National Nuclear Physics Summer School 2012

## Spin Structure of the Nucleon

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Lecture # 2

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NNPSS 2012, Santa Fe, NM

Coutesy of CERN Courier

## Probing the constituents

How do we probe the structure and dynamics of matter in ep / pp scattering?



## **Quark-Parton Model**

Bjorken, Feynman and Paschos



The nucleon is made out of non-interacting point like particles called partons

The photon quark scattering is elastic scattering

	Proton	Parton	
	$\downarrow$	$\downarrow$	Where $\boldsymbol{x}$ is the fraction of nucleon momentum carried by the struck quark
Energy	$\mathbf{E}$	хE	
Momentum	$p_L$	$xp_L$	
	$p_T = 0$	$p_T = 0$	
Mass	Μ	$m = (x^2 E^2 - x^2 p_L^2)^{1/2} = xM$	
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# **Quark Parton Model**

$$(xP+q)^2 = m^2 \Rightarrow x^2P^2 + 2xP \cdot q + q^2 = m^2$$

At large 
$$q^2$$
 assume  $q^2 \gg x^2 P^2$  and  $q^2 \gg m^2$  thus  $2xP\cdot q + q^2 \simeq 0$ 

solving for x in the Lab frame we obtain

$$2xM \cdot \nu + q^2 = 0 \Rightarrow x = \frac{Q^2}{2M\nu}$$

Elastic scattering off a quark lead to

$$q^2 = 2m\nu$$

Then



Fraction of nucleon mass carried by struck quark !?

# A scattering picture of the proton Quark & Leptons: An Introductory Course in Modern Particle Physics, Francis Halzen and Alan Martin One quark



## Structure functions in the parton model

In the infinite-momentum frame:

- > no time for interactions between partons
- > Partons are point-like non-interacting particles:  $\sigma_{
  m Nucleon} = \sum \sigma_i$

$$F_{1}(x) = \frac{1}{2} \sum_{i} e_{i}^{2} [q_{i}^{\uparrow}(x) + q_{i}^{\downarrow}(x)]$$

$$F_{2}(x) = \sum_{i} e_{i}^{2} x [q_{i}^{\uparrow}(x) + q_{i}^{\downarrow}(x)]$$

$$\sigma_{L} = \sigma_{L}$$

$$2xF_1(x) = F_2(x) = \sum_i e_i^2 xq_i(x)$$
Callan-Gross relation
$$\vec{\sigma_T} \to 0$$
It is a consequence of quarks having a spin 1/2

$$g_1(x) = \frac{1}{2} \sum_{i} e_i^2 \left[ q_i^{\uparrow}(x) - q_i^{\downarrow}(x) \right] = \frac{1}{2} \sum_{i} e_i^2 \Delta q_i(x)$$

 $g_2(x)$  has no simple partonic interpretation.

It involves quark-gluon interactions



#### Virtual photon-nucleon asymmetries

#### Longitudinal

$$\frac{\sigma^{\downarrow\uparrow} - \sigma^{\uparrow\uparrow}}{\sigma^{\downarrow\uparrow} + \sigma^{\uparrow\uparrow\uparrow}} = A_{\parallel} = D(A_1 + \eta A_2)$$
  
Transverse  
$$\frac{\sigma^{\downarrow\Leftarrow} - \sigma^{\uparrow\Leftarrow}}{\sigma^{\downarrow\Leftarrow} + \sigma^{\uparrow\Leftarrow}} = A_{\perp} = d(A_1 - \xi A_2)$$

- $D, d, \eta$  and  $\xi$  are kinematic factors
- D depends on  $R(x,Q^2)=\sigma_L/\sigma_T$

$$A_1 = \frac{g_1(x, Q^2) - \gamma^2 g_2(x, Q^2)}{F_1(x, Q^2)}$$

$$A_2 = rac{\gamma [g_1(x,Q^2)+g_2(x,Q^2)]}{F_1(x,Q^2)}$$
 where  $\gamma = \sqrt{Q^2}/
u$ 

