

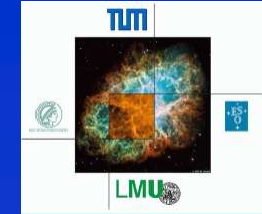


# Nuclear Reactions

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## Lecture 3

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National Nuclear Physics Summer School,  
MSU, June 28 - July 10, 2009



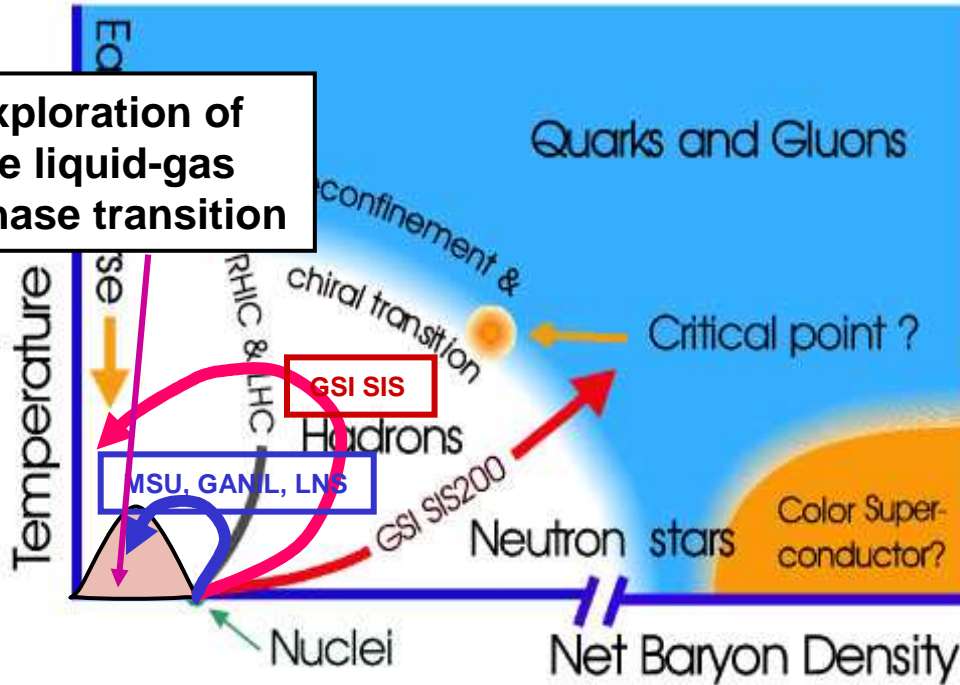
## Status of lecture

- I. **Motivation**  
types and characterization of Nuclear reactions  
argument for transport descriptions
- II. **Heuristic derivation of a transport equation**  
test particle solution
- III. **Elementary derivation of Vlasov eq.**  
Relativistic field theory and relativistic transport eq.
- IV. **Quantum transport theory**
- V. **Characterization and comparison of codes**  
Molecular dynamics
- VI. Fluctuations and transport theories**  
**Instabilities and phase transitions**  
**Boltzmann-Langevin eq. and approx. treatments**
- VI. Overview over important results in heavy ion collisions**

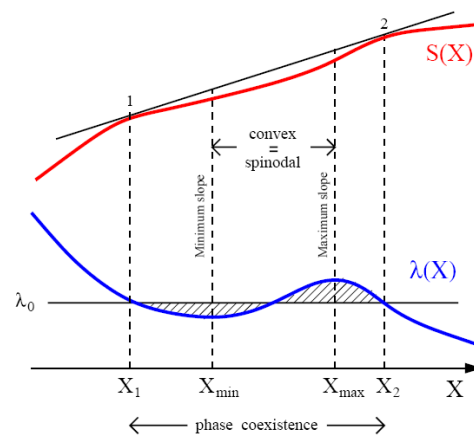
# VI.1

# Instabilities, Fluctuation and Fragmentation

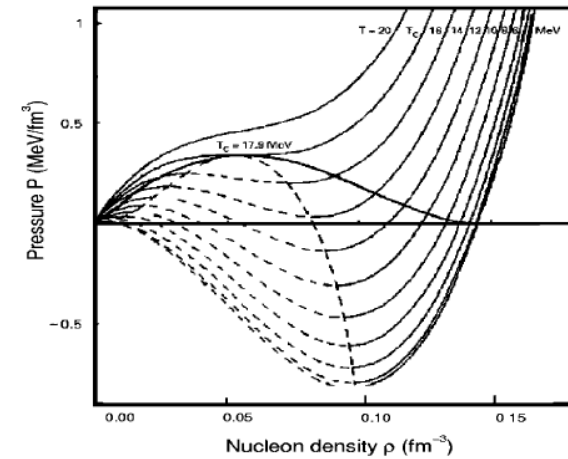
Exploration of the liquid-gas phase transition



also discussed in terms of a „convex intruder“ e.g. in the free energy



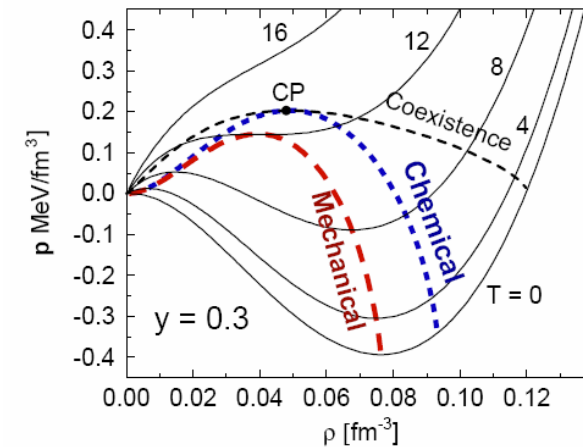
## Van-der-Waals-like EOS



But actually two-component system

$$\text{“Mechanical” : } \left( \frac{\partial P}{\partial \rho} \right)_{T,y} < 0,$$

$$\text{“Chemical” : } \left( \frac{\partial \mu_p}{\partial y} \right)_{T,P} < 0.$$

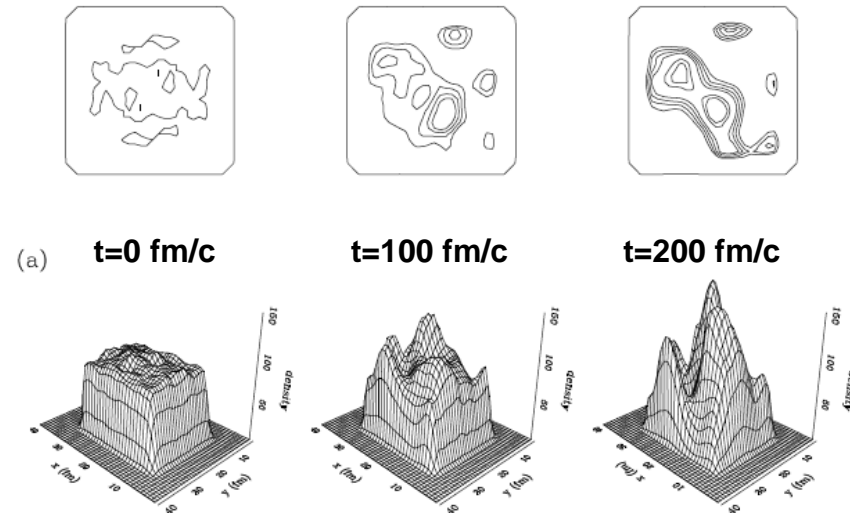


## VI.2

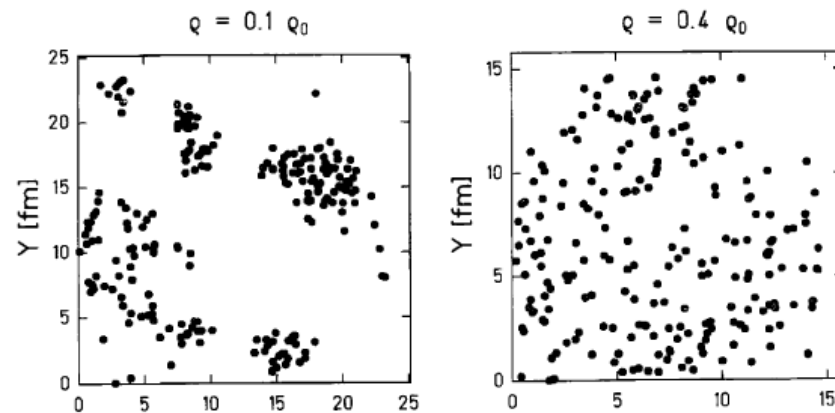
## Evidence of Phase Transitions in Calculations

BNV calculation in a box (periodic boundary conditions) with initial conditions in side the instability region:  $\rho = \rho_0/3$ ,  $T = 5$  MeV,  $\beta = 0$

→ Formation of „clusters (fragments)“, starting from small (numerical“ fluctuations in the density. Time scale shows the growth time of the instable modes



other example as fct. Of initial density



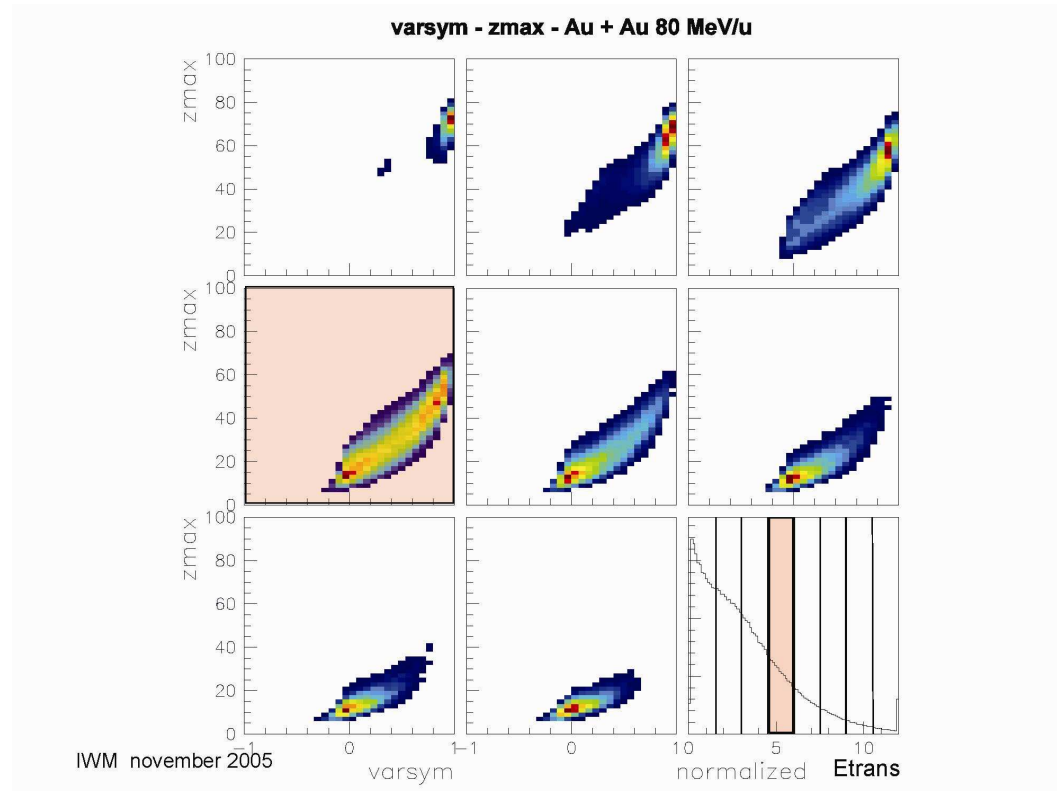
## VI.3

# Signatures of phase transition in experiment

→ Many examples in Sherry's lecture

**Bimodality of the  
Fragment distribution as a  
signature of phase transition;**

**B. Tamain, F. Rivet, GANIL**



$$\text{varsym} = (Z_{\text{max}} - Z_{\text{max}-1}) / (Z_{\text{max}} + Z_{\text{max}-1})$$

