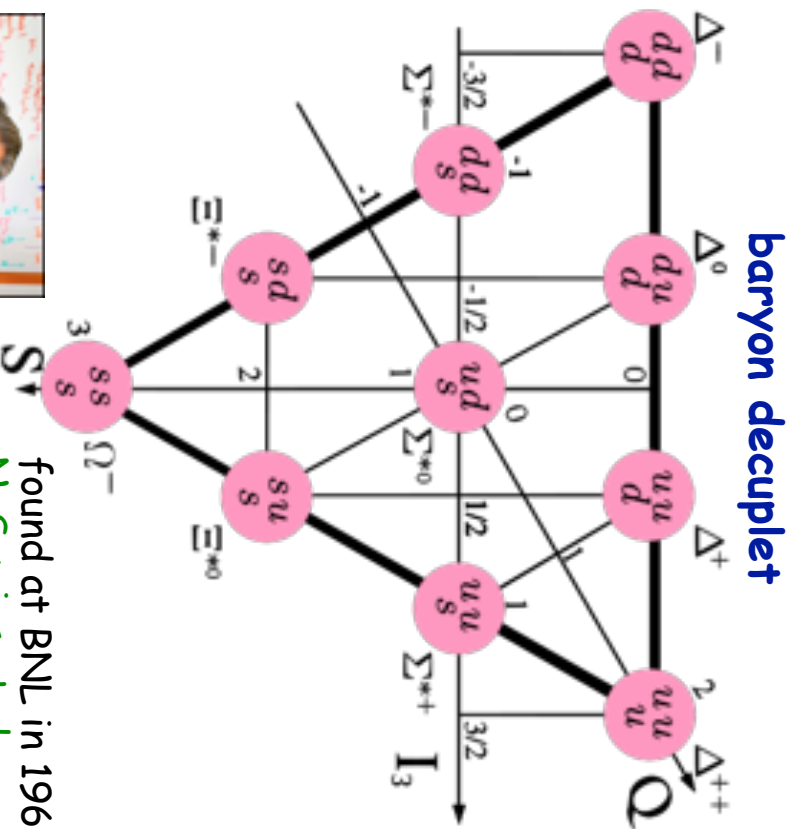
**big success:** prediction of  $\Omega^-$  ( $sss$ )

found at BNL in 1964  
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also, **first evidence of color**

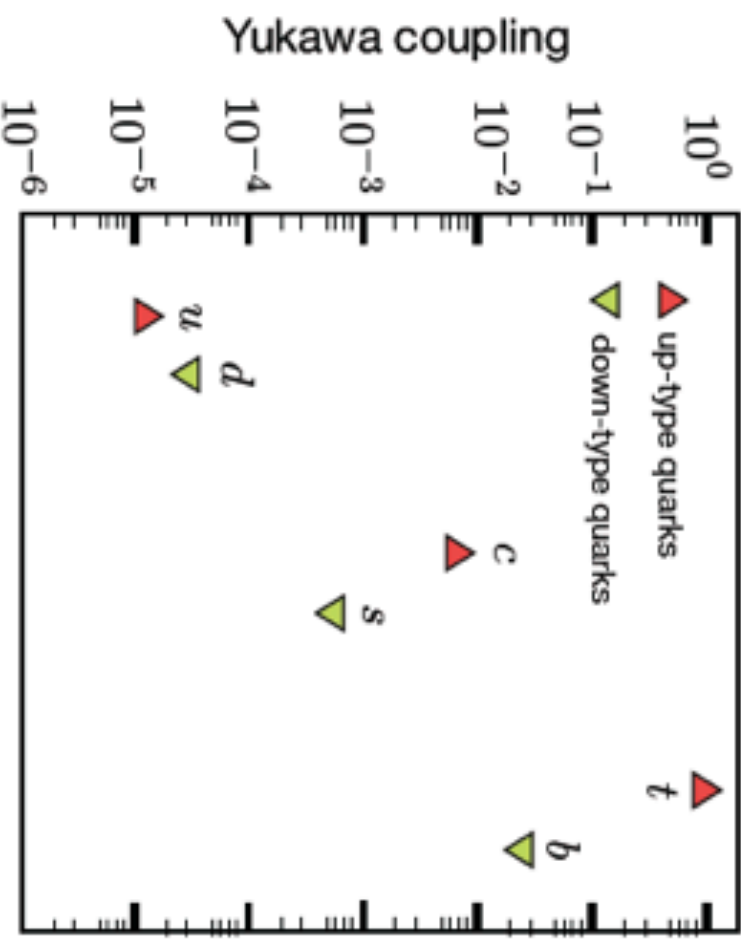
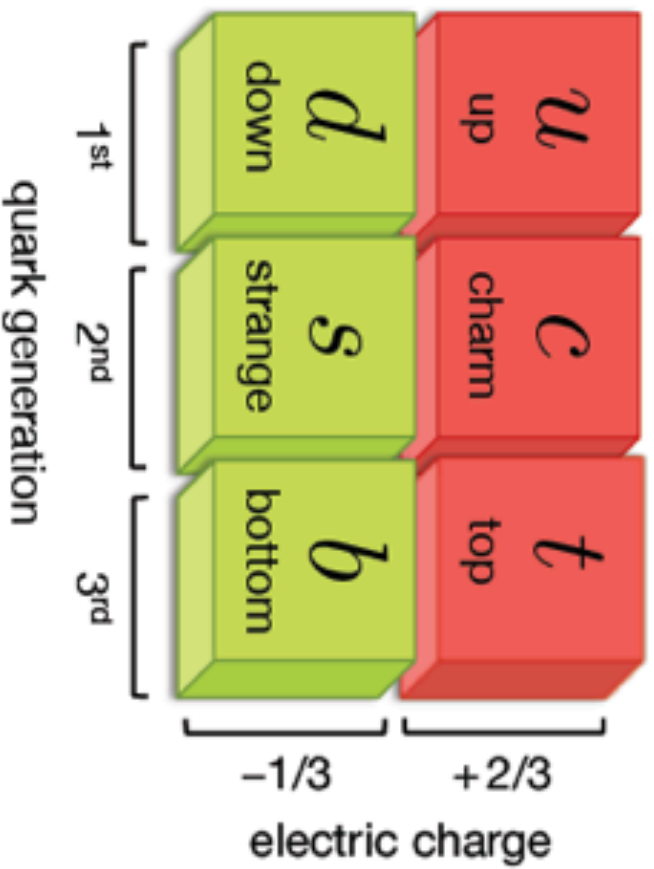
- $\Delta^{++}$  wave function  $|uuu\rangle$  not anti-sym (violates Pauli principle)
- remedy: color quantum number but hadrons remain colorless/color singlets