• Positivity constraints  $|A_1| \leq 1$  and  $|A_2| \leq \sqrt{R(1+A_1)/2}$ 

In the quark-parton model:

 $F_{1}(x,Q^{2}) = \frac{1}{2} \sum_{f} e^{2}q_{f}(x,Q^{2}) \qquad g_{1}(x,Q^{2}) = \frac{1}{2} \sum_{f} e^{2}\Delta q_{f}(x,Q^{2})$   $q_{f}(x) = q_{f}^{\uparrow}(x) + q_{f}^{\downarrow}(x) \qquad \Delta q_{f}(x) = q_{f}^{\uparrow}(x) - q_{f}^{\downarrow}(x)$   $q_{f}(x) \quad \text{quark momentum distributions of flavor } f$   $\uparrow(\downarrow) \quad \text{parallel (antiparallel) to the nucleon spin}$   $F_{1}(x,Q^{2}) = \frac{1}{2} \sum_{f} e^{2}\Delta q_{f}(x,Q^{2}) \qquad g_{1}(x,Q^{2}) = \frac{1}{2} \sum_{f} e^{2}\Delta q_{f}(x,Q^{2})$ 



#### Probes of nucleon helicity structure





#### Impressive experimental progress in QCD spin physics in the last 25 years

### • Inclusive spin-dependent DIS

- ► CERN: EMC, SMC, COMPASS
- ➡ SLAC: E80, E142, E143, E154, E155
- ➡ DESY: HERMES
- ➡ JLab: Hall A, B and C

### • Semi-inclusive DIS

- SMC, COMPASS
- ➡ HERMES, JLab

# Polarized pp collisions BNL: PHENIX & STAR

- Polarized e+e- collisions
  - ➡ KEK: Belle

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#### **Polarized Structure functions**





# Picture of a proton from polarized ep



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• Spin sum rule: 
$$\frac{1}{2}\Delta\Sigma$$

$$\frac{1}{2} = \langle S_q \rangle + \langle S_g \rangle + \langle L_q \rangle + \langle L_g \rangle$$
(R.L. Jaffe and A. Manohar, Nucl. Phys. B337, 509 (1990))
$$\Delta\Sigma = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

$$\Delta q_i(Q^2) = \int_0^1 \Delta q_i(x,Q^2) dx \qquad \Delta G(Q^2) = \int_0^1 \Delta g(x,Q^2) dx$$

$$\Box \text{ Data only from fixed-target experiments}$$
(Limited reach in x and Q<sup>2</sup>) mostly at lower energy

□ Quark spin contribution is small (~25%):  $\Delta\Sigma = 0.242 \; (Q^2 = 10 \, {\rm GeV}^2)$ 

(D. deFlorian et al., Phys. Rev. D80, 034030 (2009))

Gluon spin contribution unconstrained

NNPSS 2012, Santa Fe, NM So far!

## Spin of the Proton: Two views





Jaffe-Manohar 1990



## Jaffe-Manohar proton spin decomposition



$$\begin{split} g_D &= \frac{1}{2} \int d^3 r \ \psi^{\dagger} \vec{\Sigma} \psi \\ &+ \int d^3 r \ \psi^{\dagger} \vec{r} \times \left( -i \vec{\nabla} \right) \psi \\ &+ \int d^3 r \ \vec{E}^a \times \vec{A}^a \\ &+ \int d^3 r \ \vec{E}^{ai} \vec{r} \times \vec{\nabla} \vec{A}^{ai} \end{split}$$

#### **News:**

Gauge-invariant extension

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[Chen et al. (2008)]

#### OAM accessible via Wigner distributions

Lorce, Pasquini (2011) Lorce, Pasquini, Xiong, Yuan(2011) Hatta (2011)

#### **Pros:**

- Satisfies Canonical relations
- Complete decomposition

#### **Cons:**

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- Gauge variant decomposition Missing observables for OAM
  - Coutesy of C. Lorce