varsym  $\approx$  1  $\leftrightarrow$  A big residue + light particles

varsym  $\approx$  0  $\leftrightarrow$  only small fragments

Fluctuations in Phase Space

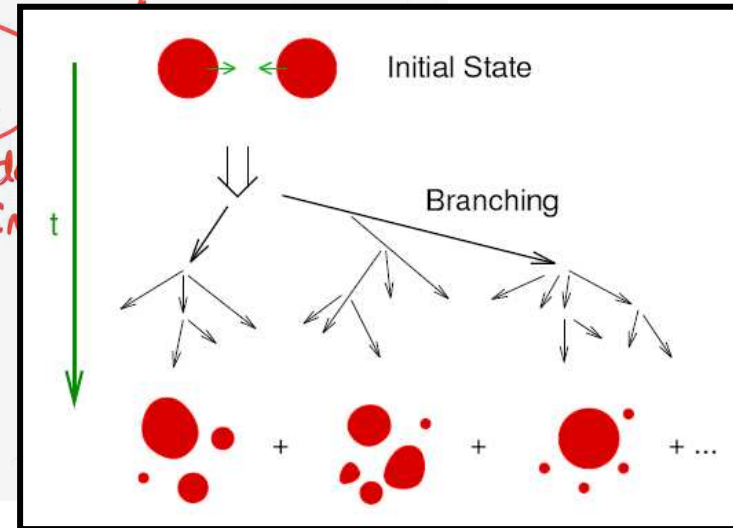
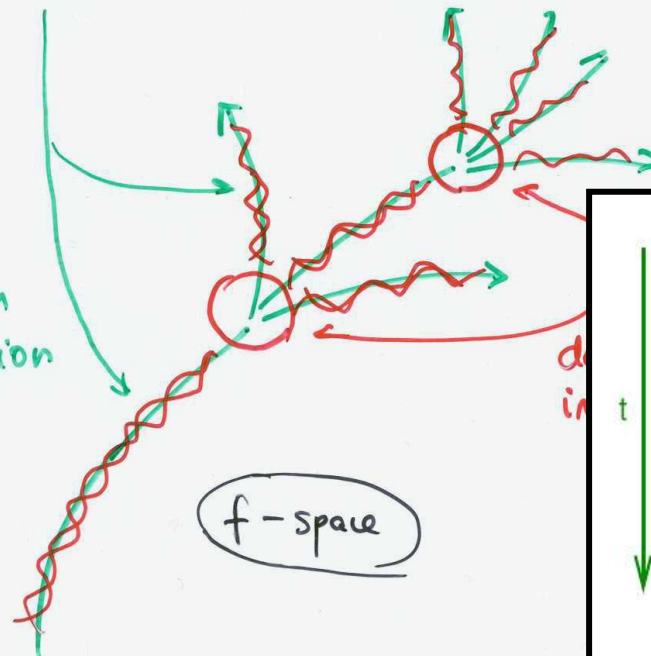
$$f(\vec{r}, \vec{p}, t) = \bar{f}(\vec{r}, \vec{p}, t) + \delta f(\vec{r}, \vec{p}, t)$$

mean field evolution  
(dissipative)

fluctuations  
(higher order correlat.)

$$\frac{d\bar{f}}{dt} = I_{coll} + I_{fluc}$$

govern  
evolution in  
stable region



elementary consideration:

Brownian motion with friction and random force  $R(t)$

$$m \frac{dv}{dt} = -\gamma v + R(t),$$

having the properties

$$\langle R(t) \rangle = 0,$$

$$\langle R(t)R(t') \rangle = I_R \delta(t - t'),$$

Solution for average kinetic energy is

$$\frac{1}{2} m \langle v^2 \rangle = I_R / 4\beta + e^{-\beta t} \langle v(0)^2 \rangle. \quad \xrightarrow{t \rightarrow \infty} \frac{1}{2} T$$

Then

$$\langle R(t)R(t') \rangle = 2\gamma T \delta(t - t').$$

Fluctuation-Dissipation theorem (Einstein relation)

→ **Dissipation (collisions) and Fluctuations necessarily connected!**

## VI.6

## Boltzmann-Langevin Equation

Application of considerations to the Boltzmann transport equation.

Collision term split into average term  $\bar{K}$  and a fluctuation term  $\delta K$

$$\dot{f} \equiv \frac{\partial}{\partial t} f - \{h[f], f\} = K[f] = \bar{K}[f] + \delta K[f],$$

Boltzmann-Langevin equation

The average term is as before

$$\bar{K}(\mathbf{r}, \mathbf{p}_1) = g \sum_{234} W(12; 34) [\bar{f}_1 \bar{f}_2 f_3 f_4 - f_1 f_2 \bar{f}_3 \bar{f}_4],$$

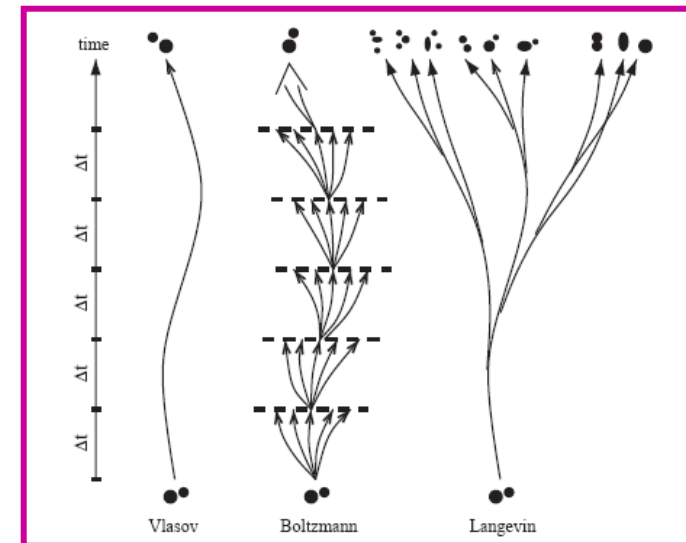
$$W(12; 34) = v_{12} \left( \frac{d\sigma}{d\Omega} \right)_{12 \rightarrow 34} \delta(\mathbf{p}_1 + \mathbf{p}_2 - \mathbf{p}_3 - \mathbf{p}_4),$$

The fluctuating term has the properties

$$\langle \delta K(\mathbf{r}, \mathbf{p}, t) \rangle = 0$$

$$\langle \delta K(\mathbf{r}, \mathbf{p}, t) \delta K(\mathbf{r}', \mathbf{p}', t') \rangle = C(\mathbf{p}, \mathbf{p}', \mathbf{r}, t) \delta(\mathbf{r} - \mathbf{r}') \delta(t - t'),$$

$$C(\mathbf{p}_a, \mathbf{p}_b, \mathbf{r}, t) = \delta_{ab} \sum_{234} W(a2; 34) F(a2; 34) \\ + \sum_{34} [W(ab; 34) F(ab; 34) - 2W(a3; b4) F(a3; b4)],$$





Ref.:

Abe, Ayik, Reinhard, Suraud, Phys.Rep. 275, 49 (96)

