

# The Physics of Nuclei – I: Building Nuclei

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# Synopsis: Three Lectures

## I. Building Nuclei

Nucleons, NN forces, Effective Forces  
Few-body dynamics, Halo Nuclei

## II. Nuclear Structure

Liquid Drop,  
Shell model,  
Density Functional Descriptions

## III. Nuclear Reactions

Types of Reactions,  
Scattering, Mechanisms

# Who am I?

## Ian Thompson

- I am a theorist
- Ph.D. in New Zealand; Postdoc. at Daresbury Lab (UK)
- Teaching at Bristol, then Surrey University, up to 2006
- Solving quantum reaction problems (also halo structure)
- Comparisons of 'good theories' with experiments.
- 1 year ago: moved to Lawrence Livermore Lab (CA)
- Writing a Book on Reaction Theory

# Who are you?

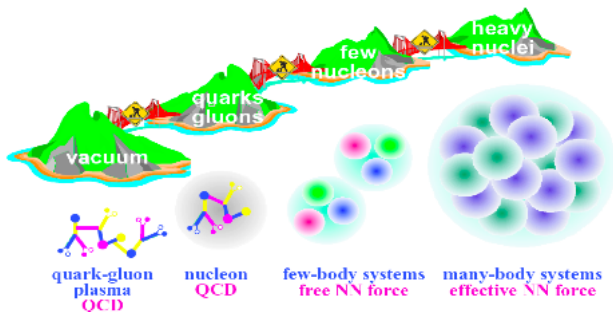
## Many students!

- Theory or Experiment?
- Beginning Ph.D. or mid Ph.D., or postdoc?  
(where in the U.S., or overseas?)
- Which experiments are interesting?
- What do you hope to learn?
-

# Building Nuclei

We look for how the quarks makes nucleons,  
 which interact to make nuclei.

## The Islands of Hadronic and Nuclear Physics



# Using Nuclei

## Beams and Targets (or electrons)

Know your target!

## Test fundamental symmetries

E.g. by mixing intrinsic nuclear symmetries

## Nuclear astrophysics

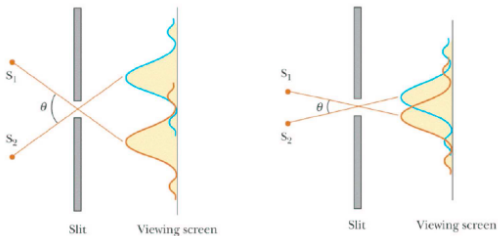
Nucleosynthesis, supernovae, neutron stars

## New structures of exotic nuclei

E.g. near the proton and neutron drip lines

# Fermionic Many-Body Systems

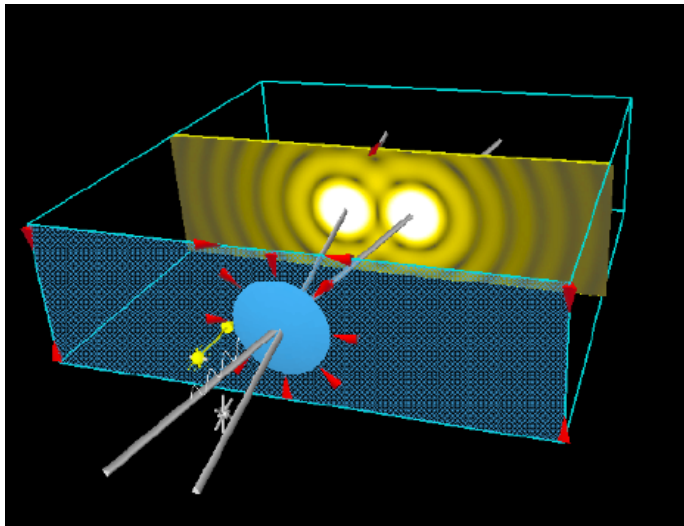
Resolution determines level of Dynamical Detail.  
 Entities and Effective Interactions also vary with resolution