# Ji's proton spin decomposition



- **Pros:** Gauge-invariant decomposition
  - Accessible in DIS and DVCS
- **Cons:** Does not satisfy canonical relations
  - Incomplete decomposition
- **News:** Complete decomposition

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CIPANP 2012, St. Petersburg, FL

[Wakamatsu (2009,2010)]

Courtesy of C. Lorce

# Proton Spin Decomposition Jaffe and Manohar 1990

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G(Q^2) + L_q(Q^2) + L_g(Q^2)$$

$$\Delta \Sigma = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s}$$

$$\Delta q_i(Q^2) = \int_0^1 \Delta q_i(x, Q^2) dx \qquad \Delta G(Q^2) = \int_0^1 \Delta g(x, Q^2) dx$$
$$\Delta q(x) = \left| \xrightarrow{P, +} \underbrace{\xrightarrow{xP_{\checkmark}}}_{}^{+} X \right|^2 - \left| \xrightarrow{P, +} \underbrace{\xrightarrow{xP_{\checkmark}}}_{}^{-} X \right|^2$$

$$\Delta g(x) = \left| \xrightarrow{P, +} \underbrace{x_{P, \circ}}_{0^{\circ}}^{*} \right|^{2} - \left| \xrightarrow{P, +} \underbrace{x_{P, \circ}}_{0^{\circ}}^{*} \right|^{2}$$



## Quark Helicity Distributions from SIDIS



• Results from inclusive and semi- inclusive experiments from different experiments (COMPASS, HERMES, JLab) are consistent

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## Quark Helicity distributions (continued)



Recent data analyses

➡ De Florian, Sassot,
Stratmann, Vogelsang,
2008/2009

Blumlein, Bottcher,2010

➡ Leader, Sidorov, Stamenov, 2010

 ➡ RHIC results on Wproduction may provide further information) – so
 far: proof of principle measurements (PHENIX, 2010 / STAR, 2010)



## Extracting the quark spin content of the Nucleon

$$\Gamma_1(Q^2) = \int_0^1 g_1(x, Q^2) \, dx = \mu_2 + \frac{\mu_4}{Q^2} + \frac{\mu_6}{Q^4} + \cdots$$
  
leading twist higher twist

 $\mu_2^{p,n}(Q^2) = (\pm \frac{1}{12}g_A + \frac{1}{36}a_8) + \frac{1}{9}\Delta\Sigma$  + pQCD corrections

 $g_A = 1.257$  and  $a_8 = 0.579$  are the triplet and octet axial charge, respectively  $\Delta \Sigma$  = singlet axial charge

$$g_{A} = \Delta u - \Delta d$$

$$a_{8} = \Delta u + \Delta d - 2\Delta s$$

$$\Delta \Sigma = \Delta u + \Delta d + \Delta s$$
pQCD radiative corrections
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## **Gluon Helicity**





#### **Recent results - Gluon polarization program**

**STAR:** Mid-rapidity Inclusive Jet ALL measurement





## Jefferson Lab Experimental Halls



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## Jlab Hall A Experimental Setup



#### Pol <sup>3</sup>He Target Commissioning







## Hall B setup



- Large kinematical coverage
- detection of charged and neutral particles
- Multiparticle final state

Polarized NH<sub>3</sub> &ND<sub>3</sub> 75% (NH<sub>3</sub>) or 30% (ND<sub>3</sub>) Longitudial polarization only Acceptance ~2.5π

Luminosity:  $10^{34}$  cm<sup>-2</sup> s<sup>-1</sup>







#### Sum rules and Moments of Structure functions

First moments



## Moments of Structure Functions



$$\tau = 2$$

 $\tau > 2$ 



$$\rightarrow$$
  $a_2(Q^2) \equiv 2 \int_0^1 dx \, x^2 \, g_1^{\text{twist}-2}(x,Q^2) \rightarrow$  target mass correction term

