The nuclear many-body problem

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I'll try to teach a liberal dose of conservative art.

Caveat: 4 hours == high selectivity

"Fair and Balanced"

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I'm sorry sir. The new computer virus, "Cyber-Dog X9" ate my homework. I swear!	

"Somethings never change!"

Yes, we do value the computational sciences.

We will cover some generalities today (lecture 1)

- General questions in nuclear physics
- Shell structure in nuclei
- Implications for nucleosynthesis
- Nuclear impacts on type-II supernova
- neutrinoless ββ-decay

Nuclear Physics Today







- Where is the glue that binds quarks into strongly interacting particles, and what are its properties?
- What is the internal landscape of the proton?
- What does QCD predict for the properties of nuclear matter?



Brookhaven National Lab. RHIC



Thomas Jefferson National Accelerator Facility: **CEBAF**



- What binds protons and neutrons into stable nuclei and rare isotopes?
- What is the origin of simple patterns in complex nuclei?
- When and how did the elements from iron to uranium originate?
- What causes stars to explode?



Nuclear Physics Today



Is the neutrino it's own anti-particle? Is it a Majorana or Dirac particle?

- What are the masses of neutrinos and how have they shaped the evolution of the universe?
- Why is there more matter than antimatter?
- What are the unseen forces that disappeared from view as the universe cooled?

For many of these experiments nuclei are used as laboratories to probe 'beyond standard model' science.



"Given a lump of nuclear material, what are its properties, how did it get here, and how does it react?"

How are we going to describe nuclei that we cannot measure? →Robust and predictive nuclear theory →Need for nuclear data to constrain theory →We are after the Hamiltonian →bare intra-nucleon Hamiltonian →energy density functional



Uncorrelated basis states: Harmonic Oscillators

$$H_0 = \sum_{i=1}^{A} \left(\frac{\hbar^2}{2M} \nabla^2 + \frac{m}{2} \omega^2 r^2 \right)$$

 $E = \hbar \omega (2n + l - 1/2)$ $\varphi_{nlm}(\vec{r}) = R_{nl}(r) Y_{lm}(\vartheta, \varphi)$ $R_{nl}(r) \sim r^{l} e^{-r^{2}} \times [\text{hypergeometric function in } r^{2}]$

Couple to spin-1/2 (LS)

$$\varphi_{nljj_z}(\mathbf{\hat{r}}) = R_{nl}(\mathbf{r}) [Y_l(\mathbf{\hat{r}}) \otimes \chi_{\frac{1}{2}}^S]^{j_z} \left[Y_l(\mathbf{\hat{r}}) \otimes \chi_{\frac{1}{2}}^S\right]^{j_z} = \sum_{ms_z} (lm \frac{1}{2}s_z \mid jj_z) Y_{lm}(\mathbf{\hat{r}}) \chi_{\frac{1}{2}s_z}^S$$

$$|\phi_{\alpha}\rangle = |nljmt_z\rangle \quad j = l + 1/2$$



Shell structure in nuclei: then and now

$$H_{SM} = \sum_{i=1}^{A} \left(\frac{\hbar^2}{2M} \nabla^2 + \frac{m}{2} \omega^2 r^2 + \eta_i \vec{l}^2 + \xi_{is} \vec{l} \bullet \vec{s} \right)$$



The Nobel Prize in Physics 1963







Maria Goeppert-Mayer

J. Hans D. Jensen

Red lines denote 'magic' numbers.

Is this the end of the story?

NO – definitely not.



While we will discuss 'closed shells' one should note that shells are not really all that closed.

M. van Batenburg & L. Lapikás from ²⁰⁸Pb (e,e´p) ²⁰⁷Tl NIKHEF in preparation

Occupation of deeply-bound proton levels from EXPERIMENT



Up to 100 MeV missing energy and 270 MeV/c missing momentum

Covers the whole mean-field domain for the FIRST time!!

Confirms predictions for depletion

Does shell structure change in unstable nuclei?

Fridmann et al., Nature 435, 922 (2005) (comment) Jansens, Nature 435, 207 (2005)



Answer: Yes indeed. Magic numbers fluctuate when one moves away from stability!!!

N=40 – How magic is the magic ⁶⁸Ni nucleus?



PHYSICAL REVIEW C 67, 044314



FIG. 4. Distribution of the $B(E2; 0^+_{g.a.} \rightarrow 2^+)$ strength in ^{66,68}Ni calculated in the diagonalization shell model.

Shell-model Monte-Carlo, QRPA and large-scale diagonalization shell-model calculations have shown that the B(E2) transition to the first 2⁺ state exhaust only a fraction of the low-lying B(E2) strength.

Small B(E2) value to the first 2⁺ state is not a strong evidence for the doubly magic character of ⁶⁸Ni.



Based on National Academy of Science Report

[Committee for the Physics of the Universe (CPU)]

Question 3 How were the elements from iron to uranium made ?

r (apid neutron capture) process



The origin of about half of elements > Fe (including Gold, Platinum, Silver, Uranium)

Neutron star mergers ?



Open questions:

- Where does the r process occur ?
- New observations of single r-process events in metal poor stars
- Can the r-process tell us about physics under extreme conditions ?

Swesty, Calder, Wang

Challenge: when and how did elements from Fe to U originate?

Input: masses, density of states, single-particle energies, shapes, beta-decay values, optical potential,!



r-process movie

Does this potential changing of shell structure have consequences?



Possibly.... Such changes in abundances could also be due toa) unaccounted neutrino nucleosynthesisb) signature of underestimated beta-delayed neutron decay



Core collapse implications of e-capture on nuclei



$$B(GT_{+}) = \sum_{i,k} \frac{n_{p}(t)n_{n}(k)}{2j_{k}+1} \left| \left\langle i \right| \sigma \tau_{+} \left| f \right\rangle \right|^{2} \quad (\text{FFN phenomenology})$$

Koonin, Dean, Langanke, Phys. Rep. 278, 1 (1997) Radha, Dean, Koonin, Langanke, Vogel, Phys. Rev. C56, 3079 (1997) Langanke, Martinez-Pinedo, NPA 673, 431 (2000)

Diagonalization Shell Model (medium-mass nuclei reached;dimensions 10⁹!)





Needed e⁻ Capture Rates



Need experimental BGT's in fp-gds shell nuclei. Experments being planned at MSU

Nuclei with A>120 are present during collapse of the core.

See: Langanke, Martinez-Pinedo, Nucl. Phys. A673, 481 (2000) Langanke, Kolbe, Dean, PRC63, 032801R (2001) Langanke et al (PRL 2003) (rates calculation) Hix et al (PRL, 2003) (core collapse implications)

Nuclear physics impact: changes in supernova dynamics



neutrino energies reduced

Shock forms deeper, is weaker, but propagates farther before stalling

Scales: Excitation spectrum of N₂ molecule





Nuclear collective motion

Rotational Transitions ~ 0.2-2 MeV Vibrational Transitions ~ 0.5-12 MeV Nucleonic Transitions ~ 7 MeV

What is the origin of ordered motion of complex nuclei?

Complex systems often display astonishing simplicities. Nuclei are no exception. It is astonishing that a heavy nucleus, consisting of hundreds of rapidly moving protons and neutrons can exhibit collective motion, where all particles slowly dance in unison. Two basic approaches have been applied to ββ-decay problem (What are the masses of the neutrinos?)



1p-1h excitations)

Nuclear physics of the problem

 $T(0\nu)_{1/2}^{-1} = G_{0\nu}(\Delta E, Z) \left| M_{GT}^{0\nu} - \frac{g_V^2}{g_A^2} M_F^{0\nu} \right|^2 \left\langle m_{\nu} \right\rangle^2$ $M_{F}^{0\nu} = \left\langle f \left| \sum_{kj} H(r_{kj}, \overline{E}) \tau_{k}^{+} \tau_{j}^{+} \right| i \right\rangle$ $M_{GT}^{0\nu} = \left\langle f \left| \sum_{kj} H(r_{kj}, \overline{E}) \vec{\sigma}_{k} \cdot \vec{\sigma}_{j} \tau_{k}^{+} \tau_{j}^{+} \right| i \right\rangle$

$$H(r,\overline{E}) = \frac{2R}{\pi r} \int_0^\infty dq \, \frac{q \sin qr}{\omega(\omega + E - [M_i + M_f]/2)}$$

Present published results

$$C_{mm} = \left\langle m_{\nu} \right\rangle^2 T_{1/2}^{0\nu} /$$

Kill outliers

Factor of 3 in C_{mm}

Assume T1/2 =4E-27 years

	$C_{mm}(Y^{-1})$	$\langle m_{\nu} \rangle$ (eV)	Method	Reference
	1.12×10^{-13}	0.024	QRPA	mut89,sta90[1, 2]
	6.97×10^{-14}	0.031	QRPA	suh92[3]
	7.51×10^{-13}	0.029	number-projected QRRA	suh92[3]
	7.33×10^{-14}	0.030	QRPA	pan96[4]
	1.18×10^{-13}	0.024	QRRA	tom 91[5]
	1.33×10^{-13}	0.022	QRPA	aun 98[6]
/	8.27×10^{-14}	0.028	QRRA	bar99[7])
	$1.85 \cdot 12.5 \times 10^{-14}$	0.059 - 0.023	QRPA	sto01a[8]
	$1.8 - 2.2 \times 10^{-14}$	0.060 - 0.054	QRRA	bob01[9]
	$6.9970959 imes 10^{-14}$	0.031	QRPA	civ03[10]
	1.42×10^{-14}	0.068	QRRA with np pairing	pan96[4])
	4.53×10^{-14}	0.038	QRPA with forbidden	rod03[11]
	8.29×10^{-14}	0.028	RQRPA	fae98[12]
	1.03×10^{-13}	0.025	RQRRA	sim 99[13]
	6.19×10^{-14}	0.032	RQRRA with forbidden	sim99[13]
	$5.5-6.3 \times 10^{-14}$	0.034 - 0.032	RQRRA	bob01[9]
	$2.21 - 8.83 \times 10^{-14}$	0.054 - 0.027	RQRPA	sto01a[8]
	3.63×10^{-14}	0.042	RQRPA with forbidden	rod03[11]
	2.75×10^{-14}	0.049	Full RQRPA	sim 97[14]
	$3.36 - 8.54 \times 10^{-14}$	0.042 - 0.028	Full RQRPA	sto01a[8]
	$6.50 - 9.21 \times 10^{-14}$	0.032 - 0.027	Second QRPA	sto01a[8]
	$2.7-3.2 \times 10^{-15}$	0.155 - 143	Self-consistent QRA	bob01[9]
5	2.88×10^{-13}	0.015	VAMPIR	tom 86[15]
	1.58×10^{-13}	0.020	Shell-model truncation	hax84[16]
	$6.87 \cdot 15.7 \times 10^{-14}$	0.031 - 0.020	Shell-model truncation	eng 89[17]
	1.90×10^{-14}	0.059	Large-scale shell model	cau96[18]

What the shell-model calculations predict



So now, we have to start doing some theory...