# Diffraction and Resolution 1

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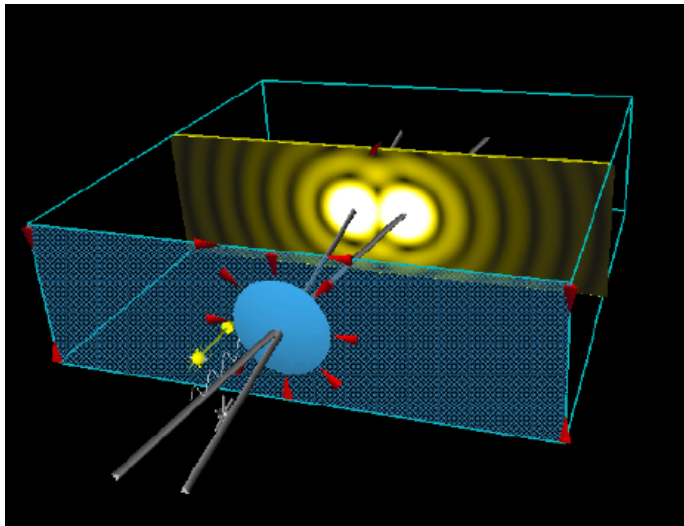




# Diffraction and Resolution 2

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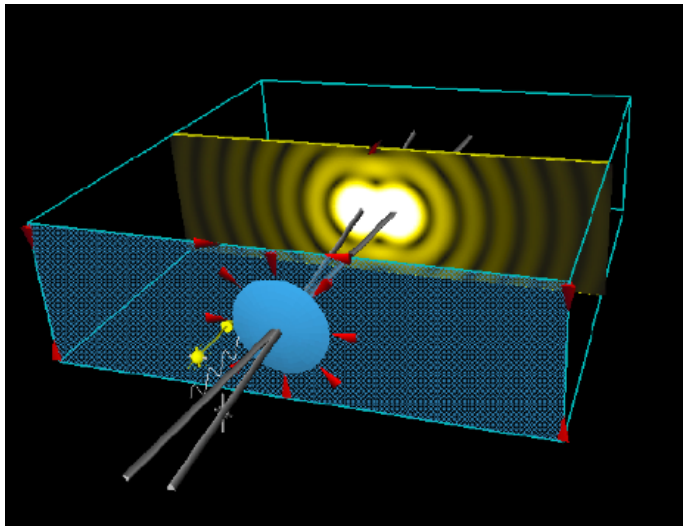
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# Diffraction and Resolution 3

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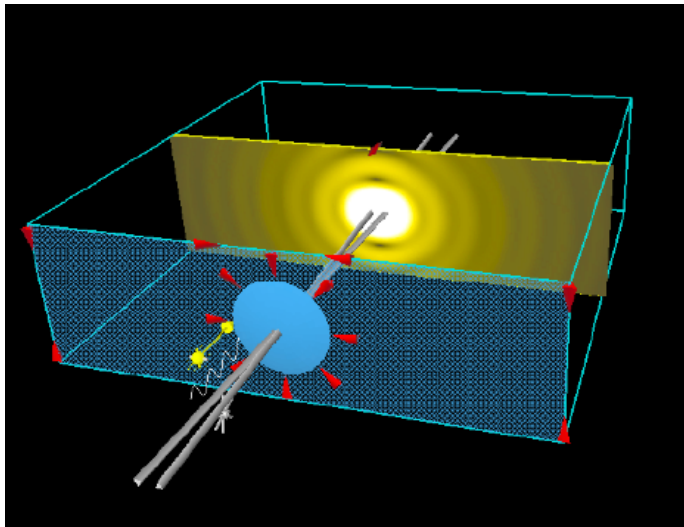
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# Diffraction and Resolution 4

