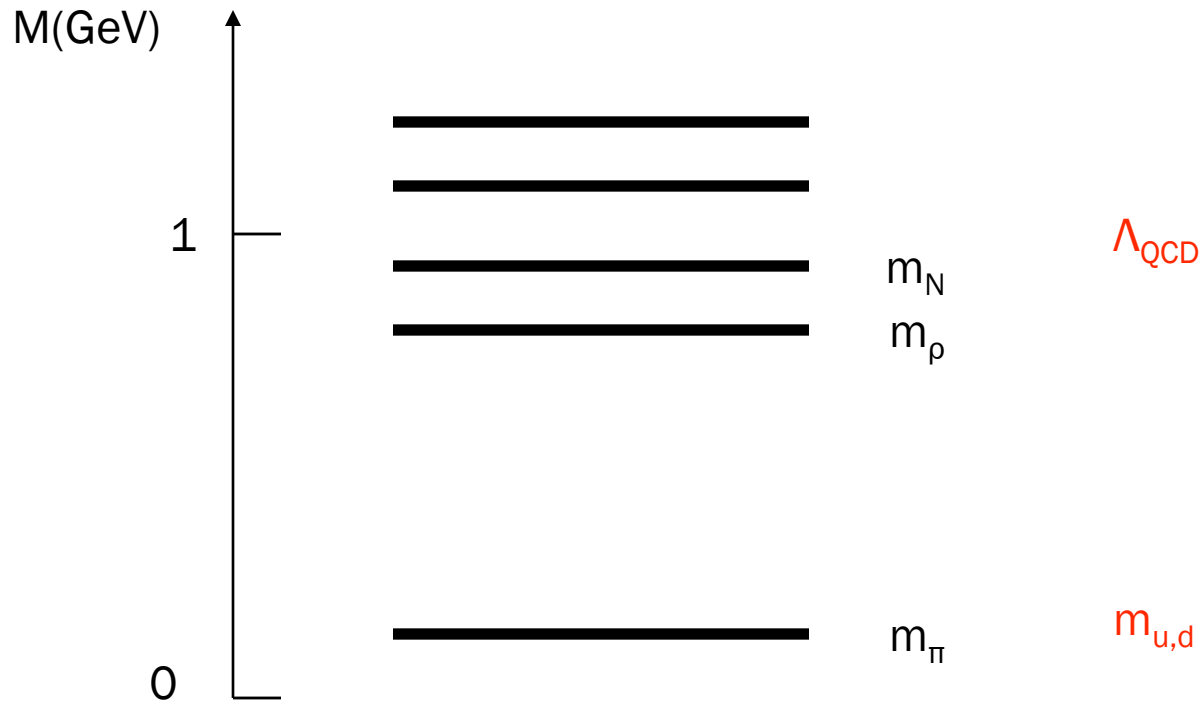


# Lecture 2: Chiral Perturbation Theory



# Mass Scales

**QCD in the light quark (up & down) sector (QCD-light) has two mass scales**



# Effective Field Theory

- ∞ In a generic physical system, there are often many scales involved. However, for a specific problem under consideration, it may depend on physics only on a particular scale.
- ∞ One can “integrate out” physics at other scales and focus on the dynamics on the degrees of freedom relevant to that scale: **Effective (Field) Theory**
- ∞ Many examples:
  - Fluid Dynamics
  - Multiple expansion in Electrodynamics
  - Nuclear Physics
  - ....

# Chiral Symmetry of QCD-light

- When quarks are massless,  $N_f$  flavor of QCD lagrangian has  $U_L(N_f) \times U_R(N_f)$  chiral symmetry.
- Each quark has a left-handed and right-handed components,

$$\psi_{Lf} = \frac{1}{2}(1 - \gamma_5)\psi_f \quad \psi_{Rf} = \frac{1}{2}(1 + \gamma_5)\psi_f .$$

- The left and right-handed fields do not couple to each others in the massless limit. Each fields can rotate independently producing a symmetry group  $U_L(N_f) \times U_R(N_f)$

$$\mathcal{L}_q = \mathcal{L}_q(\psi_L) + \mathcal{L}_q(\psi_R) , \quad \begin{pmatrix} u'_{L,R} \\ d'_{L,R} \end{pmatrix} = U_{L,R} \begin{pmatrix} u_{L,R} \\ d_{L,R} \end{pmatrix}$$