Before we worry about nuclei: a very general look at quantum many-body problems





2-d quantum dot strong magnetic field localization

Molecular scale: conductance delocalized orbitals

Quantum mechanics plays a role when the size of the object is of the same order as the interaction length.

Common properties

- Shell structure
- Excitation modes
- Correlations
- Phase transitions
- Interactions with external probes



Chemical reaction pathways

Quantum many-body problems

- I. Solving the many-body problem
- **II.** The nuclear interaction
- III. ab initio in light nuclei
- **IV. Nuclear Density Functional Theory**

I hope you are all good students



MAJORED IN SCIENCE DID ADVANCED RESEARCH BECAME A NUCLEAR PHYSICIST



MAJORED IN SCIENCE DID ADVANCED RESEARCH BECAME A NUCULAR PHYSICIST

Inter-nucleon NN, NNN interactions EFT, AV18,...

Building a coherent theoretical path forward

Many-body theory Spectroscopy and selected reactions

Method verification Experimental validation Expansion to mass 100

Density Functional Theory Improved functionals Remove imposed constraints Wave functions for nuclei A>16

DFT Dynamical extensions LACM and spectroscopy by projection, GCM, TDDFT, QRPA

Improved low-energy reactions

Hauser-Feshbach Pre-equilibrium emission fission mass and energy distributions Optical potentials; level densities

RIA Theory Blue Book (2005)

Theoretical challenges must be met during the next decade in order to facilitate the success of an experimental program focused on short-lived isotopes and to enhance the national effort in nuclear science.

These efforts include:

• Development of ab initio approaches to mediummass nuclei

• Development of self-consistent nuclear densityfunctional theory methods for static and dynamic problems.

• Development of reaction theory that incorporates relevant degrees of freedom for weakly bound nuclei.

• Exploration of isospin degrees of freedom of the density-dependence of the effective interaction in nuclei.

• Development and synthesis of nuclear theory, and its consequent predictions, into various astrophysical models to determine the nucleosynthesis in stars.

• Development of robust theory and error analysis for nuclear reactions relevant to NNSA and GNEP

The Nucleon-Nucleon interaction

- Deuteron with $J^{\pi}=1^+ \rightarrow$ attraction at least in the ${}^{3}S_1$ partial wave
- Interference between Coulomb and nuclear scattering for proton-prton partial wave ${}^{1}S_{0} \rightarrow \text{attractive NN}$ force at least in the ${}^{1}S_{0}$ channel
- NN force has a short range
- Different scattering lengths for triplet and singlet states \rightarrow spin dependence
- Observation of large polarization of scattered nucleons perpendicular to the plane of scattering → spin-orbit force
- s-wave phase shift becomes negative at ~250 MeV → Hard core with range of 0.4-0.5 fm
- Charge independence (almost) → Charge symmetry breaking (CSB)
- Two nucleons in a given two-body state (almost) feel the same force → charge independence breaking (CIB)
- Quadrupole moment of the deuteron points to an admixture of both l=2 (³D₁) and l=0 (³S₁) orbital momenta → tensor force

$$\begin{split} O_{ij}^{p=1,14} = 1, \boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j, \, \boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j, (\boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j)(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j), \, S_{ij}, S_{ij}(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j), \, \mathbf{L} \cdot \mathbf{S}, \mathbf{L} \cdot \mathbf{S}(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j), \\ L^2, L^2(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j), \, L^2(\boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j), L^2(\boldsymbol{\sigma}_i \cdot \boldsymbol{\sigma}_j)(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j), \, (\mathbf{L} \cdot \mathbf{S})^2, (\mathbf{L} \cdot \mathbf{S})^2(\boldsymbol{\tau}_i \cdot \boldsymbol{\tau}_j) \end{split}$$

Recapitulation: Scattering theory

Phase shift $\Delta(k)$ is a function of relative momentum k; Figure shows s-wave.



Scattering length:
$$k \cot \delta(k) \approx -\frac{1}{a}; \quad \sigma_{tot} \approx 4\pi a^2 \quad \text{for} \quad k \to 0$$





Scattering from a spherical well http://people.ccmr.cornell.edu/~emueller/scatter/well.html

System has no bound state

delta/Pi delta/Pi 2.01 2.01 1.0 1.0 -1 1/0 -1 V0 -2 VO 4.0 5.0 8.0 10.0 . 0 -2 V0-2.0 4.0 6.0 8.0 10.0 -3 100 -3 V0 -1.0 -1.0 -4 UO--4 1/0-

Scattering from a spherical well

Further increase of depth:

System has one shallow bound state System has one deep bound state delta/Pi delta/Pi v 2.01 2.0 1.0 1.0 -1 VO--1 100--2 V0--2 V0-2.0 4.0 5.0 8.0 10.0 2.0 4.0 5.0 8.0 10.0 -3 VO--3 VO--1.0 -1.0 -4 VO--4 100-

Further increase of depth:

Scattering from a spherical well


Nuclear s-wave phase shifts http://nn-online.org/



Deuteron is a very weakly bound system!

System has one bound state.

Steep decrease from 180 degrees due to large scattering length.

Acts repulsive due to large (positive) scattering length.



System (barely) fails to exhibit bound state.

Steep rise at 0 due to large scattering length.

Monotonous decrease due to hard core.

A (very) brief history of NN interactions

1935 – Yukawa (meson theory) **1957** – Gammel and Thaler (full theory of OPE) **1960's** – non-relativistic OBEP (pions, scalar mesons) **Bryan-Scott potential (1969) 1970's – fully relativistic OBEPs** -- 2-pion exchange **1980's – Nijmegen potentials (1978)** 1990's – Nijmegan II, Bonn potentials **1990's** – AV18 + 3body potentials 2000's – EFT potentials (2 and 3 body)

 χ^2 /dof = 10 in 1960's ; = 2 in 1980's ; = 1 in 1990's....

Effective Field Theory



It's pretty complicated inside a nucleon!!

Interplay between nucleonic and subnucleonic (quarks and gluons) degrees of freedom in few-body nuclear systems

Starting point is an effective chiral πN Lagrangian:

$$L_{\pi N} = L_{\pi N}^{(1)} + L_{\pi N}^{(2)} + L_{\pi N}^{(3)} + \cdots$$

• Obeys QCD symmetries (spin, isospin, chiral symmetry)

• Develops a low-momentum interaction suitable for nuclei

• ?Should some day be connected directly to QCD?

$$L_{\pi N}^{(1)} = \overline{N} \left(i D_0 - \frac{g_A}{2} \vec{\sigma} \bullet \vec{u} \right) N \approx \overline{N} \left[i \partial_0 - \frac{1}{4 f_\pi^2} \tau \bullet \left(\pi \times \partial_0 \pi \right) - \frac{g_A}{2 f_\pi} \tau \bullet \left(\vec{\sigma} \bullet \nabla \right) \pi \right] N + \cdots$$

Chiral Perturbation theory



Effective field theory potentials bring a 3-body force





Challenge: Deliver the best NN and NNN interactions with their roots in QCD.

Translating scattering matrix to potential: Lippmann-Schwinger

• There is a covariant formulation (heuristic and equal times shown below)

$$(H_{0} + V) |\psi_{k}\rangle = k^{2} |\psi_{k}\rangle \quad (H_{0} - k^{2}) |\varphi_{k}\rangle = 0$$

$$|\psi_{k}\rangle = |\varphi_{k}\rangle + G(E_{k})V |\psi_{k}\rangle \quad G(E_{k}) = \frac{1}{E_{k} - H_{0} + i\varepsilon} \quad \text{outgoing b.c.}$$

$$V |\psi_{k}\rangle = V |\varphi_{k}\rangle + VG(E_{k})V |\psi_{k}\rangle$$

$$T(E_{k}) |\varphi_{k}\rangle = V |\psi_{k}\rangle$$

$$T(E_{k}) = V + VG(E_{k})T(E_{k})$$

$$\downarrow^{p_{0}=1,14} = 1, \tau_{i}\cdot\tau_{j}, \sigma_{i}\cdot\sigma_{j}, (\sigma_{i}\cdot\sigma_{j})(\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{j}), \text{ Lis, Lis}(\tau_{i}\cdot\tau_{j}), \text{ Lis}($$

FIG. 6. Central, isospin, spin, and spin-isospin components

Challenge: Explosion of the basis calls for different approaches!

Begin with a bare NN (+3N) Hamiltonian

$$H = -\frac{\hbar}{2} \sum_{i=1}^{A} \frac{\nabla_i^2}{m_i} + \frac{1}{2} \sum_{i < j} V_{2N}(\vec{r}_i, \vec{r}_j) + \frac{1}{6} \sum_{i < j < k} V_{3N}(\vec{r}_i, \vec{r}_j, \vec{r}_k)$$

Bare (GFMC)

Basis expansion

Basis expansions:

- Choose the method of solution
- Determine the appropriate basis
- Generate H_{eff}

Nucleus	4 shells	7 shells
4He	4E4	9E6
8B	4E8	5E13
12C	6E11	4E19
160	3E14	9E24

Oscillator single-particle basis states

Many-body basis states



Green's Function Monte Carlo

Idea:

1. Determine accurate approximate wave function via variation of the energy (The high-dimensional integrals are done via Monte Carlo integration).

$$E = \frac{\langle \Psi_{\text{trial}} | \hat{H} | \Psi_{\text{trial}} \rangle}{\langle \Psi_{\text{trial}} | \Psi_{\text{trial}} \rangle}$$

2. Refine wave function and energy via projection with Green's function

$$|\Psi\rangle = \tau \stackrel{\lim}{\to} \infty e^{-\tau(\hat{H}-E)} |\Psi_{trial}\rangle$$

- **©** Virtually exact method.
- **8** Limited to certain forms of Hamiltonians.
- Solution Computational expense increases dramatically with A due to sampling of spin/isospin sampling.