$$\sim \sum_{ijk} \epsilon_{ijk} |q_i q_j q_k\rangle$$

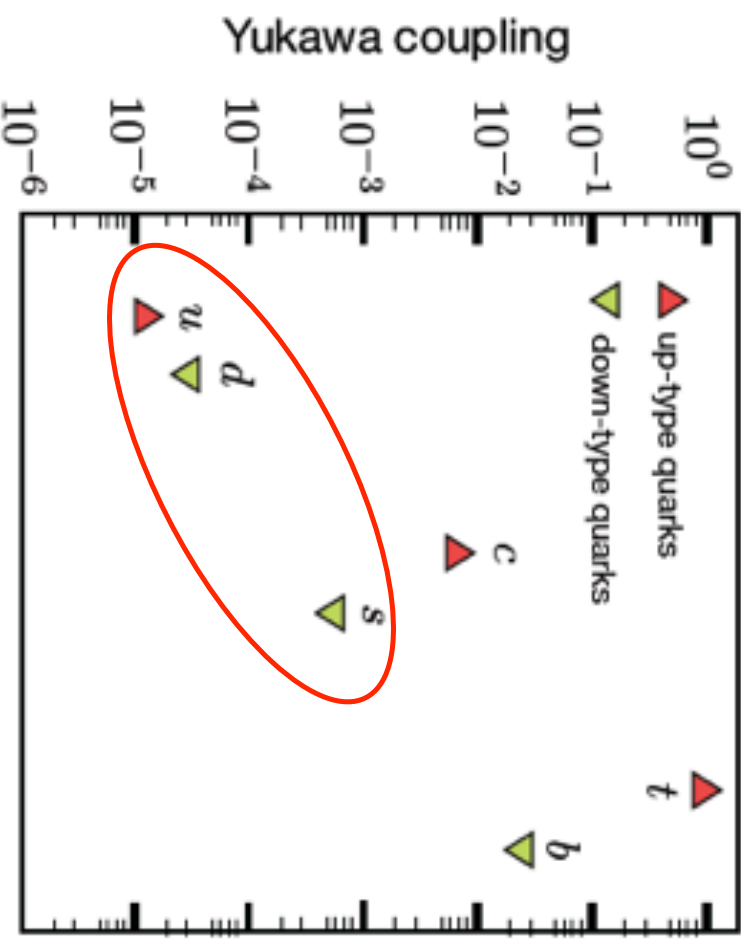
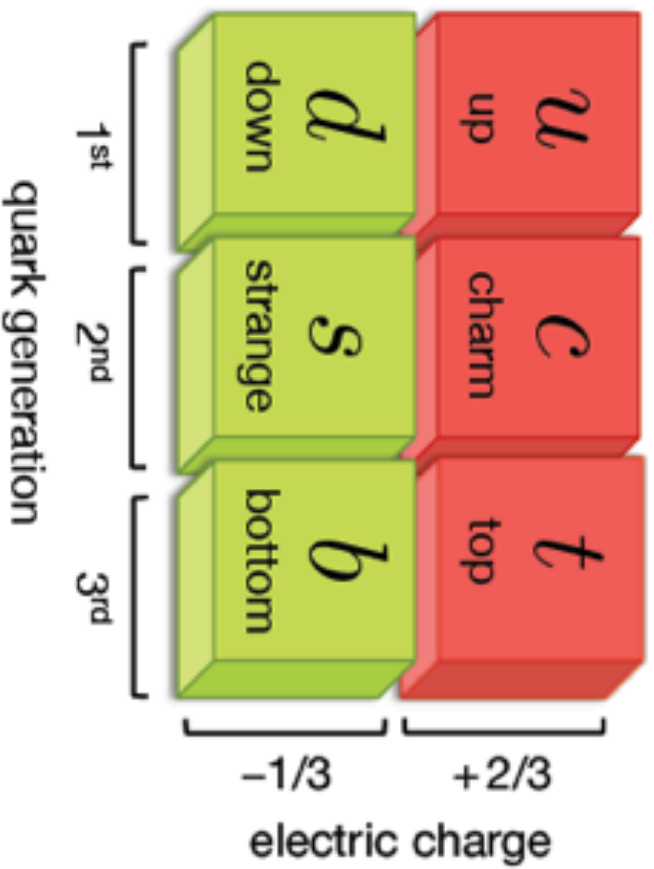
$$\sim \sum_i |\bar{q}_i q_i\rangle$$