P. Chomaz, M. Colonna, J. Randrup, Phys. Rep. 389, 263 (2004)

A. Ono, J. Randrup, Eur. Phys. J. A 30, 109 (2006) (WCI-Book)

**Exact studies (on a lattice) only in 2D: Randrup, Burgio, NPA 529 (1991)**

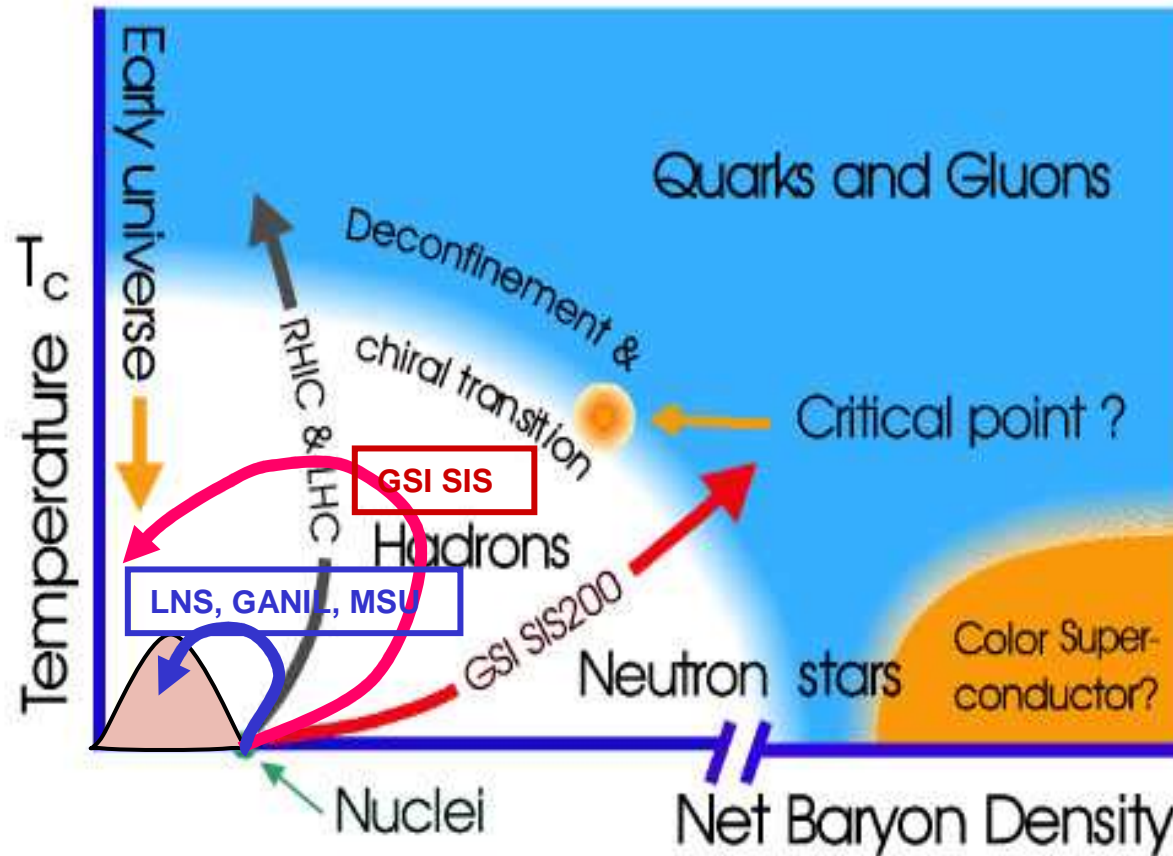
**Approximate studies:**

1. **BOB: replace fluctuation term by fluctuating force, gaged to most unstable mode**  
Colonna, Guarnera
2. **Stochastic MF dynamics: introduce locally statistical fluctuations into the phase space distribution at certain times  $\sigma^2=f(1-f)$ : Colonna, DiToro,...Wolter**
3. **Numerical fluctuations: gauge numerical fluctuations to instability of most unstable mode: Colonna, Di Toro,..**
4. **Molecular dynamics a priori has many body correlations.**  
QMD: Aichelin, Hartnack: study of formation time of clusters  
CoMD: Bonasera, Papa, improve treatment of Pauli principle  
AMD: Ono, fluctuation due to splitting of wave packet.
5. **Studies within BUU an percolation model: W. Bauer, S. Pratt**

**A wide field!!**

# VII.1

## What have we learned about the Phase diagram?



### Low energy (Fermi regime):

Fragmentation, liquid-gas phase transition,

Deep inelastic

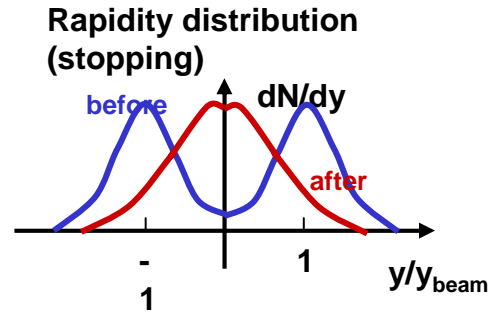
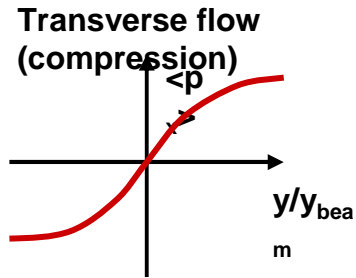
### High energy (relativistic):

Compression, particle production, temperature.

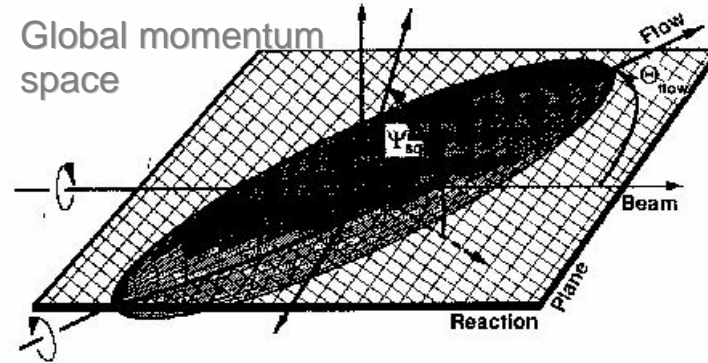
modification of hadron properties (i.e. hadron spectral functions)!

# VII.2

# Flow Observables



modern approach:



$$Flow : N(\Theta; y, p_t, b) = N_0 (1 + v_1(y, p_t) \cos \Theta + v_2(y, p_t) \cos 2\Theta + \dots)$$

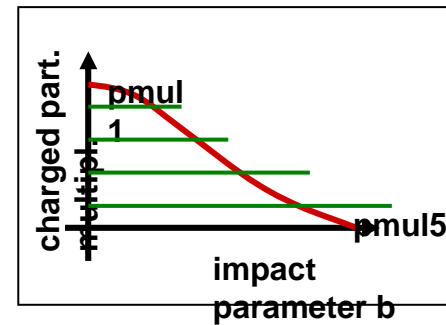
$v_1$ : Sideward flow

$v_2$ : Elliptic flow

$$rapidity \quad y = \frac{1}{2} \ln \frac{1 + \beta_z}{1 - \beta_z}$$

impact parameter selection

charged particle multiplicity

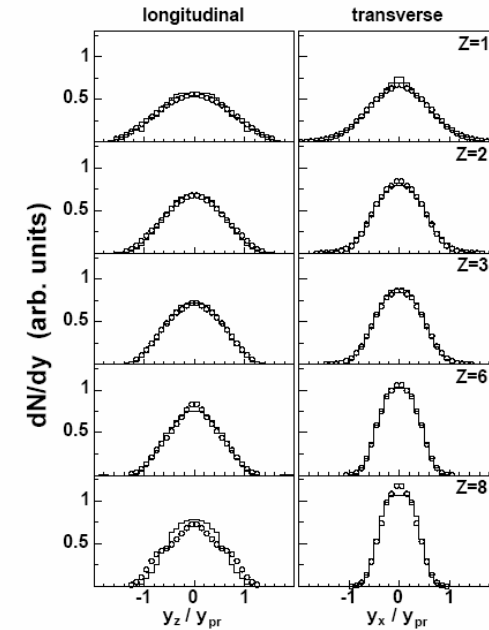
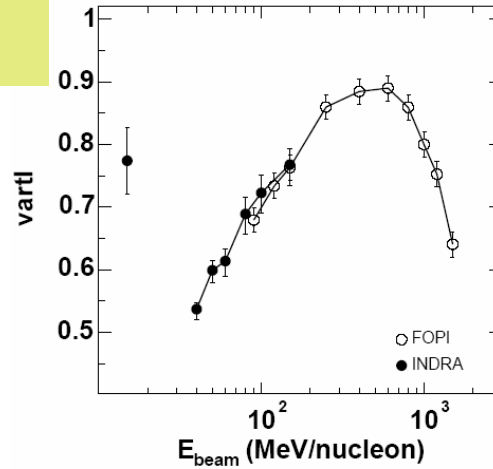


# VII.3

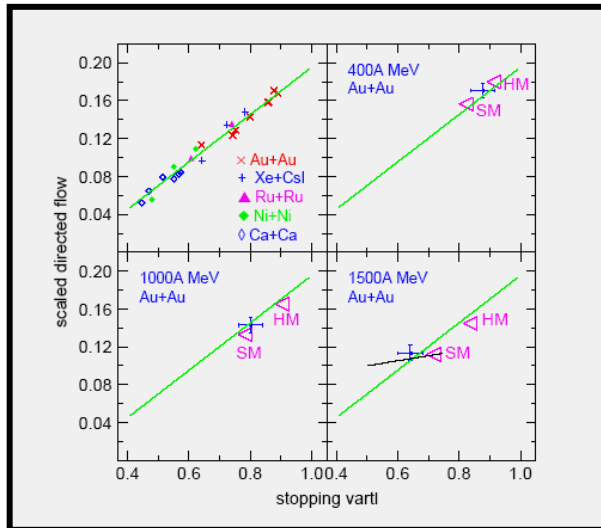
# Stopping in HIC

**Longitudinal and transverse rapidity distributions:  
A probe for equilibration**

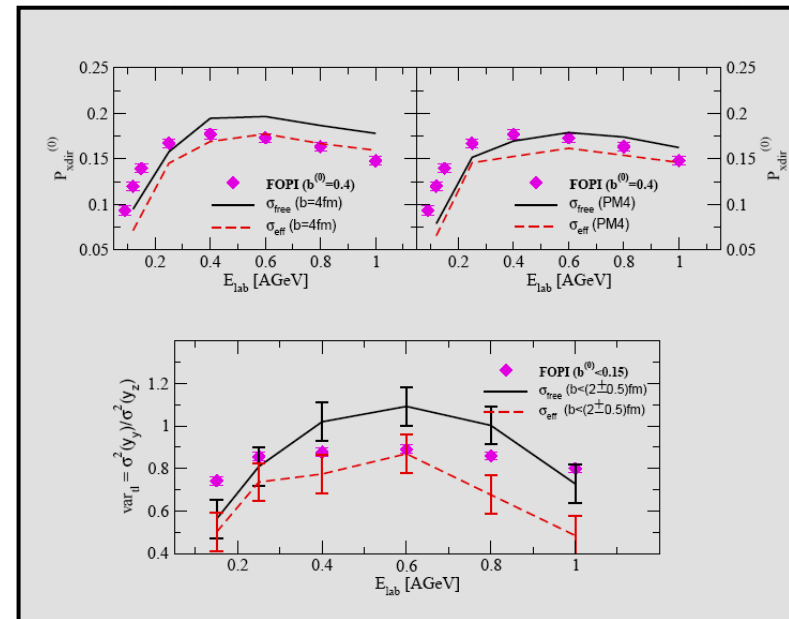
$$\text{var}_{tl}(E_{beam}) = \frac{\sigma_{\perp}^2}{\sigma_z^2}$$