# The Folklore

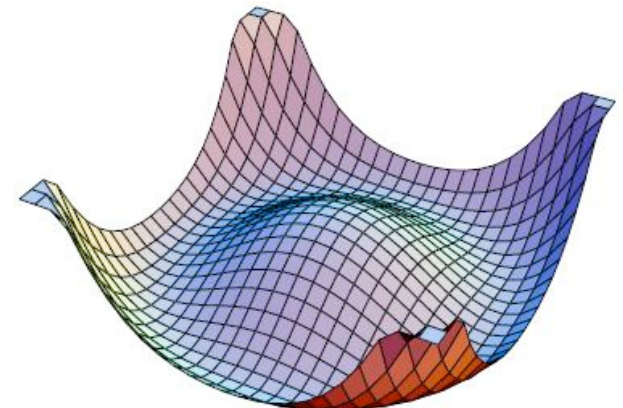
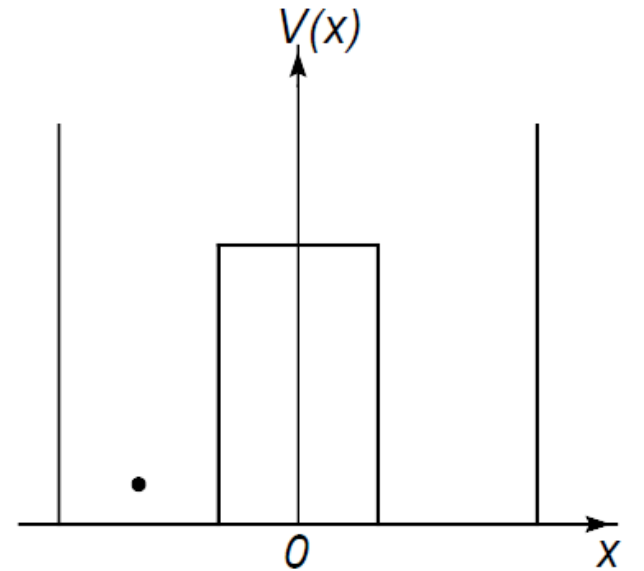
- ∞  $U_L(N_f) \times U_R(N_f)$  contains two  $U(1)$  symmetries: vector and axial: Vector  $U(1)$  is related to baryon number, and the axial  $U(1)$  is broken by anomaly.
- ∞ The anomaly is a phenomenon that a classical symmetry is broken by quantum fluctuations, and was first discovered by Adler, Bell, and Jackiw.
- ∞ The remaining chiral symmetry  $SU_L(N_f) \times SU_R(N_f)$  is broken **spontaneously** to  $SU(N_f)$  flavor symmetry (isospin) discussed in the previous lecture.
- ∞ **SSB**: spontaneous symmetry breaking.

# A Bit Group Theory

- ∞ The representation of SU(2) group [angular momentum algebra] contains dimensions, 1, 2, 3... (2j+1),...
- ∞ Therefore the representation of the chiral group  $SU_L(2) \times SU_R(2)$  can be labeled by  $(2j_1+1, 2j_2+1)$ .
- ∞ The left-handed quark field is (2,1) and the right-handed quark field is (1,2).
- ∞ Isospin representations comes from adding the two reps.
- ∞ The quark mass terms  $H_1 = m_u \bar{u}u + m_d \bar{d}d$  .  
can be decomposed into  $H_1 = m_u(\bar{u}_L u_R + \bar{u}_R u_L) + m_d(\bar{d}_L d_R + \bar{d}_R d_L)$  .  
it is a (2-bar, 2) + (2, 2-bar), not invariant under chiral symmetry

# Spontaneous Symmetry Breaking

- ∞ A simple example is a particle moving in a double well.
- ∞ When the mid-barrier is finite, the ground state is always symmetric in  $x \rightarrow -x$ .
- ∞ However, when the height is going to infinity, **the ground state is degenerate**, and the physical ground state is for the particle in either wells, **a broken symmetry state**.
- ∞ Another example of SSB is spontaneous magnetization of a piece of magnet.