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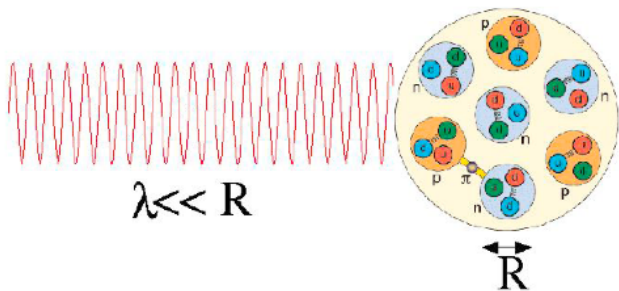
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# Principles of Effective Theories 1

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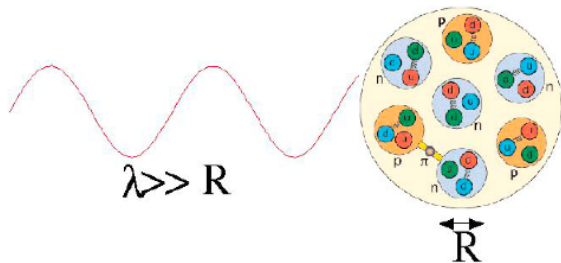
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# Principles of Effective Theories 2

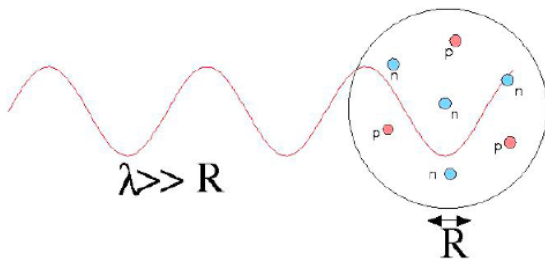
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If system is probed at low energies, fine details not resolved

# Principles of Effective Theories 3



If system is probed at low energies, fine details not resolved

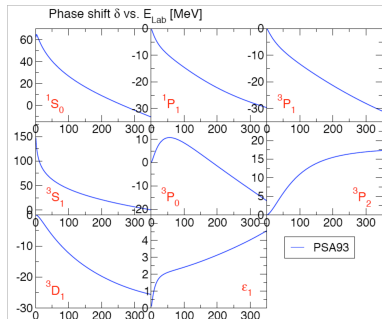
- use low-energy variables for low-energy processes
- short-distance structure can be **replaced** by something simpler without distorting low-energy observables

# Two-nucleon phenomena

Nuclei start when **nucleons** are resolved

Start from the simplest experiments:

- NN Scattering  
(nn, np, pp)  
Phase shift analysis:
- Deuteron Bound State:  
Binding 2.224 MeV,  
Quadrupole moment  $0.282 \text{ fm}^2$ .



# Phenomenological NN Potentials

Use meson exchange forms

Adjust parameters and cutoffs

Reproduce low-energy scattering lengths etc

$$a_{pp} = -17.3 \pm 0.4 \text{ fm}; a_{nn} = -18.8 \pm 0.3 \text{ fm};$$

$$a_{np} = -23.75 \pm 0.1 \text{ fm};$$

Note that  $V_{pp} \neq V_{np} \neq V_{nn}$ .

Main features

Strong tensor force,

Strong repulsive core at short distances.



# Examples of NN Potentials

## Argonne potentials

Wiringa, Stoks, Schiavilla, PRC 51, 38 (1995)

Coulomb + One-pion exchange + intermediate- and short-range

## Bonn potential

R. Machleidt, PRC63, 024001 (2001)

Based on meson-exchange, Non-local

## Effective field theory

Ordóñez, Ray, van Kolck, PRC 53, 2086 (1996);

Epelbaum, Glöckle, Meissner, NPA 637, 107 (1998)

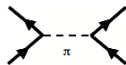
Based on Chiral Lagrangians

Expansion in momentum up to cutoff  $\sim 1$  GeV

Generally has a soft core

# Theory of NN Potentials

Look at main part –  $\pi$ -exchange:



Elastic scattering in momentum space

$$V_{local}^{\pi NN}(\mathbf{q} = \mathbf{k}' - \mathbf{k}) = -\frac{g_{\pi}^2}{4M^2} \frac{(\boldsymbol{\sigma}_1 \cdot \mathbf{q})(\boldsymbol{\sigma}_1 \cdot \mathbf{q})}{\mathbf{q}^2 + m_{\pi}^2}$$

or through a Fourier transform, in coordinate space:

$$V_{\pi} = \frac{g_{\pi}^2}{4M^2} \frac{1}{3} m_{\pi} \left[ \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j + \left( 1 + \frac{3}{\mu r} + \frac{3}{(\mu r)^2} \right) (3\boldsymbol{\sigma}_i \cdot \hat{\mathbf{r}} \boldsymbol{\sigma}_j \cdot \hat{\mathbf{r}} - \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j) \right] \frac{e^{-\mu r}}{\mu r}$$

Off-shell component present in the Bonn potentials

$$V^{\pi NN}(\mathbf{k}', \mathbf{k}) = -\frac{g_{\pi}^2}{4M^2} \frac{(E' + M)(E + M)}{(\mathbf{k}' - \mathbf{k})^2 + m_{\pi}^2} \left( \frac{\boldsymbol{\sigma}_1 \cdot \mathbf{k}'}{E' + M} - \frac{\boldsymbol{\sigma}_2 \cdot \mathbf{k}}{E + M} \right) \times \left( \frac{\boldsymbol{\sigma}_2 \cdot \mathbf{k}'}{E' + M} - \frac{\boldsymbol{\sigma}_1 \cdot \mathbf{k}}{E + M} \right)$$

Non-local (depends on initial and final momenta).  
Plays a role in many-body applications: more  
binding

# Three-Nucleon Interactions

Look at main part, **pion-exchange**:

Needed to Bind  $A = 3$  nuclei

Two-nucleon interactions under-bind

Note CD-Bonn has a little more binding due to non-local terms

Further evidence

from by ab initio calculations for  $^{10}\text{B}$ :

NN-interactions give the wrong ground-state spin!

Example: Tucson-Melbourne Force

S.A. Coon and M.T. Peña, PRC 48, 2559 (1993)

Based on two-pion exchange and intermediate  $\Delta$ s

The exact form of NNN is not known

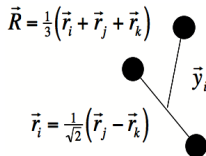
# Three-Body Dynamics

For two particles we use Schrödinger equation

For three and four, there are Faddeev and Faddeev-Yakubovsky formulations

Three-body Jacobi Coordinates:

$$\vec{R} = \frac{1}{3}(\vec{r}_i + \vec{r}_j + \vec{r}_k)$$



$$\vec{r}_i = \frac{1}{\sqrt{2}}(\vec{r}_j - \vec{r}_k)$$

$$\vec{y}_i = \sqrt{\frac{2}{3}}\left(\vec{r}_i - \frac{1}{2}(\vec{r}_j + \vec{r}_k)\right)$$

$$\Psi = \psi_1 + \psi_2 + \psi_3$$

$$\psi_i = \frac{1}{E - H_0} T_i (\psi_j + \psi_k)$$

$$H_0 = \sum_i \frac{\vec{p}_i^2}{2m_i}$$

$$T_i = V_{jk} + V_{jk} \frac{1}{E - H_0} T_i$$

$$(E - H_0 - V_{23})\psi_1 = V_{23}(P_{12}P_{23} + P_{13}P_{23})\psi_1$$

W. Glöckle in Computational Nuclear Physics, Springer-Verlag,

Berlin, 1991

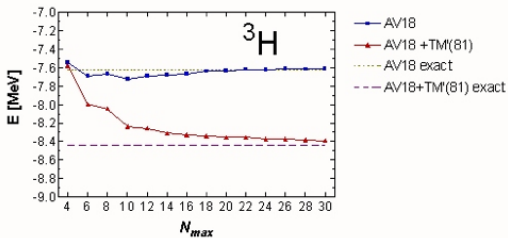
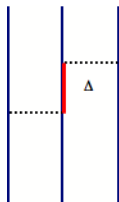
Exact methods exist for  $A \leq 4$ .