# QCD matter sector: 3 generations



- masses of six quarks range from  $O(\text{MeV})$  to about  $175 \text{ GeV}$   
why the masses are split by almost six orders of magnitude remains a big mystery

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- masses of six quarks range from  $O(\text{MeV})$  to about  $175 \text{ GeV}$
- why the masses are split by almost six orders of magnitude remains a big mystery
- masses of  $u, d, s$  quarks are lighter than  $1 \text{ GeV}$  (proton mass)
- in the limit of vanishing  $u, d, s$  masses there is an exact  $SU(3)_{\text{flavor}}$  symmetry

# further evidence for color quantum number

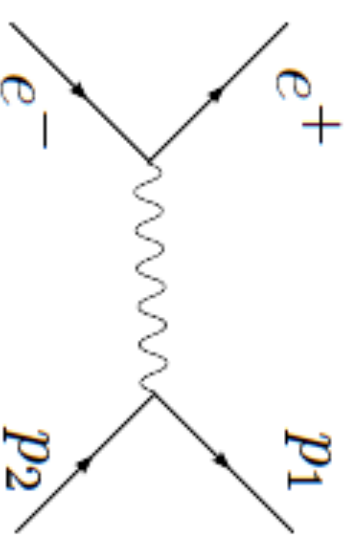
- color can be probed directly in  $e^+e^-$  collisions

idea:

production of fermion pairs (leptons or quarks)

through a virtual photon sensitive to electric

charge and number of degrees of freedom

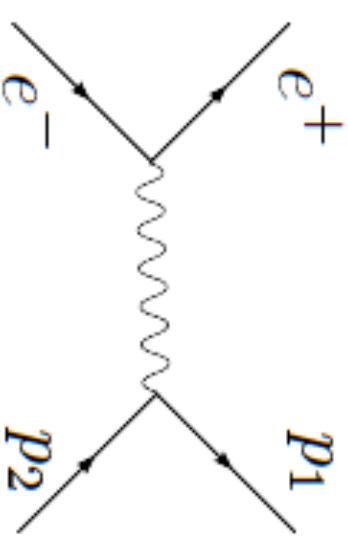


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- hence, investigate quarks through “**R ratio**”

$$R \equiv \frac{e^+e^- \rightarrow \text{hadrons}}{e^+e^- \rightarrow \mu^+\mu^-} \propto N_c \sum_f Q_f^2$$

assumed number  
of colors of quark

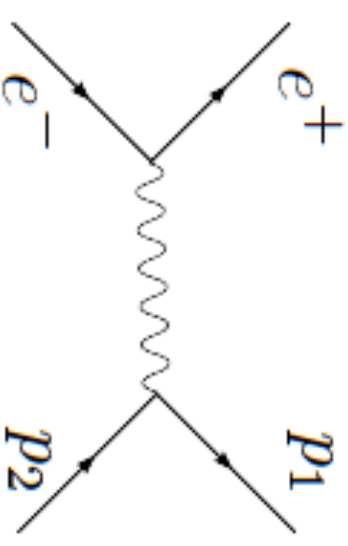


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electric charge of quark [in units of  $e$ ]