# Nambu-Goldstone Theorem

- ∞ In the case of the SSB of a **continuous symmetry**, there are **massless Goldstone bosons** produced as result. This is because everywhere in the space, one can choose a different vacuum (vacuum degeneracy), there is no energy difference between the different choices.
- ∞ Pion would have been the massless Goldstone boson associated with the chiral symmetry breaking of  $SU_L(2) \times SU_R(2)$ , if the quark masses were zero.
- ∞ The pion interactions must be **derivative-coupled** because in the long wavelength limit, the interactions vanish, because the long-wavelength pion approaches the vacuum.



# Order Parameter

- When SSB happens, there is an order parameter which characterizes the symmetry breaking.
- The physical vacuum no longer invariant under chiral symmetry, rather, it is a sum of chiral reps,

$$|\text{vac}\rangle \supseteq |(1, 1)\rangle + |(2, 2)\rangle + |(3, 3)\rangle + \dots$$

the chiral representation must have isospin 0, so  $j_1 = j_2$ .

- Therefore, there is a non-zero chiral condensate in the physical vacuum, which characterizes the scale at which SSB happens

$$\langle 0 | \bar{u}u + \bar{d}d | 0 \rangle$$

# Non-linear realization of chiral symmetry

∞ Easiest way to see that pions are derivatively coupled is to introduce a  $U$  field that transforms as  $(2,2)$  of the chiral group.

$$U \rightarrow LUR^{-1}$$

which contains the Goldstone boson field.

∞ Construct lagrangian that are invariant under the chiral transformation.

∞ After SSB,  $U$  is related to the pion field,

$$U = \sigma e^{i\vec{\pi}^a(x) \cdot \tau^a / f_\pi}$$

Or we simply write this  $U = \sigma \Sigma$ , here  $\Sigma$  is a non-linear realization of chiral symmetry.

# Power counting

- ∞ When the energy of the pion is low, derivatives are small compared to the scale of SSB. Therefore, one can make expansion in  $\partial/f_\pi$
- ∞ This expansion is called the chiral expansion.
- ∞ Taking into account the non-zero pion mass,  $m_\pi/f_\pi$  is another small expansion parameter.
- ∞ Chiral perturbation theory (ChiPT) carries out systematic expansion in these small parameters. Since the theory uses symmetry and SSB, test of ChiPT is usually considered as a test of QCD itself. [“If ChiPT does not work, QCD is in trouble.”]

# Pion Mass

- ∞ Pion is massless in the chiral limit. Therefore, its non-zero mass must come from the non-zero quark mass.
- ∞ One can show,

$$m_\pi^2 = -(m_u + m_d) \langle 0 | \bar{u}u + \bar{d}d | 0 \rangle / f_\pi^2$$

which is linear in quark mass and also related to the chiral condensate!

# Lagrangian for Pion

The simplest lagrangian for pure pion involves the kinetic energies and pion mass, second-order in small parameter

$$\mathcal{L} = \frac{f_\pi^2}{4} \text{Tr}[\partial_\mu \Sigma \partial^\mu \Sigma^\dagger] + \frac{f_\pi^2}{4} m_\pi^2 \text{Tr}[\Sigma + \Sigma^\dagger] + \mathcal{O}(m_\pi^4)$$

the dependence in the pion mass is analytical in sense that it is a Taylor expansion.

**Higher-order term can also be written down, involving more unknown constants, called chiral constants**

$$\begin{aligned} &L_4 \text{Tr}(D^\mu \Sigma^\dagger D_\mu \Sigma) \text{Tr}(\chi^\dagger \Sigma + \chi \Sigma^\dagger) + L_5 \text{Tr}(D^\mu \Sigma^\dagger D_\mu \Sigma) (\chi^\dagger \Sigma + \chi \Sigma^\dagger) \\ &+ L_6 (\text{Tr}(\chi^\dagger \Sigma + \chi \Sigma^\dagger))^2 + L_7 (\text{Tr}(\chi^\dagger \Sigma - \chi \Sigma^\dagger))^2 \\ &+ L_8 \text{Tr}(\chi^\dagger \Sigma \chi^\dagger \Sigma + \chi \Sigma^\dagger \chi \Sigma^\dagger) + H_2 \text{Tr}(\chi^\dagger \chi) \end{aligned}$$

# Pion-pion Scattering

- Expand the pion lagrangian to the first non-trivial order

$$\mathcal{L}_{\pi\pi}^{(2)} = \frac{1}{2}(\partial_\mu \vec{\pi})^2 + \frac{1}{6f_\pi^2}[(\partial_\mu \vec{\pi} \cdot \vec{\pi})^2 - \vec{\pi}^2(\partial_\mu \vec{\pi})^2] + \dots$$

There is no unknown parameter!