GFMC without and with a three-body force



GFMC results for light nuclei



S. C. Pieper and R. B. Wiringa, Ann.Rev.Nucl.Part.Sci. 51 (2001) 53

Choice of model space and the G-matrix





Similarity transformed H

$$H|k\rangle = E_{k}|k\rangle; P + Q = 1$$

$$Qe^{-\omega}He^{\omega}P = 0 \implies \langle \alpha_{Q} | k \rangle = \sum_{\alpha_{P}} \langle \alpha_{Q} | \omega | \alpha_{P} \rangle \langle \alpha_{P} | k \rangle$$

$$\overline{H}_{eff} = \left[P(1 + \omega^{+}\omega)P \right]^{1/2} PH(P + Q\omega P) \left[P(1 + \omega^{+}\omega)P \right]^{-1/2}$$

K. Suzuki and S.Y. Lee, Prog. Theor. Phys. 64, 2091 (1980) P. Navratil, G.P. Kamuntavicius, and B.R. Barrett, Phys. Rev. C61, 044001 (2000) Zuker, Phys. Repts. (1981); Okubu

Advantage: less parameter dependence in the interaction Current status

- Exact deuteron energy obtained in P space
- Working on full implementation in CC theory.
- G-matrix + all folded-diagrams+...
- Implemented, results coming soon....

The general idea behind Lee-Suzuki



The effective interaction is not the only story. Effective operators can be found within this formalism too...

H. Kamada, et al., Phys. Rev. C 64, 044011 (2001)



Another approach: V_{lowk}

$$\begin{split} T(k',k;k^2) &= V_{\rm NN}(k',k) + \frac{2}{\pi} \,\mathcal{P} \int_0^\infty \frac{V_{\rm NN}(k',p) \,T(p,k;k^2)}{k^2 - p^2} \, p^2 dp, \\ T(k',k;k^2) &= V_{\rm low \; k}^{\Lambda}(k',k) + \frac{2}{\pi} \,\mathcal{P} \int_0^\Lambda \frac{V_{\rm low \; k}^{\Lambda}(k',p) \,T(p,k;k^2)}{k^2 - p^2} \, p^2 dp. \end{split}$$



$$\frac{d}{d\Lambda} V^{\Lambda}_{\text{low } k}(k',k) = \frac{2}{\pi} \frac{V^{\Lambda}_{\text{low } k}(k',\Lambda) \, T^{\Lambda}(\Lambda,k;\Lambda^2)}{1 - (k/\Lambda)^2}$$

Method due to Schwenk, Bogner, Brown, Kuo

Produces a phase-equivalent potential that may then be used in many-body calculations.

The potentials over bind.

Must be augmented by a 3-body force.

This approach does engender controversy, but it does merit investigations.

V_{lowk} ¹⁶O results using N3LO and CD-Bonn



Some studies of V_{lowk} in nuclear matter





Bozek, Dean, Muether, PRC in press 2006

The 'advent' of modern computing and the future



Moore's law has affected the leading edge of computing for decades....

-- 1871: Babbage difference engine
-- Partially built as Babbage ran out of funds.

-- Working model built in 1991; 31 digit numerical accuracy.



Supercomputing of the 1940's



1943, Harvard Mark-I



1946, ENIAC

1946, Metropolis Monte Carlo (von Neumann)







1947, invention of transistors and magnetic drum memory

1947, Wirlwind, MIT

Supercomputing of the 1950's



1953, ORACLE Oak Ridge Automated Computer and Logic Engine



1957, GEORGE at Argonne 16 k, memory, paper tape I/O

1954, FORTRAN developed by John Backus

1959, Robert Noyce and Gordan Moore file patent for integrated curcuit

1957, Lax method yields stable fluid flow and hydrodynamics algorithms



Supercomputing of the 1960s



1964, CDC6600, first commercially successful supercomputer; 9 MFlops

1965: The ion-channeling effect, one of the first materials physics discoveries made using computers, is key to the ion implantation used by current chip manufacturers to "draw" transistors with boron atoms inside blocks of silicon.

1967, Computer simulations used to calculate radiation dosages.



1969, CDC760, 40 Mflops



the internet.

Supercomputing of the 1970s and 1980s



1974, IBM 370/195 to Argonne

1974, Controlled Thermonuclear Research Computer Center (precursor to NERSC) established

1979, Breakthroughs in neural networks



1983, Carbon Dioxide Information Analysis Center (Climate modeling)



1983, CRAY-XMP

Supercomputing of the 1980s



1983, first 8-processor CRAY-II delivered to NERSC



Early Climate Modeling



1988, 3D FEMWATER Water flow through porous media



1985, Thinking Machines, Connection Machine, 1 Gflop



1993, CRAY-T3D, NERSC

Supercomputing of the 1990s



1998, spin system, Gordon Bell Prize 1 Tflop on T3E.



1991, TORT, 3D deterministic radiation transport code1994, Netscape invented at NCSA



2000, ORNL Eagle (IBM SP)

Office of Science Computing Today





2001, Dispersive waves in magnetic reconnection

NERSC: IBM/RS6000 (9.1 TFlops peak)



2003, Turbulent flow in Tokomak Plasmas



CRAY-X1 at ORNL

Today's science on today's computers



Type IA supernova explosion (BIG SPLASH)



Fusion Stellarator



Accelerator design



Atmospheric models



Materials: Quantum Corral



Reacting flow science



Multiscale model of HIV



Structure of deutrons and nuclei

"I always thought that record would stand until it was broken" YB



Verification and Validation (V&V)

Doing the problem right. – Verify Doing the right problem. – Validate Inter-nucleon NN, NNN interactions EFT, AV18,...

Building a coherent theoretical path forward

Many-body theory Spectroscopy and selected reactions Method verification Experimental validation Expansion to mass 100

Density Functional Theory Improved functionals Remove imposed constraints Wave functions for nuclei A>16

DFT Dynamical extensions LACM and spectroscopy by projection, GCM, TDDFT, QRPA

> Improved low-energy reactions Hauser-Feshbach Pre-equilibrium emission fission mass and energy distributions Optical potentials; level densities

Main point today:Moving from NN and NNN to many-body calculations

The nuclear Hamiltonian

$$H = -\frac{\hbar}{2} \sum_{i=1}^{A} \frac{\nabla_i^2}{m_i} + \frac{1}{2} \sum_{i < j} V_{2N}(\vec{r}_i, \vec{r}_j) + \frac{1}{6} \sum_{i < j < k} V_{3N}(\vec{r}_i, \vec{r}_j, \vec{r}_k)$$

$$\langle pq || rs \rangle = \langle pq |V(\vec{r}_{1}, \vec{r}_{2}) | rs \rangle = \int d\vec{r}_{1} d\vec{r}_{2} \phi_{p}^{*}(\vec{r}_{1}) \phi_{q}^{*}(\vec{r}_{2}) V(\vec{r}_{1}, \vec{r}_{2}) \phi_{r}(\vec{r}_{1}) \phi_{s}(\vec{r}_{2})$$

$$\downarrow$$

$$H = \sum_{pq} \langle p |t| q \rangle a_{p}^{+} a_{q} + \frac{1}{4} \sum_{pqrs} \langle pq |V| rs \rangle a_{p}^{+} a_{q}^{+} a_{s} a_{r}$$

$$\downarrow$$

$$H = \sum_{pq} \langle p |t| q \rangle a_{p}^{+} a_{q} + \frac{1}{4} \sum_{pqrs} \langle pq |V_{eff}| rs \rangle a_{p}^{+} a_{q}^{+} a_{s} a_{r}$$

Any questions to this point? Any concerns? The harmonic oscillator basis is not translationally invariant!

$$H = T - T_{CM} + V + \beta_{CM} H_{CM}$$
 "Lawson" term

General many-body problem for fermions (basis expansions)

 → particles are spin ½ fermions
 → many-body wave function is fully anti-symmetric
 → certain quantum numbers will be conserved for nuclei: total angular momentum total parity 'isospin' (analogous to spin) 'isospin projection, T_z= (N-Z)/2

→ Hamiltonian will be non-relativistic (usually)
→ We (usually) work in second quantization

Fock space with *N* single particle states and *A* particles.

$$a_{\alpha}^{+}|0\rangle = |1\rangle$$
 $a_{\alpha}|0\rangle = 0$ $a_{\alpha}|1\rangle = |0\rangle$ $a_{\alpha}^{+}|1\rangle = 0$
 $a_{\alpha}^{+}a_{\beta}^{+} = -a_{\beta}^{+}a_{\alpha}^{+}$ $a_{\alpha}a_{\beta} = -a_{\beta}a_{\alpha}$ $a_{\alpha}^{+}a_{\beta} + a_{\beta}a_{\alpha}^{+} = \delta_{\alpha\beta}$
 $|\Phi\rangle = a_{1}^{+}a_{2}^{+}\cdots a_{A}^{+}|0\rangle = |11\cdots 10000\rangle$

Lowest order many-body theory: Hartree-Fock

$$H|\Psi\rangle = E|\Psi\rangle \quad E[\Psi] = \frac{\langle\Psi|H|\Psi\rangle}{\langle\Psi|\Psi\rangle} \quad \delta E[\Psi] = 0 \quad \langle\delta\Psi|H - E|\Psi\rangle = 0$$