**Correlation between stopping and transverse flow**



**Shown also in calculations, depending on in-medium cross section**

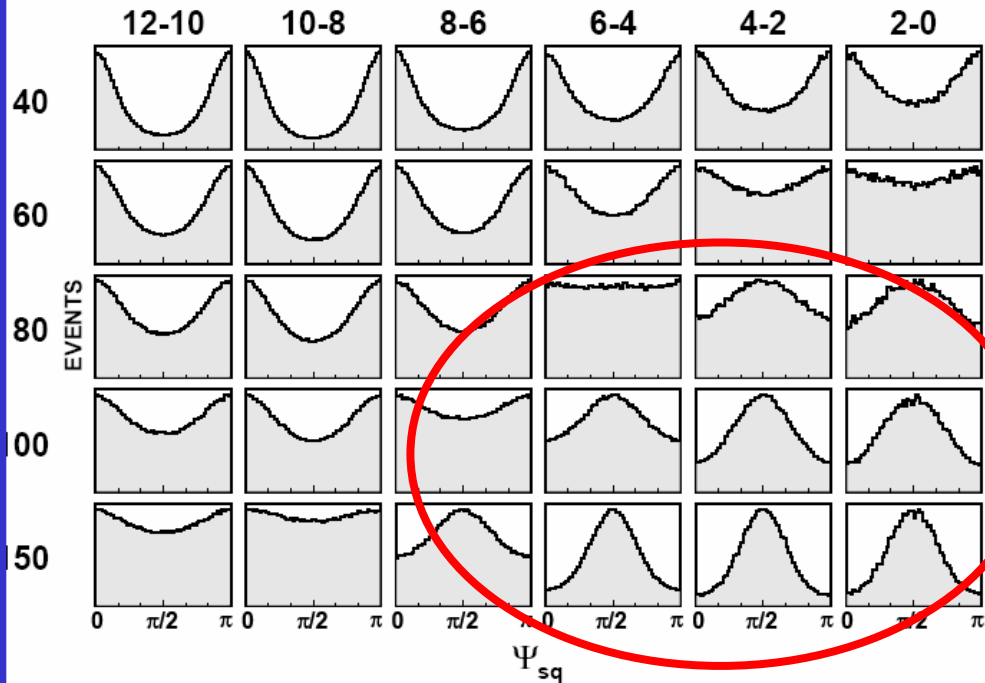


Ref. W. Reisdorf, et al., Nucl.Phys.A781,459,2007

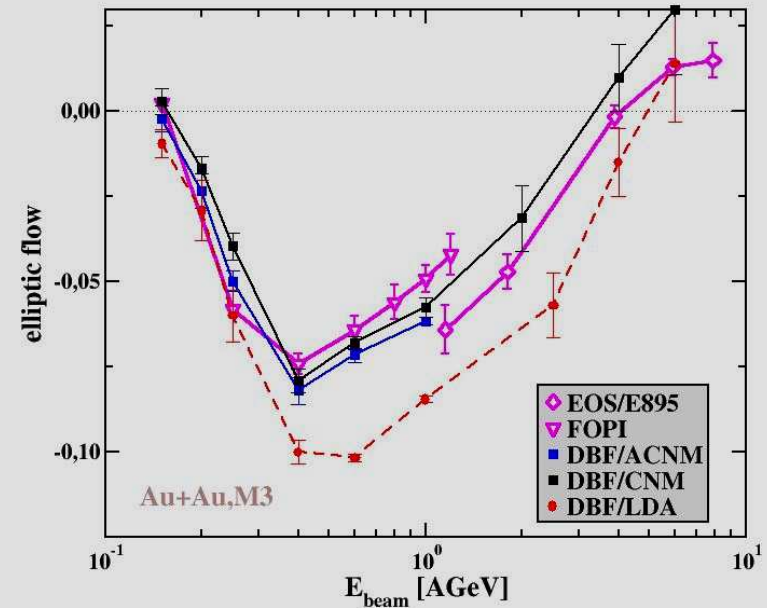
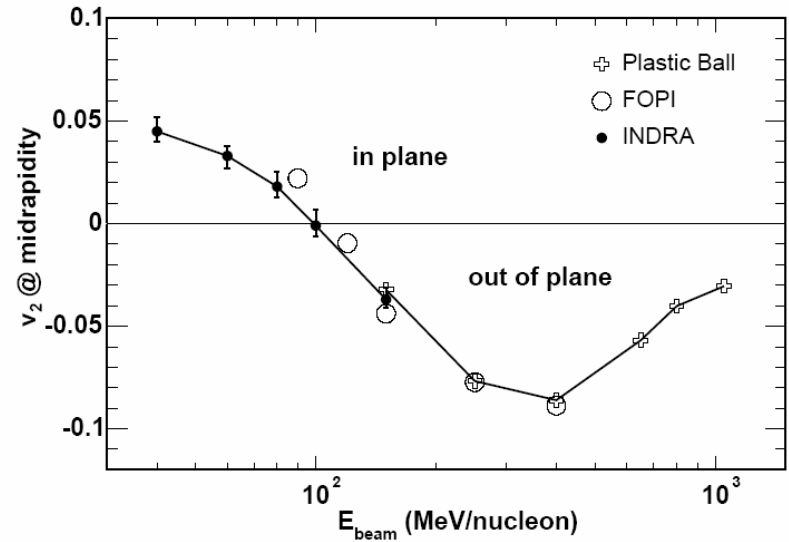
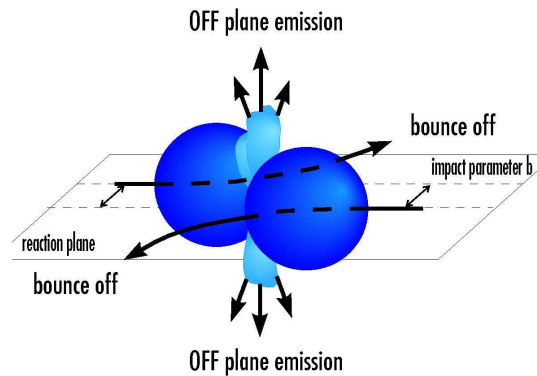
# VII.4

# Elliptic Flow

Evolution with impact parameter and energy



**inversion of pattern: squeeze out**



# VII.5

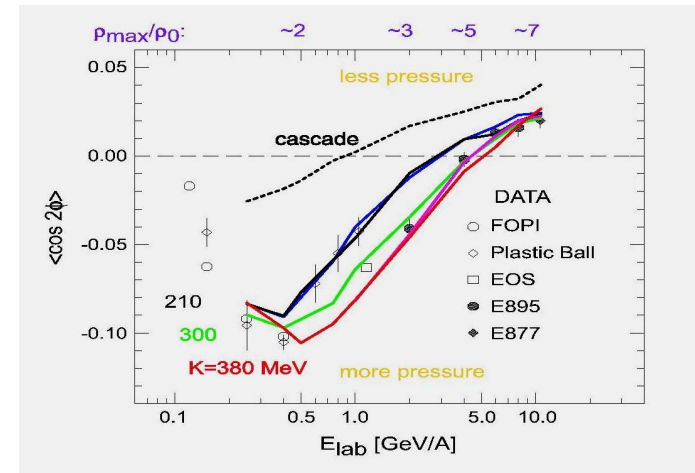
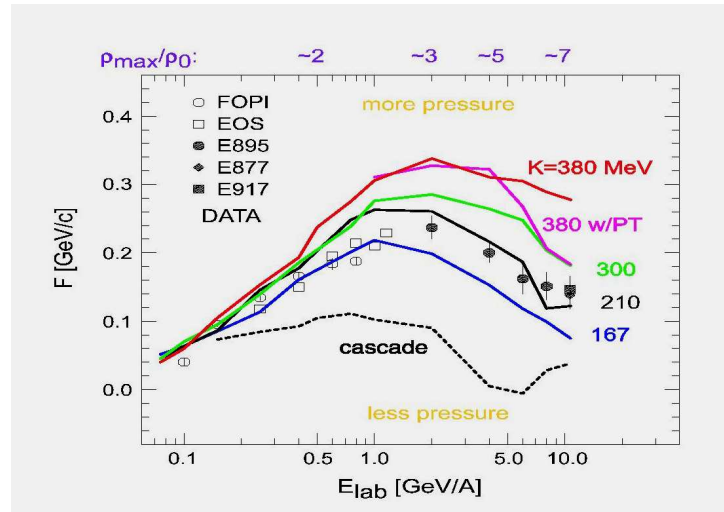
# Limits for the EOS

$$Flow : N(\Theta; y, p_t, b) = N_0(1 + v_1(y, p_t) \cos \Theta + v_2(y, p_t) \cos 2\Theta + \dots)$$

$v_1$ : Sideward flow

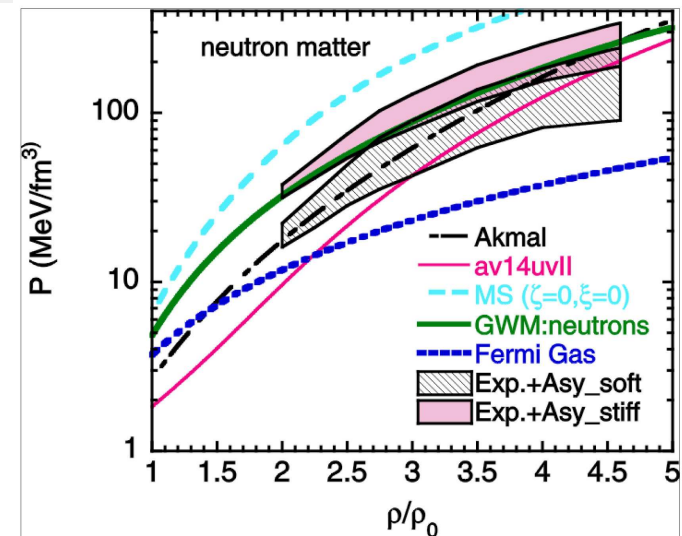
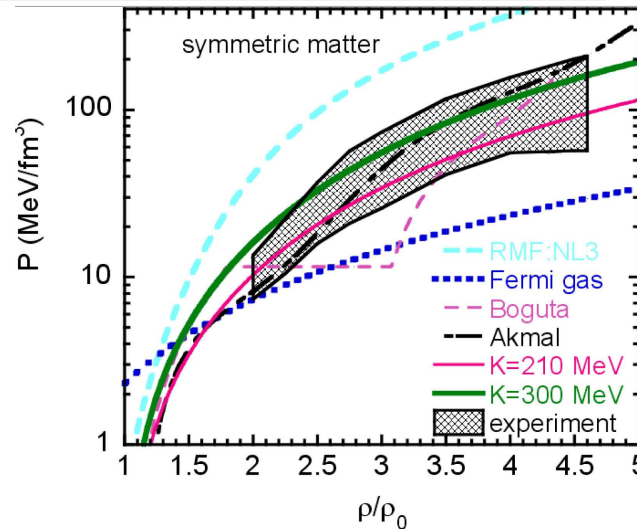
$v_2$ : Elliptic flow

Flow and elliptic flow described in a model which allows to vary the stiffness (incompressibility  $K$ ), and has a momentum dependence



Deduced limits for the EOS (pressure vs. density) for symmetric nm (left).

The neutron EOS (i.e. the symmetry energy) is still uncertain, thus two areas are given for two different assumptions.