- Taking into account the pion mass effects as well,

$$\mathcal{M} = -f_\pi^{-2} \left( \delta_{ab}\delta_{cd}(s - m_\pi^2) + \delta_{ac}\delta_{bd}(t - m_\pi^2) + \delta_{ad}\delta_{bc}(u - m_\pi^2) \right)$$

- Scattering length in isospin 0 and 2 sectors,

$$a_0 = 7m_\pi/32\pi f_\pi^2 = 0.16m_\pi^{-1}$$

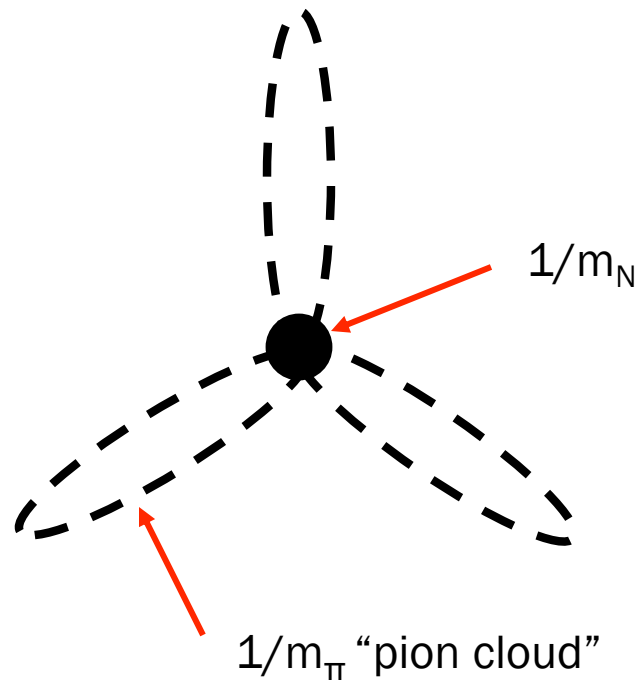
$$a_2 = -2m_\pi/32\pi f_\pi^2 = -0.046m_\pi^{-1}$$

Experimentally,

$$a_0 = .26 \pm 0.5$$

$$a_2 = -0.028 \pm 0.012$$

# Chiral Physics in the Nucleon



# What's calculable and what's not?

- ∞ Since only the long distance part of nucleon physics is related to the pion and is calculable using the ChiPT, the short distance physics are parameterized by the so-called low-energy constants. There are large number of such low-energy constants.
- ∞ The predictive power of ChiPT comes from distinctive contributions of the pion, **non-analytic contributions from the pion mass.**



# Singular Contribution and IR divergence

- Loop calculations depend on the pion propagator:

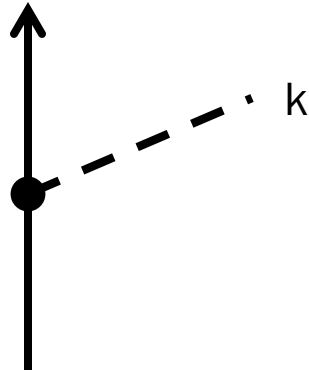
$$\frac{i}{k^2 - m_\pi^2 + i\epsilon}$$

with loop momentum- $k$  to be integrated over. The integrations can generate **non-analytic** dependence on  $m_\pi^2$

What are they?  $1/m_\pi^n$  ( $n>0$ ),  $m_\pi^{2n+1}$ ,  $\ln(m_\pi)$ , ...

- There dependence usually comes from IR divergences.
- Dependence on  $m_\pi^2$  in the counter terms are analytic because they are treated in perturbative expansion.

# Pion-Nucleon Coupling



$$\frac{g_A}{f_\pi} \vec{\sigma} \cdot \vec{k} \tau^a$$

$g_A$ : neutron decay constant, dimension 0

$f_\pi$ : pion decay constant, dimension 1

# HBChPT vs. Relativistic ChPT

## ∞ Heavy-Baryon Chiral Perturbation Theory

**Get rid of the hadron mass scale,  $m_N \rightarrow \infty$ .**

Physics at scale  $m_N$  is not really calculable in chiral perturbation theory, should be included in the counter terms.