# Effects of Three-Nucleon Force

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Binding of Triton ( ${}^3\text{H}$ ) without and with Tucson-Melbourne Force



# More than Four Bodies?

Synopsis of what we can do:

- Cluster Models.
- Liquid-drop Models: see lecture II.

- Greens Function Monte Carlo  $\langle \Psi_{exact} | \hat{O} | \Psi_{exact} \rangle = \lim_{\beta \rightarrow \infty} \frac{\langle \psi_{trial} | \hat{O} e^{-\beta \hat{H}} | \psi_{trial} \rangle}{\langle \psi_{trial} | e^{-\beta \hat{H}} | \psi_{trial} \rangle}$

- Coupled-cluster  $\Psi = e^{\sum_{ij} c_{ij} a_i^\dagger a_j + \sum_{ijkl} c_{ijkl} a_i^\dagger a_j^\dagger a_k a_l + \dots}$   $\psi_{ref}$

- Shell model (lecture II.)

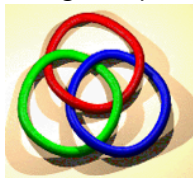
$$\phi = \frac{1}{\sqrt{A!}} \begin{vmatrix} \phi_i(\mathbf{r}_1) & \phi_i(\mathbf{r}_2) & \dots & \phi_i(\mathbf{r}_A) \\ \phi_j(\mathbf{r}_1) & \phi_j(\mathbf{r}_2) & & \phi_j(\mathbf{r}_A) \\ & \vdots & \ddots & \vdots \\ \phi_l(\mathbf{r}_1) & \phi_l(\mathbf{r}_2) & \dots & \phi_l(\mathbf{r}_A) \end{vmatrix}$$

$$= a_i^\dagger \dots a_l^\dagger a_i |0\rangle$$

- Mean-field (energy density functional) methods (lecture II.)

# Cluster Models for Halo Nuclei

- Definition** Weakly-bound nuclei near drip line that are large
- Composition** One or two neutrons (or protons) outside a core nucleus.
- Interesting** New physics away from valley of stability
- Borromean** Borromean three-body systems bound, even though no pairwise (two-body) bound states:



# Examples of Halo Nuclei

One neutron:

$$^{11}\text{Be} (S_n = 0.504 \text{ MeV})$$

Two-neutron Borromean:

$$^6\text{He} (S_{2n} = 0.97 \text{ MeV}),$$

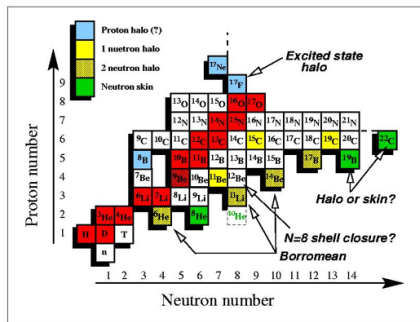
$$^{11}\text{Li} (S_{2n} = 0.30 \text{ MeV}),$$

One-proton

$$^8\text{B} (S_p = 0.137 \text{ MeV}),$$

Two-proton Borromean:

$$^{17}\text{Ne} (S_{2p} = 0.96 \text{ MeV}),$$



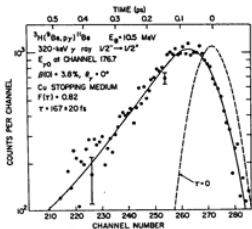


# Why Study Haloes?

- Good **few-body** system:  
 Continuum is near to bound states, long tails to bound states, so large cross sections & dynamic distortion in reactions.
- See prominent single-particle states
- See pairing outside nuclear surface:  
 in two-neutron halo ground states;  
 in two-neutron continuum via breakup; and  
 in two-proton decay via tunnelling
- See bound states in classically forbidden regions.