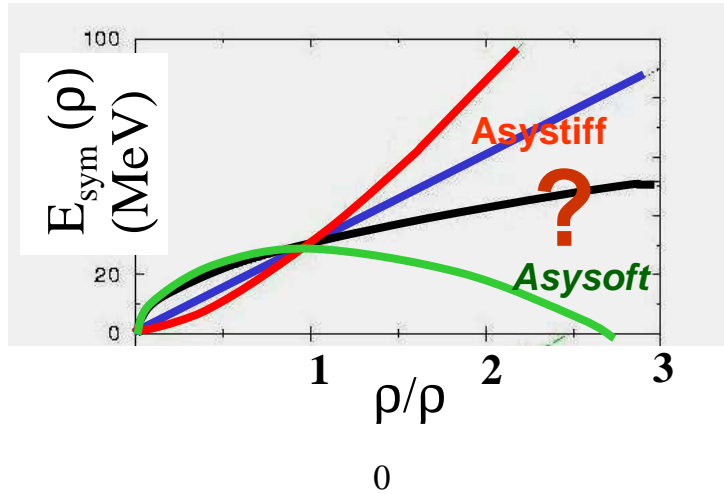


# VII.6

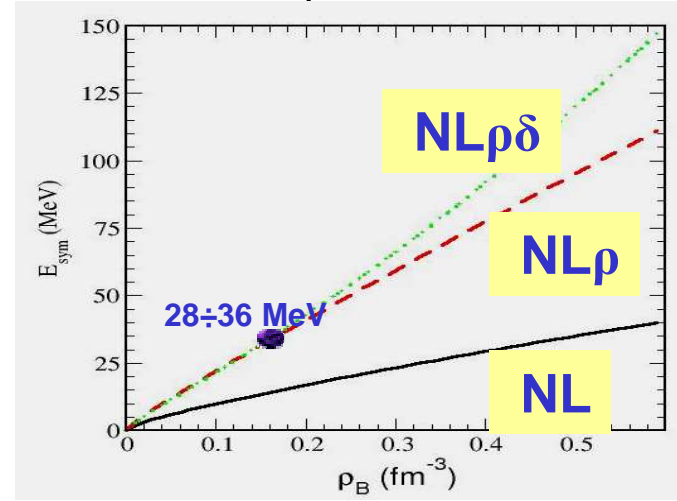
# Isospin Flow

## PROBING THE SYMMETRY ENERGY WITH FLOW IN HIC. ISO-FLOWS

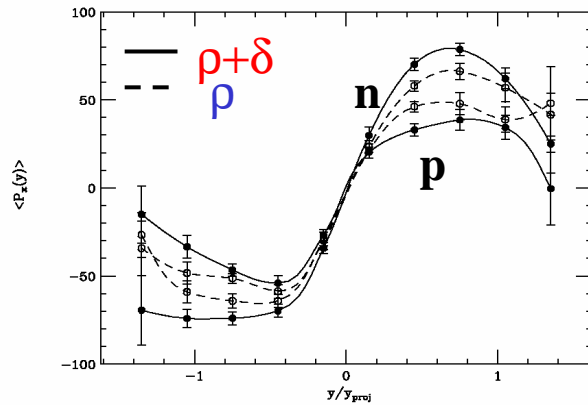
The symmetry energy:



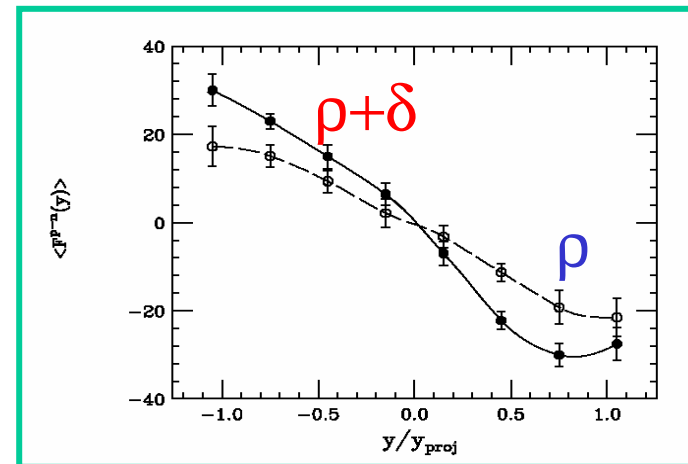
RMF model with  $\rho$  and  $\sigma$  mesons:



$$E_{sym} = \frac{1}{6} \frac{k_F^2}{E_F^{*2}} + \frac{1}{2} \left[ f_\rho - f_\delta \left( \frac{M^*}{E^*} \right)^2 \right] \rho_B$$

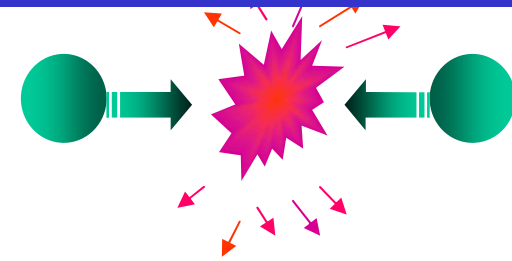


Greater  $E_{sym} \Rightarrow$  stiffer  $F_{np}$



What can one learn from different species?

- **photons**: high energy: first chance pn-collisions
- **pions**: production at all stages of the evolution via the  $\Delta$ -resonance
- **kaons** (strange mesons with high mass): subthreshold production, probe of high density phase
- **ratios** of  $\pi^+/\pi^-$  and  $K^0/K^+$ : probe for symmetry energy



$p, n, d, t, {}^3, {}^4\text{He}, \dots,$

$\pi^+, \pi^-, \pi^0, \dots, K^+, K^0, K^-, \dots$

Inelastic collisions: Production of particles and resonances:  
Coupled transport equations

e.g. kaon production;  
coupling of  $\Delta$  and strange-  
ness channels.

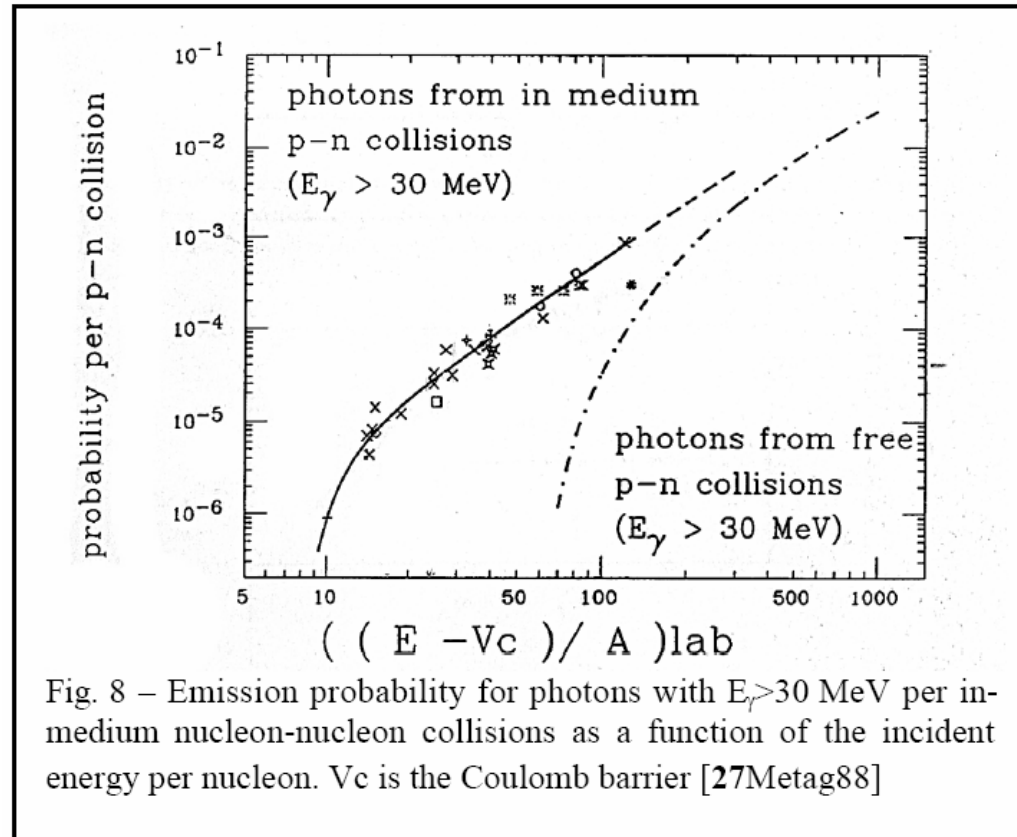
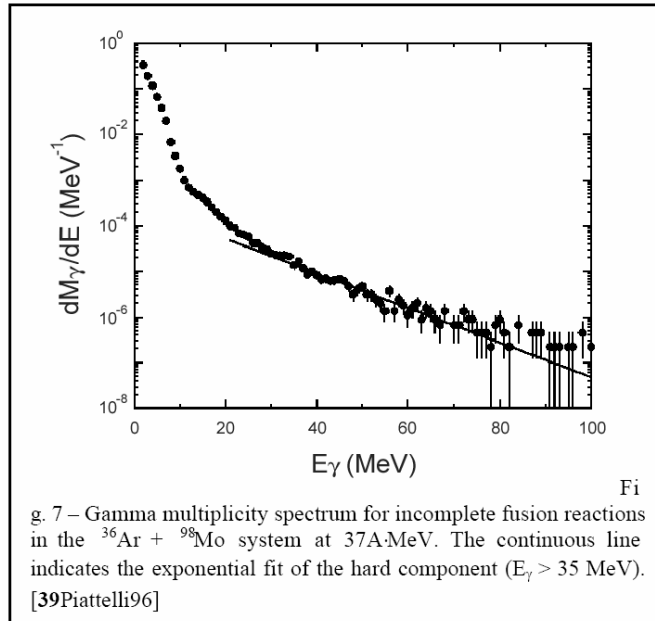
$$\frac{d}{dt} f_N(x_\mu) = I_{\text{coll}}(\sigma_{NN \rightarrow NN} f_N; \sigma_{NN \rightarrow N\Delta} f_\Delta; \dots)$$

$$\frac{d}{dt} f_\Delta(x_\mu) = I_{\text{coll}}(\sigma_{\Delta N \rightarrow NYK} f_Y f_K; \dots)$$

etc.