For a coordinate space calculation

$$E_{1} = \left\langle \Psi \middle| H_{1} \middle| \Psi \right\rangle = \sum_{\alpha} \int d\vec{r} \, \psi_{\alpha}^{*}(\vec{r}) \frac{-\hbar^{2} \nabla^{2}}{2m} \psi_{\alpha}(\vec{r})$$

$$\delta E_{1} = \frac{\delta}{\delta \psi_{\kappa}^{*}(\vec{r}')} \sum_{\alpha} \int d\vec{r} \, \psi_{\alpha}^{*}(\vec{r}) \frac{-\hbar^{2} \nabla^{2}}{2m} \psi_{\alpha}(\vec{r}) = \sum_{\alpha} \int d\vec{r} \, \delta_{\kappa\alpha} \delta(\vec{r}' - \vec{r}) \frac{-\hbar^{2} \nabla^{2}}{2m} \psi_{\alpha}(\vec{r})$$

$$= \frac{-\hbar^{2} \nabla^{2}}{2m} \psi_{\kappa}(\vec{r}')$$

$$E_{2} = \langle \Psi | H_{2} | \Psi \rangle = \sum_{\alpha\beta} \int d\vec{r}_{1} d\vec{r}_{2} (\psi_{\alpha}^{*}(\vec{r}_{1})\psi_{\beta}^{*}(\vec{r}_{2}) - \psi_{\beta}^{*}(\vec{r}_{1})\psi_{\alpha}^{*}(\vec{r}_{2})) V(\vec{r}_{1},\vec{r}_{2})\psi_{\alpha}(\vec{r}_{1})\psi_{\beta}(\vec{r}_{2})$$

$$\delta E_{2} = \frac{\delta}{\delta \psi_{\kappa}^{*}(\vec{r}')} E_{2} = \sum_{\alpha\beta} \int d\vec{r}_{1} d\vec{r}_{2} (\delta_{\alpha\kappa} \delta(\vec{r}_{1} - \vec{r}')\psi_{\beta}^{*}(\vec{r}_{2}) - \delta_{\beta\kappa} \delta(\vec{r}_{1} - \vec{r}')\psi_{\alpha}^{*}(\vec{r}_{2})) V(\vec{r}_{1},\vec{r}_{2})\psi_{\alpha}(\vec{r}_{1})\psi_{\beta}(\vec{r}_{2})$$

$$= \sum_{\alpha\beta} \int d\vec{r}_{2} (\delta_{\alpha\kappa} \psi_{\beta}^{*}(\vec{r}_{2}) - \delta_{\beta\kappa} \psi_{\alpha}^{*}(\vec{r}_{2})) V(\vec{r}',\vec{r}_{2})\psi_{\alpha}(\vec{r}')\psi_{\beta}(\vec{r}_{2})$$

$$= \sum_{\beta} \int d\vec{r}_{2} \psi_{\beta}^{*}(\vec{r}_{2}) V(\vec{r}',\vec{r}_{2})\psi_{\kappa}(\vec{r}')\psi_{\beta}(\vec{r}_{2}) - \sum_{\alpha} \int d\vec{r}_{2} \psi_{\alpha}^{*}(\vec{r}_{2}) V(\vec{r}',\vec{r}_{2})\psi_{\alpha}(\vec{r}')\psi_{\kappa}(\vec{r}_{2})$$

Hartree-Fock II

Putting it all together

$$\delta E = \frac{-\hbar^2 \nabla^2}{2m} \psi_{\kappa}(\vec{r}') + \sum_{\beta} \int d\vec{r}_2 \psi_{\beta}^*(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}') \psi_{\beta}(\vec{r}_2) - \sum_{\alpha} \int d\vec{r}_2 \psi_{\alpha}^*(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\alpha}(\vec{r}') \psi_{\kappa}(\vec{r}_2)$$

$$\rho(\vec{r}) = \sum_{\alpha} \psi_{\alpha}^*(\vec{r}) \psi_{\alpha}(\vec{r}) \quad \rho(\vec{r}, \vec{r}') = \sum_{\alpha} \psi_{\alpha}^*(\vec{r}) \psi_{\alpha}(\vec{r}')$$

$$-\frac{\hbar^2 \nabla^2}{2m} \psi_{\kappa}(\vec{r}') + \int d\vec{r}_2 \rho(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}') - \int d\vec{r}_2 \rho(\vec{r}_2, \vec{r}') V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}_2) = e_{\kappa} \psi_{\kappa}(\vec{r})$$
Direct term (easy)
$$Exchange term (hard)$$

$$\left[\frac{-\hbar^2 \nabla^2}{2m} + \Gamma_H(\vec{r}')\right] \psi_{\kappa}(\vec{r}') - \int d\vec{r}_2 \Gamma_{Ex}(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}_2) = e_{\kappa} \psi_{\kappa}(\vec{r})$$

Hartree Fock in a basis

Definition of HF: one single slater determinant describes the ground state of the system. "Interaction of one particle with the average potential describing the rest of the system."

$$H = \sum_{pq} \langle p | t | q \rangle a_p^+ a_q + \frac{1}{4} \sum_{pqrs} \langle pq | V | rs \rangle a_p^+ a_q^+ a_s a_r$$

$$\psi_i^{\rm HF} = \sum_k D_{ki} \varphi_k \quad c_i^+ = \sum_k D_{ki} a_k^+$$

$$E_{HF} = \left\langle \Psi_{HF} \middle| H \middle| \Psi_{HF} \right\rangle = E_{HF} \left[\rho \right] = \sum_{pq} t_{pq} \left\langle \Psi_{HF} \middle| a_p^+ a_q \middle| \Psi_{HF} \right\rangle + \frac{1}{4} \sum_{pqrs} \overline{V}_{pqrs} \left\langle \Psi_{HF} \middle| a_p^+ a_q^+ a_s a_r \middle| \Psi_{HF} \right\rangle$$
$$= \sum_{pq} t_{pq} \rho_{qp} + \frac{1}{2} \sum_{pqrs} \rho_{rp} \overline{V}_{pqrs} \rho_{sq}$$

$$E_{HF} = \sum_{i=1}^{A} t_{ii} + \frac{1}{2} \sum_{i,j=1}^{A} \overline{V}_{ijij}$$

Hartree-Fock in a basis

$$\frac{\partial E^{HF}[\rho]}{\partial \rho_{kk'}} \delta \rho_{kk'} = \sum_{kk'} \left[t_{kk'} + \sum_{ll'} \overline{V}_{kl'k'l} \rho_{ll'} \right] \delta \rho_{kk'}$$

yields a set of coupled, non-linear differential equations; in a basis yields an eigenvalue problem:

$$\sum_{i} \left(t_{ij} + \sum_{ll'} \overline{v}_{iljl'} \rho_{l'l} \right) D_{jk} = \varepsilon_k D_{ik}$$

$$\rho_{ll'} = \sum_{i=1}^{A} D_{li}^* D_{l'i}$$

one-body term

$$\overline{V}_{ijkl} = \left\langle \varphi_{i}\varphi_{j} \left| V \right| \varphi_{k}\varphi_{l} \right\rangle - \left\langle \varphi_{i}\varphi_{j} \left| V \right| \varphi_{l}\varphi_{k} \right\rangle$$

$$\varphi_i^{\rm HF} = \sum_k D_{ki} \psi_k$$

HF calculations yield:

- Single-particle energies
- HF basis interaction matrix elements

Hartree-Fock iterative solutions





Iteration

28 30 32

24 26

100

20

10
Many-body perturbation theory





comparison of CC and MBPT



Interactions within the P-space



Specific example: 2 particles in 4 states



$$I = 0 \quad a_{2}^{+}a_{1}^{+}|--\rangle = |1100\rangle = |\Phi_{0}\rangle$$

$$I = 1 \quad a_{3}^{+}a_{1}^{+}|--\rangle = |1010\rangle = |\Phi_{1}\rangle$$

$$I = 2 \quad a_{4}^{+}a_{1}^{+}|--\rangle = |1001\rangle = |\Phi_{2}\rangle$$

$$I = 3 \quad a_{3}^{+}a_{2}^{+}|--\rangle = |0110\rangle = |\Phi_{3}\rangle$$

$$I = 4 \quad a_{4}^{+}a_{2}^{+}|--\rangle = |0101\rangle = |\Phi_{4}\rangle$$

$$I = 5 \quad a_{4}^{+}a_{3}^{+}|--\rangle = |0011\rangle = |\Phi_{5}\rangle$$

Scaling: Number of basis states Oops. These are HUGE numbers n = number of particles; N = number of single - particle states $C(N,n) = \frac{N!}{(N-n)!n!}$ $C(10,100) = 1.7x10^{13}$ $C(1000,100) = 6x10^{139}$

PROBLEM : How to deal with such large dimensions???

Correlated wave function representation

We have a complete set of states that span our truncated Hilbert space:

$$1 = \sum_{I=0}^{N-1} |I\rangle \langle I|; \langle I|J\rangle = \delta_{IJ}$$

"mean field" \rightarrow Uncorrelated state of lowest energy. $|\Phi_0\rangle = |1100\rangle$

$$\left|\Psi_{\alpha}\right\rangle = \left(b_{\alpha} + b_{\alpha}^{ai}a_{a}^{+}a_{i} + b_{\alpha}^{abij}a_{a}^{+}a_{b}^{+}a_{i}a_{j} + \cdots\right)\Phi_{0}\right\rangle$$

1p-1h2p-2h ... np-nh(implicit summation assumed)

$$1 = \sum_{\alpha=0}^{N-1} |\Psi_{\alpha}\rangle \langle \Psi_{\alpha}|; \langle \Psi_{\alpha}|\Psi_{\beta}\rangle = \delta_{\alpha\beta}$$

Problem II: How do we solve for the correlated many-body wave function?