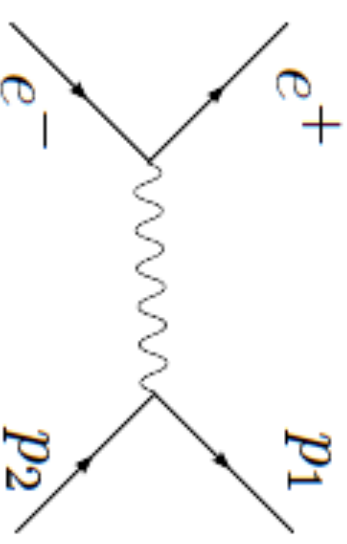
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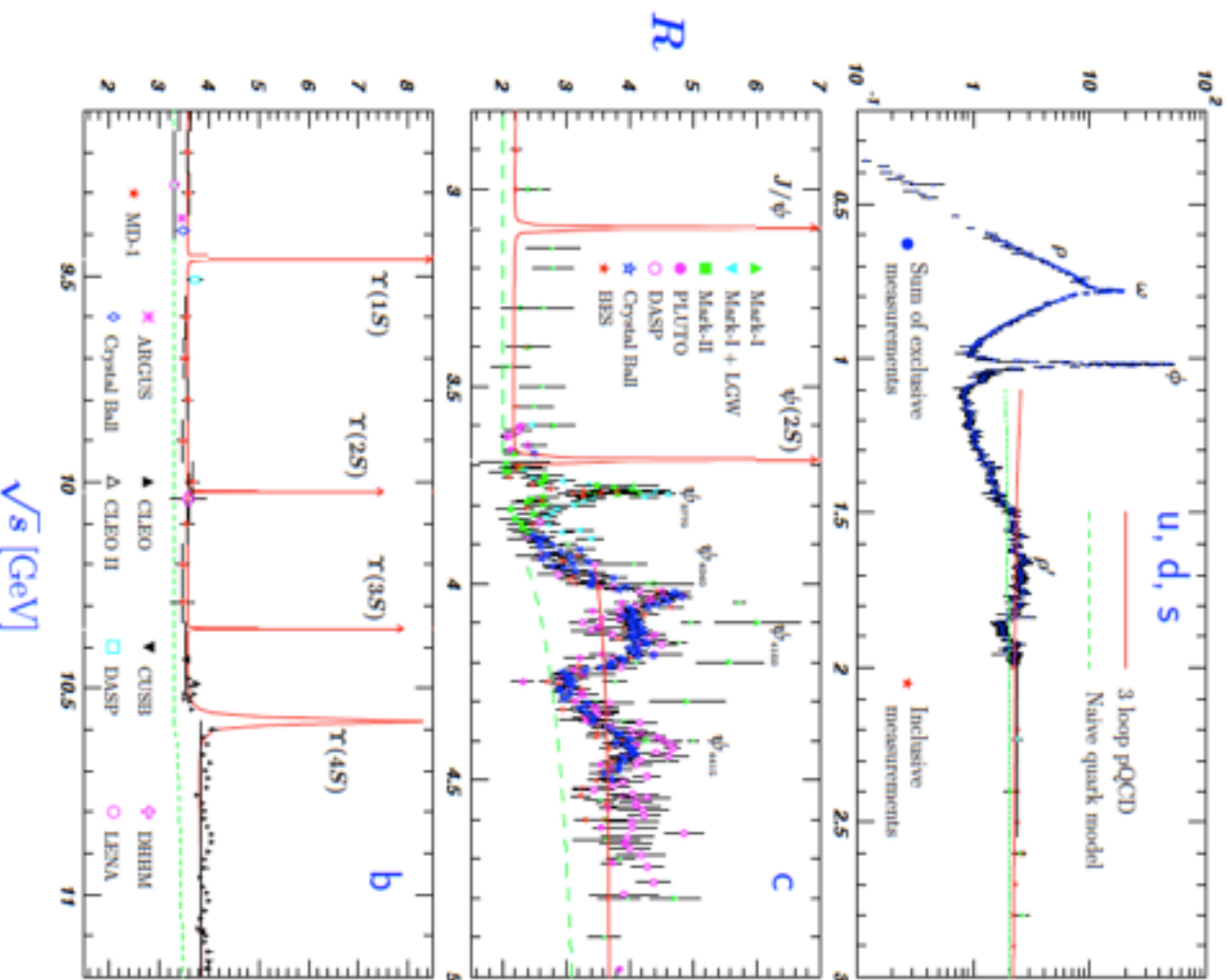
$$R \equiv \frac{e^+e^- \rightarrow \text{hadrons}}{e^+e^- \rightarrow \mu^+\mu^-} \propto N_c \sum_f Q_f^2$$

electric charge of quark [in units of  $e$ ]  
 sum over active quarks  
 assumed number of colors of quark

- in LO described by process  $e^+e^- \rightarrow q\bar{q}$

- each active quark is produced in one out of  $N_c$  colors above kinematic threshold

# experimental results for R ratio



$$R_{u,d,s} = 3 \times \left[ \left(\frac{2}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 + \left(-\frac{1}{3}\right)^2 \right] = 2$$