## High energy (hard) photon production in HIC:



Universal curve, when scaled relative to Coulomb barrier:

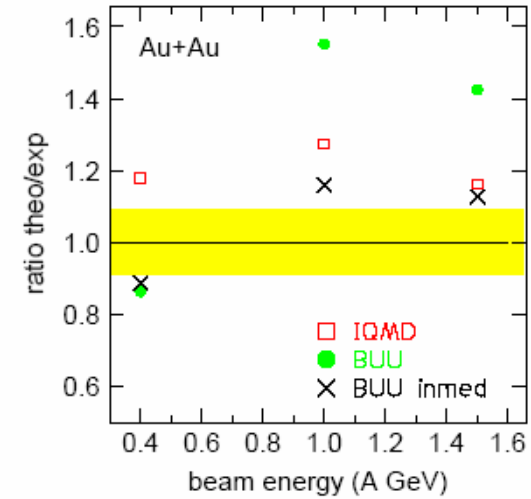
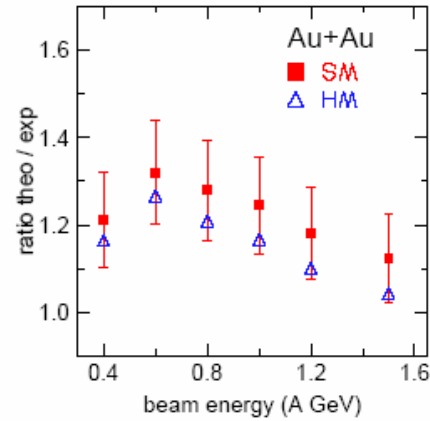
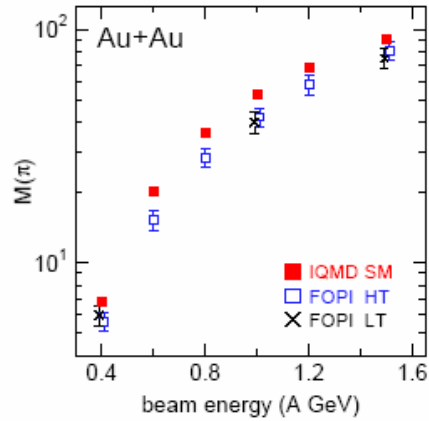
→ First chance pn collisions

→ medium modification of pny cross section

# VII.9

# Pions

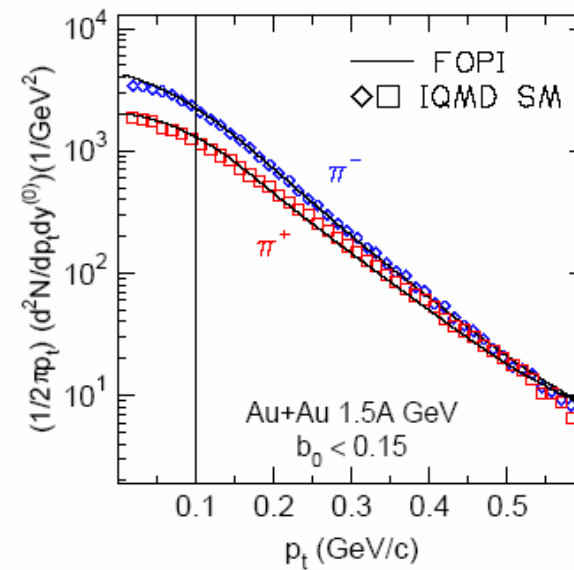
## Pion multiplicity excitation function



## energy spectra

Transport calculations reproduce the main features of pion production.

But pion production can also be used as a probe for the symmetry energy....



$\pi^+ / \pi^-$  -ratios as a probe for the iso-EOS,  
... and comparison with calculations

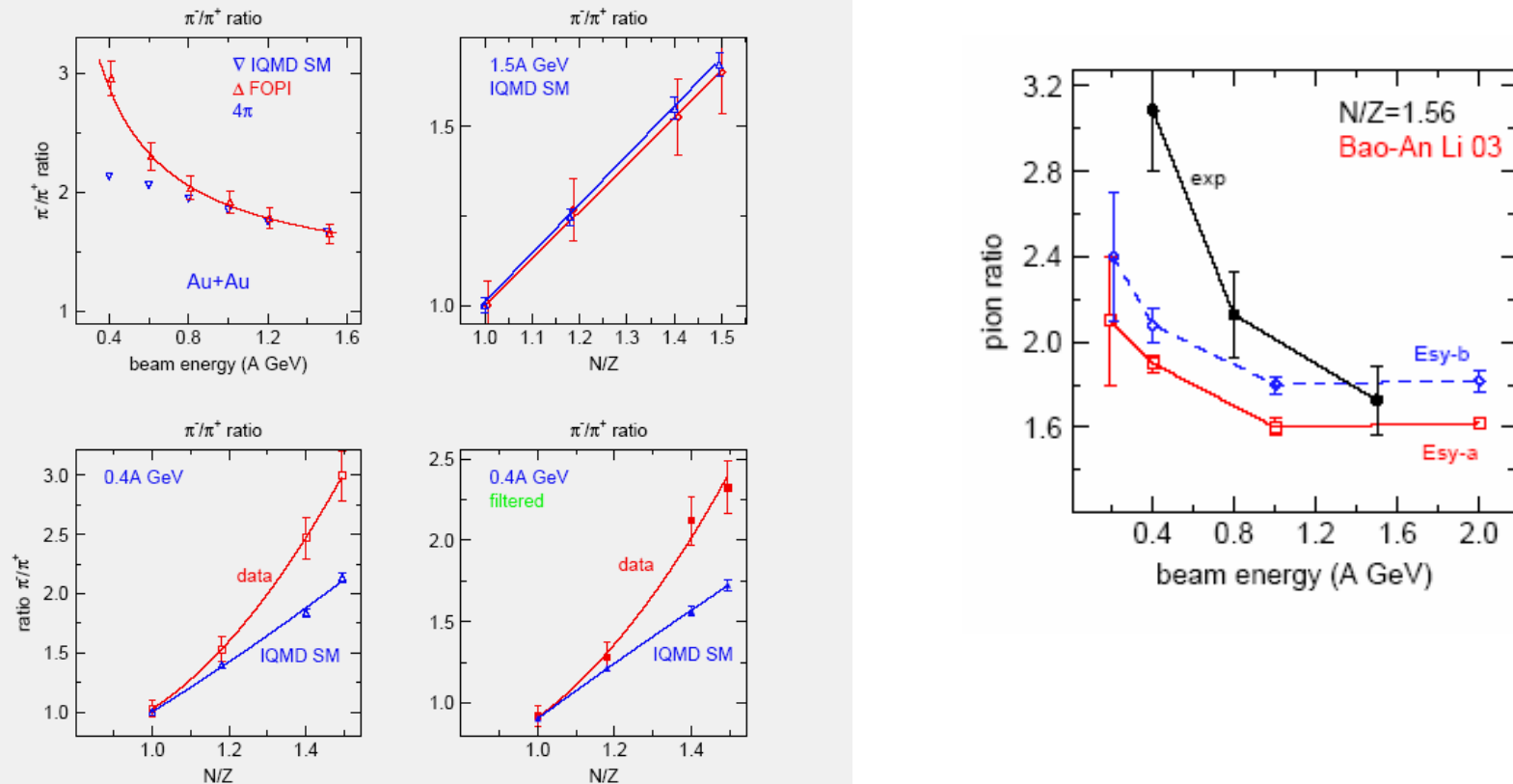
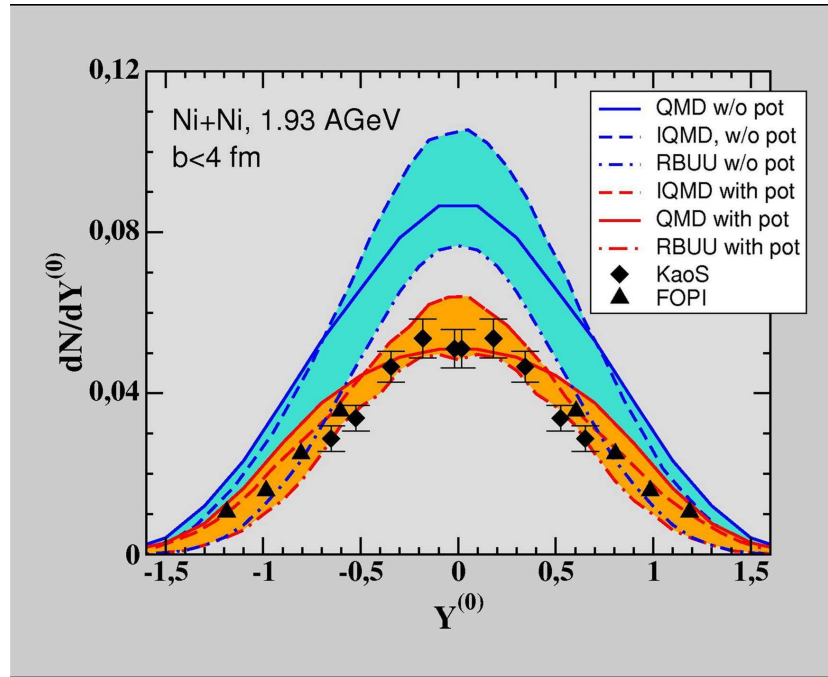


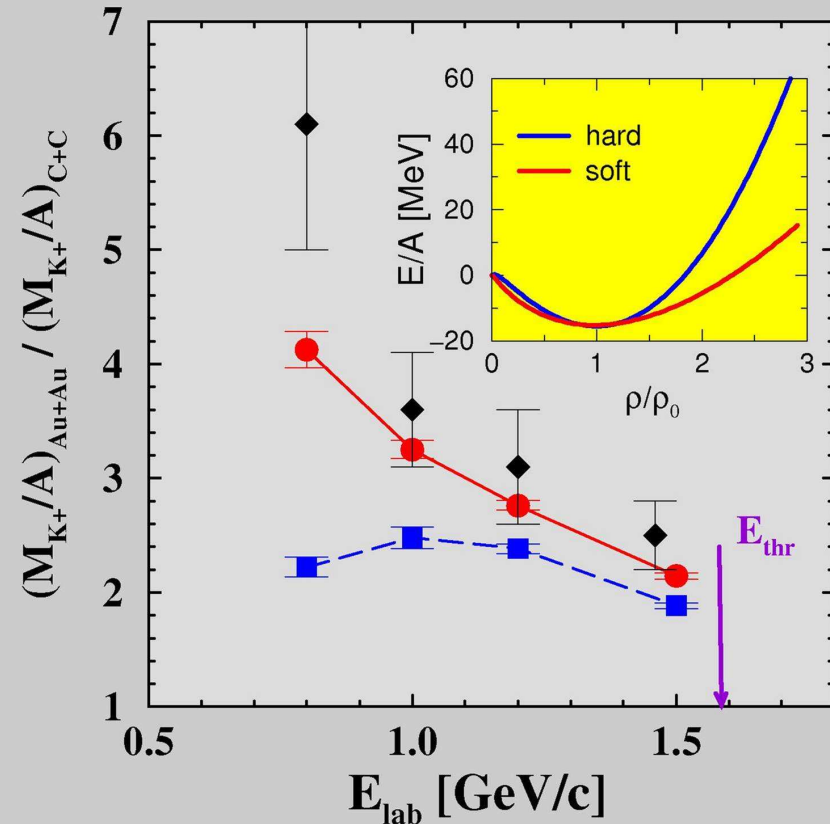
Fig. 25. Upper left panel: Excitation function of the  $4\pi$ -integrated ratio of  $\pi^-/\pi^+$  yields in central Au+Au collisions. The experimental data are joined by a least squares fit of the function  $c_0 + c_{-1}(E/A)^{-1}$  excluding the lowest energy point. The IQMD SM prediction (triangles) is also given. Upper right and lower left panels: the  $N/Z$  dependence at 1.5A, respectively 0.4A GeV of the  $\pi^-/\pi^+$  ratio. The solid lines are least squares fits of linear or quadratic ( $N/Z$ ) dependence. Lower right panel: same as lower left panel, but for filtered data.