## ∞ Relativistic Chiral Perturbation Theory

**Contain partial high-order contributions.**

**Better or Worse? Don't know. They provide some idea on the size of higher-order corrections.**

# The Nucleon Mass

∞ At leading order (one-loop), two powers of  $1/4\pi f_\pi$

∞ Since the contribution must have a dimension of mass

$$\delta m_N \sim \frac{m_\pi^3}{(4\pi f_\pi)^2}$$

Since this is nonanalytic, the coefficient is calculable! ( $3/\pi^2$ ).  
It contributes  $-15$  MeV to the nucleon mass.

**There is a  $m_\pi^2$  contribution, proportional to the  $\sigma$ -term.**

# Isvector charge radius

- ∞  $\langle r^2 \rangle$  has a mass-dimension  $-2$ .
- ∞ Leading pion-loop has a factor of  $1/(4\pi f_\pi)^2$
- ∞ Therefore, the chiral contribution goes like

$$\frac{1}{(4\pi f_\pi)^2} \ln(m_\pi^2 / \Lambda^2)$$

which diverges as  $m_\pi \rightarrow 0$ . (coefficient  $(5g_A^2 + 1)$ )

**just like the charge radius of the electron in QED!**

- ∞ Isoscalar charge radius is regular as  $m_\pi \rightarrow 0$ .
- ∞ **Small neutron charge radius is an accident!**

# Isovector magnetic moment

- ∞ Magnetic moment has a mass dimension  $-1$ .
- ∞ Leading pion-loop has a factor of  $1/(4\pi f_\pi)^2$
- ∞ Thus the nonanalytical chiral contribution,

$$\frac{m_\pi}{(4\pi f_\pi)^2}$$

- ∞ Coefficient is  $-2\pi g_A^2$

A significant contribution at physical pion mass.

# Low-energy Scattering off the Nucleon

## ∞ Compton Scattering and Sum Rules

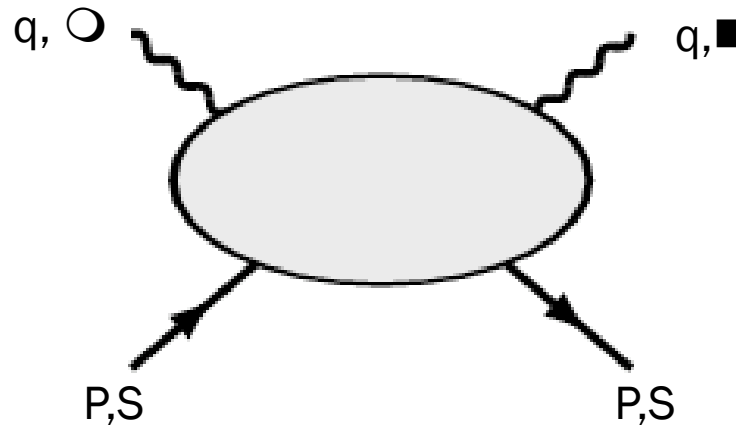
- Real Photon
- Virtual Photon
- Doubly Virtual Photon

## ∞ Pion-photo and electroproduction

## ∞ Pion scattering

∞ ....

# Spin-Dependent Forward Compton Scattering



∞ Two Compton amplitudes:

$$T^{[\mu\nu]}(P, q, S) = -i \epsilon^{\mu\nu\alpha\beta} q_\alpha \left[ S_\beta S_1(\nu, Q^2) + (M\nu S_\beta - S \cdot q P_\beta) S_2(\nu, Q^2) \right] .$$

**$S_1$  and  $S_2$  at low energy can be calculated in CHIPT**



# Dispersion Sum Rules

## ∞ Unsubtracted dispersion relations

$$S_1(\nu, Q^2) = 4 \int_{Q^2/2M}^{\infty} \frac{d\nu' \nu' G_1(\nu', Q^2)}{\nu'^2 - \nu^2},$$

$G_1$  is the spin-dependent structure function.