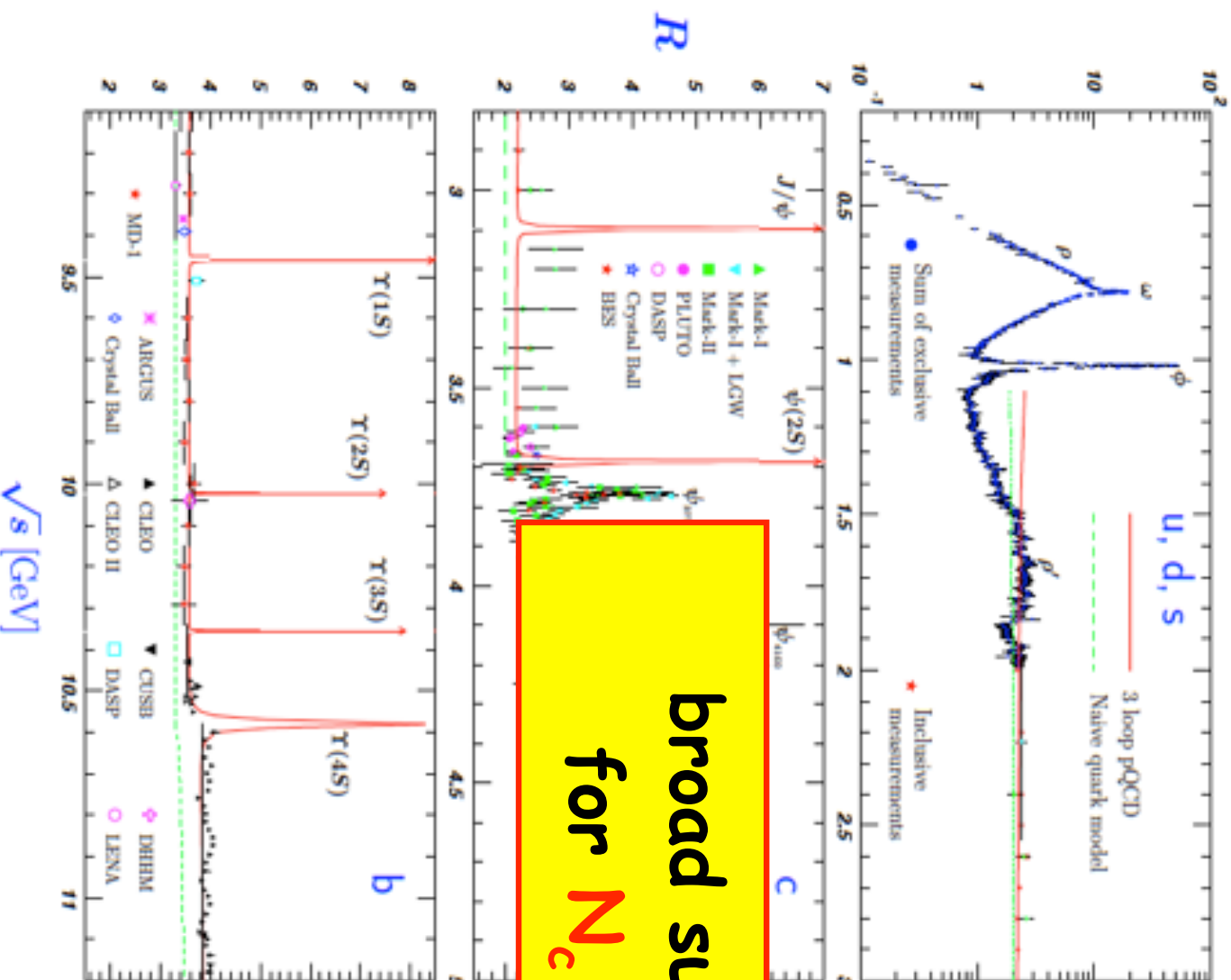
$$R_{u,d,s,c} = R_{u,d,s} + 3 \times \left(\frac{2}{3}\right)^2 = \frac{10}{3}$$

$$R_{u,d,s,c,b} = R_{u,d,s,c} + 3 \times \left(-\frac{1}{3}\right)^2 = \frac{11}{3}$$

**caveats:**

- higher order corrections
- mass effects near threshold

# experimental results for R ratio



broad support  
for  $N_c = 3$

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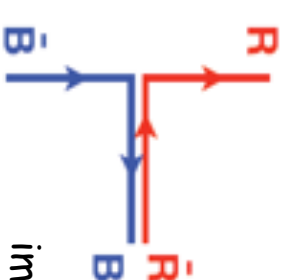
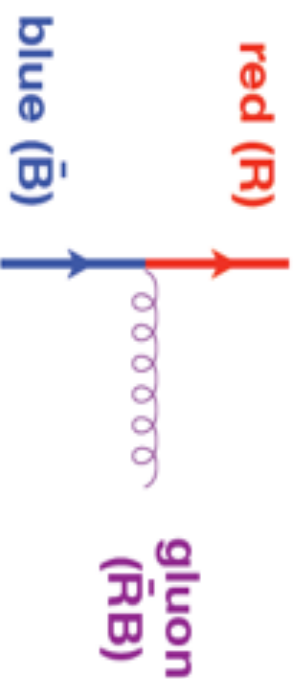
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# QCD color interactions heuristically



- QCD color quantum number is mediated by the **gluon** analogous to the photon in QED
- gluons are changing quarks from one color to another as such they must also carry a color charge (unlike the charge neutral photon in QED)

example:



"color flow"

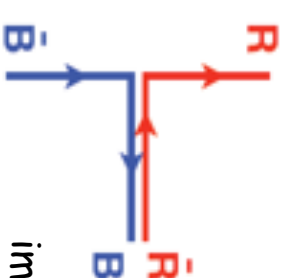
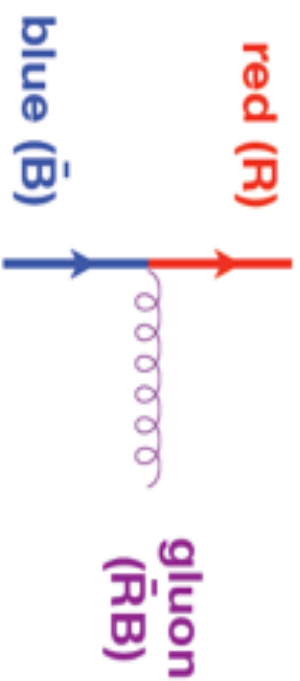
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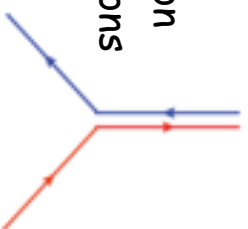