**Kaon Production:**

**A good way to determine the symmetric EOS (C. Fuchs et al., PRL 86(01)1974)**



QMD: Zheng, C.F. et al., PLB 434 (1998) 358  
 QMD: Hartnack, Aichelin, JPG 28 (2002) 1649  
 RBUU: Mishra, Bratkovskaya et al, PRC 70 (2004) 044904

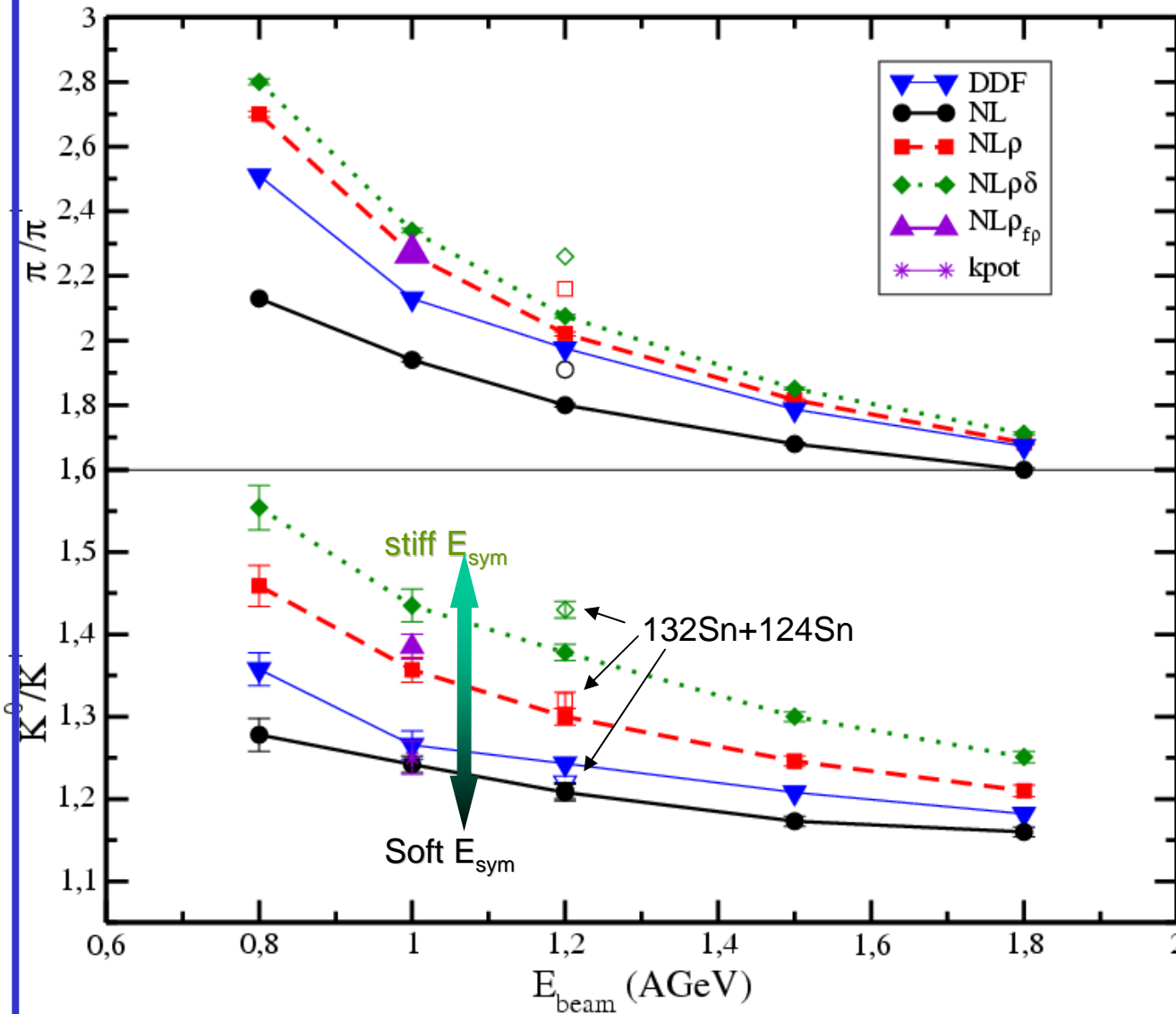


● soft EOS, with pot  
 ■ hard EOS, with pot  
 ◆ KaoS, Sturm et al., PRL 86 (2001)

# VII.12

# Kaon Ratios as Probe of Symmetry energy

**Pion and kaon ratios in central Au+Au...**



G. Ferini, et al.,  
 Phys.Rev.Lett.97:202301,2  
 006, nucl-th/0607005

**Kaons:**  
 ~15% difference between  
 DDF and NL $\rho\delta$

Not sensitive to  
 the K-potential  
 (iso-dep.?)

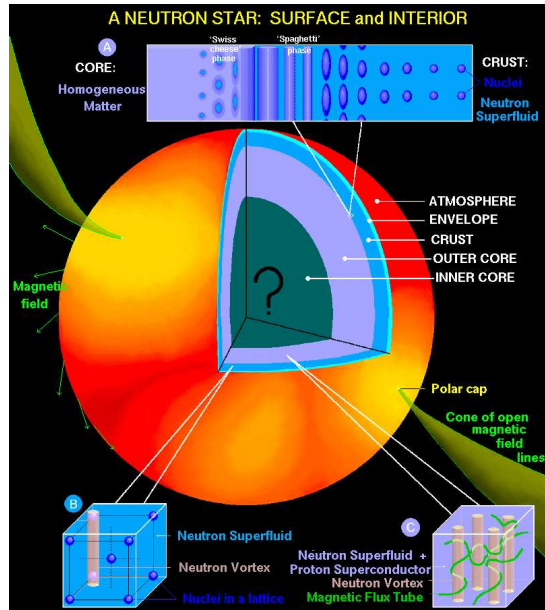
**Pions: less sensitivity ~10%, but larger yields**

# VII.13

# Neutron Star properties and the Symmetry Energy

## Astrophysical Connections, esp. for Iso-Vector EOS

### Neutron Star Structure



### Neutron star models

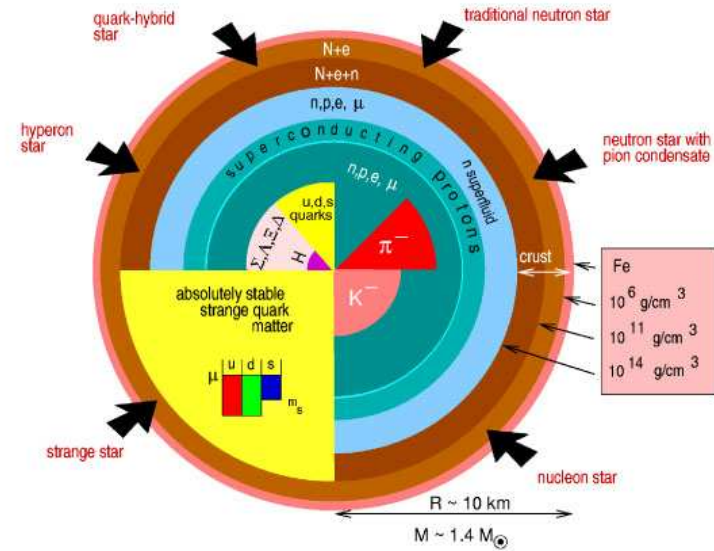


Figure 3.3: Possible novel phases and structures of subatomic matter: (i) a large population of hyperons ( $\Lambda, \Sigma, \Xi$ ), (ii) condensates of negatively charged mesons with and without strange quarks (kaons or pions), (iii) a plasma of up, down, strange quarks and gluons (strange quark matter). Compilation by F. Weber [1].

### Constraints on the Equation-of-state

- from neutron stars: maximum mass

gravitational mass vs  
baryonic mass

direct URCA process

mass-radius relation

- from heavy ion collisions: flow constraint

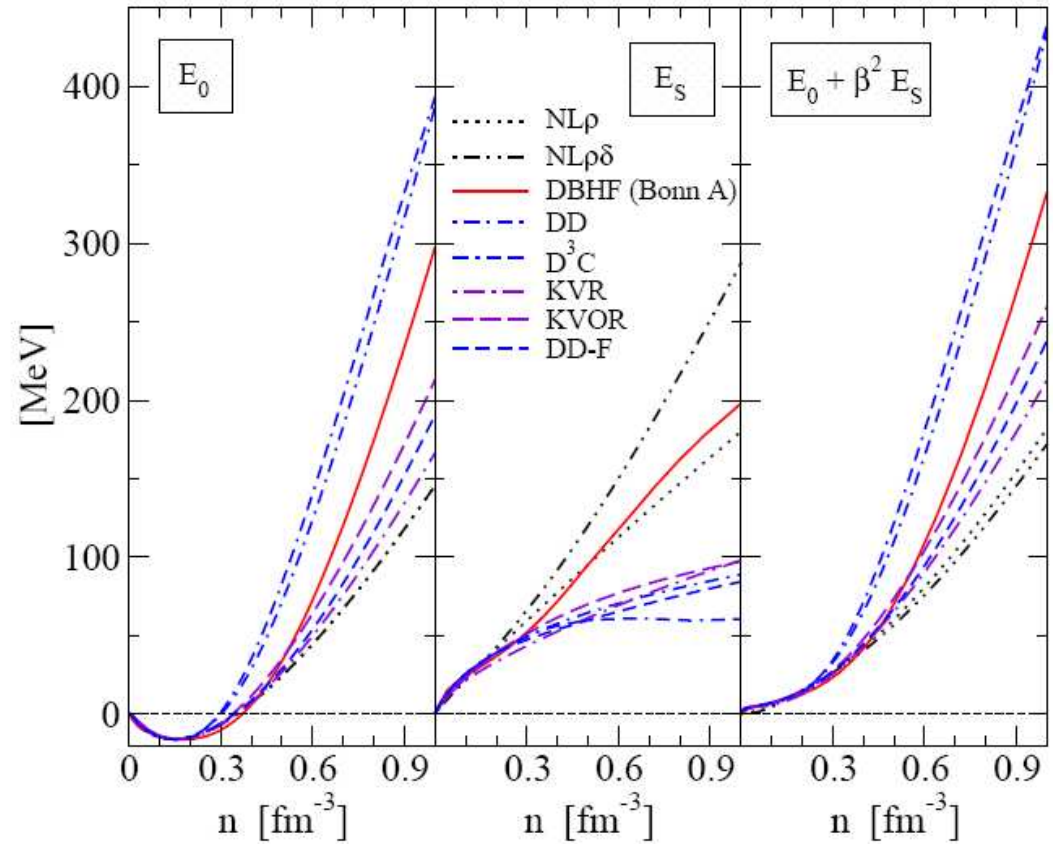
kaon production

Constraints on the high-density nuclear equation of state from the phenomenology of compact stars and heavy-ion collisions