Diagonal contributions to the Hamiltonian matrix

Here we apply Wick's theorem to the one-body term and the diagonal contributions of the two-body term.

$$H_{11} \leftarrow \varepsilon_1 \langle --|a_1 a_2 a_1^+ a_1 a_2^+ a_1^+| --\rangle + \varepsilon_2 \langle --|a_1 a_2 a_2^+ a_2 a_2^+ a_1^+| --\rangle \\ H_{11} \leftarrow \frac{1}{4} V_{1212} \langle --|a_1 a_2 a_1^+ a_2^+ a_2 a_1 a_2^+ a_1^+| --\rangle = \frac{1}{4} V_{1212}$$

$$\begin{pmatrix} \varepsilon_1 + \varepsilon_2 + \frac{1}{4}V_{1212} \\ \varepsilon_1 + \varepsilon_3 \\ \varepsilon_1 + \varepsilon_4 \\ \varepsilon_3 + \varepsilon_2 \\ \varepsilon_3 + \varepsilon_2 \\ \varepsilon_3 + \varepsilon_2 \\ \varepsilon_3 + \varepsilon_4 \end{pmatrix}$$

Two-body contributions to the Hamiltonian matrix

$$V_{1234} = -V_{2134} = -V_{1243} = V_{2143} = V_{3421} = -V_{4321} = -V_{3412} = V_{4312}$$

$$H_{16} \leftarrow \frac{1}{4} V_{1234} \left\langle --\left|a_{1}a_{2}a_{1}^{+}a_{2}^{+}a_{4}a_{3}a_{4}^{+}a_{3}^{+}\right| --\right\rangle = \frac{1}{4} V_{1234}$$

Hamiltonian matrix now 'mixes' bare eigenstates

$$\begin{split} H_{61} \leftarrow \frac{1}{4} V_{1234} \langle --|a_{3}a_{4}a_{1}^{+}a_{2}^{+}a_{4}a_{3}a_{2}^{+}a_{1}^{+}| --\rangle &= 0 \\ H_{61} \leftarrow \frac{1}{4} V_{3412} \langle --|a_{3}a_{4}a_{3}^{+}a_{4}^{+}a_{2}a_{1}a_{2}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{3412} \\ H_{43} \leftarrow \frac{1}{4} V_{2314} \langle --|a_{2}a_{3}a_{2}^{+}a_{3}^{+}a_{4}a_{1}a_{4}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{2314} \\ H_{43} \leftarrow \frac{1}{4} V_{1423} \langle --|a_{1}a_{4}a_{1}^{+}a_{4}^{+}a_{3}a_{2}a_{3}^{+}a_{2}^{+}| --\rangle &= \frac{1}{4} V_{1423} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{4}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{2}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{4} V_{1213} \\ H_{12} \leftarrow \frac{1}{4} V_{1213} \langle --|a_{1}a_{2}a_{1}^{+}a_{2}^{+}a_{3}a_{1}a_{3}^{+}a_{1}^{+}| --\rangle &= \frac{1}{$$

Solve the eigen problem

Generate the Hamiltonian matrix and diagonalize (Lanczos)
Yields eigenvalues and eigenvectors of the problem

$$U_{\alpha J}^{+} \langle J | H | I \rangle U_{I\alpha} = \lambda_{\alpha} \langle \alpha | H | \alpha \rangle$$
$$| \alpha \rangle = \sum_{I} U_{I\alpha} | I \rangle$$

 $|\Psi_{\alpha}\rangle = (b_{\alpha} + b_{\alpha}^{ai}a_{a}^{+}a_{i} + b_{\alpha}^{abij}a_{a}^{+}a_{b}^{+}a_{i}a_{j} + \cdots)|\Phi_{0}\rangle$ *i*, *j* run below the Fermi surface *a*, *b* run above the Fermi surface

Solving the ab-initio quantum many-body problem

Exact or virtually exact solutions available for:

- > A=3: solution of Faddeev equation.
- > A=4: solvable via Faddeev-Yakubowski approach.
- Light nuclei (up to A=12 at present): Green's function Monte Carlo (GFMC); virtually exact; limited to certain forms of interactions.

Highly accurate approximate solutions available for:

- Light nuclei (up to A=16 at present): No-core Shell model (NCSM); truncation in model space.
- Light and medium mass region (A=4, 16, 40 at present): Coupled cluster theory; truncation in model space and correlations.

③ Theorists agree with each other

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Benchmark test calculation of a four-nucleon bound state

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In the past, several efficient methods have been developed to solve the Schrödinger equation for fournucleon bound states accurately. These are the Faddeev-Yalosbovsky, the coupled-rearrangement-channel Gaussian-basis variational, the stochastic variational, the hyperspherical variational, the Green's function Monte Carlo, the no-core shell model, and the effective interaction hyperspherical harmonic methods. In this article we compare the energy eigenvalue results and some wave function properties using the realistic AV8' NV interaction. The results of all schemes agree very well showing the high accuracy of our present ability to calculate the four-nucleon bound state. TABLE I. The expectation values $\langle T \rangle$ and $\langle V \rangle$ of kinetic and potential energies, the binding energies E_b in MeV, and the radius in fm.

Method	$\langle T \rangle$	$\langle V \rangle$	E_b	$\sqrt{\langle r^2 \rangle}$
FY	102.39(5)	-128.33(10)	-25.94(5)	1.485(3)
CRCGV	102.30	-128.20	-25.90	1.482
SVM	102.35	-128.27	-25.92	1.486
HH	102.44	-128.34	-25.90(1)	1.483
GFMC	102.3(1.0)	-128.25(1.0)	-25.93(2)	1.490(5)
NCSM	103.35	-129.45	-25.80(20)	1.485
EIHH	100.8(9)	-126.7(9)	-25.944(10)	1.486



FIG. 1. Correlation functions in the different calculational schemes: EIHH (dashed-dotted curves), FY, CRCGV, SVM, HH, and NCSM (overlapping curves).

Working in a finite model space

NCSM and Coupled-cluster theory solve the Schroedinger equation in a model space with a *finite* (albeit large) number of configurations or basis states.

Problem: High-momentum components of high-precision NN interactions require enormously large spaces.

Solution: Get rid of the high-momentum modes via a renormalization procedure. (Vlow-k is an example)

Price tag:

Generation of 3, 4, ..., A-body forces unavoidable.
Observables other than the energy also need to be transformed.



E. Ormand http://ww.phy.ornl.gov/npss03/ormand2.ppt

No-core Shell Model results for ¹⁰B and ¹²C



P. Navratil and W. E. Ormand, Phys. Rev. C68 (2003) 034305

No core shell model

Idea: Solve the A-body problem in a harmonic oscillator basis.

- **1.** Take K single particle orbitals
- 2. Construct a basis of Slater determinants
- 3. Express Hamiltonian in this basis
- 4. Find low-lying states via diagonalization
- © Get eigenstates and energies
- © Symmetries like center-of-mass treated exactly
- © No restrictions regarding Hamiltonian
- Number of configurations and resulting matrix very large: There are

$$\binom{K}{A} = \frac{K!}{(K-A)!A!}$$

ways to distribute A nucleons over K single-particle orbitals.

Ab-initio calculations of charge radii of Li isotopes





FIG. 2 (color online). Experimental charge radii of lithium isotopes (red, ●) compared with theoretical predictions: △: GFMC calculations [4,22], ∇: SVMC model [27,28] (▼: assuming a frozen ⁹Li core), ⊕: FMD [26], ○: DCM [19], □ and ◊: *ab initio* NCSM [23,24].

R. Sanchez et al, PRL. 96 (2006) 33002.

N=8 results for ¹⁵O, ¹⁷O (G-matrix)

Diagonalize \overline{H} (T's solved for *n* nucleons) in the $n \pm 1$ Fock space. $H \leftarrow T + V - \langle T_{cm} \rangle$

Gour et al in press PRC, 2006

\mathbf{J}^{π}	Expt.	N ³ LO	CD-Bonn	AV18
¹⁵ O	7.46	6.64	7.58	5.25
¹⁶ O	7.98	7.4	8.33	5.90
170	7.75	7.17	8.03	5.62

Ϳπ	Expt.	N ³ LO	CD-Bonn	AV18
3/2+	5.085	5.68	6.41	3.946
1/2+	0.870	-0.088	0.31	-0.390
5/2+	0.0	0.0	0.0	0.0

\mathbf{J}^{π}	Expt.	N ³ LO	CD-Bonn	AV18
3/2-	6.176	6.26	7.35	4.452
1/2-	0.0	0.0	0.0	0.0

¹⁷O, all MeV

¹⁵O, all MeV

BE/A

Formal introduction: 1958: Coester, Nucl. Phys. 7, 421 1960: Coester and Kummel, Nucl. Phys. 17, 477 **Introduction into Chemistry (late 60's):** 1966: Cizek, J. Chem. Phys. 45, 4256 (1966); Adv. Chem. Phys. 14, 35 (1969) 1971: Cizek and Paldus, Int. J. Quantum Chem. 5, 359 **Numerical implementations** 1978: Pople et al., Int. J. Quantum Chem Symp, 14, 545 1978: Bartlett and Purvis, Int. J. Quantum Chem 14, 561 **Initial nuclear calculations (1970's):** 1978: Kummel, Luhrmann, Zabolitzky, Phys. Rep. 36, 1 and refs. therein **1980-90s: Bishop's group. Coordinate space.** Few applications in nuclei, explodes in chemistry and molecular sciences. Hard-core interactions; computer power; unclear interactions **Nuclear physics reintroduction:** (1/E_{ph} expansion) 1999: Heisenberg and Mihiala, Phys. Rev. C59, 1440; PRL84, 1403 (2000) Three nuclei; JJ coupled scheme; bare interactions, approximate V_{3N} **Useful References Crawford and Schaefer, Reviews in Computational Chemistry, 14, 336 (2000)** Bartlett, Ann. Rev. Phys. Chem. 32, 359 (1981)

Coupled Cluster Theory: ab initio in medium mass nuclei



• Nomenclature

- Coupled-clusters in singles and doubles (CCSD)
- ...with triples corrections CCSD(T);

The many-body wave function in cluster amplitudes

$$|\Psi\rangle = e^{T^{(A)}}|\Phi\rangle, \quad T^{(A)} = \sum_{k=1}^{m_A} T_k$$

$$T_{1} = \sum_{i} t_{i}^{a} |\Phi_{i}^{a}\rangle, \quad T_{2} = \sum_{i>j} t_{ij}^{ab} |\Phi_{ij}^{ab}\rangle, \quad T_{3} = \sum_{i>j>k} t_{ijk}^{abc} |\Phi_{ijk}^{abc}\rangle$$



 $m_{A} = N, \text{ exact theory;}$ $m_{A} < N, \text{ approximate theory}$ $m_{A} = 2 \quad T = T_{1} + T_{2} \qquad CCSD \qquad n_{o}^{2}n_{u}^{4} \left(n_{o}^{2}n_{u}^{2}\right)$ $m_{A} = 3 \quad T = T_{1} + T_{2} + T_{3} \qquad CCSDT \qquad n_{o}^{3}n_{u}^{5} \left(n_{o}^{3}n_{u}^{3}\right)$

View of the CC equations from 10,000 feet

$$He^{T} | \Phi \rangle = E_{0}e^{T} | \Phi \rangle$$
$$e^{-T} He^{T} | \Phi \rangle = E_{0}e^{-T}e^{T} | \Phi \rangle = E_{0} | \Phi \rangle = \overline{H} | \Phi \rangle$$
$$\overline{H} = e^{-T} He^{T} = (He^{T})_{C}$$

$$\overline{H} = H + [H, T] + \frac{1}{2} [[H, T], T] + \frac{1}{6} [[[H, T], T], T], T] + \frac{1}{24} [[[H, T], T], T], T], T]$$