"color flow"

important calculational tool

- color charge of each gluon represented by a 3x3 matrix in color space  
conventional choice: express  $t^a$  ( $a=1..8$ ) in terms of **Gell-Mann matrices**

typical color interaction  
between quarks and gluons



$$(1, 0, 0) \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

$\bar{\psi}_i$

$t^a_j$

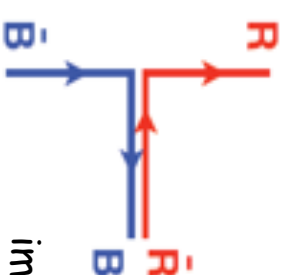
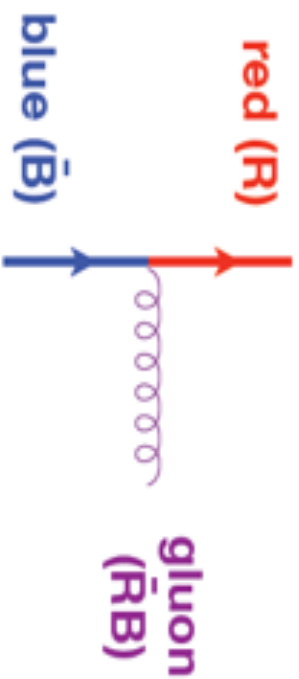
$\psi_j$

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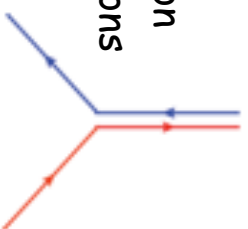


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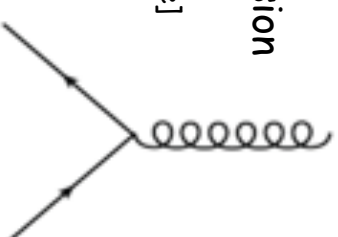
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$\bar{\psi}_i$

$t^A_{ij}$

$\psi_j$

more formal expression  
as **Feynman rule**  
[only color structure here]



$$\bar{\psi}_i t^A_{ij} \psi_j$$

# QCD: an unbroken SU(3) Quantum Field Theory

guiding principle for all field theories: **local gauge invariance** of the underlying Lagrangian

i.e., redefining the quark and gluon fields independently at each space-time point has no impact on the physics



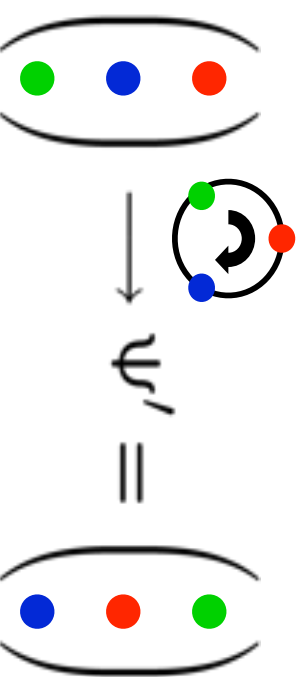
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**here:** local SU(3) rotations in color space

spin- $\frac{1}{2}$  quark fields  
come as colors triplets  
(fundamental representation)

$$\psi = \begin{pmatrix} \text{red} \\ \text{blue} \\ \text{green} \end{pmatrix} \xrightarrow{\text{SU(3) rotation}} \psi' = \begin{pmatrix} \text{green} \\ \text{red} \\ \text{blue} \end{pmatrix}$$


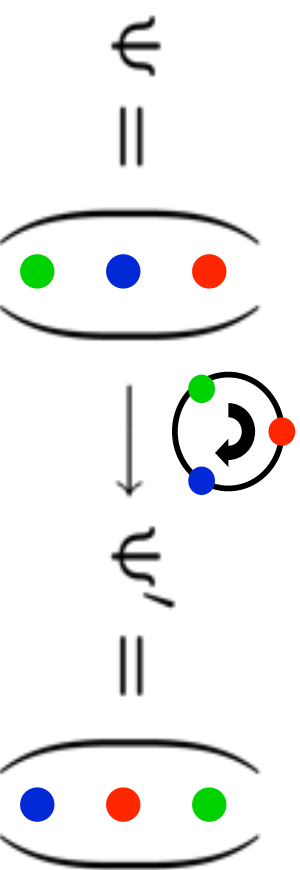
# QCD: an unbroken SU(3) Quantum Field Theory

guiding principle for all field theories: **local gauge invariance** of the underlying Lagrangian

i.e., redefining the quark and gluon fields independently at each space-time point has no impact on the physics

**here:** local SU(3) rotations in color space

spin- $\frac{1}{2}$  quark fields  
come as colors triplets  
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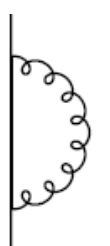
**non-Abelian** group structure:

• Lie algebra:  $[T_a, T_b] = i f_{abc} T_c$

- invariants ("color factors"):



$$T_F = 1/2$$



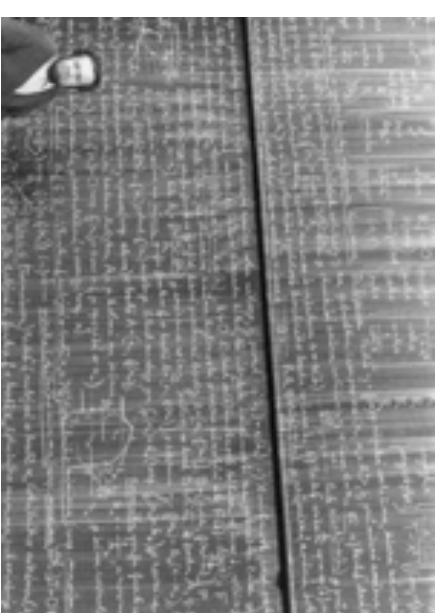
$$C_F = 4/3$$



$$C_A = 3$$

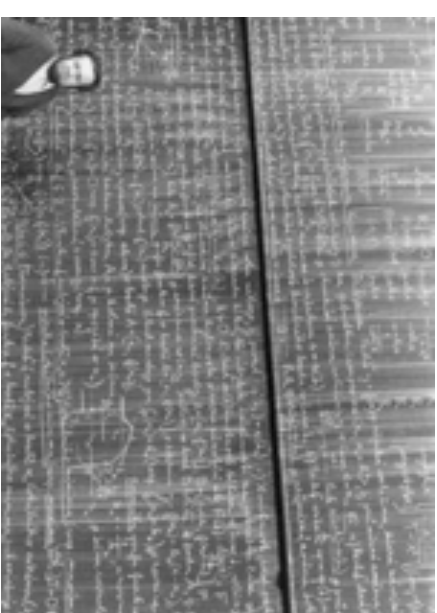
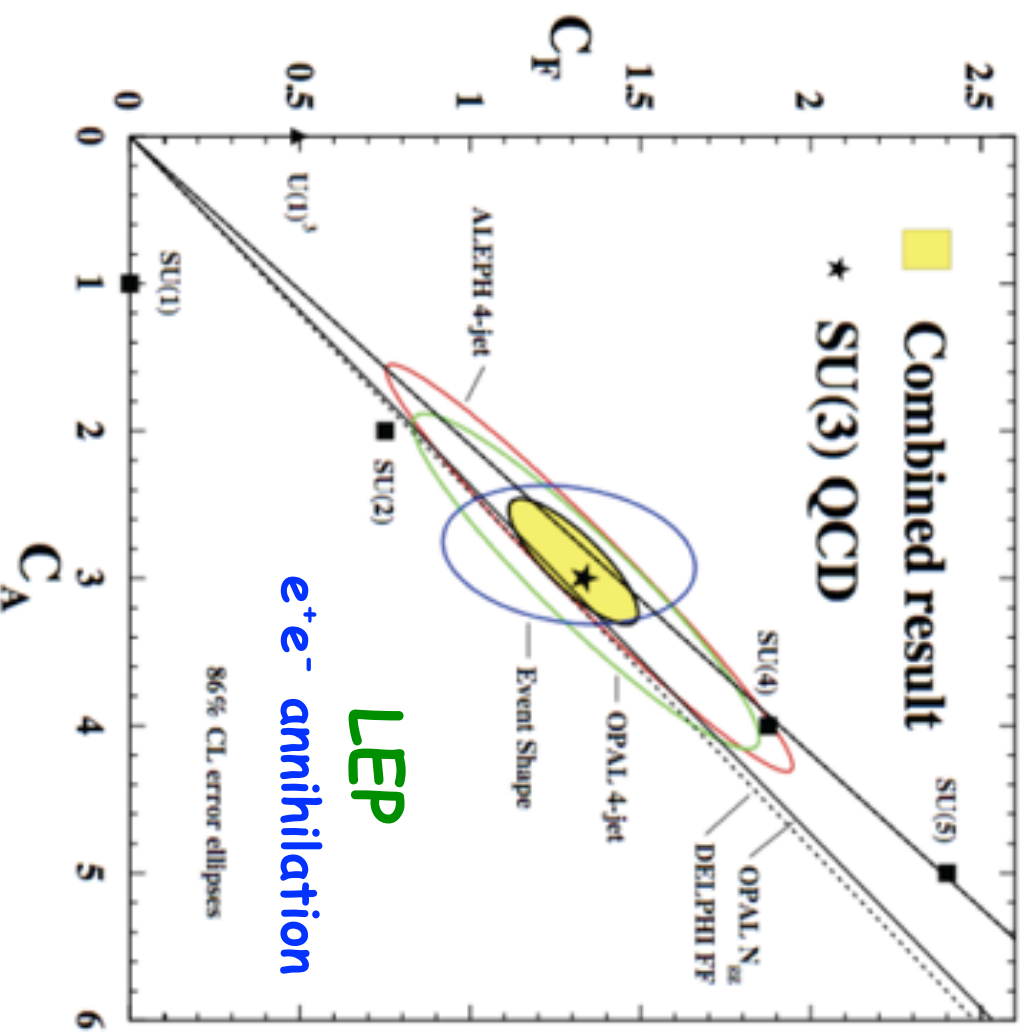
# experimental support for $SU(3)$

- **color factors are not just math**  
assumed group structure has  
impact on theoretical predictions

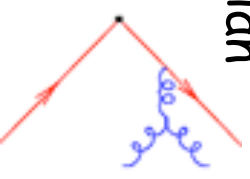


# experimental support for SU(3)

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- angular correlations  
between four jets depend  
on  $C_A/C_F$  and  $T_F/C_F$
- sensitivity to non-Abelian  
three-gluon-vertex  
LO: Ellis, Ross, Terrano