## ∞ Expand at small ■,

$$S_1(\nu, Q^2) = \sum_{n=0,2,4,\dots} \nu^n S_1^{(n)}(Q^2),$$

## ∞ Dispersion sum rules valid at all $Q^2$

$$S_1^{(n)}(Q^2) = 4 \int_{Q^2/2M}^{\infty} \frac{d\nu}{\nu^{n+1}} G_1(\nu, Q^2) \quad (n = 0, 2, 4, \dots),$$

# The First $G_1$ Sum Rule

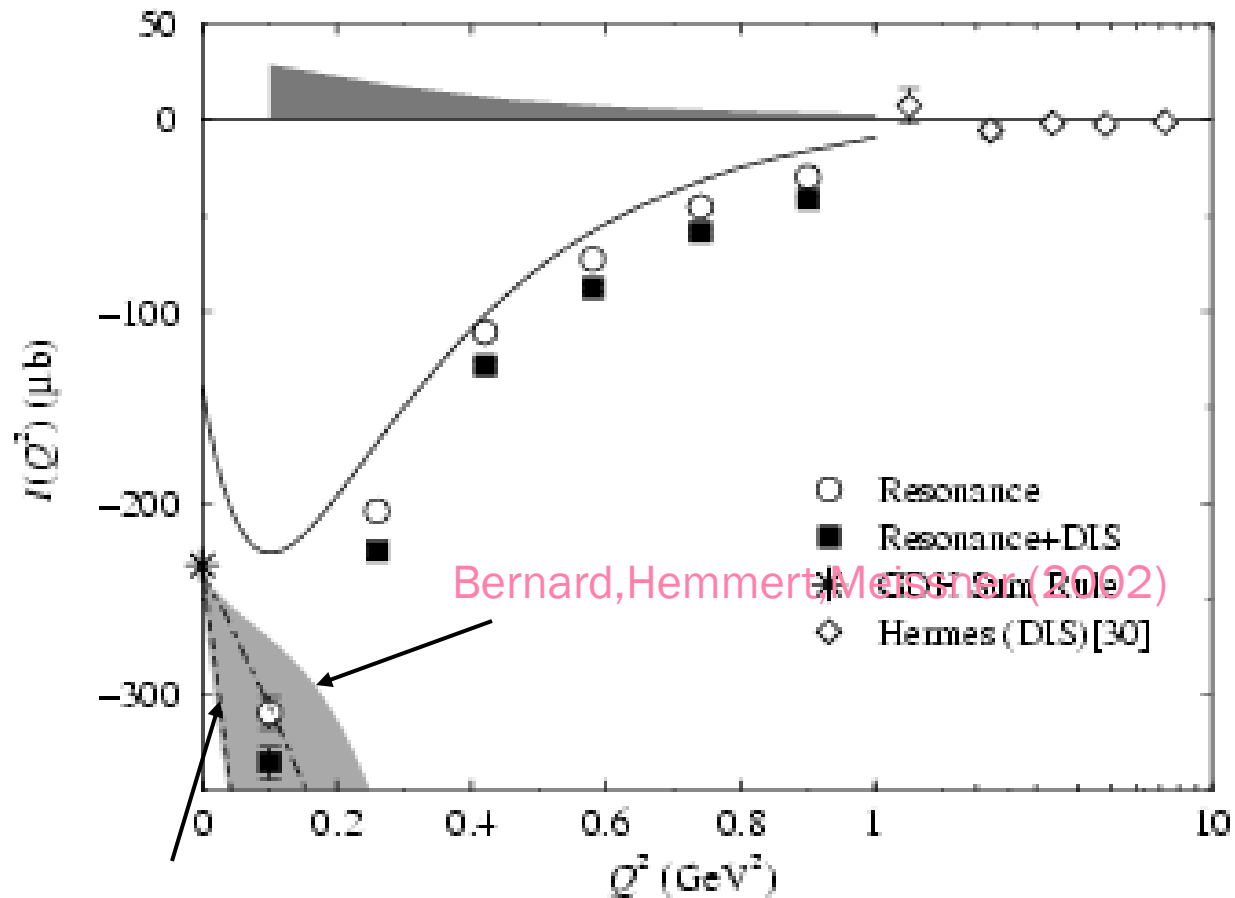
$$S_1(0, Q^2) = 4 \int_{Q^2/2M}^{\infty} \frac{d\nu}{\nu} G_1(\nu, Q^2) .$$