 $\rightarrow d_{2}(Q^{2}) \rightarrow \text{dynamical twist-3 matrix element}$  $d_{2}(Q^{2}) \equiv \int_{0}^{1} dx \ x^{2} \left\{ 3 g_{2}(x, Q^{2}) + 2 g_{1}(x, Q^{2}) \right\}$  $d_{2}S^{[\mu}P^{\{\nu]}P^{\lambda\}} = \frac{1}{8} \sum_{q} \langle P, S | \bar{\psi}_{q} \ g\bar{F}^{\{\mu\nu}\gamma^{\lambda\}}\psi_{q} | P, S \rangle$  $\rightarrow f_{2}(Q^{2}) \rightarrow \text{dynamical twist-4 matrix element}$  $\sum_{NNPSS 2012, \text{ Santa Fe, NM}} \frac{1}{2} \sum_{q} e_{q}^{2} < N | \bar{\psi}_{q} g\tilde{F}^{\mu\nu}\gamma_{\nu}\psi_{q} | N >$ 

## Evolution of first moments of $g_{1p}$ and $g_{1d}$



#### First moment of $g_1^n$ ; $\Gamma_1^n$

#### E94-010, PRL 92 (2004) 022301





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#### Bjorken Sum Q<sup>2</sup> evolution and higher twists





#### $g_2$ and Quark-Gluon Correlations



 $g_2(x,Q^2) = g_2^{WW}(x,Q^2) + \bar{g}_2(x,Q^2)$ 

• a twist-2 term (Wandzura & Wilczek, 1977):

$$g_2^{WW}(x,Q^2) = -g_1(x,Q^2) + \int_x^1 g_1(x,Q^2) \frac{dy}{y}$$

• a twist-3 term with a suppressed twist-2 piece (Cortes, Pire & Ralston, 1992):

$$\bar{g}_{2}(x,Q^{2}) = -\int_{x}^{1} \frac{\partial}{\partial y} \left[\frac{m_{q}}{M} \frac{h_{T}(y,Q^{2})}{\sqrt{1 + \xi(y,Q^{2})}} + \frac{\xi(y,Q^{2})}{y}\right] \frac{dy}{y}$$
Transversity

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#### Moments of Structure Functions

$$d_2(Q^2) = 3\int_0^1 x^2 \left(g_2(x, Q^2) - g_2^{WW}(x, Q^2)\right) dx$$

$$d_2 S^{[\mu} P^{\{\nu]} P^{\lambda\}} = \frac{1}{8} \sum_q \langle P, S | \bar{\psi}_q \ g \bar{F}^{\{\mu\nu} \gamma^{\lambda\}} \psi_q | P, S \rangle$$

 $d_2(Q^2) \rightarrow$  dynamical twist-3 matrix element

$$d_2(Q^2) = \int_0^1 dx \ x^2 \left[ 2\mathbf{g_1}(x, Q^2) + 3\mathbf{g_2}(x, Q^2) \right]$$



## Color "Polarizabilities"

X.Ji 95, E. Stein et al. 95

# How does the gluon field respond when a nucleon is polarized ?

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B

Define color magnetic and electric polarizabilities (in nucleon rest frame):

$$\begin{split} \chi_{B,E} 2M^2 \vec{S} \; = \; \langle PS | \vec{O}_{B,E} | PS \rangle \\ \text{where} \; \vec{O}_B = \psi^\dagger g \vec{B} \psi \\ \vec{O}_E = \psi^\dagger \vec{\alpha} \times g \vec{E} \psi \end{split}$$

$$d_2 = (\chi_E + 2\chi_B)/8$$
$$f_2 = (\chi_E - \chi_B)/2$$

 $d_2$  and  $f_2$  represent the response of the color  $\vec{\mathsf{B}}$  &  $\vec{\mathsf{E}}$  fields to the nucleon polarization

$$\int dx x^2 \bar{g}_2(x) = \frac{1}{3} d_2 = \frac{1}{6MP^{+2}S^x} \left\langle P, S \left| \bar{q}(0)gG^{+y}(0)\gamma^+ q(0) \right| P, S \right\rangle$$