Finite series in T.

$$\left\langle \Phi_{i_{1}i_{2}...i_{k}}^{a_{1}a_{2}...a_{k}} \left| \left(H_{N}e^{T^{(A)}} \right)_{C} \right| \Phi \right\rangle = 0, \quad k = 1,...,m_{A}$$
$$E_{0} = \left\langle \Phi \right| H \left| \Phi \right\rangle + \left\langle \Phi \right| \left(H_{N}e^{T^{(A)}} \right)_{C} \left| \Phi \right\rangle = \left\langle \Phi \right| \left[H_{N} \left(T_{1} + T_{2} + \frac{1}{2}T_{1}^{2} \right) \right]_{C} \left| \Phi \right\rangle \right\rangle$$

Derivation of CC equations

T₁ amplitudes from:
$$\langle \Phi_i^a | \exp(-T) H \exp(T) | \Phi \rangle = 0$$

$$0 = f_{ai} + \sum_{c} f_{ac} t_{i}^{c} - \sum_{k} f_{ki} t_{k}^{a} + \sum_{kc} \langle ka | |ci\rangle t_{k}^{c} + \sum_{kc} f_{kc} t_{ik}^{ac} + \frac{1}{2} \sum_{kcd} \langle ka | |cd\rangle t_{ki}^{cd} - \frac{1}{2} \sum_{klc} \langle kl | |ci\rangle t_{kl}^{ca} - \sum_{kc} f_{kc} t_{i}^{c} t_{k}^{a} - \sum_{klc} \langle kl | |ci\rangle t_{k}^{c} t_{l}^{a} + \sum_{kcd} \langle ka | |cd\rangle t_{k}^{c} t_{i}^{d} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{k}^{c} t_{i}^{d} + \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{c} t_{i}^{d} + \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{c} t_{i}^{d} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{a} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{c} t_{i}^{d} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} - \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{kl}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}{2} \sum_{klcd} \langle kl | |cd\rangle t_{i}^{cd} t_{i}^{d} + \frac{1}$$

Note T_2 amplitudes also come into the equation.

$$\begin{split} \mathbf{T}_{2} \text{ amplitudes from:} & \left\langle \Phi_{ij}^{db} \middle| \exp(-T) H \exp(T) \middle| \Phi \right\rangle = 0 \\ 0 &= \langle ab | ij \rangle + \sum_{c} \left(f_{c} t_{c}^{ac} - f_{ac} t_{c}^{b} \right) - \sum_{k} \left(f_{c} t_{c}^{ab} - f_{k} t_{s}^{bb} \right) + \\ & 153 \\ \frac{1}{2} \sum_{kl} \langle kl | | ij \rangle t_{ab}^{ab} + \frac{1}{2} \sum_{cd} \langle ab | | cd \rangle t_{cd}^{cb} - f_{k} t_{s}^{bb} \right) + \\ P(ij) \sum_{c} \langle ab | | cj \rangle t_{c}^{c} - P(ab) \sum_{kl} \langle kb | | ij \rangle t_{a}^{b} + \\ P(ij) \sum_{c} \langle ab | | cj \rangle t_{c}^{c} - P(ab) \sum_{kl} \langle kb | | id \rangle t_{a}^{cd} t_{b}^{b} + \\ \frac{1}{2} P(ij) P(ab) \sum_{kled} \langle kl | | cd \rangle t_{a}^{cd} t_{b}^{b} + \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ab) \frac{1}{2} \sum_{kled} \langle kl | | cd \rangle t_{a}^{cd} t_{b}^{b} + P(ij) \frac{1}{2} \sum_{cd} \langle ab | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ab) \sum_{kled} \sum_{kled} \langle kl | | cd \rangle t_{a}^{cd} t_{b}^{cd} + P(ij) \frac{1}{2} \sum_{cd} \langle ab | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ab) \sum_{kled} \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ij) \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ij) \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ij) \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ij) \frac{1}{2} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ij) \frac{1}{2} \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ij) \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} + \\ P(ij) \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ij) \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} + \\ P(ij) \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} t_{b}^{cd} + \\ P(ij) P(ab) \sum_{kled} \langle kl | | cd \rangle t_{c}^{cd} t_{b}^{cd} t_{b}^{cd} + \\ P(ij) P(ab) \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} t_{b}^{cd} + \\ P(ij) P(ab) \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} t_{b}^{cd} + \\ P(ij) P(ab) \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd} t_{b}^{cd} + \\ P(ij) P(ab) \frac{1}{4} \sum_{kled} \langle kl | | cd \rangle t_{b}^{cd} t_{b}^{cd}$$

Diagonalization: configuration-interaction, interacting shell model

Yields eigenfunctions which are linear combinations of particle-hole amplitudes

$$|\Psi_{\alpha}\rangle = \left(b^{\alpha} + b^{\alpha}_{ai}a^{+}_{a}a_{i} + b^{\alpha}_{abij}a^{+}_{a}a^{+}_{b}a_{i}a_{j} + \cdots\right)\Phi_{0}\rangle$$
1p-1h 2p-2h "Mean field"

Hamiltonian diagonalization (Barrett et al.)

- Detailed spectroscopic information available
- Wave functions calculated and stored
- Dimension of problem increases dramatically with the number of active particles (combinatorial growth).
- Disconnected diagrams enter if truncated

Relationship between shell model and CC amplitudes



"Disconnected quadruples"

"Connected quadruples"

Comparisons with other many-body techniques



What about the first excited 3-?



From CCSD $\Delta \varepsilon_{\pi} = 15.846 \text{ MeV}$ $\Delta \varepsilon_{v} = 15.789 \text{ MeV}$

Interactions among nucleons lowers by about 15.8-11.5=4.3 MeV **From experiment**

$$\Delta \varepsilon_{\pi} = \varepsilon_{\pi} (0d_{5/2}) - \varepsilon_{\pi} (0p_{1/2})$$

$$= \left[\operatorname{BE} \left({}^{16}O \right) - \operatorname{BE} \left({}^{17}F \right) \right] + \left[\operatorname{BE} \left({}^{16}O \right) - \operatorname{BE} \left({}^{15}N \right) \right]$$

$$= 11.526 \operatorname{MeV}$$

$$\Delta \varepsilon_{\nu} = \varepsilon_{\nu} (0d_{5/2}) - \varepsilon_{\nu} (0p_{1/2})$$

$$= \left[\operatorname{BE} \left({}^{16}O \right) - \operatorname{BE} \left({}^{17}O \right) \right] + \left[\operatorname{BE} \left({}^{16}O \right) - \operatorname{BE} \left({}^{15}O \right) \right]$$

$$= 11.521 \operatorname{MeV}$$

Interactions among nucleons lowers by about 11.5-6.1=5.4 MeV

Much of the discrepancy comes from where the interaction places the 0p shell relative to the 0d1s shell.

Ca40: the next frontier (no com corrections)



4.2 TFlop-hours at NERSC

Inclusion of three-body forces:



Calculations with three-body forces are underway

Initial V3-CCSD results (proof of principle, Papenbrock, Hagen, et al)

$$E = \left\langle \Phi \left| \exp(-T)(H = T - T_{cm} + V_2 + V_3) \exp(T) \right| \Phi \right\rangle$$

 V_2 is Vlowk of AV18 at λ =1.9 fm⁻¹ Nogga, Bogner, Schwenk adjustment of V_3 from EFT (N²LO) adjusted for ⁴He (mixed bag, I know). Considering only T=1/2+ so far).

(1): V2 only

- (2): (1)+v3 normal ordered contribution to vacuum energy
- (3): (1)+(2)+ v3 contribution to CCSD energy
- (4): (1)+(2)+(3)+ v3 normal ordered contribution to one-body operator
- (5): (1)+(2)+(3)+(4)+ v3 normal ordered contribution to two-body operator

(6): (1)+(2)+(3)+(4)+(5)+t1 and t2 amplitudes consistently calculated with v3

	(1)	(2)	(3)	(4)	(5)	(6)
4He,N=3	-22.957	-22.442	-22.443	-22.523	-22.525	-22.523
4He, N=4	-25.822	-25.306	-25.307	-25.402	-25.384	-25.387
16O, N=3	-124.389	-118.199	-118.208	-118.862	-118.872	-118.877
160, N=4	-140.896	-134.707	-134.710	-136.038	-135.891	-135.930



complex-symmetric eigenvalue problem for hermitian Hamiltonian

Ploszajczak et al.

GSM: N. Michel et al., Phys.Rev.Lett. 89, 042502 (2002)



Gamow-Hartree-Fock basis

The self-consistent Hartree-Fock potential in a plane wave-basis gives an integral equation for the single-particle states.

Analytically continue the momentum space Schrödinger equation in the complex k-plane by deforming the integration contour.

The Hartree-Fock states forms a complete bi-orthogonal basis:

$$\frac{\hbar^2}{m_{\text{eff}}}k^2\psi_{nlj}(k) + \int_{L^+} dk' {k'}^2 \mathcal{V}_{HF}(jlkk')\psi_{nl}(k') = E_{nl}\psi_{nl}(k)$$



$$1 = \sum_{n \in \mathbf{C}} |\psi_{nl}\rangle \langle \psi_{nl}^*| + \int_{L^+} dk k^2 |\psi_l(k)\rangle \langle \psi_l^*(k)|.$$

A discrete sum over bound and resonant states and an integral over the non-resonant continuum.

Discretizing the continuum integral yields a finite complete basis within the discretization space

$$\mathbf{1} = \sum_{n}^{N} |\psi_{nl}\rangle \langle \psi_{nl}^{*}| = \sum_{n}^{N} \sum_{i=1}^{N} \psi_{nl}(i)\psi_{nl}(i).$$

Complex CCSD for the He chain [Preliminary, G. Hagen et al.]

- Very low neutron separation energy. p-orbits are the main decay channel and build up the main part of the halo densities.
- Protons have large separation energies (20-30 MeV), mainly occupying deeply bound s-orbits.

Neutron orbitals are Gamow states for s-p partial waves and oscillators for higher partial waves (d-g).