∞ At low- $Q^2$ ,  $S_1(0, Q^2)$  can be calculated in CHIPT

At  $O(p^3)$ ,  $S_1(0, Q^2)$  is zero

At  $O(p^4)$ : [Ji, Kao, Osborne, PLB472,1\(2000\)](#)

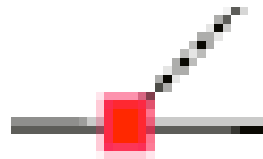
$$\begin{aligned} \overline{S_1}^{O(p^4)}(0, Q^2) &= \frac{g_A^2 \pi m_\pi}{8(4\pi f_\pi)^2 M} \left[ -2(5 + 6\kappa_V + (1 + 6\kappa_S)\tau^3) \right. \\ &\quad \left. + \left( 4(5 + 6\kappa_V + (1 + 6\kappa_S)\tau^3) + \frac{Q^2}{m_\pi^2} (3 + 6\kappa_V + (3 + 10\kappa_S)\tau^3) \right) \right] \\ &\quad \times \sqrt{\frac{m_\pi^2}{Q^2}} \sin^{-1} \sqrt{\frac{Q^2}{4m_\pi^2 + Q^2}} , \end{aligned}$$



Ji, Kao, Osborne (2000)

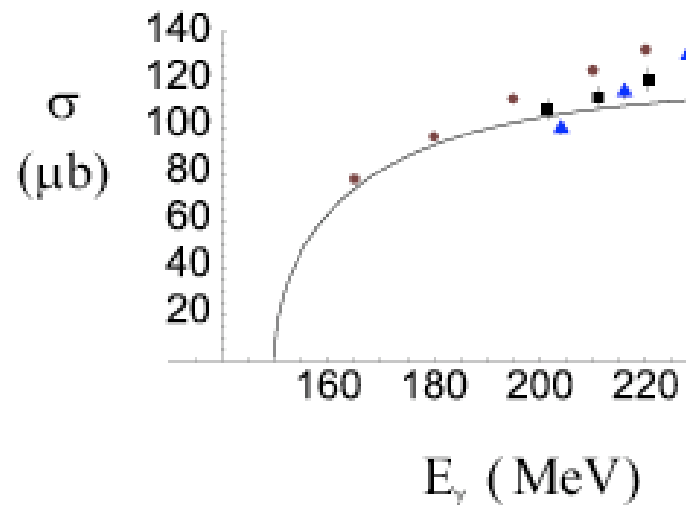
# Parity-Violating Photo-Pion Production

- ∞ Can be used to measure the **parity-violating pion-nucleon coupling**



$$\mathcal{L}^{PV} = -ih_{\pi NN}^{(1)} \pi^+ p^\dagger n + h.c. + \dots,$$

- ∞ Calibration:



# Low-energy theorem

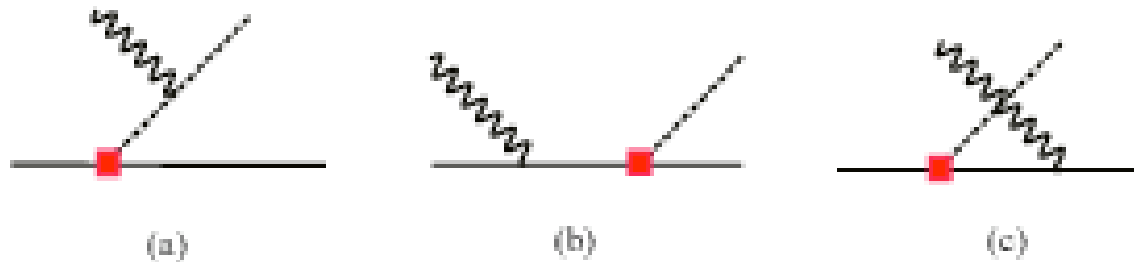


FIG. 2. Feynman diagrams contributing to the parity-violating amplitudes at LO ( $\mathcal{O}(1)$ ) and NLO ( $\mathcal{O}(p)$ ) in  $\vec{\gamma}p \rightarrow \pi^+n$ .

$$A_{\gamma}(\omega_{th}, \theta) = \frac{\sqrt{2}f_{\pi}(\mu_p - \mu_n)}{g_A m_N} h_{\pi NN}^{(1)},$$
$$\sim 2 \times 10^{-7}$$