→  $d_2$  a measure for the color Lorentz force acting on the struck quark in SIDIS in the instant after being hit by the virtual photon  $\langle F^y(0) \rangle = -M^2 d_2$  (rest frame;  $S^x = 1$ )

Interpretation of 
$$d_2$$
 with the transverse FSI force in DIS also consistent with  $\langle k_{\perp}^y \rangle \equiv \int_0^1 dx \int d^2k_{\perp} k_{\perp}^2 f_{1T}^{\perp}(x, k_{\perp}^2)$  in SIDIS (Qiu,

Sterman)

$$\langle k_{\perp}^{y} \rangle = -\frac{1}{2p^{+}} \left\langle P, S \left| \bar{q}(0) \int_{0}^{\infty} dx^{-} g G^{+y}(x^{-}) \gamma^{+} q(0) \right| P, S \right\rangle$$

semi-classical interpretation: average  $k_{\perp}$  in SIDIS obtained by correlating the quark density with the transverse impulse acquired from (color) Lorentz force acting on struck quark along its trajectory to (light-cone) infinity  $\frac{7}{16}/12$ 

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## Models and Lattice evaluations of $d_2$



#### **Quark Bag Models**

M.Stratmann, Z.Phys.C60,763(1993). X.Song,Phys.Rev.D54,1955(1996). X.Ji and P.Unrau, Phys.Lett.B333,228(1994).

#### **Chiral Soliton Model**

H.Weigel and L.Gamberg, Nucl. Phys. A680, 48 (2000). M.Wakamatsu, Phys. Lett. B487,118(2000).

#### Lattice QCD

M.Gockeler et al., Phys.Rev.D72:054507, (2005)

## $Q^2$ evolution of the neutron" $d_2$ "



## Expected precision in Experiment E06-114









### Floor layout for Hall C



#### <u>Hall C</u>

- One beam energy 11 GeV
- Each arm measures a total cross section independent of the other arm.
- Experiment split into three pairs of 200 hour runs with spectrometer motion in between.
- SHMS collects data at  $\Theta$  = 11°, 13.3° and 15.5° for 200 hrs each data from each setting divided into 4 bins
- HMS collects data at  $\Theta$  = 13.5°, 16.4° and 20.0° for 200 hrs each



## Kinematics for Hall C





#### Projected $x^2g_2(x,Q^2)$ results for Hall C



Projected points are vertically offset from zero along lines that reflect different (roughly) constant  $Q^2$ values from 2.5-6 GeV<sup>2</sup>.

- g<sub>2</sub> for <sup>3</sup>He is extracted directly from L and T spin-dependent cross sections measured within the same experiment.
  - Strength of SHMS/HMS:

nearly constant  $Q^2$  (but less coverage for x < 0.3)



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# $g_2$ in CLAS 12 Hall B







# g<sub>2</sub> at JLab with 11 GeV





# Forward Spin Polarizabilities







#### New Data on the Neutron Polarizabilities

Large discrepency with  $\delta_{\mbox{\tiny LT}}$  remains

Plots courtesy of V. Sulkosky



- The spin contribution of quarks to the spin of the proton is about **30 %**
- The gluons spin contribution seem small leaving room for a large orbital contribution of both quarks and gluons
- The quark orbital angular momentum should be accessible through DVCS experiments at 12 GeV. The gluon angular momentum will require an Electron-Ion Collider.
- Precision measurements of  $g_1$  and  $g_2$  in the range  $1 < Q^2 < 4 \text{ GeV}^2$  are crucial for an improved extraction of the
  - Average color Lorentz force
  - "Color polarizabilities"
- Results from two recently performed experiments at Jefferson Lab, SANE in Hall C (proton) and JLab-E06-14 in Hall A (neutron).
- The non-singlet combination  $(d_2^p d_2^n)$  should provide a benchmark test for present lattice QCD calculations since no disconnected diagrams are needed.
- This program will be pursued at JLab 11 GeV for higher precision and greater Q<sup>2</sup> and x coverage.