	$\Lambda = 1.8$	8fm ⁻¹	$\Lambda = 1.9$	9fm ⁻¹	$\Lambda = 2.0$	0fm ⁻¹	Expt.	
lj	$\operatorname{Re}[E]$	Im[E]	$\operatorname{Re}[E]$	$\operatorname{Im}[\mathrm{E}]$	$\operatorname{Re}[E]$	Im[E]	$\operatorname{Re}[E]$	Im[E]
$s_{1/2}$	-18.678	0.000	-17.452	0.000	-16.021	0.000	-20.578	0.000
$p_{3/2}$	1.465	-0.954	1.609	-1.141	1.765	-1.347	0.890	-0.324
$p_{1/2}$	2.376	-3.081	2.389	-3.192	2.488	-3.388	2.160	-2.785

CCSD calculation of the 4-10He ground states with the low-momentum N3LO NN interaction (L=1.9 fm-1) for increasing number of partial waves. The energies E are in MeV for both real and imaginary parts (Hagen et al. in prep).

	⁴ He		⁵ He		⁶ He	
lj	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]
s – p	-24.92	0.00	-20.08	-0.54	-18.02	-0.44
s – d	-26.58	0.00	-23.45	-0.23		
s - f	-27.57	0.00				
Expt.	-28.30	0.00	-27.41	-0.33	-29.27	0.00

	⁷ He		⁸ He		⁹ He		¹⁰ He	
lj	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]	Re[E]	Im[E]
s – p	-17.02	-0.24	-16.97	-0.00	-15.28	-0.40	-13.82	-0.12
s - f			-28.98	-0.00				$\mathcal{D}\mathcal{D}$
Expt.	-28.82	-0.15	-31.41	0.00	-30.26	-0.1?	-30.34	?

Perspectives on CC methods in nuclear physics

- Developing CC for nuclei requires simultaneous developments for the effective interaction
- We have extensive calculations for ¹⁶O:
 - CCSD ground and excited states
 - CR-CCSD(T) ground and excited states
 - A+/-1 calculations
- New stuff:
 - Coupled-clusters in the continuum (reactions)
 - Three-body force (proof of principle)
 - Future steps: Higher-Order SVD for compression
 - Gearing up for ⁴⁰Ca.
- CC theory represents a way to move to heavier nuclei.
- CC is computationally intensive; algorithm development to move further (9-10 shells, mass 100) is also underway



"Chance is always powerful. Let your hook be always cast; in the pool where you least expect it, there will be a fish." -- Ovid (43 BC – 17 AD)

Recall Hartree-Fock II

Putting it all together

$$\delta E = \frac{-\hbar^2 \nabla^2}{2m} \psi_{\kappa}(\vec{r}') + \sum_{\beta} \int d\vec{r}_2 \psi_{\beta}^*(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}') \psi_{\beta}(\vec{r}_2) - \sum_{\alpha} \int d\vec{r}_2 \psi_{\alpha}^*(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\alpha}(\vec{r}') \psi_{\kappa}(\vec{r}_2)$$

$$\rho(\vec{r}) = \sum_{\alpha} \psi_{\alpha}^*(\vec{r}) \psi_{\alpha}(\vec{r}) \quad \rho(\vec{r}, \vec{r}') = \sum_{\alpha} \psi_{\alpha}^*(\vec{r}) \psi_{\alpha}(\vec{r}')$$

$$-\frac{\hbar^2 \nabla^2}{2m} \psi_{\kappa}(\vec{r}') + \left(d\vec{r}_2 \rho(\vec{r}_2) V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}') - \left(d\vec{r}_2 \rho(\vec{r}_2, \vec{r}') V(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}_2) \right) = e_{\kappa} \psi_{\kappa}(\vec{r})$$
Direct term (easy)
$$Exchange term (hard)$$

$$\left[\frac{-\hbar^2 \nabla^2}{2m} + \rho(\vec{r}') \right] \psi_{\kappa}(\vec{r}') - \int d\vec{r}_2 \rho(\vec{r}', \vec{r}_2) \psi_{\kappa}(\vec{r}_2) = e_{\kappa} \psi_{\kappa}(\vec{r})$$

A digression to something called Skyrme Hartree-Fock

$$\rho(\vec{r}_1, \vec{r}_2) = \delta(\vec{r}_1 - \vec{r}_2)\rho(\vec{r}_1)$$
 Keep everything local

$$E[\rho,\tau,\bar{J}] = \frac{1}{2M}\tau + \frac{3}{8}t_0\rho^2 + \frac{1}{16}t_3\rho^{2+\alpha} + \frac{1}{16}(3t_1 + 5t_2)\rho\tau + \frac{1}{64}(9t_1 - 5t_2)(\nabla\rho)^2 - \frac{3}{4}W_0\rho\nabla\bullet\bar{J} + \frac{1}{32}(t_1 - t_2)\bar{J}^2$$

$$t_0 = -1129, t_1 = 395,$$

 $t_2 = -95, t_3 = 14000, W_0 = 120$

$$\rho(\vec{r}) = \sum_{i} |\psi_{i}(\vec{r})|^{2} \quad \tau(\vec{r}) = \sum_{i} |\nabla \psi_{i}(\vec{r})|^{2}$$

Minimize: $\mathbf{E} = \int d\vec{r} E[\rho, \tau, J]$

$$\left(-\nabla \frac{1}{2M^{*}(\vec{r})}\nabla + U(\vec{r}) + \frac{3}{4}W_{0}\nabla\rho \bullet \frac{1}{i}\nabla \times \sigma\right)\psi_{\alpha}(\vec{r}) = \varepsilon_{\alpha}\psi_{\alpha}(\vec{r})$$

The ratio of Skyrm forces (parameterizations) to the number of nuclei is about the same as the number of lawyers to citizens in the U.S.

Can we be more systematic??

$$\frac{1}{2M^{*}(\vec{r})} = \frac{1}{2M} + \left[\frac{3}{16}t_{1} + \frac{5}{16}t_{2}\right]\rho(\vec{r})$$
The mean field picture of the nucleus



This is Nuclear DFT (not HF from the initial NN interaction) – "HFB".

Nuclear DFT functionals (Skyrme) predict different behaviors near the drip lines. Which one is correct?

Can we include further density operators in energy density functional?

Can we use the unitary limit to constrain the form of the potential?

Challenge: Find the appropriate energy density functional that describes nuclei (Find connection to the ab initio potentials)

Homework problem: Take some psudo-data (e.g. from John Clark's neural network) for Sn132-140 (and maybe a few other select chains). Get DFT fits. Do you still have asymptotic freedom?? When can we stop?

Microscopic Mass Formula (can we go below 500 keV?)

Goriely, ENAM'04

Reinhard 2004

HFB models: weapons of mass production	
Recent improvements of HFB mass formulas	Accuracy _{rms} (2149 nuc)
HFB-2 masses: M*s=1.04, vol. pairing	659 keV
HFB-3 masses: M*s=1.12, vol+surf pairing	635 keV
HFB-7 masses: M*s=0.80, vol+surf pairing	657 keV
HFB-8 masses: M*s=0.80, vol. pairing, PLN (part.nb proj.)	635 keV
HFB-9 masses: M*s=0.80, vol. pairing, PLN, J=30MeV	733 keV
Controlled on both Mudel and Tellinia Medica Menter	

for astrophysics applications

Performance of typical parametrizations



Challenges:

- need for error and covariance analysis (theoretical error bars in unknown regions)
- a number of observables need to be considered (masses, radii, collective modes)
- only data for selected nuclei used

Philosophical issue: What are the relevant degrees of freedom?

Answer: It depends on the energy scale!



RHIC & CEBAF are our QCD machines.





- If system is probed at low energies, fine details not resolved
 - use low-energy variables for low-energy processes
 - short-distance structure can be replaced by something simpler without distorting low-energy observables

Another way to look at degrees of freedom



What makes sense to do:
-- Describe water via 1/r interactions between electrons?
-- Describe by incompressible fluid flow?

Nano-water (1/r)
Glass (fluid)

Kohn-Sham and Density Functional Theory

Т

$$E[\rho] = \int \rho(\vec{r}) v(\vec{r}) d\vec{r} + F[\rho]$$

$$\delta \left\{ E[\rho] - \mu \left[\int \rho(\vec{r}) d\vec{r} - N \right] \right\} = 0 \quad \Rightarrow \quad \mu = v(\vec{r}) + \frac{\delta F[\rho]}{\delta \rho}$$

The density that minimizes the ground-state energy satisfies the Euler equation

$$\Omega[\psi_1, \psi_2, \dots, \psi_N] = \sum_{i=1}^N \int d\vec{r} \,\psi_i^* \left(\vec{r} \right) \left(-\frac{1}{2} \nabla^2\right) \psi_i\left(\vec{r}\right) \\ + \int \lambda \left(\vec{r} \right) \left\{\sum_{i=1}^N |\psi_i(\vec{r})|^2 - \rho(\vec{r})\right\} d\vec{r} - \sum_{ij}^N \varepsilon_{ij} \int \psi_i^*(\vec{r}) \psi_j(\vec{r}) d\vec{r}$$

$$\frac{\partial\Omega}{\partial\psi_k^*(\vec{r}')} = 0 \implies \hat{h}_s \psi_k = \sum_{i=1}^N \varepsilon_{kl} \psi_l \implies \hat{h}_s \psi_k = \varepsilon_k \psi_k$$
$$\hat{h}_s = -\frac{1}{2} \nabla^2 + \lambda(\vec{r}) \implies \lambda(\vec{r}) = \nu(\vec{r}) + \frac{\partial F[\rho]}{\partial \rho}$$

Derivation in terms of single particle wave functions. Here the kinetic energy term is taken as exact

What is DFT accomplishing?