T. Klähn,<sup>1,2,\*</sup> D. Blaschke,<sup>3,4,†</sup> S. Typel,<sup>3</sup> E.N.E. van Dalen,<sup>2</sup> A. Faessler,<sup>2</sup>  
C. Fuchs,<sup>2</sup> T. Gaitanos,<sup>5</sup> H. Grigorian,<sup>1,6</sup> A. Ho,<sup>7</sup> E.E. Kolomeitsev,<sup>8</sup> M.C. Miller,<sup>9</sup>  
G. Röpke,<sup>1</sup> J. Trümper,<sup>10</sup> D.N. Voskresensky,<sup>3,11</sup> F. Weber,<sup>7</sup> and H.H. Wolter<sup>5</sup>

Phys.Rev. C74 (2006) 035802

## Equations of State tested:



$$\frac{B}{A} = E_0(n) + \beta^2 E_S(n) ;$$

$$\approx a_V + \frac{K}{18}\epsilon^2 - \frac{K'}{162}\epsilon^3 + \dots$$

$$\dots + \beta^2 \left( J + \frac{L}{3}\epsilon + \dots \right) + \dots$$

$$\epsilon = (n - n_{sat})/n$$

$$\beta = (n_n - n_p)/(n_n + n_p)$$

Model	$n_{sat}$	$a_V$	$K$	$K'$	$J$	$L$	$m_D/m$
	[fm <sup>-3</sup> ]	[MeV]	[MeV]	[MeV]	[MeV]	[MeV]	
NLρ	0.1459	-16.062	203.3	576.5	30.8	83.1	0.603
NLρδ	0.1459	-16.062	203.3	576.5	31.0	92.3	0.603
DBHF	0.1779	-16.160	201.6	507.9	33.7	69.4	0.684
DD	0.1487	-16.021	240.0	-134.6	32.0	56.0	0.565
D <sup>3</sup> C	0.1510	-15.981	232.5	-716.8	31.9	59.3	0.541
KVR	0.1600	-15.800	250.0	528.8	28.8	55.8	0.800
KVOR	0.1600	-16.000	275.0	422.8	32.9	73.6	0.800
DD-F	0.1469	-16.024	223.1	757.8	31.6	56.0	0.556

NS masses and cooling behaviour depends on iso-vector EOS

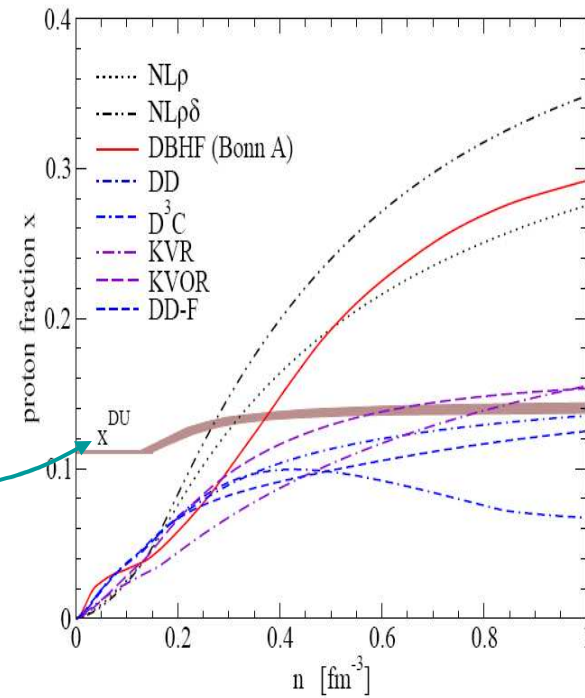
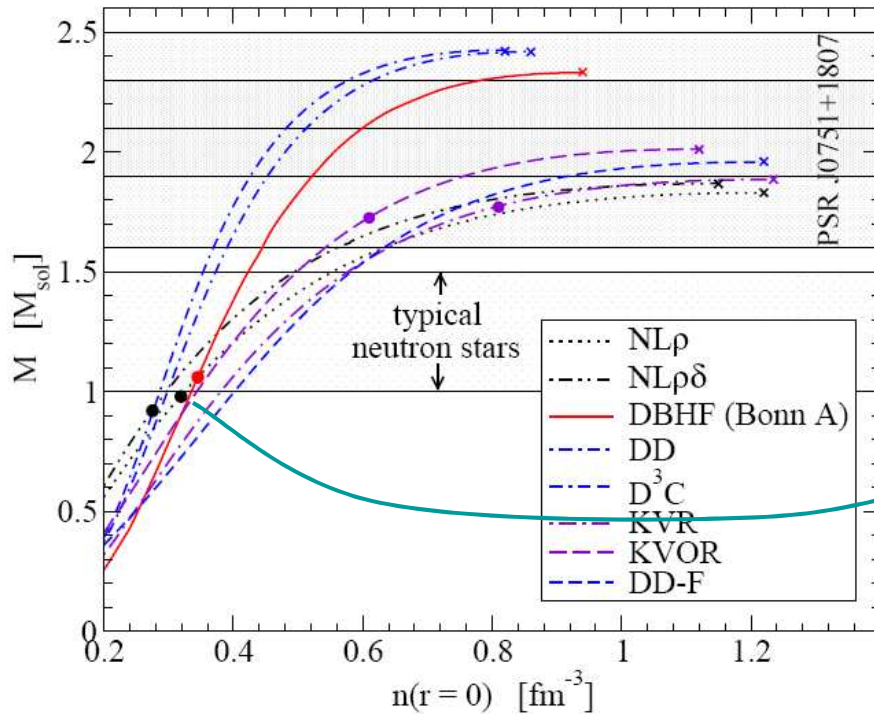
Tolman-Oppenheimer-Volkov equation to determine mass of neutron star

$$\frac{dP(r)}{dr} = -\frac{Gm(r)\epsilon(r)}{r^2} \left(1 + \frac{P(r)}{\epsilon(r)}\right) \left(1 + \frac{4\pi r^3 P(r)}{m(r)}\right) \left(1 - \frac{2Gm(r)}{r}\right)$$

$$m(r) = 4\pi \int_0^r dr' r'^2 \epsilon(r')$$

Proton fraction and direct URCA

- $\beta$ -equilibrium and charge neutrality :  $y = \frac{N}{Z} = y(\epsilon_{sym})$
- direct URCA process :  $p \rightarrow n + e^+ + \nu_e$   
threshold :  $y \approx 11\%$ , fast neutrino cooling

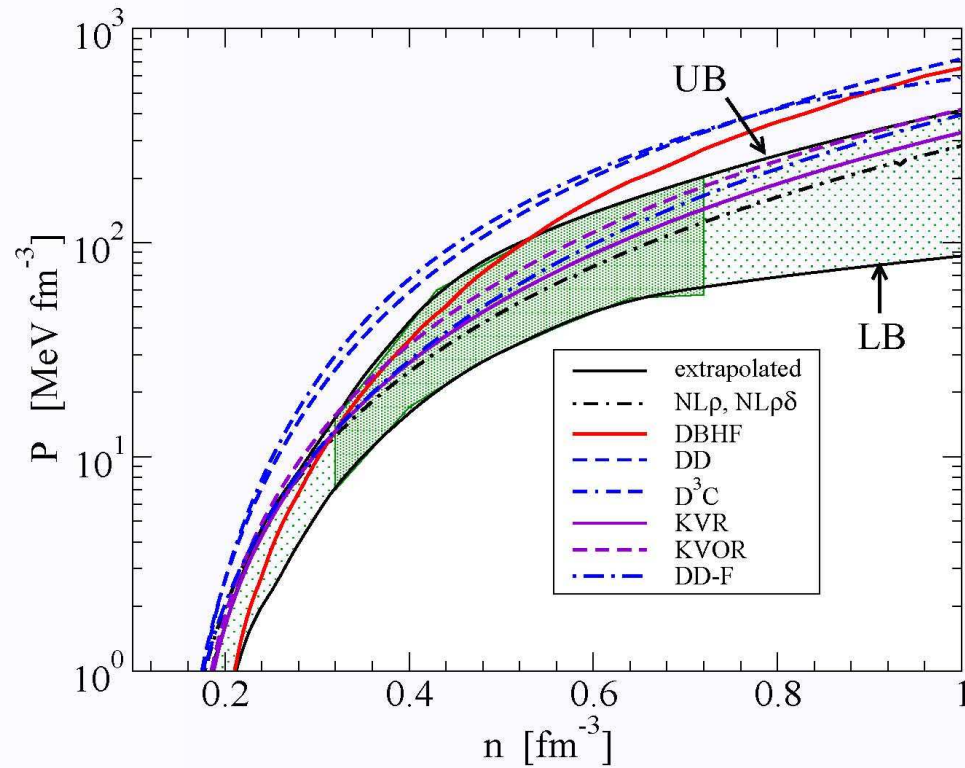


Forbidden by Direct URCA constraint



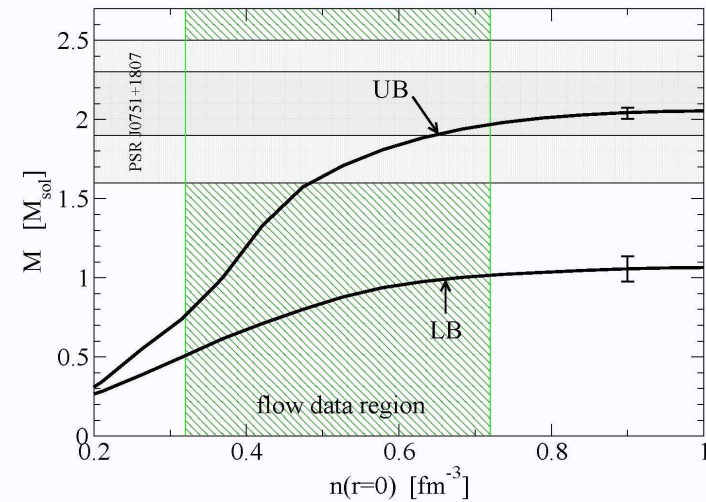
Flow-Constraint from HIC:

(P.Danielewicz, R. Lacey, W.G. Lynch, Science 298, 1592 (2002))



Maximum Mass by Flow Constraint

How strong is the flow constraint?



LB not reliable ↔ Maximum mass constraint demands stiff EoS

(applied "universal"  $\beta^2 E_S$  (error bars!))

**The End**

**Thank you very much for  
the interest**

**-and I hope the lecture  
was instructive**