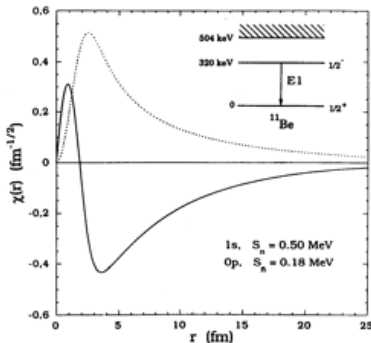
# First Halo: $^{11}\text{Be}$

Strong E1 transition in  
 $^{11}\text{Be}$ :



$\tau = 168(17)$  fs:  $B(E1) = 0.36(3)$  W.u.

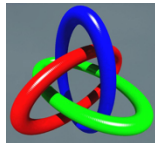
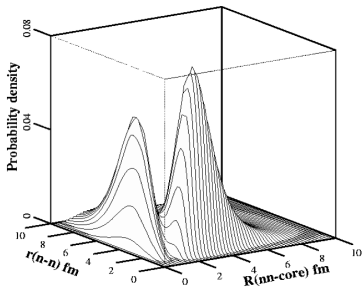
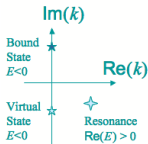
Millener et al., PRC 28 (1983) 497



“We note that to obtain the  $1s_{1/2}p_{1/2}$  matrix element for low binding energies it is necessary to integrate out to large radii”

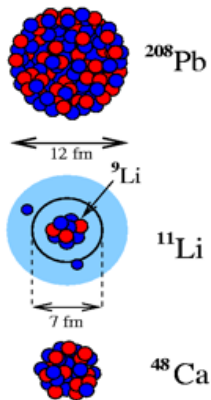
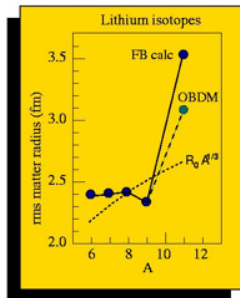
# Borromean Halo: ${}^6\text{He}$

- Two Neutrons and an  $\alpha$  particle bound at  $S_{2n} = 0.97$  MeV
- $n$ - $\alpha$  unbound, but  $p_{3/2}$  resonance at 0.8 MeV
- $n$ - $n$  unbound, but virtual state  $a_{nn} = -18.8 \pm 0.3$  fm



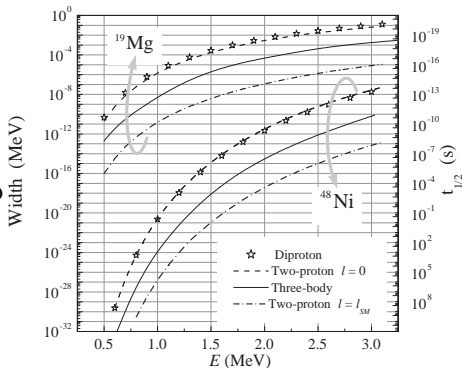
# Experimental Evidence

Study of halo nuclei (officially) began with measurement of interaction cross sections in Berkeley in 1985.



# Two-proton Decay

- Not via point diproton
- Need three-body models with pairing in exterior
- Prediction: pairing acts to correlate the protons to enhance  $L = 0$  cluster-nucleus relative motion.



# Using Few-Body Methods for More Bodies

## Summary:

- Cluster Models.
- Greens Function Monte Carlo

$$\langle \Psi_{exact} | \hat{O} | \Psi_{exact} \rangle = \lim_{\beta \rightarrow \infty} \frac{\langle \psi_{trial} | \hat{O} e^{-\beta \hat{H}} | \psi_{trial} \rangle}{\langle \psi_{trial} | e^{-\beta \hat{H}} | \psi_{trial} \rangle}$$