• Interacting potential replaced by non-interacting potential

- Orbitals are in a local potential (and there is no M*).
- Find V_{KS} from $\delta E/\delta \rho$ by solving the self-consistent equations

"Skyrme HF" is almost DFT, and is very close if M*=1 Challenge: Build DFT from 1) wave functions and densities from ab initio studies, and 2) from an EFT based formalism

Self-consistent mean field theory: Nuclear DFT

Recent developments:

- General nuclear energy density functional that allows proton-neutron couplings
- First fully self-consistent QRPA+HFB
- Development of formalism for exact particle number projection before variation (but problematic)
- Mass tables calculated



Nuclear DFT Challenges:

- Implement exact particle number projection (and others) before variation
- Improvement of the density dependence of the effective interaction
- Proper treatment of time-odd fields
- Inclusion of dynamical zero-point fluctuations
- Provide proper continuum basis for QRPA calculations

Stoitsov, Dobaczewski, Nazarewicz, Engel, Van Gai, Gorioly, Heenen, Duguet, Furnstahl, Bertsch

Challenge: Determine the limits of atoms and nuclei

Three frontiers, relating to the determination of the proton and neutron drip lines far beyond present knowledge, and to the synthesis of the heaviest elements





Do very long-lived superheavy nuclei exist? What are their physical and chemical properties? Shape coexistence and triaxiality in the superheavy nuclei Cwiok, S.; Heenen, P.-H.; Nazarewicz, W. *Nature*, v 433, n 7027, 17 Feb. 2005, p 705-9



Skins and Skin Modes



Towards the Nuclear Energy Density Functional (Equation of State)



see also Akmal, Pandharipande, Ravenhall, Phys. Rev. C58, 1804 (1998)



Challenges: •density dependence of the symmetry energy •neutron radii •clustering at low densities

Beyond Mean Field examples



Evidence for Pygmy and Giant Dipole Resonances in ¹³⁰Sn and ¹³²Sn

P. Adrich,^{1,4} A. Klimkiewicz,^{1,4} M. Fallot,¹ K. Boretzky,¹ T. Aumann,¹ D. Cortina-Gil,⁵ U. Datta Pramanik,¹ Th. W. Elze,² H. Emling,¹ H. Geissel,¹ M. Hellström,¹ K. L. Jones,¹ J. V. Kratz,³ R. Kulessa,⁴ Y. Leifels,¹ C. Nociforo,³ R. Palit,² H. Simon,¹ G. Surówka,⁴ K. Sümmerer,¹ and W. Walus⁴







Some nuclear properties relevant to reactions:

- nuclear shape
- single-particle energies
- neutron-nucleus potential
- nuclear mass
- level densities
- One can measure level densities
- 'Back-shifted Fermi Gas' model is often used to describe level densities, but is parameterized for each nucleus.
- Vast literature on improvements
- Necessary input to reaction cross section calculations:
 - -- 1p-1h, 2p-2h, np-nh, states -- spin-level density

A few words about nuclear reactions: level densities

$$Z(\beta) = \int e^{-\beta E} \rho(E) dE$$

almost impossible to solve, so use saddle-point approximation...

from SMMC calculation

 $\ln[Z(\beta)/Z(0)] = -\int_{0}^{\beta} d\beta' E(\beta')$

$$S(E) = \beta E + \ln Z(\beta)$$

$$\rho(E) = \frac{\exp(S)}{\sqrt{2\pi\beta^{-2}C}}$$
$$\beta^{-2}C = -\frac{dE}{d\beta}$$



Thermal properties of finite nuclei: general considerations

• Remnants of phase transitions in finite systems:

- ordered to disordered
- paired unpaired (~ 0.7-1.0 MeV)
- deformed spherical

→How are pairing and deformation affected by temperature?
→How is rotational motion affected by temperature?

→ Connection to infinite matter?

SMMC studies of phase transitions



SMMC: Realistic Hamiltonian; extrapolations. Dean, Koonin, Langanke, Radha, Alhassid, PRL77, 1444 (1995) PP+QQ, Ormand, 1998; Langanke, 1998



SMMC, pairing+quadrupole (improved method to obtain C) Liu & Alhassid, PRL 2000

Pairing transitions in finite Fermi systems

- What are the thermodynamic properties of a finite many-body system?
- Can we characterize thermal transitions within finite systems?
- What is the role of the interaction in affecting transitions?

Microcanonical ensemble:

 $\Omega(E)$ Density of states (microcanonical partition function) $F(E) = -T \ln \{\Omega(E) \exp(-\beta E)\}$ Free energy at a given E.

Canonical Ensemble:

 $Z(\beta) = \hat{\mathrm{Tr}}\left\{\exp\left(-\beta\hat{\mathrm{H}}\right)\right\}$ $= \sum_{E} \Omega(E) \exp\left(-\beta E\right)$

Analytic continuation of β : $Z(B); B = \beta + i \tau$



A very simple pairing problem with many physical applications



$$H = \sum_{i} \varepsilon_{i} a_{i}^{\dagger} a_{i} - G \sum_{ij} a_{i}^{\dagger} a_{\bar{i}}^{\dagger} a_{\bar{j}} a_{j}$$
$$H = d \sum_{i} i N_{i} - G \sum_{ij} S_{i}^{\dagger} S_{j}^{-}$$

d/G = 0.5 (normal pairing) d/G = 2.0 (weak pairing)

Simple Theoretical Considerations

Microcanonical density of states

$$N(E,\beta,L) \equiv \frac{L}{Z(\beta)} w(E) \exp(-\beta E)$$

 $A(E,\beta,L) = -\ln N(E,\beta,L)$

Partition function

Lee and Kosterlitz, PRL65, 137 (1990) showed that if a system exhibits a transformation in phase at a temp T_c , then

 $A(E_1,\beta) = A(E_2,\beta)$

 $\Delta F = A(E_m, \beta) - A(E_1, \beta)$ $F \propto A$ if Z varies slowly near T_c



Follow ΔF as
system size increases:
Increasing: 1st order
constant: 2nd order
Decreasing: Ordered

to disordered

Investigation of a pure pairing model



• Essentially the Richardson model • Diagonalize and find all states

d/G = 0.5 (normal pairing) d/G = 2.0 (weak pairing)



Belic, Dean, Hjorth-Jensen, NPA731, 381 (2004) Dean and Hjorth-Jensen, Rev. Mod. Phys. 75, 607 (2003)

Analytic continuation of the partition function



Density of zeros (or poles in the specific heat)
characterized by
x = angle of approach to real axis

 γ = angle of approach to real axis

 $\alpha =$ slope (sort of) of line at small τ

 τ_1 = closest zero (finite size effect)

$$\alpha = \gamma = 0$$
 First order
 $0 < \alpha < 1$ any γ Second orde



Interpretation of the analytic continuation

$$Z(B) = \hat{T}r\left\{\exp\left(-\beta\hat{H} - i\tau\hat{H}\right)\right\}$$
$$= \sum_{\alpha} \langle \alpha \left|\exp\left(-\frac{\beta}{2}\hat{H}\right)\right| \exp\left(-i\tau\hat{H}\right) \exp\left(-\frac{\beta}{2}\hat{H}\right) \left|\alpha\right\rangle$$
$$= \langle \Psi(t=0) \left|\Psi(t=\tau)\right\rangle$$

= time evolved overlap.Z(B)=0 represents a boundary.

Thermal ensemble

Time evolution of thermal ensemble

- Zeros of Z are boundary points that indicate when the system looses memory of its initial state.
- Zeros closest to real axis contribute the most to the specific heat of the system.

Thermal effects on pairing and deformation in nuclear systems

Pairing+Quadrupole Hamiltonian: solve using Auxiliary Field Monte Carlo techniques.

fp-gds model space (⁴⁰Ca is the core)



10²⁰ many-body basis states

⁶⁸Ni → Spherical ground state; weak N=40 shell closure
⁷⁰Zn → stronger proton pairing correlations; some quadrupole collectivity; erosion of N=40 shell gap
⁷²Ge → shape coexistence phenomena; static proton and neutron pairing
⁸⁰Zr → very deformed; large N=40 shell effects, weakened pairing
Langanke, Dean, Nazarewicz, Nucl. Phys. A (2005)

Dean, Nazarewicz, Langanke (in prep, 2006)

Simple AFMC



use the Hubbard-Stratonovich transformation

$$\exp\left(-\beta\hat{H}\right) = \sqrt{\frac{\beta|V|}{2\pi}} \int_{-\infty}^{\infty} d\sigma \exp\left(-\beta|V|\sigma^2/2\right) \exp\left(-\beta\hat{h}\right)$$
$$\hat{h} = \varepsilon \,\hat{\Omega} + sV\sigma\hat{\Omega} \qquad \begin{cases} s=1 & \text{for } V < 0\\ s=i & \text{for } V > 0 \end{cases}$$

Koonin, Dean, Langanke, Phys. Rep. 278, 2 (1997)

Auxiliary Field Monte Carlo



Number Projection (Canonical):

$$\operatorname{Tr}\left[\hat{\Omega}\right] \equiv \operatorname{Tr}_{N}\left[\hat{\Omega}\right] = \sum_{i} \left\langle i \left| \hat{P}_{N} \hat{\Omega} \right| i \right\rangle$$
$$\hat{P}_{N} = \delta\left(\hat{N} - N\right) = \int_{0}^{2\pi} \frac{d\varphi}{2\pi} \exp\left\{i\varphi\left(\hat{N} - N\right)\right\}$$

Total B(E2) as a function of temperature







Simple nuclear collision

NN

m

m

$H' = H + \hbar \omega J_z$

Nucleus spins down by emitting gammas

• Low spins: reduction in pairing \rightarrow first quasi aligned band

• higher spins: super deformed bands

Tunis Workshop

What happens to pairing in a (warm) rotating nucleus?



Rotational properties of the N=40 systems

 $H' = H + \omega J_z$



Occupations



Pairing decreases with increasing frequency







Rotation and temperature in 76-Ge



Conclusions on this section

- Pairing transition tends to occur around T=0.7 MeV with some width due to the finite size of the system.
- Shape transition is more gradual. No peak in the specific heat seen.
- Competition between pairing and shape:
 - Super-fluid systems (Ni-68, static pairing) show a pronounced peak in the specific heat.

•Strongly deformed nuclei (Zr-80) show a more gradual change the specific heat.

- Major computational effort: each data point is 1 Tf-hour.
- Near term: complete cranking calculations and analysis.

The end....with some quotes:

It is better to know some of the questions than all of the answers. -- James Thurber

Computers are useless. They can only give you answers. -- Pablo Picasso

In all things of nature, there is something of the marvelous. -- Aristotle

Science is facts; just as houses are made of stones, so is science made of facts; but a pile of stones is not a house and a collection of facts is not necessarily science. -- Henri Poincare

Nothing shocks me. I'm a scientist. -- Harrison Ford (as Indiana Jones)