# QCD Lagrangian & Feynman rules

$\mathcal{L}_{\text{QCD}}$  encodes all physics related to strong interactions  
 for perturbative calculations we simply read off the **Feynman rules**

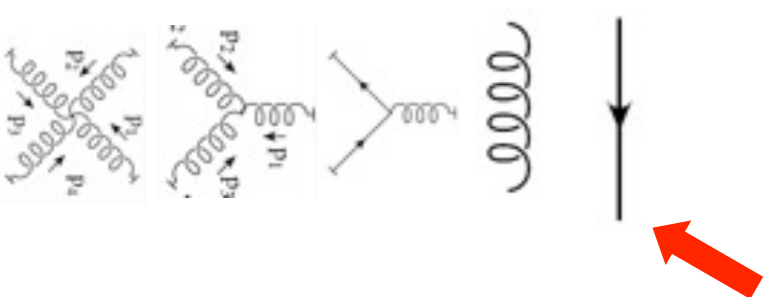
$$\mathcal{L}_{\text{QCD}} = \bar{\Psi}(i\partial_{\mu}\gamma^{\mu} - m)\Psi$$

$$- (\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu})^2$$

$$- g\bar{\Psi}A_{\mu}^a T_a \gamma^{\mu}\Psi$$

$$- \frac{1}{2}g(\partial_{\mu}A_{\nu}^a - \partial_{\nu}A_{\mu}^a)f_{abc}A^{\mu b}A^{\nu c}$$

$$- \frac{1}{4}g^2 f_{abc}A_{\mu}^b A_{\nu}^c f_{ade}A^{\mu d}A^{\nu e}$$



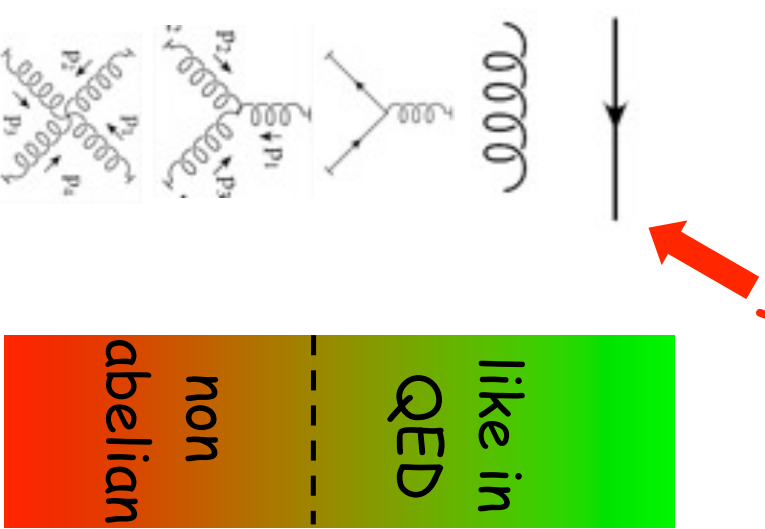
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technical complications due to the gauge-fixing & ghost terms:

**gauge-fixing:** needed to define gluon propagator;  
 breaks gauge-invariance but all physical results are independent of the gauge

**ghosts:** cancel unphysical degrees of freedom  $\rightarrow$  unitarity

$$2\text{Im} \left[ \text{diagrams} + \text{ghost loop} \right] = \Sigma \left| \text{diagrams} + \text{ghost loop} \right|^2$$

**recall: gauge invariance in QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}} \\ &= \bar{\Psi}(i\cancel{D} - m)\Psi - \frac{1}{4}\mathbf{F}_{\mu\nu}\mathbf{F}^{\mu\nu} - q\bar{\Psi}\gamma_{\mu}\Psi A^{\mu} \\ &= \bar{\Psi}(i\not{D} - m)\Psi - \frac{1}{4}\mathbf{F}_{\mu\nu}\mathbf{F}^{\mu\nu}\end{aligned}$$



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field strength tensor  $\mathbf{F}_{\mu\nu} = \partial_{\mu}\mathbf{A}_{\nu} - \partial_{\nu}\mathbf{A}_{\mu}$

covariant derivative  $\mathbf{D}_{\mu} = \partial_{\mu} + iq\mathbf{A}_{\mu}$

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electromagnetic vector potential

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photon field carries  
no electric charge

field strength tensor

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field strength itself  
gauge invariant

covariant derivative

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“covariant” =  
 $\mathbf{D}_{\mu}\psi$  transforms as  $\psi$

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electromagnetic ve

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more cumbersome to demonstrate for QCD

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- Yang and Mills proposed in 1954 that the local “phase rotation” in QED could be generalized to non Abelian groups such as SU(3)



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- QCD interaction is flavor blind

- coupling  $g_s$  is the only parameter** (masses have e-w origin)

# take home message for part I

## the foundations



QCD is based on a simple Lagrangian  
but has a rich phenomenology

QCD is based on the non Abelian gauge group  $SU(3)$

- number of colors and group structure can be tested experimentally
- concept of local gauge invariance dictates interactions
- similarities to QED, yet profound differences (and more to come)
- color leads to self-interactions between "force carrying" gluons
- perturbation theory can be based on a short list of Feynman rules

**color algebra decouples and can be performed separately**

- color factors can be expressed in terms of two Casimirs:  $C_A$  and  $C_F$