- For smaller systems (finite or in a box with pbc's)
  - ⇒ approximate energy and full many-body wave function
  - Variational, diffusion, path integral, Green's function MC
  - All use the Metropolis algorithm: random walkers
- Variational Monte Carlo (VMC): Estimate  $\langle E \rangle = \int d\mathbf{R} \rho(\mathbf{R}) E_L(\mathbf{R})$ 
  - local energy  $E_L(\mathbf{R}) = \frac{H\psi_T(\mathbf{R})}{\psi_T(\mathbf{R})}$  with trial wave function  $\psi_T(\mathbf{R})$
  - probability distribution  $\rho(\mathbf{R}) = \frac{\psi_T^2(\mathbf{R})}{\int d\mathbf{R} \psi_T^2(\mathbf{R})}$
  - accept step to  $\mathbf{R}'$  if  $p = \psi_T^2(\mathbf{R}')/\psi_T^2(\mathbf{R}) \geq 1$ ,  
else if  $p < 1$  accept with probability  $p$
  - minimize  $\langle E \rangle$  or variance of  $E_L(\mathbf{R})$  with respect to variational parameters in  $\psi_T(\mathbf{R})$
  - gives upper bound to ground state  $E$
- Requires very good trial wave function for reliable results

# Finding the Ground State

- DMC and GFMC exploit S–equation in imaginary time  
⇒ diffusion!

$$-\hbar \frac{\partial}{\partial \tau} \Psi(\mathbf{R}, \tau) = -\frac{\hbar^2}{2M} \nabla_{\mathbf{R}}^2 \Psi(\mathbf{R}, \tau) + V(\mathbf{R}) \Psi(\mathbf{R}, \tau)$$

- Use Metropolis to propagate to large  $\tau$  ⇒ projects ground state

$$\Psi(\mathbf{R}, \tau) = \int d\mathbf{R}' G(\mathbf{R}, \mathbf{R}', \tau) \Psi(\mathbf{R}', \tau)$$

- Take many steps with small  $\tau$  approximation to  $G$
- Generates “walker representation” of wave function (a set of  $\mathbf{R}_i$ 's)  
⇒ can only represent a positive density
- Fermion sign problem for diffusion, path integral, GFMC
  - for fermions, even ground-state wavefunction changes sign (anti-symmetric)
  - if trial function provides good representation of nodes, solve in regions with nodal boundary conditions (“fixed node”)

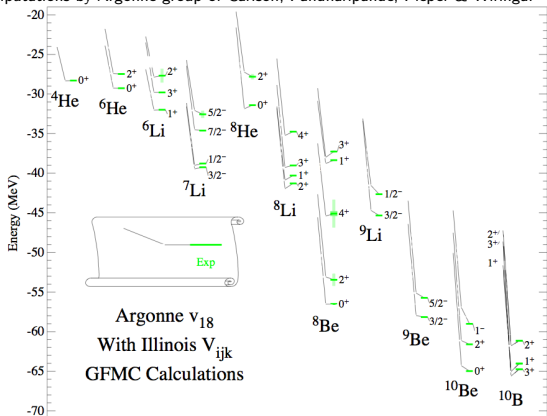


# Results for Light Nuclei

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Large GFMC computations by Argonne group of Carlson, Pandharipande, Pieper & Wiringa.

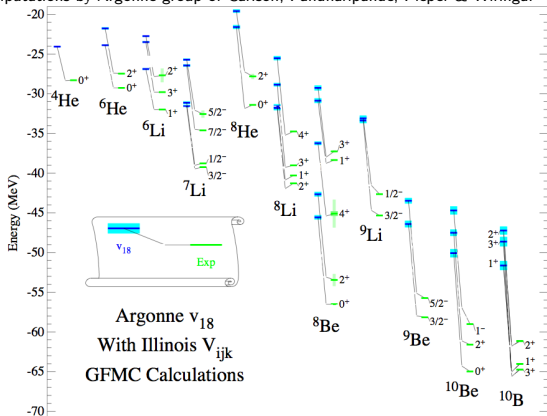


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